

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 16, 1986.

The Board of Trustees of the University of Kentucky met at 1 p.m. (Eastern Daylight Time) on Tuesday, September 16, 1986 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. Robert T. McCowan, Chairman, called the meeting to order at 1 p.m., and the invocation was pronounced by Mr. Brereton C. Jones.

The following members of the Board of Trustees answered the call of the roll: Mr. Robert T. McCowan (Chairman), Professor Raymond F. Betts, Governor Albert Benjamin Chandler, Mr. Albert G. Clay, Professor David R. Driscoll, Jr., Mr. Tracy Farmer, Mr. Ronald G. Geary, Ms. Donna G. Greenwell, Mr. George W. Griffin, Mrs. Edythe Jones Hayes, Mr. Henry E. Hershey, Mr. Brereton C. Jones, Mr. R. Larry Jones, Mr. T. A. Lassetter, Mr. Frank Ramsey, Mr. James L. Rose, Mr. Bobby Watson, and Professor Constance P. Wilson. Absent from the meeting were Mr. William R. Black and Mr. W. Bruce Lunsford. The University administration was represented by President Otis A. Singletary; Mr. James O. King, Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher, and Charles T. Wethington; Mr. John C. Darsie, General Counsel; Mr. Henry Clay Owen, Controller and Treasurer; and Dr. Paul G. Sears, Special Assistant for Academic Affairs.

Members of the various news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:04 p.m.

B. Minutes Approved

On motion made by Mr. Clay, seconded by Mr. Farmer and passed, the Minutes of the August 26, 1986 meeting of the Board of Trustees were approved as written.

C. Nomination of Officers and Executive Committee

Under the Governing Regulations, officers of the Board and the Executive Committee are elected annually at the September meeting. Mr. McCowan, who had appointed a Nominating Committee comprised of Mr. George W. Griffin (Chairman), Mrs. Edythe Jones Hayes, Mr. James L. Rose, Mr. R. Larry Jones, and Professor Constance P. Wilson, called on Mr. Griffin to present the Committee's recommendations.

Mr. Griffin placed the following slate of officers and Executive Committee members for 1986-87 in nomination:

OFFICERS

Robert T. McCowan, Chairman  
Albert G. Clay, Vice Chairman  
George W. Griffin, Secretary  
John C. Darsie, Assistant Secretary

EXECUTIVE COMMITTEE

Robert T. McCowan, Chairman  
William R. Black  
Albert G. Clay  
Tracy Farmer  
T. A. Lassetter

There being no nominations from the floor, on motion by Mr. Larry Jones, seconded by Mr. Ramsey and passed, the slate was elected as proposed.

Mr. McCowan, speaking on behalf of the officers, expressed thanks and appreciation to the other members of the Board for their expression of confidence and support.

D. Committee Appointments

Mr. McCowan announced the following committee appointments for 1986-87:

Finance Committee

Albert G. Clay, Chairman  
Tracy Farmer  
George W. Griffin  
Brereton C. Jones  
R. Larry Jones  
Robert T. McCowan  
James L. Rose

Student Code

Bobby Watson, Chairman  
Donna G. Greenwell  
George W. Griffin  
Edythe Jones Hayes  
Constance P. Wilson

Investment

Henry E. Hershey, Chairman  
Albert G. Clay  
Ronald G. Geary  
T. A. Lassetter  
Frank Ramsey

Hearing

R. Larry Jones, Chairman  
William R. Black  
David R. Driscoll, Jr.  
Edythe Jones Hayes  
Raymond F. Betts

Honorary Degrees

Brereton C. Jones

Council of Supervisors  
University Hospital

Albert B. Chandler,  
ex officio

(Other members are appointed  
by the Board of Trustees.)

E. President's Report to the Trustees (PR 1)

President Singletary reviewed briefly some of the items in PR 1, calling particular attention to (1) a record enrollment of 46,500 plus students and another significant increase in test scores of the entering freshman class, and (2) the celebration of the University of Kentucky Libraries Two-Millionth Volume on Friday, September 19. He commended Paul Willis, Director of Libraries, on being a "superb librarian" and encouraged the Trustees to attend the celebration.

He also reported on a Japanese Saturday School for the children of Japanese families working with Japanese firms in this area. He stated that the University took the position it would be supportive in the move to interest the Japanese in settling here and pledged to cooperate with the Governor.

At President Singletary's request, Dr. Betts introduced Ms. Amy Hack, a junior from Louisville who designed a new program in the Honors Program, "Homework Hotline." Ms. Hack explained that during the school week, from 4:00 p.m. to 7:00 p.m., selected Honors Program students will offer assistance by way of telephone to high school students who may be having difficulty organizing and completing homework. She stressed that the program is to help the student through problems and not to provide answers. She indicated that the program has the full support of the Fayette County School System. Mr. McCowan thanked Ms. Hack for her report, following which she was given a round of applause.

F. Personnel Actions (PR 2)

With President Singletary so recommending, on motion made by Mr. Rose, seconded by Professor Wilson and passed, the routine personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

G. Supplemental Recommendations of the President (PR 3A)

There were no supplemental recommendations.

H. 1986-87 Budget Revisions (PR 3B)

Mr. Larry Jones moved that the proposed revisions in the 1986-87 budget be authorized and approved. The motion was seconded by Mr. Clay, and it was so ordered. (See PR 3B at the end of the Minutes.)

I. Patent Assignment-Lexington Campus (PR 5A)

Mr. Watson moved, and Mr. Farmer seconded, that the routine patent assignment in PR 5A be approved. The motion carried without dissent. (See PR 5A at the end of the Minutes.)

J. Change in Name of Educational Unit--School of Accountancy (PR 5B-1) and Change in Name of Educational Unit--Department of Geological Sciences (PR 5B-2)

Acting on the recommendation of President Singletary, Mr. Clay moved (1) that the name of the Department of Accounting, College of Business and Economics, be changed to the School of Accountancy and (2) that the name of the Department of Geology, College of Arts and Sciences, be changed to the Department of Geological Sciences. Mr. Clay's motion was seconded by Mr. Farmer and unanimously carried. (See PR 5B-1 and PR 5B-2 at the end of the Minutes.)

K. PR 5C was Withdrawn

L. Approval of Policy on Hazing (PR 5D)

President Singletary recommended that the Board approve the policy prohibiting the forced consumption of alcohol or drugs for the purpose of initiation into or affiliation with any organization as presented in PR 5D. At President Singletary's request, Dr. Joseph T. Burch, Acting Vice Chancellor for Student Affairs, explained that the policy was in response to a state statute passed during the last session of the General Assembly. To ensure that the University was in compliance, Vice Chancellor Burch said the policy contains much of the same language as the statute. On motion made by Mr. Ramsey, seconded by Mr. Geary and passed, the Board approved the policy on hazing as recommended in PR 5D. (See PR 5D at the end of the Minutes.)

M. Change in Name of an Educational Unit (PR 6A)

With President Singletary so recommending, Mr. Griffin moved that the name of the Multidisciplinary Center for Gerontology be changed to the Sanders-Brown Center on Aging. The motion, which was seconded by Professor Wilson, was voted on and carried. (See PR 6A at the end of the Minutes.)

N. Patent Assignment (PR 6B)

Without discussion, on motion made by Mr. Griffin, seconded by Professor Wilson and passed, the routine patent assignment in PR 6B was approved. (See PR 6B at the end of the Minutes.)

O. Acceptance of Interim Financial Report for the University of Kentucky for the Month Ended July 31, 1986 (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the Committee met on the morning of September 16 and reviewed the eight agenda items to be presented for action. Mr. Clay indicated that all members were in attendance and complimented them for their input, their wisdom, and the time they devote in carrying out their responsibilities on the Finance Committee. He also expressed appreciation to members of the staff, including Vice President King, Vice Chancellor Blanton, and Mr. Owen, for the time and effort they devote to the meetings of the Finance Committee.

Mr. Clay then moved that the Board accept the University of Kentucky Consolidated Financial Report for the month ended July 31, 1986. The motion was seconded by Mr. Brereton Jones, and it was so ordered. (See FCR 1 at the end of the Minutes.)

P. Capital Construction Report (FCR 2)

After reminding the Board that the Capital Construction Report was in compliance with House Bill 622, Mr. Clay moved that the Board accept the report for the twelve months ended June 30, 1986. The motion was seconded by Mr. Geary, and it was so ordered. (See FCR 2 at the end of the Minutes.)

Q. Approval of Lease (FCR 3)

The Finance Committee so recommending, Mr. Clay moved that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between the Owensboro Community College and Owensboro Independent Board of Education, Mr. Carol Hanberry, 1335 West Eleventh Street, Owensboro, Kentucky, for office and classroom space, effective July 1, 1986 through June 30, 1987 at a rental rate of \$42,955.85 annually. The motion was seconded by Mr. Rose and unanimously carried. (See FCR 3 at the end of the Minutes.)

R. Approval of Leases (FCR 4)

After noting that previous Board action authorizes the President to enter into leases and easements not exceeding \$30,000 in value and requires that all such leases be reported to the Board, Mr. Clay moved that the report on leases in FCR 4 be accepted. The motion was seconded by Mr. Rose and so ordered. (See FCR 4 at the end of the Minutes.)

S. Use of Fraternity Reserve (FCR 5)

With the concurrence of the Finance Committee, Mr. Clay moved that the Vice Chancellor for Administration be authorized to request the Trustee of University of Kentucky Student Housing Bonds of 1960 to make available the sum of \$5,800 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of upgrading the electrical system to current code requirements of the Sigma Chi Fraternity House located at 704 Woodland Avenue. Mr. Clay's motion was seconded by Professor Wilson and carried without dissent. (See FCR 5 at the end of the Minutes.)

T. Acceptance of Audit Reports for the University of Kentucky for 1985-86 (FCR 6)

Mr. Clay stated that a representative from the firm of Coopers and Lybrand met with the Finance Committee during the morning meeting. He reported that no significant problems were noted and that everything was in accordance with good accounting procedures. Mr. Clay therefore moved, and Mr. Larry Jones seconded, that the Board accept the University of Kentucky consolidated summary audit report for the fiscal year ended June 30, 1986, consisting of the Accountant's Report from Coopers and Lybrand, Balance Sheets, Statement of Current Funds Revenues, Expenditures and Transfers, Statement of Changes in Fund Balances, Summary of Significant Accounting Policies, Notes to the Financial Statements, and a Cash Reconciliation of amounts on deposit with the Kentucky State Treasurer. The motion carried unanimously. (See FCR 6 at the end of the Minutes.)

U. Acquisition of Property for the University Press of Kentucky  
(FCR 7)

With the concurrence of the Finance Committee, Mr. Clay moved that the Vice Chancellor for Administration of the Lexington Campus be authorized to acquire the property located at 663 South Limestone Street at its appraised value for the University Press of Kentucky at a price not to exceed \$240,000. The motion was seconded by Dr. Betts and carried without dissent. (See FCR 7 at the end of the Minutes.)

V. Kirwan and Blanding Towers Brick Repair Project (FCR 8)

On motion made by Mr. Clay, seconded by Mr. Ramsey and carried, the Board authorized the increase of the scope of the brick spalling repair project for Kirwan and Blanding Towers from \$380,000 to \$1,290,000. (See FCR 8 at the end of the Minutes.)

W. Resolution Commending Professor Emeritus Thomas D. Clark

Noting that Dr. Thomas D. Clark, Professor Emeritus in the Department of History, will present the special Two-Millionth Volume to the University Libraries at the celebration September 19, Dr. Betts moved adoption of an oral resolution praising Dr. Clark for all he has done to increase the intellectual life of the University and for his deep respect of the written word. Dr. Betts' motion was seconded by Professor Wilson and unanimously carried.

X. Meeting Adjourned

There being no further business to come before the Board of Trustees, the Chairman declared the meeting officially adjourned at 1:27 p.m.

Respectfully submitted,

George W. Griffin  
Secretary  
Board of Trustees

(PR's 2, 3B, 5A, 5B-1, 5B-2, 5D, 6A, and 6B, and FCR's 1, 2, 3, 4, 5, 6, 7, and 8 which follow are official parts of the Minutes of the Meeting.)

Office of the President  
September 16, 1986

PR 2

Members, Board of Trustees:

Personnel Actions

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986

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COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Transfer, Elizabethtown Community College  
to Owensboro Community College

Green, Gary, Associate Professor in the Community  
College System (with tenure), 7/28/86.

B. ADMINISTRATIVE APPOINTMENTS

Maysville Community College

Crockett, John H., Professor in the Community College  
System (with tenure), Associate Director for  
Academic Affairs, 9/1/86.

Owensboro Community College

Green, Gary, Associate Professor in the Community  
College System (with tenure), Associate Director  
for Academic Affairs, 7/28/86.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Carroll, Jennifer, Instructor in the Community College  
System, 8/1/86 through 6/30/87.

Kumar, Ramamurthy C., Instructor in the Community  
College System, 9/11/86 through 6/30/87.

Schomer, Richard B., Instructor in the Community  
College System, 8/1/86 through 6/30/87.

Hazard Community College

Hayden, Joseph L., Assistant Professor in the  
Community College System, 8/1/86 through 6/30/87.

Monson, Arvid M., Assistant Professor in the Community  
College System, 8/1/86 through 6/30/87.

Monson, Barbara J., Instructor in the Community College  
System, 8/1/86 through 6/30/87.

Stamper, Harriett, Instructor in the Community College  
System, 8/1/86 through 6/30/87.



Hopkinsville Community College

Bingham, Wanda, Instructor in the Community College System, 8/16/86 through 6/30/87.

Jefferson Community College

Haas, Ralph C., Instructor in the Community College System, 8/1/86 through 6/30/87.  
Johnson, Helen, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Schmitt, Anthony, Instructor in the Community College System, 9/22/86 through 6/30/87.  
Smith, Melanie, Instructor in the Community College System, 8/1/86 through 6/30/87.

Lexington Community College

Adkins, Michael A., Instructor in the Community College System, 8/1/86 through 6/30/87.  
Gorham, Kurt, Instructor in the Community College System, 8/25/86 through 6/30/87.  
Murphy, William K., Instructor in the Community College System, 8/20/86 through 6/30/87.

Madisonville Community College

Anderson, Cynthia H., Instructor in the Community College System, 8/1/86 through 6/30/87.  
Bellarmine, Thomas, Assistant Professor in the Community College System, 8/16/86 through 6/30/87.  
Krampe, Paul D., Instructor in the Community College System, 8/1/86 through 6/30/87.  
Puryear, Barbara, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Tomaro, Malinda S., Instructor in the Community College System, 8/11/86 through 6/30/87.

Maysville Community College

Abney, Darrell H., Assistant Professor in the Community College System, 8/1/86 through 6/30/87.  
Wylie, Jeff, Instructor in the Community College System, 8/20/86 through 6/30/87.

Owensboro Community College

Barrett, Lana S., Instructor in the Community College System, 8/1/86 through 6/30/87.  
Davis, Frances A., Librarian IV in the Community College System, 8/1/86 through 6/30/87.  
Dick, Timothy, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Flynn, Philip K., Instructor in the Community College System, 8/1/86 through 6/30/87.

Grimes, Moneda, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Hiten, Mary Jean, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Lake, Sara B., Assistant Professor in the Community College System, 8/1/86 through 6/30/87.  
Laughlin, Jody, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Marroquin, Fernando, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Mayes, Joan D., Instructor in the Community College System, 8/1/86 through 6/30/87.  
McDonough, Greta, Instructor in the Community College System, 8/1/86 through 6/30/87.  
Runyon, Carl, Instructor in the Community College System, 8/1/86 through 6/30/87.  
West, William B., Instructor in the Community College System, 8/1/86 through 6/30/87.

Prestonsburg Community College

Chandler, Robert E., Assistant Professor in the Community College System, 8/1/86 through 6/30/87.

Southeast Community College

Bacon, Mary, Assistant Professor in the Community College System, 8/25/86 through 12/31/86.  
Garwood, Kimberly, Librarian IV in the Community College System, 7/1/86 through 6/30/87.  
Harding, George E., Instructor in the Community College System, 8/1/86 through 6/30/87.  
Sain, Katherine P., Instructor in the Community College System, 7/1/86 through 6/30/87.

B. ADMINISTRATIVE APPOINTMENTS

Madisonville Community College

Oglesby, Sarah A., Assistant Professor in the Community College System, Acting Chairman, Division of Humanities and Related Technologies, 9/1/86 through 12/31/86.  
Schuermer, David A., Associate Professor in the Community College System (with tenure), Acting Assistant Director for Instruction, 8/15/86 through 12/31/86.

Maysville Community College

Campbell, Barbara, Business and Industry Liaison-Continuing Education, 8/18/86.

Owensboro Community College

Miller, Larry S., Assistant Director for Student Services, 7/14/86.

Somerset Community College

Cronan, Cecil E., Assistant Professor in the Community College System, Acting Chairman, Division of Social Science, Business and Related Technologies, 9/1/86 through 6/30/87.

Southeast Community College

Bacon, Mary L., Assistant Professor in the Community College System, Acting Director, 8/25/86 through 12/31/86.

C. REAPPOINTMENTS

Lexington Community College

Cloyd, Patty S., Instructor in the Community College System (voluntary), 8/1/86 through 6/30/87.  
Gade, Barbara, Instructor in the Community College System (voluntary), 8/1/86 through 6/30/87.  
Henry, Robert G., Instructor in the Community College System (voluntary), 1/1/87 through 6/30/87.

Somerset Community College

King, Kenneth, Instructor in the Community College System, 8/1/86 through 5/31/87.

Southeast Community College

Fain, Dianne, Instructor in the Community College System, 7/1/86 through 6/30/87.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Haynes, Kenneth F., Assistant Professor, Entomology, 9/1/86 through 6/30/87.

College of Arts and Sciences

Breitbart, Yuri, Professor (with tenure), Computer Science, effective 8/16/86.

Finkel, Raphael A., Visiting Professor, Computer Science, 8/16/86 through 6/30/87.

Cheng, Fuhua, Assistant Professor, Computer Science, 8/16/86 through 6/30/88.

College of Business and Economics

Hoyt, William H., Assistant Professor, Economics, 8/16/86 through 6/30/87.

College of Engineering

Huffman, Gerald P., Research Professor, Chemical Engineering, 8/1/86 through 7/31/91.

Pyo, Sam Soo, Assistant Professor, Electrical Engineering, 8/16/86 through 6/30/87.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Hays, Virgil W., Professor (with tenure), and Chairman, Animal Sciences, reappointed Chairman, Animal Sciences, 7/1/86 through 6/30/92.

College of Fine Arts

Hersh, Alan B., Professor (with tenure)\*, Music, appointed Director, Music, effective 8/16/86.

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\*Special Title Series

C. REAPPOINTMENTS

College of Architecture

Coleman, Caruthers A., Jr., Visiting Professor (part-time), 8/1/86 through 12/31/86.

College of Arts and Sciences

Baskin, Carol, Associate Adjunct Professor, Biological Sciences, 7/1/86 through 6/30/88.

College of Engineering

White, Garey, Associate Professor (part-time), Civil Engineering, 7/1/86 through 6/30/87.

College of Law

Elliott, Robert L., Associate Professor (part-time), 9/1/86 through 12/31/86.

Garner, William R., Associate Professor (part-time), 9/1/86 through 12/31/86.

Lee, Joe, Professor (part-time), 9/1/86 through 12/31/86.

Moore, Escum L., Jr., Professor (part-time), 9/1/86 through 12/31/86.

Savage, Joe C., Professor (part-time), 9/1/86 through 12/31/86.

D. RETIREMENTS

Vice Chancellor for Administration

Brown, James, Disabled Professor, Employee Benefits and Risk Management, after 40 consecutive years of service, effective 10/31/86.

E. POST-RETIREMENT APPOINTMENTS

College of Education

Rose, Harriett A., Consultant (part-time), Educational and Counseling Psychology, 7/1/86 through 6/30/87.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

He, Jia Lu, Visiting Scholar, Agronomy, 8/19/86 through 8/31/87.

\*Special Title Series

College of Architecture

Doble, Ellen, Visiting Assistant Professor (part-time), 8/1/86 through 6/30/87.  
Guyon, Scott Lee, Visiting Assistant Professor (part-time), 8/1/86 through 6/30/87.  
Jacobs, Michael W., Visiting Assistant Professor (part-time), 8/1/86 through 6/30/87.

College of Arts and Sciences

Alkhameri, Shakib M., Instructor (part-time), Russian and Eastern Studies, 8/16/86 through 12/31/86.  
Dekin, Michael S., Assistant Professor, Biological Sciences, 8/16/86 through 6/30/88.  
Hamilton, Mykol C., Assistant Professor, Psychology, 8/16/86 through 6/30/87.  
Janecek, Susan M., Instructor (part-time), Russian and Eastern Studies, 8/16/86 through 12/31/86.  
Lacy, Laura R., Assistant Professor, Biological Sciences, 9/1/86 through 5/31/87.  
Milman, Jacquelyn, Assistant Professor, English, 8/16/86 through 5/31/87.  
Ranseen, John, Instructor (part-time), Psychology, 8/16/86 through 12/31/86.  
Staffeldt, Ross, Visiting Assistant Professor, Mathematics, 8/16/86 through 12/31/86.  
Steirn, Janice N., Assistant Professor, Psychology, 8/16/86 through 6/30/87.

College of Business and Economics

Johnson, Pamela, Instructor (part-time), Management, 8/16/86 through 12/31/86.  
Myers, L. W., Instructor (part-time), Management, 8/16/86 through 12/31/86.

College of Communications

Hansen, Elizabeth, Instructor (part-time), Journalism, 8/15/86 through 12/31/86.  
Ireland, John W., Instructor (part-time), Journalism, 8/15/86 through 12/31/86.  
Jordan, James C., Instructor (part-time), Journalism, 8/15/86 through 12/31/86.  
Kearney, Philip M., Instructor (part-time), Journalism, 8/15/86 through 12/31/86.  
Oldham, Cheyanne B., Instructor (part-time), Journalism, 8/15/86 through 12/31/86.  
Townsend, Donna F., Instructor (part-time), Journalism, 8/15/86 through 12/31/86.

College of Education

Houston, Launa, Visiting Instructor, Educational and Counseling Psychology, 8/16/86 through 5/15/87.

College of Engineering

Hsu, Yi-Jen, Visiting Assistant Professor, Electrical Engineering, 8/16/86 through 6/30/87.

Venkatraman, Chelakara S., Assistant Professor, Electrical Engineering, 8/16/86 through 6/30/87.

College of Fine Arts

Colbert, Joseph R., Instructor (part-time)\*, Music, 9/1/86 through 12/31/86.

Coldiron, Jill, Instructor (part-time), Art, 8/16/86 through 12/31/86.

Herring, David J., Instructor\*, Theatre, 8/16/86 through 6/30/87.

Jackson, David H., Instructor (part-time), Art, 8/16/86 through 12/31/86.

Lockett, Waltraud, Assistant Professor, Art, 8/16/86 through 6/30/87.

Rezny, Mary, Instructor (part-time), Art, 8/16/86 through 12/31/86.

College of Home Economics

Duncan, Cynthia Lynn, Instructor (part-time), Family Studies, 8/1/86 through 12/31/86.

Duncan, Ruth H., Instructor (part-time), Human Environment: Textiles, 8/1/86 through 12/31/86.

Hisel, Gary Franklyn, Instructor, Human Environment: Design, 8/1/86 through 6/30/87.

Holley, Kay, Instructor (part-time)\*, Nutrition and Food Science, 8/1/86 through 12/31/86.

Kennedy, Cynthia Chandler, Instructor (part-time)\*, Nutrition and Food Science, 8/1/86 through 12/31/86.

O'Malley, Angeline J., Instructor (part-time), Family Studies, 8/1/86 through 12/31/86.

Libraries

Black, Katherine J., Librarian IV, Special Collections, 9/2/86 through 6/30/87.

College of Social Work

Weir, Ivan R., Lecturer (part-time), 8/16/86 through 5/15/87.

Weir, Myra, Lecturer (part-time), 8/16/86 through 5/15/87.

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\*Special Title Series

B. REAPPOINTMENTS

College of Arts and Sciences

Dietrich, Shelle, Instructor (part-time), Psychology, 8/16/86 through 12/31/86.

Willard, Norman, Instructor (part-time), Psychology, 8/16/86 through 12/31/86.

College of Business and Economics

Baldwin, William T., Assistant Professor (part-time), Economics, 8/16/86 through 12/31/86.

Sioshansi, Azar, Instructor (part-time), Economics, 8/16/86 through 12/31/86.

College of Engineering

Harik, Issam E., Assistant Professor, Civil Engineering, 7/1/86 through 6/30/88.

House, Barry C., Assistant Adjunct Professor, Civil Engineering, 7/1/86 through 6/30/87.

Naishadham, Krishna, Assistant Professor, Electrical Engineering, 8/16/86 through 6/30/87.

Ormsbee, Lindell, Assistant Professor, Civil Engineering, 7/1/86 through 6/30/88.

Vaziri, Manouchehr, Assistant Professor, Civil Engineering, 7/1/86 through 6/30/87.

College of Fine Arts

Campbell, James B., Assistant Professor\*, Music, 7/1/86 through 6/30/87.

Glixon, Jonathan E., Assistant Professor, Music, 7/1/86 through 6/30/87.

Goodwin, Allen W., Assistant Professor, Music, 7/1/86 through 6/30/87.

Gron, Jack F., Assistant Professor, Art, 7/1/86 through 6/30/87.

Henderson, Russell, Assistant Professor\*, Theatre, 8/16/86 through 6/30/87.

Holloway, John R., Assistant Professor\*, Theatre, 8/16/86 through 6/30/87.

Kemedy, Margaret, Assistant Professor\*, Music, 7/1/86 through 6/30/87.

Maschio, Geraldine, Assistant Professor, Theatre, 8/16/86 through 6/30/87.

Mendes, Guy, Instructor (part-time), Art, 8/16/86 through 5/31/87.

Muir, Gerald A., Instructor (part-time), Art, 8/16/86 through 5/31/87.

Rand, Richard S., Instructor\*, Theatre, 8/16/86 through 6/30/87.

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\*Special Title Series



College of Law

Pfeiffer, Robert M., Assistant Professor (part-time), 9/1/86  
through 12/31/86.

Roberts, Larry S., Instructor (part-time), 7/1/86 through 6/30/87.

C. CHANGES

College of Education

Stevens, Kay, from Instructor, Special Education, to Lecturer,  
Special Education, 7/1/86 through 6/30/87.

D. LEAVES OF ABSENCE

College of Agriculture

Kenkel, William F., Professor (with tenure), Sociology, Sabbatical  
leave with full salary, 1/1/87 through 6/30/87.

Tucker, Ray E., Professor (with tenure), Animal Sciences,  
Sabbatical leave with full salary, 1/15/87 through 7/15/87.

Wilson, Judy H., County Extension Agent, Cooperative Extension  
Service, Sabbatical leave with full salary, 9/21/87 through  
3/18/88.

College of Education

Kearney, Jay T., Professor (with tenure), Health, Physical  
Education, and Recreation, Leave without pay, 8/16/86 through  
5/15/87.

MEDICAL CENTER

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Wilson, H. David, Professor (with tenure), Pediatrics,  
named Director of Admissions (part-time).

B. JOINT APPOINTMENTS

College of Medicine

Zimmer, Stephen, Associate Professor (with tenure), Medical  
Microbiology & Immunology, joint as Associate  
Professor (without tenure), Pathology, joint  
appointment as Associate Professor (without tenure),  
Surgery, effective 7/1/86.

C. CHANGES

College of Dentistry

Knight, Judson M., from Associate Clinical Professor  
(voluntary), Orthodontics, joint as Associate Clinical  
Professor (voluntary), Community Dentistry, to  
Associate Professor (part-time), Orthodontics, joint  
as Associate Professor (part-time), Community  
Dentistry, 8/1/86 through 6/30/87.

D. RETIREMENTS

University Hospital

Jones, Bernadine, Staff Tech. Supervisor II, Pathology CCL,  
after 23 consecutive years of service, (under ARII. E  
of ARII-1.6-1), effective August 31, 1986.

E. EXTENSION OF POST-RETIREMENT

Support Units

Smith, Vivian C., Student Health Service, 8/16/86 through  
5/15/87.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Stansbury, C. Michael, Assistant Professor (part-time),  
Orthodontics, 8/1/86 through 6/30/87.  
Williams, Loren N., Assistant Professor (part-time),  
Restorative Dentistry, 7/1/86 through 6/30/87.

College of Medicine

Alsgaard, Hartley C., Assistant Clinical Professor  
(voluntary), Psychiatry, 7/1/86 through 6/30/88.  
Eckerline, Charles A., Assistant Clinical Professor  
(part-time), Emergency Medicine, 8/1/86 through  
6/30/87.  
Longenecker, Betsy J., Assistant Clinical Professor  
(part-time), Family Practice, 9/1/86 through 6/30/87.  
Mohammadi, Dayna, Assistant Clinical Professor  
(voluntary), Obstetrics & Gynecology, 7/1/86 through  
6/30/88.  
Montgomery, Thomas B., Instructor\*, Medicine, 8/1/86 through  
6/30/87.  
Ott, Linda G., Assistant Research Professor, Surgery, 8/1/86  
through 6/30/87.  
Pauly, Thomas H., Assistant Professor, Pediatrics, 7/1/86  
through 6/30/87.  
Phillips, Renee, Assistant Research Professor, Surgery,  
8/1/86 through 6/30/87.

College of Nursing

Jacoby, Delwin, Instructor\* (temporary), 8/25/86 through  
6/30/87.  
Kral, Paula Roberts, Instructor (temporary), (part-time),  
8/20/86 through 6/30/87.

B. PROMOTIONS WITHOUT TENURE

College of Medicine

Metcalf, Monty S., from Instructor, Medicine, to Assistant  
Professor\*, Medicine, 7/1/86 through 6/30/87.  
Robbins, John B., from Instructor, Medicine, to Assistant  
Professor\*, Medicine, 7/1/86 through 6/30/87.

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\*Special Title Series

C. CHANGES

College of Medicine

Ford, Jerold M., from Assistant Clinical Professor (part-time), Obstetrics & Gynecology, to Assistant Clinical Professor (voluntary), Obstetrics & Gynecology, 7/1/86 through 6/30/88.

Yaes, Robert J., from Instructor, Radiation Medicine, to Assistant Professor, Radiation Medicine, 7/1/86 through 6/30/87.

# PR 3B

Members, Board of Trustees:

1986-87 BUDGET REVISIONS  
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1986-87 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>General Fund</u></b>			
1. Income Estimates			
Student Fees			
Registration Fees			
Summer Session - Community College System	694,000	720,000	26,000
Continuing Education Fees	3,587,000	3,761,200	174,200
Gifts and Grants			
Other Gifts and Grants	1,608,000	2,419,600	811,600
Sales and Services			
Departmental Sales and Services	8,790,900	8,895,700	104,800
			<u>\$1,116,600</u>
2. Expenditures			
Lexington Campus			
Academic Support			
University Extension			
Community Education	579,700	753,900	174,200
Vice Chancellor			
University Press	1,048,400	1,148,400	100,000
Governor's Scholars Program	-0-	200,500	200,500
Medical Center			
College of Pharmacy	3,155,000	3,705,000	550,000
Medical Center Administration and Support			
Chancellor			
Medical Center Risk Management	-0-	61,100	61,100
Community College System			
Elizabethtown	2,912,200	2,928,000	15,800
Southeast	1,673,800	1,684,000	10,200
Central Administration			
General Institutional Expenses	210,900	215,700	4,800
			<u>1,116,600</u>

3. Comments - The increase in summer session registration fees will provide additional expenditure authority for Elizabethtown and Southeast Community Colleges. The increase in continuing education fees will provide additional expenditure authority for the Community Education programs. The increase in other gifts and grants will provide expenditure authority for the Governor's Scholars Program and the Medical Center Risk Management Office and additional expenditure authority for the College of Pharmacy. The increase in departmental sales and services will provide additional expenditure authority for University Press, and General Institutional Expenses.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>B. <u>Restricted Funds</u></b>			
1. Income Estimates	\$34,915,300	\$35,094,100	\$ 178,800
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Arts and Science			
Chemistry	82,100	95,100	13,000
Agricultural Experiment Station			
Agronomy	770,800	795,300	24,500
Veterinary Science	174,000	256,900	82,900
Academic Support			
Vice Chancellor			
University Press	16,300	17,300	1,000
Student Aid			
Gifts and Grants for Scholarships			
General Scholarships	1,257,000	1,311,000	54,000
Medical Center			
College of Medicine			
Behavioral Science	8,200	10,900	2,700
Rehabilitation Medicine	-0-	700	700
			<u>\$ 178,800</u>

2. Comments - Income restricted for the purposes indicated above will fund the proposed additional expenditures.

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Action taken:   Approved     ✓               Disapproved                                Other                     

Date: September 16 , 1986

Office of the President  
September 16, 1986

PR 5A

Members, Board of Trustees:

PATENT ASSIGNMENT  
LEXINGTON CAMPUS

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Lee A. Edgerton and Bruce T. Eckerle, Serial No. (to be assigned), filed August 11, 1986.

Background: Lee A. Edgerton and Bruce T. Eckerle have invented certain improvements in METHOD AND COMPOSITION FOR SAFELY DELAYING PARTURITION AND SYNCHRONIZING FARROWING IN SWINE. They have assigned their right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action: Approved  Disapproved  Other

Date: September 16, 1986

Office of the President  
September 16, 1986

PR 5B-1

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the name of the Department of Accounting, College of Business and Economics, be changed to the School of Accountancy.

Background: The Department of Accounting has completed the development of a five-year degree program in accountancy which recently has been approved by the University Senate. This new program is the result of years of work by the departmental faculty and reflects current trends in accounting education. An accompanying trend to the five-year degree program is for departments of accounting to become schools of accountancy. Such designation has been recommended by the National Association of State Boards of Accountancy, the American Institute of Certified Public Accountants, and the American Accounting Association. Within the University, the change in name from Department of Accounting to School of Accountancy has been recommended by the departmental faculty, the Dean of the College of Business and Economics, the Chancellor for the Lexington Campus, and the University Senate.

If this recommendation is approved by the Board of Trustees, the administrative head of the School of Accountancy will be referred to as a Director rather than as a department chairman. The changes in the name of the educational unit and of its administrative head will have no effect on the administrative structure of the College of Business and Economics or on the administration of the unit. Examples of existing schools at the University of Kentucky are the School of Journalism and the School of Music.

1640A

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986



Office of the President  
September 16, 1986

PR 5B-2

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the name of the Department of Geology, College of Arts and Sciences, be changed to the Department of Geological Sciences.

Background: In the past twenty-five years, "Departments of Geology" have considerably broadened their scopes. "Geology" has come to be viewed during this period as merely an unquantified descriptive branch of "Natural History." In view of the ever increasing scope and breadth of geological sciences, the term "geology" has become too restrictive. Geophysicists, geochemists, petrologists, paleontologists, etc., now think of "geology" as only a part of the "geological sciences." In fact, some disciplines like paleontology (paleobiology) are so far removed from "geology" as to bear little relationship. As a result, a broader and perhaps more neutral term is needed to include all geological subdisciplines. Of the University's benchmark institutions, only four of the eleven have "Departments of Geology." The proposed new name of Department of Geological Sciences has been recommended by the faculty of the Department, the Arts and Sciences Faculty Council, the Undergraduate Council, and the University Senate Council.

1632A

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: September 16, 1986

Office of the President  
September 16, 1986

PR 5D

Members, Board of Trustees:

APPROVAL OF POLICY ON HAZING

Recommendation: that the Board approve the attached policy prohibiting the forced consumption of alcohol or drugs for the purpose of initiation into or affiliation with any organization.

Background: the 1986 session of the General Assembly passed a new section of K.R.S. Chapter 164 requiring the Board of Trustees of the University of Kentucky to adopt a statement of policy on this subject within 90 days of its effective date.

While the Code of Student Conduct prohibits hazing on the part of students and student organizations, we do not presently have a policy specifically prohibiting this conduct on the part of faculty, staff, visitors, licensees, and invitees as required by this law.

It is envisioned that the specific regulations governing the conduct of each of these groups will be revised to include this policy.

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Action: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: September 16, 1986

## UNIVERSITY OF KENTUCKY POLICY ON HAZING

It is the policy of the University of Kentucky to prohibit any action or situation which recklessly or intentionally endangers physical or mental health or involves the forced consumption of liquor or drugs for the purpose of initiation into or affiliation with any organization. This policy governs the conduct of students, faculty, staff, visitors and other licensees and invitees, and any organization on University of Kentucky property.

This policy shall be deemed a part of, and a violation under, the following University of Kentucky regulations:

1. The Code of Student Conduct for students and student organizations
2. The Staff Personnel Policy and Procedures for University staff
3. The Faculty Code of Responsibility for University system faculty
4. And any other appropriate University regulations or documents.

The penalties for violations of this policy shall be the appropriate disciplinary action under the regulations mentioned above and shall include the possibility of suspension or expulsion as well as other appropriate actions for students, faculty, or staff violators, and the rescission of permission to operate on the campus for organizations which authorize such conduct.

This policy shall be deemed a part of the by-laws of all organizations operating on the University of Kentucky property.

This policy is not intended to limit or restrict freedom of speech or peaceful assembly nor should it be so construed.



86 RS BR 1174/GA

**GENERAL ASSEMBLY**  
**COMMONWEALTH OF KENTUCKY**  
**REGULAR SESSION 1986**

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HOUSE BILL NO. 325

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TUESDAY, FEBRUARY 18, 1986

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The following bill was reported to the Senate from the House and ordered to be printed.

AN ACT relating to higher education.

Be it enacted by the General Assembly of the Commonwealth of Kentucky:

1           SECTION 1. A NEW SECTION OF KRS CHAPTER 164 IS  
2           CREATED TO READ AS FOLLOWS:  
3           (1) The boards of trustees of the university of  
4           Kentucky and the university of Louisville and the boards  
5           of regents of those state colleges set out in KRS 164.290  
6           shall, within ninety (90) days of the effective date of  
7           this Act, adopt statements of campus policy which prohibit  
8           any action or situation which recklessly or intentionally  
9           endangers mental or physical health or involves the forced  
10           consumption of liquor or drugs for the purpose of  
11           initiation into or affiliation with any organization. Such  
12           policy statements shall govern the conduct of students,  
13           faculty and other staff as well as visitors and other  
14           licensees and invitees on such campuses and property. The  
15           penalties for violations of such policy statements shall  
16           be clearly set forth therein and shall include provisions  
17           for the ejection of a violator from such campus and  
18           property, in the case of a student or faculty violator his  
19           suspension, expulsion or other appropriate disciplinary  
20           action and, in the case of an organization which  
21           authorizes such conduct, rescission of permission for that

1 organization to operate on campus property. Such penalties  
2 shall be in addition to any penalty pursuant to the penal  
3 law or any other chapter to which a violator or  
4 organization may be subject. A copy of such policy  
5 statements which prohibit reckless or intentional  
6 endangerment to health or forced consumption of liquor or  
7 drugs for the purpose of initiation into or affiliation  
8 with any organization shall be given to all students  
9 enrolled in said college and shall be deemed to be part of  
10 the by-laws of all organizations operating on said campus.

11 (2) Nothing contained in this section is intended  
12 nor shall it be construed to limit or restrict the freedom  
13 of speech nor peaceful assembly.

Office of the President  
September 16, 1986

PR 6A

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the name of the Multidisciplinary Center for Gerontology be changed to the Sanders-Brown Center on Aging.

Background: Previously the Board of Trustees has approved the names of the Sanders-Brown Research Center on Aging (a University building) and the Multidisciplinary Center for Gerontology (an educational unit, or interdisciplinary research institute, conducting programs in the building). The two names have been confusing and the Advisory Council to the Multidisciplinary Center for Gerontology has recommended that the name of the Multidisciplinary Center for Gerontology be changed to a title which will provide a better identification for the programs both locally and nationally. Furthermore, in accord with the stipulations outlined in the original document for the challenge gift, the name "Sanders-Brown" should be included in the name of the educational unit handling programs in the building. The Vice Chancellor for Research and Dean of the Graduate School, the Chancellors for the Lexington Campus and Medical Center sectors, and the University Senate Council also have recommended approval of this change.

1619A

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: September 16, 1986

Office of the President  
September 16, 1986

PR 6B

PATENT ASSIGNMENT  
MEDICAL CENTER

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Drs. George A. Digenis, Kiyoshi Tsuji and Bushra J. Agha.

Background: Drs. George A. Digenis, Kiyoshi Tsuji and Bushra J. Agha have invented the "Peptide Elastase Inhibitors and Methods". They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the Patent to the University of Kentucky Research Foundation.

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Action: Approved  Disapproved  Other

Date: September 16, 1986



Office of the President  
September 16, 1986

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE MONTH ENDED  
JULY 31, 1986

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the month ended July 31, 1986.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., and Health Care Collection Service, Inc.

As of July 31, 1986, the University had realized income of \$86,101,000 representing 18% of the 1986-87 estimate of \$488,381,000. Expenditures and commitments total \$34,235,000 or 7% of the approved budget of \$488,381,000.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986

CONSOLIDATED BALANCE SHEET  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 JULY 31, 1986  
 (in thousands)

Statement 1

	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals July 31, 1986
<b>ASSETS</b>						
Cash and cash equivalents	\$ 64,998	\$ 22,417	\$ 2,399	\$ 66	\$ 64,399	\$ 154,279
Notes, loans, and A/R (less bad debt allowances of \$2,714)	36,210	4	13,442	3	287	49,946
Investments	12,265	35,361		39,273	14,769	101,668
Property, plant, and equipment					556,297	556,297
Inventories and other	7,959	111				8,070
<b>Total Assets</b>	<b>\$121,432</b>	<b>\$ 57,893</b>	<b>\$15,841</b>	<b>\$39,342</b>	<b>\$635,752</b>	<b>\$ 870,260</b>
<b>LIABILITIES AND FUND BALANCES</b>						
<b>Liabilities</b>						
Accounts payable	\$ 13,032	\$ 243	\$		\$ 3,595	\$ 16,870
Employee withholdings and deposits		13,507				13,507
Other liabilities	565	319			1,407	2,291
Estimated settlements for cost reimbursement	1,523					1,523
Deferred income	10,090	60				10,150
Bonds and notes payable					144,793	144,793
Net deferred revenues and appropriated fund balances	57,839	319				58,158
<b>Total Liabilities</b>	<b>83,049</b>	<b>14,448</b>	<b>0</b>	<b>0</b>	<b>149,795</b>	<b>247,292</b>
<b>Interfund Balances</b>	<b>(14,516)</b>	<b>19,609</b>			<b>(5,093)</b>	<b>0</b>
<b>Fund Balances</b>						
<b>Current</b>						
<b>Unrestricted</b>						
Working capital	31,552					31,552
Future operations	13,151					13,151
Other	8,196					8,196
<b>Restricted</b>		23,836				23,836
<b>Loan</b>			15,841			15,841
<b>Endowment</b>						
True				19,900		19,900
Term				978		978
Quasi				18,464		18,464
<b>Plant</b>						
Allocated for designated projects					82,815	82,815
Net investment in plant					408,235	408,235
<b>Total Fund Balances</b>	<b>52,899</b>	<b>23,836</b>	<b>15,841</b>	<b>39,342</b>	<b>491,050</b>	<b>622,968</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$121,432</b>	<b>\$ 57,893</b>	<b>\$15,841</b>	<b>\$39,342</b>	<b>\$635,752</b>	<b>\$ 870,260</b>

CONSOLIDATED STATEMENT OF CURRENT FUNDS  
 REVENUES AND APPROPRIATED FUND BALANCES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE MONTH ENDED JULY 31, 1986  
 (in thousands)

Schedule 1

	1986-1987			
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED
REVENUES AND APPROPRIATED FUND BALANCES				
STATE APPROPRIATION	\$ 205,084	\$ 205,084	\$ 50,470	25
STUDENT FEES	46,405	46,405	1,908	4
FEDERAL APPROPRIATIONS	12,541	12,541	1,304	10
COUNTY APPROPRIATIONS	3,364	3,364	263	8
ENDOWMENT INCOME	689	689		0
INVESTMENT INCOME	9,491	9,491	781	8
GIFTS AND GRANTS				
Affiliated corporations	6,212	6,212	23	0
Other	4,214	4,214	138	3
SALES AND SERVICES	11,080	11,080	439	4
HOSPITAL				
Revenues	72,940	72,940	8,044	11
Fund Balances	6,820	6,820	6,820	100
Total Hospital and clinics	79,760	79,760	14,864	19
FUND BALANCES	8,281	8,281	8,281	100
TOTAL GENERAL FUND & HOSPITAL	387,121	387,121	78,471	20
AUXILIARY SERVICES	24,817	24,817	909	4
RESTRICTED FUNDS	34,915	34,915	1,566	4
AFFILIATED ORGANIZATIONS	41,528	41,528	5,155	12
TOTAL REVENUES AND APPROPRIATED FUND BALANCES	\$ 488,381	\$ 488,381	\$ 86,101	18

CONSOLIDATED STATEMENT OF CURRENT FUND  
 EXPENDITURES AND TRANSFERS SUMMARY BY PROGRAM - ALL FUNDS  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE MONTH ENDED JULY 31, 1986  
 (in thousands)

Schedule 2

	1986-1987		EXPENDITURES/ ENCUMBRANCES TO DATE	PERCENT EXPENDED/ ENCUMBERED
	ORIGINAL BUDGET	REVISED BUDGET		
INSTRUCTION	\$ 140,885	\$ 140,885	\$ 10,994	8
RESEARCH	57,181	57,181	5,625	10
PUBLIC SERVICE	46,009	46,009	4,310	9
ACADEMIC SUPPORT	33,794	33,794	3,060	9
STUDENT SERVICES	11,470	11,470	1,189	10
INSTITUTIONAL SUPPORT	18,864	18,864	3,095	16
STUDENT FINANCIAL AID	20,088	20,088	349	2
OPERATION AND MAINTENANCE	30,615	30,615	1,457	5
MANDATORY TRANSFERS (DEBT SERVICE)	13,867	13,867		0
HOSPITAL	80,873	80,873	2,900	4
AUXILIARY ENTERPRISES	34,735	34,735	1,256	4
	<u>\$ 488,381</u>	<u>\$ 488,381</u>	<u>\$ 34,235</u>	<u>7</u>

EXPENDITURES AND TRANSFERS SUMMARY  
 BY CATEGORY OF EXPENDITURES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE MONTH ENDED JULY 31, 1986  
 (in thousands)

	1986-1987		EXPENDITURES/ ENCUMBRANCES	% EXPENDED ENCUMBERED
	ORIGINAL BUDGET	REVISED BUDGET		
PERSONNEL COSTS	\$ 290,706	\$ 290,706	\$ 20,811	7
OPERATING EXPENSES	161,342	161,342	10,118	6
MANDATORY TRANSFERS (DEBT SERVICE)	13,867	13,867		0
CAPITAL OUTLAY	22,466	22,466	3,306	15
	<u>\$ 488,381</u>	<u>\$ 488,381</u>	<u>\$ 34,235</u>	<u>7</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS  
EXPENDITURES AND TRANSFERS  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE MONTH ENDED JULY 31, 1986  
(in thousands)

Schedule 3

EXPENDITURES AND TRANSFERS	1986-1987		EXPENDITURES/ ENCUMBRANCES TO DATE	PERCENT EXPENDED/ ENCUMBERED
	ORIGINAL BUDGET	REVISED BUDGET		
<b>UNRESTRICTED CURRENT FUNDS</b>				
INSTRUCTION	\$ 131,947	\$ 131,947	\$ 10,217	8
RESEARCH	27,063	27,063	2,348	9
PUBLIC SERVICE	38,743	38,743	3,122	8
ACADEMIC SUPPORT	31,013	31,013	2,648	9
STUDENT SERVICES	11,326	11,326	1,179	10
INSTITUTIONAL SUPPORT	17,310	17,310	2,898	17
STUDENT FINANCIAL AID	4,524	4,524	219	5
OPERATION AND MAINTENANCE OF PLANT	30,515	30,515	1,456	5
MANDATORY TRANSFERS DEBT SERVICE	13,867	13,867		
HOSPITAL	80,813	80,813	2,900	4
TOTAL CURRENT UNRESTRICTED FUNDS	387,121	387,121	26,987	7
<b>RESTRICTED CURRENT FUNDS</b>				
INSTRUCTION	2,288	2,288	258	11
RESEARCH	13,872	13,872	1,016	7
PUBLIC SERVICE	1,194	1,194	162	14
ACADEMIC SUPPORT	1,246	1,246	81	7
STUDENT SERVICES	133	133	7	5
INSTITUTIONAL SUPPORT	594	594	89	15
STUDENT FINANCIAL AID	15,428	15,428	122	1
OPERATIONS AND MAINTENANCE	100	100	1	1
HOSPITAL	60	60		
TOTAL RESTRICTED CURRENT FUNDS	\$ 34,915	\$ 34,915	\$ 1,736	5
AUXILIARY ENTERPRISES	24,817	24,817	664	3
<b>AFFILIATED ORGANIZATIONS</b>				
INSTRUCTION	6,650	6,650	519	8
RESEARCH	16,246	16,246	2,261	14
PUBLIC SERVICE	6,072	6,072	1,027	17
ACADEMIC SUPPORT	1,536	1,536	332	22
STUDENT SERVICES	10	10	3	30
INSTITUTIONAL SUPPORT	960	960	107	11
STUDENT FINANCIAL AID	136	136	7	5
AUXILIARY SERVICES	9,918	9,918	592	6
TOTAL AFFILIATED ORGANIZATIONS	41,528	41,528	4,848	12
TOTAL EXPENDITURES	\$ 488,381	\$ 488,381	\$ 34,235	7

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES  
 AND APPROPRIATED FUND BALANCES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE MONTH ENDED JULY 31, 1986  
 (in thousands)

Schedule 4

REVENUES, REALIZED		\$ 71,000
APPROPRIATED FUND BALANCES		
Current unrestricted fund	\$ 8,281	
University Hospital	6,820	
	-----	
TOTAL APPROPRIATED FUND BALANCES		15,101
		-----
TOTAL REVENUES AND APPROPRIATED FUND BALANCES (SCHEDULE 1)		86,101
		-----
EXPENDITURES/ENCUMBRANCES (SCHEDULE 2)	34,235	
LESS: RESERVE FOR ENCUMBRANCES	(6,292)	
	-----	
TOTAL EXPENDITURES		27,943
		-----
NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES (STATEMENT 1)		<u>\$ 58,158</u>

FCR 2

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report from the twelve months ending June 30, 1986, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the twelve months ending June 30, 1986, the University has:

1. Awarded forty-six (46) consultant contracts.
2. Made twenty-seven (27) amendments to consultant contracts.
3. Made fifteen (15) final payments for fifteen (15) consultant contracts.
4. Awarded or requisitioned for the award of fifty-five (55) construction contracts.
5. Made sixty-eight (68) change orders to construction contracts.
6. Made forty-four (44) final payments for forty-four (44) construction contracts.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986

DIVISION OF DESIGN AND CONSTRUCTION  
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES  
 UNIVERSITY OF KENTUCKY  
 FOR THE PERIOD JULY 1, 1985 - JUNE 30, 1986

I. CONSULTANT CONTRACTS

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>CONSULTANT FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
MASON & HANGER LEXINGTON	AGRICULTURE SCIENCE NORTH GREENHOUSES	80,000	7,500	85/07/31
DAVID BANKS FRANKFORT	ASBESTOS MEDICAL CENTER MECHANICAL ROOMS	195,000	16,000	85/07/31
DCT DESIGN ASSOC. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR, PATIENT CARE	160,000	18,700	85/08/30
GODSEY ASSOCIATES LOUISVILLE	FACULTY CLUB LEXINGTON CAMPUS	2,000,000	87,500	85/09/18
SCRUGGS AND HAMMOND LEXINGTON	GAINES CENTER FOR HUMANITIES LANDSCAPING	100,000	6,000 *	85/09/18
PECK/FLANNERY/GREAM/WARREN PADUCAH	PADUCAH COMMUNITY COLLEGE SCIENCE LAB RENOVATION	250,000	23,750	85/09/30
KAISER-TAULBEE LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGINEER	NOT APPLICABLE	85,000 **	85/09/30
BIAGI, CHANGE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGINEER	NOT APPLICABLE	85,000 **	85/09/30
CENTRAL ASSOCIATED ENGINEERS, INC. LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGINEER	NOT APPLICABLE	85,000 **	85/09/30
EO ASSOCIATES LEXINGTON	FUNKHOUSER RENOVATION OF SPACE FOR STUDENT FINANCIAL AID	120,000	18,500	85/10/31
POTTS + POTTS ARCH. LEXINGTON	FUNKHOUSER RENOVATION ADMISSIONS & HOUSING	195,000	18,500	85/10/31
GRW ENGINEERS LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEER	NOT APPLICABLE	85,000 **	85/10/31
W. H. BROWN LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEER	NOT APPLICABLE	85,000 **	85/10/31
LERCH BATES ASSOCIATES HOUSTON, TX	MEDICAL SCIENCE REPLACE FOUR ELEVATORS	500,000	6,600 *	85/12/30
SCRUGGS & HAMMOND LEXINGTON	SOUTH FARM LAND USE PLAN	NOT APPLICABLE	10,000	86/01/16
GRW ENGINEERS LEXINGTON	COMMONWEALTH STADIUM CONCRETE WATERPROOFING	527,000	17,760 *	86/01/30
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	FOOTBALL TRAINING FACILITY	5,725,000	297,036	86/03/31
JOHNSON/ROMANOWITZ LEXINGTON	KIRWAN/BLANDING BRICK REPLACEMENT	1,290,000	88,000	86/04/30
MCCLOSKEY & ASSOCIATES LEXINGTON	AMERICAN BUILDING RENOVATION GEOLOGICAL SURVEY	195,000	34,500	86/04/30
TERRY B. SIMMONS LEXINGTON	AMERICAN BUILDING RENOVATION ANTHROPOLOGY	100,000	4,200 *	86/04/30
BOOKER ASSOCIATES LEXINGTON	PATTERSON HALL PLUMBING	350,000	35,788	86/04/30
SCRUGGS & HAMMOND LEXINGTON	LAND USE PLAN COLDSTREAM FARM	NOT APPLICABLE	20,000	86/04/30

\*Programming fee only.

\*\*Maximum fee through 6/30/86 on an as-needed basis.



I. CONSULTANT CONTRACTS  
(CONTINUED)

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>CONSULTANT FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
DAVID C. BANKS FRANKFORT	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	NOT APPLICABLE	120,000 **	86/05/30
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. LOUISVILLE	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGRS.	NOT APPLICABLE	85,000 **	86/05/30
W. H. BROWN SHELBYVILLE	PER DIEM CONSULTANT CIVIL ENGINEER	NOT APPLICABLE	85,000 **	86/05/30
CARTER-FOSTER, INC. LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGRS.	NOT APPLICABLE	85,000 **	86/05/30
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	NOT APPLICABLE	85,000 **	86/05/30
G.R.W. ENGINEERS, INC. LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERS	NOT APPLICABLE	85,000 **	86/05/30
KAISER-TAULBEE ASSOC., INC. LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGRS.	NOT APPLICABLE	85,000 **	86/05/30
MCCOY & MCCOY LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	NOT APPLICABLE	160,000 **	86/05/30
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERS	NOT APPLICABLE	85,000 **	86/05/30
WITT & ASSOCIATES LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERS	NOT APPLICABLE	85,000 **	86/05/30
LOSS CONTROL LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANTS	NOT APPLICABLE	160,000 **	86/05/30
SCHENCK & ASSOCIATES LEXINGTON	PER DIEM CONSULTANT ARCHITECT	NOT APPLICABLE	85,000 **	86/05/30
WHITE, WALKER, & MCREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERS	NOT APPLICABLE	85,000 **	86/05/30
PEARSON, BENDER, JOLLY LEXINGTON	PER DIEM CONSULTANT ARCHITECT	NOT APPLICABLE	85,000 **	86/05/30
DAVID C. BANKS FRANKFORT	PER DIEM CONSULTANT ARCHITECT	NOT APPLICABLE	85,000 **	86/05/30
CHRISMAN MILLER WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECT	NOT APPLICABLE	85,000 **	86/06/30
DAVID C. BANKS FRANKFORT	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANTS	NOT APPLICABLE	120,000 **	86/06/30
MASON & HANGER LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGRS.	NOT APPLICABLE	85,000 **	86/06/30
LUCKETT & FARLEY LOUISVILLE	MEDICAL SCIENCES RESEARCH LABS	200,000	23,864	86/06/30
WATKINS & ASSOCIATES LEXINGTON	VETERAN'S ADMINISTRATION STEAM LINE RELOCATION	249,000	20,000	86/06/30
JOHNSON/ROMANOWITZ LEXINGTON	KIRWAN I AND II ROOF REPAIRS	150,000	8,250	86/06/30
DCT DESIGN GROUP LEXINGTON	HOSPITAL INTERIOR DECOR 3RD FLOOR OBSTETRICS RENOV.	350,000	35,880	86/06/30
JOHNSON/ROMANOWITZ LEXINGTON	HOSPITAL INTERIOR DECOR 4TH FLOOR PEDIATRICS RENOV.	600,000	15,000 *	86/06/30
OMNI ARCHITECTS LEXINGTON	MEDICAL CENTER DIETARY TRAYVEYOR REPLACEMENT	475,000	45,626	86/06/30

\*Programming fee only.

\*\*Maximum fee through 6/30/86 on an as-needed basis.

II. CHANGES TO CONSULTANT CONTRACTS

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
McCOY & McCOY MADISONVILLE	ASBESTOS TESTING - VARIOUS	1	-0-
NATURE OF CHANGE: Increase maximum fee for each assignment from \$20,000 to \$30,000.			
REASON FOR CHANGE: \$20,000 limit was insufficient for asbestos testing and monitoring for large projects.			
MASON & HANGER LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUME HOODS	1	+ 12,021
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: Additional plans and specifications were ordered.			
JOHNSON/ROMANOWITZ LEXINGTON	MINING & MINERALS RESOURCE BUILDING	2	+ 73,975
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: Prepare three bid packages rather than one, to provide additional cost estimates, to compress the time schedule, in order to attain maximum control of construction costs, and take advantage of a favorable bid climate.			
SHERMAN/CARTER/BARNHART LEXINGTON	PARKING STRUCTURE #3	1	+ 9,695
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: Evaluation of three project sites was required. Also revision of plans and specifications was ordered to incorporate a change to the present structure's lighting layout.			
DQW, Inc. LEXINGTON	AQUATICS FACILITY	3	+ 28,086
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: Construction scope increased from \$4,300,000 to \$4,890,000.			
OMNI/CMW, JOINT VENTURE LEXINGTON	HOSPITAL EXPANSION	1	+ 151,184
NATURE OF CHANGE: Establish programming portion of Phase A-2 services.			
REASON FOR CHANGE: This portion of contract was undetermined when contract was initiated.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	MAXWELL GLUCK EQUINE FACILITY	4	+ 40,994
NATURE OF CHANGE: Employment of a Resident Inspector.			
REASON FOR CHANGE: To provide the project with full-time inspection services.			
GODSEY ASSOCIATES ARCHITECTS LOUISVILLE	HENDERSON COMMUNITY COLLEGE STUDENT CENTER BLDG. ROOF REPAIR		+ 3,000
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: Locate and repair broken roof leaders and waterproof existing wall.			
DAVID C. BANKS, ARCHITECT FRANKFORT	PER DIEM ARCHITECT	1	-0-
NATURE OF CHANGE: Add Resident Inspector to Schedule of Rates.			
REASON FOR CHANGE: To provide for services when required.			

II. CHANGES TO CONSULTANT CONTRACTS  
(CONTINUED)

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
GRW ENGINEERS LEXINGTON	ELIZABETHTOWN COMMUNITY COLLEGE PARKING LOT PAVING	2	-0-
NATURE OF CHANGE: Provide for payment for Phase C services within the appropriate 25% increment.			
REASON FOR CHANGE: Construction was delayed through no fault of the Engineers.			
DQW, INC. LEXINGTON	HARRY C. LANCASTER AQUATICS FACILITY	4	+ 1,197
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: Additional subsoil investigation was required.			
OMNI ARCHITECTS LEXINGTON	ANIMAL CARE FACILITY	2	+ 7,400
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: To provide testing and daily monitoring consulting services relating to underground blasting.			
KENCO ASSOCIATES ASHLAND	ASHLAND COMMUNITY COLLEGE PARKING LOT PAVING	2	+ 500
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: Modifications of plans and specifications.			
JOHNSON/ROMANOWITZ/ARCHITECTS LEXINGTON	MINING AND MINERALS RESOURCE BUILDING	3	+ 50,400
NATURE OF CHANGE: Employment of a Resident Inspector.			
REASON FOR CHANGE: To provide the project with full-time inspection services.			
OMNI ARCHITECTS LEXINGTON	SOUTHEAST COMMUNITY COLLEGE LEARNING RESOURCE CENTER	5	+ 2,250
NATURE OF CHANGE: Additional services.			
REASON FOR CHANGE: To assist in resolution of dispute with contractor concerning concrete beam.			
GRW ENGINEERS LEXINGTON	PER DIEM CIVIL ENGINEER	1	-0-
NATURE OF CHANGE: Add aerial photography units to Schedule of Rates.			
REASON FOR CHANGE: To provide for services when required.			
SCRUGGS AND HAMMOND LEXINGTON	GAINES CENTER LANDSCAPING	1	+ 10,480
NATURE OF CHANGE: Establish fees for B and C Phases of contract.			
REASON FOR CHANGE: These phases were undetermined when contract was initiated.			
JOHNSON & ROMANOWITZ LEXINGTON	MINING & MINERALS RESOURCE BLDG.	3a	+ 7,565
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: Additional design requirements due to increase in scope of utilities.			

II. CHANGES TO CONSULTANT CONTRACTS  
(CONTINUED)

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
JOHNSON & ROMANOWITZ LEXINGTON	MINING & MINERALS RESOURCE BUILDING	4	+ 7,146.00
NATURE OF CHANGE: Additional Services			
REASON FOR CHANGE: Revision to contract documents for building fit-up phase.			
OMNI ARCHITECTS LEXINGTON	ANIMAL CARE FACILITY	3	+ 3,300.00
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: Additional renovation of room MS318.			
DQN, INC. LEXINGTON	AQUATICS FACILITY	5	+ 875.00
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: Revision to contract documents for temperature control wiring.			
COLEMAN HOPPNER ASSOCIATES LEXINGTON	SPINDLETOP ANIMAL CONDITIONING FACILITY	1	+ 1,341.00
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: Revision to contract documents for building extension.			
SHERMAN/CARTER/BARNHART LEXINGTON	PARKING STRUCTURE #3	2	+ 14,414.00
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: To provide site development traffic study.			
WITT & ASSOCIATES LEXINGTON	PER DIEM CIVIL ENGINEER	1	-0-
NATURE OF CHANGE: Increase maximum fee for each assignment from \$15,000 to \$20,000.			
REASON FOR CHANGE: \$15,000 limit was insufficient for certain projects which require full range of services.			
POTTS & POTTS ARCHITECTS LEXINGTON	FUNKHOUSER RENOVATION ADMISSIONS & HOUSING	1	+ 3,650.00
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: To provide additional design documents for the lobby renovation.			
CENTRAL ASSOCIATED ENGINEERS LEXINGTON	PER DIEM CIVIL ENGINEER	1	-0-
NATURE OF CHANGE: Increase maximum fee for each assignment from \$15,000 to \$20,000.			
REASON FOR CHANGE: \$15,000 limit was insufficient for certain projects which require full range of services.			
GODSEY ASSOCIATES ARCHITECTS LOUISVILLE	FACULTY CLUB	1	+ 12,500.00
NATURE OF CHANGE: Additional Services.			
REASON FOR CHANGE: To provide interior design services.			

III. CONSULTANT FINAL PAYMENTS

<u>CONSULTANT</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
ARRASMITH/JUDD/RAPP LOUISVILLE	SOMERSET COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING	103,286	85/08/09
JOHNSON/ROMANOWITZ LEXINGTON	BLANDING III ROOF REPAIR	6,000	85/08/28
JOHNSON/ROMANOWITZ LEXINGTON	BLANDING II ROOF REPAIR	7,000	85/09/16
KAISER-TAULBEE LEXINGTON	STUDENT CENTER SMOKE DETECTORS	8,000	85/11/16
ASSOCIATED DESIGNERS LEXINGTON	COMMONWEALTH VILLAGE BUILDING ROOF REPLACEMENT	13,200	85/12/06
KENCO ASSOCIATES ASHLAND	ASHLAND COMMUNITY COLLEGE PARKING LOT PAVING	21,300	85/12/13
DAVID C. BANKS FRANKFORT	BOYD, JEWELL, PATTERSON HALLS REMOVAL OF ASBESTOS	6,113	85/12/23
POTTS & POTTS ARCHITECTS LEXINGTON	ALUMNI GYM ROOF REPLACEMENT	10,600	86/02/10
PAUL S. VENEKLAUSEN & ASSOCIATES SANTA MONICA, CA	CENTER FOR THE ARTS ORCHESTRA PLATFORM	5,000	86/02/11
PAUL S. VENEKLAUSEN & ASSOCIATES SANTA MONICA, CA	CENTER FOR THE ARTS REHEARSAL ROOM	13,000	86/02/11
NOLAN AND NOLAN ARCHITECTS LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE L.R.C. PHASE II	36,147	86/02/20
AMERICAN ENGINEERS GLASGOW	MADISONVILLE COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING	7,700	86/03/13
ASSOCIATED DESIGNERS LEXINGTON	STUDENT CENTER ROOF REPLACEMENT	13,000	86/05/02
LUCKETT & FARLEY ARCHITECTS LOUISVILLE	PADUCAH COMMUNITY COLLEGE STUDENT SERVICES PHASE II	95,426	86/05/08
GODSEY ASSOCIATES ARCHITECTS LOUISVILLE	HENDERSON COMMUNITY COLLEGE STUDENT CENTER ROOF REPAIRS	11,350	86/06/04

IV. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
PEARCE PHELPS ROOFING LEXINGTON	ALUMNI GYM ROOF REPAIR	114,414	85/07/03	4	114,414 - 172,900
WOODFORD BUILDERS LEXINGTON	CENTER FOR THE ARTS REHEARSAL ROOM	75,350	85/07/12	5	75,350 - 109,124
NORTH BROTHERS LEXINGTON	ASBESTOS REMOVAL BOYD, JEWELL, PATTERSON HALLS	155,700	85/07/12	1	155,500
H. BECKER CO. LEXINGTON	STUDENT CENTER ROOF REPLACEMENT	127,500	85/07/17	2	127,500 - 127,676

IV. CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
SCOTTY'S CONTRACTING ELIZABETHTOWN	ELIZABETHTOWN COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING	163,005	85/07/19	3	163,005 - 171,533
K.V. CONSTRUCTION CO. LEXINGTON	REYNOLDS #1 FIRST FLOOR RENOVATION	124,800	85/08/01	5	124,800 - 172,950
EN-TECH, INC. LOUISVILLE	ASHLAND COMMUNITY COLLEGE ROOF REPAIRS	24,885	85/08/02	1	24,855
HOPKINS CO. ASPHALT MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING	74,945	85/08/02	2	74,945 - 82,416
R & W CONSTRUCTION LOUISVILLE	MAXWELL GLUCK EQUINE RESEARCH FACILITY	6,717,000	85/08/28	3	6,717,000 - 6,770,000
HOMCO MECH CONTRACTORS LEXINGTON	CENTRAL HEATING SMOKE STACKS	87,416	85/09/12	2	87,416 - 133,339
HINKLE CONTRACTING BURNSIDE	SOMERSET COMMUNITY COLLEGE ACAD./TECH. BLDG ROADWAY IMPROVEMENTS	164,586	85/09/17	1	170,509
CUTTER-PULLIAM ELECTRIC LEXINGTON	SOMERSET COMMUNITY COLLEGE EMERGENCY GENERATOR	10,661	85/09/19	3	10,661 - 12,451
W. ROGERS CO. LEXINGTON	ANIMAL CARE FACILITY BLASTING & EXCAVATION	165,600	85/09/25	3	165,600 - 363,425
R. C. CONSTRUCTION SOUTHPOINT, O	HAZARD COMMUNITY COLLEGE PARKING LOT PAVING	115,118	85/10/02	3	115,118 - 189,981
ELLIOTT CONTRACTING PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE STUDENT CIR EMERGENCY POWER SUPPLY	6,999	85/10/02	4	6,999 - 9,942
CAREY CONSTRUCTION CO LEXINGTON	WILDCAT LODGE PARKING LOT	19,500	85/10/09	3	19,500 - 29,500
CAREY CONSTRUCTION LEXINGTON	PARKING LOT 314 ROSE LANE (NEWMAN CENTER)	35,773	85/10/09	3	35,773 - 49,500
SUPERIOR SYSTEMS ST.CHARLES, MO	ASBESTOS REMOVAL CENTRAL HEATING SMOKE STACKS	64,800	85/10/10	1	64,800
FINNEY COMPANY, INC. LEXINGTON	HOSPITAL ELEVATORS AIR CONDITIONING OF PENTHOUSE	56,780	85/10/14	4	56,780 - 71,820
EUBANK & STEELE LEXINGTON	HOSPITAL RENOVATION 5TH FLOOR INTERIOR DECOR	388,665	85/10/14	6	388,665 - 499,752
NORWOOD CONSTRUCTION LEXINGTON	DEMOLITION OF STRUCTURES 575 WOODLAND AVENUE	2,400	85/10/22	1	2,400
CUTTER PULLIAM LEXINGTON	SOMERSET COMMUNITY COLLEGE EMERGENCY GENERATOR	10,661	85/10/23	3	10,661 - 12,451
CUTTER-PULLIAM LEXINGTON	TENNIS BUILDING PRIMARY ELECTRIC SERVICE	22,709	85/10/23	3	22,709 - 27,800
CRAVENS CONSTRUCTION FLATWOODS KY	PRESTONSBURG COMMUNITY COLLEGE PIKE & MARTIN STUD.CIR DOOR FRAMES	21,000	85/11/11	2	21,000 - 27,225
WHEELER CONSTRUCTION LEXINGTON	LEXINGTON COMMUNITY COLLEGE EXTERIOR LIGHTED SIGN	20,199	85/11/18	2	20,199 - 25,016
LICHTEFELD-MASSARO, INC LOUISVILLE	PARKING STRUCTURE #3	1,734,000	85/12/03	7	1,734,000 - 1,914,000
FINNEY COMPANY LEXINGTON	MINING & MINERALS SITE UTILITIES	746,600	85/12/13	3	746,600 - 970,000
C. E. PENNINGTON LEXINGTON	MINING & MINERALS BUILDING SHELL	2,657,000	85/12/13	11	2,657,000 - 3,500,000
STRUCK CONSTRUCTION COMPANY LEXINGTON	ANIMAL CARE FACILITY	1,459,000	86/01/16	8	1,459,000 - 1,842,220

IV. CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
WOODFORD BUILDERS LEXINGTON	HILLARY J. BOONE FACULTY CLUB	1,779,000	86/01/24	10	1,779,000 - 1,988,000
C. R. CRUME ASSOC. CONTRACTORS LEXINGTON	SPINDLETOP RESEARCH ANIMAL CONIDITIONING UNIT	139,950	86/01/27	12	139,950 - 219,728
STRUCK CONSTRUCTION CO. LEXINGTON	JOURNALISM RENOVATION	1,298,750	86/01/28	8	1,298,750 - 1,689,000
JOE M. HAWKINS CONSTRUCTION CO. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR/PATIENT CARE	165,750	86/02/06	10	165,750 - 197,469
B & B ELECTRIC COMPANY LEXINGTON	LEXINGTON CAMPUS EXTERIOR LIGHTING OF WALKS AND ROADS	126,618	86/02/07	4	126,618 - 196,500
A. E. GORDAN MECHANICAL SHELBYVILLE	HOSPITAL LOBBY FIRE SUPPRESSION	36,300	86/02/11	8	36,300 - 60,743
WILLIAM HEPBURN ELECTRIC LEXINGTON	MEDICAL SCIENCE WING FIRE SAFETY	232,450	86/02/12	9	232,450 - 329,584
WILLIAM HEPBURN ELECTRIC LEXINGTON	DENTAL SCIENCE WING FIRE SAFETY	54,450	86/02/12	9	54,450 - 90,016
HARRISON CONTRACTING MEMPHIS, TN	ASBESTOS REMOVAL OLD PHARMACY BLDG.	18,736	86/02/12	1	18,736
KIRK & BLUM MANUFACTURING CO LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUME HOODS	167,622	86/04/02	3	167,622 - 183,320
CAREY CONSTRUCTION CO. LEXINGTON	COMPLEX BASKETBALL COURT RELOCATION	111,900	86/04/14	1	111,900
LICHTEFELD-MASSARO, INC. LOUISVILLE	AQUATIC FACILITY	5,180,000	86/04/16	5	5,180,000 - 5,390,000
CROUCH CONSTRUCTION CO. MAYFIELD	PADUCAH COMMUNITY COLLEGE SCIENCE LAB RENOVATION	117,400	86/04/21	6	117,400 - 128,158
POTTER ELECTRIC CO. MOREHEAD	AG. SCIENCE NORTH ELECTRIC SERVICE/GREENHOUSES	90,286	86/04/24	11	90,286 - 119,695
S. C. NEAL GEORGETOWN	ENGINEERING TOWER INSTALL SAFETY DEVICES	25,741	86/04/28	11	25,741 - 36,061
WAGNER CONSTRUCTION CO. LEXINGTON	644 MAXWELTON COURT RENOV. KY MUNICIPAL LEAGUE	73,227	86/05/06	9	73,227 - 128,500
VOLUNTEER KNOXVILLE, TN	COMMONWEALTH STADIUM CONCRETE WATERPROOFING	434,378	86/05/08	8	434,378 - 846,000
AMERICAN INSULATED STRUCTURES CINCINNATI, OH	ASBESTOS REMOVAL PRESTONSBURG COMMUNITY COLLEGE	84,900	86/05/15	4	84,900 - 134,000
VERVILLE CONSTRUCTION CO. MAYSVILLE	MAYSVILLE COMMUNITY COLLEGE A/T STRUCTURAL REPAIRS	84,226	86/05/15	6	84,226 - 121,000
WHITE AND CONGLETON LEXINGTON	MEDICAL CENTER STRUCTURAL REPAIRS OVERHEAD WALKWAY	17,998	86/05/15	2	17,998 - 31,540
CAREY CONSTRUCTION CO. LEXINGTON	V. A. HOSPITAL ACCESS ROAD	149,448	86/05/20	4	149,448 - 200,160
FINNEY COMPANY, INC. LEXINGTON	PATTERSON HALL PLUMBING	313,000	86/05/23	1	313,000
LAGOC, INC. LEXINGTON	VETERAN'S ADMINISTRATION STEAMLINE RELOCATION	171,637	86/06/12	6	171,637 - 283,262
D C ELEVATOR LEXINGTON	JEFFERSON C. C. HARTFORD BUILDING FIRE SERVICE/FOUR ELEVATORS	47,952	86/06/13	4	47,952 - 51,495

IV. CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
POTTER ELECTRIC CO. MOREHEAD	ASHLAND COMMUNITY COLLEGE COMPUTER INSTALLATION	49,773	86/06/20	3	49,773 - 94,098
P & R ROOFING & SHEET METAL LEXINGTON	LEXINGTON COMMUNITY COLLEGE ROOF REPAIR	21,551	86/06/24	8	21,551 - 38,960
DIVERSIFIED CONSTRUCTION LEXINGTON	ANIMAL PATHOLOGY & COOPER DAIRY RENOVATION	154,800	86/06/27	1	154,800

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
M. B. MCCREAGOR COMPANY LEXINGTON	MAYSVILLE COMMUNITY COLLEGE LANDSCAPING	2	+ 325.00
Nature of Change: Provide 200 sq. yards of sod along edge of paved areas of Courtyard.			
Reason for Change: Additional sod needed to prevent erosion of soil onto paved areas.			
LICHTEFELD-MASSARO LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE LRC PHASE II	2	+ 250.17
Nature of Change: Revise electrical wiring to provide 30 amp, heavy duty fused disconnect at compressor.			
Reason for Change: Contradiction between the mechanical & electrical drawings; disconnect was not shown on drawings.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOVATION 5th FLOOR INTERIOR DECOR	2	+ 966.00
Nature of Change: 1. Provide a one hour smoke barrier along top of wall between rooms H615 & H619. 2. Skim coat all glazed tile to prepare surfaces for vinyl wallcovering.			
Reason for Change: 1. Needed to meet code requirements. 2. To provide a smooth surface to apply vinyl wallcovering.			
WHITE CONSTRUCTION LEXINGTON	HOSPITAL RENOVATION 6th FLOOR NORTH	3	+ 2,064.55
Nature of Change: 1. Add electrical power. 2. Provide panelling in lieu of photographic art work. 3. Install additional PVC snap on corner guards in patient rooms. 4. Install additional oak crash rails in four patient rooms.			
Reason for Change: 1. Relocation of one electrical outlet. 2. Hospital Administration decided to forego the art work and install panelling. 3. To protect vinyl wall covering and corners when beds are moved in and out of patient rooms. 4. To protect wall coverings from damage when beds moved in and out of patient rooms.			
ENGLE CONSTRUCTION CO. LEXINGTON	COMMONWEALTH VILLAGE BLDG. 106 ROOF REPLACEMENT	2	-0-
Nature of Change: Extension of time.			
Reason for Change: Allow contractor extra days for installation of underground storm drain.			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
WOODFORD BUILDERS LEXINGTON	ADMINISTRATION ANNEX FIRE SAFETY IMPROVEMENTS	1	+ 4,931.20
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide new door; solid core, wood frame.</li> <li>2. Install new light fixture on stair side of door #7.</li> <li>3. Extend one 5" PVC electrical conduit from point of connection to existing manhole.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing door was hollow core and would not meet code, therefore had to be replaced.</li> <li>2. Closing of new corridor makes top of stairs dark &amp; hazardous.</li> <li>3. Existing conduit proved to be 4" rather than 5" as shown on as-built drawings.</li> </ol>		
A & B ROOFING LEXINGTON	M.C. ANNEX II ROOF REPLACEMENT	1	+ 2,035.50
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install 800 L.F. of new fascia and new singly ply membrane on stair tower roofs.</li> <li>2. Leave concrete deck in place; install fiber cants.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Fascia needed to put on membrane; membrane needed to enhance complete roofing system.</li> <li>2. After removal of I-Beam, it was found that the concrete deck did not need to be replaced or repaired.</li> </ol>		
NORTH BROTHERS, INC. LEXINGTON	KIRWAN II CEILING TILE ASBESTOS ABATEMENT	1	- 155.00
Nature of Change:	Credit to University for full face air mask.		
Reason for Change:	The air mask was not needed.		
BREEDEN CONSTRUCTION LEXINGTON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION	1	+ 175.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide credit to University for not painting vacated spaces.</li> <li>2. Time extension.</li> <li>3. Store furniture in trailer for 13 days.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Painting not required.</li> <li>2. One day time extension granted due to University delaying start time.</li> <li>3. The Community College System requested furniture to be moved from vacated space ahead of scheduled time.</li> </ol>		
K V CONSTRUCTION LEXINGTON	REYNOLDS #1 RENOVATION 1st FLOOR	1	+ 1,400.00
Nature of Change:	Install PR2670 U.L. listed HM flush doors with hardware in lieu of those specified.		
Reason for Change:	The doors originally specified were not proper width.		
K V CONSTRUCTION LEXINGTON	REYNOLDS #1 RENOVATION 1st FLOOR	2	+ 430.00
Nature of Change:	Raise duplex outlets in dark room.		
Reason for Change:	Design error.		
DEERING & SON LEXINGTON	BLANDING I ROOF REPLACEMENT	1	+ 914.50
Nature of Change:	Delete two layers of 15/16" insulation and substitute one layer of 1.3" closed cell insulation and one layer of 3/4" fiberglass insulation.		
Reason for Change:	To increase insulation "R" value over room areas.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
WHEELER GENERAL CONTRACTORS LEXINGTON	CENTER FOR THE ARTS DRY PIPE SPRINKLER SYSTEM	1	+ 3,056.00
Nature of Change: 1. Connect existing wet sprinkler head to dry pipe system. 2. Provide concrete pad, anchors, air compressor and all necessary connections, including tie-in to connect to emergency panel.			
Reason for Change: 1. Error on as-built drawings. 2. To better maintain the dry pipe sprinkler system.			
SCOTTY'S CONTRACTING, INC. ELIZABETHTOWN	ELIZABETHTOWN COMMUNITY COLLEGE PARKING LOT	1	+ 2,241.00
Nature of Change: 1. Construct concrete ramp at loading dock. 2. Construct sidewalk from existing building to new loading dock. 3. Provide 1" bituminous overlay at University Drive entrance.			
Reason for Change: 1. Provide access for mowing equipment. 2. Existing paving is too low to permit semi-trailer doors to open at dock. 3. Area has greatly deteriorated since project was developed.			
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE HVAC 1st and 2nd FLOORS	1	+ 4,977.70
Nature of Change: Add locking quadrant damper to 182 mixing boxes.			
Reason for Change: Existing regulators were inoperative.			
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE HVAC 3rd FLOOR	1	+ 495.00
Nature of Change: Install 8" float chamber for cooling tower.			
Reason for Change: To regulate amount of water in cooling tower automatically.			
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE HVAC 1st & 2nd FLOORS	2	+ 290.00
Nature of Change: Replace flexible connector on discharge side of high pressure fan.			
Reason for Change: The existing connector can not be repaired.			
WOODFORD BUILDERS LEXINGTON	CENTER FOR THE ARTS REHEARSAL ROOM	1	+ 3,285.57
Nature of Change: 1. Provide 8" x 8" electrical box and conduit. 2. Labor to install panic hardware on exit doors.			
Reason for Change: 1. Provide conduit for future microphones. 2. Requested by Safety & Security.			
ASHLAND ASPHALT PAVING CO. ASHLAND	ASHLAND COMMUNITY COLLEGE PARKING LOT PAVING	1	+ 3,476.55
Nature of Change: 1. Delete 225 L.F. 6" perforated pipe lot "5". 2. Extend manhole at entry 4'-0". 3. Repair blacktop lots "A," and stripe at lot "A". 4. Install 70 L.F. 6" perforated pipe at lot "C". 5. Replace 300 L.F. asphalt curb lots "A," "B," and "C".			
Reason for Change: 1. Location shown is on rock. 2. Manhole metal centering would not support additional fill materials. 3. Additional deterioration since last review. 4. Water was flowing from under base of existing pavement. 5. Additional deterioration since last review.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
WILLIAM HEPBURN COMPANY LEXINGTON	STUDENT CENTER SMOKE DETECTION	2	+ 1,980.00
Nature of Change: Change location of twelve lights and fire horns.			
Reason for Change: Plans showed location near clocks and Fire Marshal requested they be relocated.			
RC CONSTRUCTION SOUTH POINT, OHIO	HAZARD COMMUNITY COLLEGE PARKING LOT	1	+ 3,800.00
Nature of Change: Lower existing storm drain.			
Reason for Change: Storm drain was not indicated on drawings. Existing elevation of storm line is presently above the new elevation of the parking lot.			
CC LEE LEXINGTON	MEDICAL CENTER CLINIC SUPPORT	1	+ 1,921.00
Nature of Change: <ul style="list-style-type: none"> <li>1. Seal top of existing wall for smoke barrier.</li> <li>2. Install 2 chute doors for medical record drops.</li> <li>3. Install TV power outlets.</li> <li>4. Remove two existing door frames.</li> <li>5. Lower two return air grills to fit ceiling grid system.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. Was unknown until an above ceiling inspection was held.</li> <li>2. Contract calls for chute to be closed. Hospital needs to retain for records transfer.</li> <li>3. Not shown on drawings.</li> <li>4. Not shown on demolition plan.</li> <li>5. Above ceiling equipment required ceiling line to be lowered.</li> </ul>			
JOHNSON ROOFING HARLAN	RESEARCH BUILDING II ROOF REPLACEMENT	1	+ 1,333.71
Nature of Change: <ul style="list-style-type: none"> <li>1. Install rings for roof drains.</li> <li>2. Replace the insulation.</li> <li>3. Remove vent pipe and seal hole.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. Rings were missing from roof drains.</li> <li>2. Contractor was unable to dry existing insulation. Only solution was to replace with new.</li> <li>3. Vent pipe not in use; therefore, removal would prevent future leakage.</li> </ul>			
CLEAVER CONSTRUCTION MURRAY	PADUCAH COMMUNITY COLLEGE STUDENT SERVICES II	7	+ 10,852.70
Nature of Change: <ul style="list-style-type: none"> <li>1. Replace 18 temperature controls and adjustments.</li> <li>2. Repair 18 controls.</li> <li>3. Add balancing dampers to existing duct system.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. These controls were beyond repair and had to be replaced.</li> <li>2. Upon testing, these controls continually malfunctioned.</li> <li>3. To control the volume of air that is needed for the west addition.</li> </ul>			
W. ROGER COMPANY LEXINGTON	ANIMAL CARE FACILITY BLASTING	1	+ 1,790.00
Nature of Change: <ul style="list-style-type: none"> <li>1. Pull two additional cables in manholes.</li> <li>2. Excavate and remove blockage in existing tile duct between manholes. Repair asphalt and sod.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. Medical Center Physical Plant requested an additional FMS cable and computer cable be pulled along with cables in contract.</li> <li>2. Blockage was discovered in four sections of duct.</li> </ul>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
DENHAM-BLYTHE LEXINGTON	TENNIS BUILDING	1	+ 37,740.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Extend 16 sidewall piers so that footings will be placed on original soil #2.</li> <li>2. Replace existing MX-galvalum 26 gauge metal roofing material with 1 1/2" deep rib white finish, 26 gauge metal roofing material.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Subsurface report showed soil #1 not suitable to support structural loads. Report not given to contractor until after award of contract.</li> <li>2. It was determined that factory applied white finish would be cheaper than field applied paint finish and recurring cost of painting would be reduced.</li> </ol>		
WOODFORD BUILDERS LEXINGTON	ADMINISTRATION BUILDING FIRE SAFETY	2	+ 593.63
Nature of Change:	Encase 12" VCP with 4" of concrete, stepfooting to clear pipe & encasement.		
Reason for Change:	The 12" storm line was not shown on drawings.		
FINNEY COMPANY LEXINGTON	MEDICAL CENTER 3RD CHILLER ADDITION	2	-0-
Nature of Change:	Time Extension - 30 days.		
Reason for Change:	Supplier unable to meet delivery date.		
ENTECH, INC. LOUISVILLE	ASHLAND COMMUNITY COLLEGE ROOF REPAIRS	1 & 2	+ 286.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change order #1 issued by Purchasing Division.</li> <li>2. Apply clean roof and coating on Northeast canopy roof.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Change order #1 to allow partial payments to contractor - no change in contract.</li> <li>2. This canopy was not shown on original drawings.</li> </ol>		
DENHAM-BLYTHE LEXINGTON	TENNIS BUILDING	2	+ 360.00
Nature of Change:	Install 1 1/2" incline gate valve in the existing domestic water line feeding to the Recreation Building and valve.		
Reason for Change:	To shut the Recreation Building off during winter without shutting down the Tennis Building.		
ANDERSON-ST. JOHN, INC. GARDEN GROVE, CA	CENTER FOR THE ARTS ORCHESTRA PLATFORM	1	+ 8,210.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Sand and refinish main stage.</li> <li>2. Rework existing fascia along front edge of stage.</li> <li>3. Include four new cranks for operating stiffeners.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Due to use, the stage needed to be refinished.</li> <li>2. Leading edge of stage was not aligned with leading edge of concrete.</li> <li>3. Result of redesign effort.</li> </ol>		
SUPERIOR SYSTEMS, INC. ST. CHARLES, MO	ASBESTOS REMOVAL CENTRAL HEAT SMOKE STACKS	1 & 2	- 2,500.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Time Extension</li> <li>2. Liquidated damages.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Contractor requested additional days due to delays beyond their control.</li> <li>2. Contractor failed to complete project on schedule.</li> </ol>		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE L. R. C. PHASE II	3	- 450.00
Nature of Change:	1. Delete installation of concrete base, installation of light pole. Clear existing conduit and provide pull wire.		
Reason for Change:	1. The Community College decided to install new yard light to match existing on Phase I and Phase II project.		
R. C. CONSTRUCTION SOUTH POINT, OH	HAZARD COMMUNITY COLLEGE PARKING LOT PAVING	2	+ 1,930.00
Nature of Change:	Lower existing 4" water line and existing 8" sanitary sewer line to allow at least 24" of coverage.		
Reason for Change:	Existing lines were not shown on drawings.		
PEARCE-PHELPS ROOFING LEXINGTON	ALUMNI GYM ROOF REPLACEMENT	1	- 2,594.00
Nature of Change:	1. Roof deck allowance for 2,000 sq. feet. 2. Replace 324 sq. feet of roof deck. 3. Replace 47' blocking. 4. Install cast iron boot at rear downspout. 5. Install sheetmetal at roof ridge.		
Reason for Change:	1. In contract - allowance for amount of roof not replaced. 2. Portion of roof that had deteriorated and needed replaced. 3. Portion of blocking that had deteriorated. 4. Existing boot was broken at ground level. 5. To prevent movement of roof deck at ridge.		
CAREY CONSTRUCTION CO. LEXINGTON	WILDCAT LODGE PARKING LOT	1	+ 6,937.00
Nature of Change:	1. Install two additional light fixtures. 2. Apply 1" bituminous surface to existing lot. 3. Striping.		
Reason for Change:	1. Requested by U. K. Security Division. 2. Needed to cover, patch and trench existing lot. 3. Applied paint stripes to new surface on existing lot.		
HOPKINS CO. ASPHALT MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE PARKING LOT	1	+ 558.00
Nature of Change:	1. Locate junction boxes. 2. Add wire mesh to sidewalk area concrete. 3. Time extension.		
Reason of Change:	1. Junction boxes were not shown on drawings. 2. To keep concrete from breaking up. 3. Extend completion date due to delivery of electric poles and lights.		
ANDERSON-ST. JOHN, INC. GARDEN GROVE, CA	CENTER FOR THE ARTS ORCHESTRA PLATFORM	2	- 200.00
Nature of Change:	Contractor did not fill eleven existing post holes at job site.		
Reason for Change:	Contractor omitted this item and returned to California. Owner was credited and Physical Plant Division was requested to do the work.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
HOMCO MECHANICAL LEXINGTON	CENTRAL HEATING SMOKE STACKS	1	- 5,660.00
Nature of Change: Change the insulation that was specified.			
Reason for Change: The manufacturer that originally installed the stacks was unable to give the maximum temperature for the stacks. It was found later that the stacks do not exceed 750 F.; therefore, an insulation with a lesser fire rating was used.			
K V CONSTRUCTION LEXINGTON	SAFETY AND SECURITY NIGHT DEPOSITORY	1	+ 371.00
Nature of Change: Relocate steam line.			
Reason for Change: The location of the steam line did not allow the depository door to open freely.			
HEPBURN ELECTRIC CO. LEXINGTON	FIRE ALARMS SIX BUILDINGS	1	+ 7,757.00
Nature of Change: <ul style="list-style-type: none"> <li>1. Install six duct detectors in Whitehall Building.</li> <li>2. Install fan shut down relay.</li> <li>3. Trace existing fire alarm riser conduit and wire.</li> <li>4. Add two smoke detectors in Transportation Building.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. These were not shown on drawings. Needed to meet code.</li> <li>2. Needed to shut down fans in case of fire.</li> <li>3. Plans not clear or correct as how the system worked with present wire diagram.</li> <li>4. Two additional smoke detectors were needed to meet code requirements.</li> </ul>			
R & W CONSTRUCTION LOUISVILLE	MAXWELL GLUCK EQUINE FACILITY	2	+ 1,872.90
Nature of Change: <ul style="list-style-type: none"> <li>1. Provide quick-make, quick-break transfer switch.</li> <li>2. Delete flow meter from chilled water line.</li> <li>3. Change low pressure gas line from steel to plastic.</li> <li>4. Provide utility connections to Instron test machine.</li> <li>5. Add starting equipment for hydronic circulator pump meter.</li> <li>6. Make adjustments to receptacle wireways.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. The switch specified was not the type the University uses.</li> <li>2. Meter no longer required as the building will be incorporated into the energy management system.</li> <li>3. Utility Company changed services from low to medium pressure and requested plastic pipe.</li> <li>4. Information regarding utility requirements were not processed in time for inclusion in specifications.</li> <li>5. The equipment was omitted from the specifications in error.</li> <li>6. Design error.</li> </ul>			
HAROLD J. BECKER CO. DAYTON, OH	STUDENT CENTER ROOF REPLACEMENT	1	+ 1,163.00
Nature of Change: <ul style="list-style-type: none"> <li>1. Adjust unit price work on project.</li> <li>2. Furnish and install 1/2" fiberboard beneath single ply roofing.</li> <li>3. Increase contract completion time.</li> </ul>			
Reason for Change: <ul style="list-style-type: none"> <li>1. Adjustment for actual work accomplished.</li> <li>2. Required to span wide flutes on existing metal deck.</li> <li>3. Time extension due to adverse weather days.</li> </ul>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
EUBANK AND STEELE LEXINGTON	HOSPITAL INTERIOR DECOR FIFTH FLOOR	1	+ 3,983.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Overtime pay for subcontractor to remove floor tile and ceiling tile.</li> <li>2. Provide two new shut-off valves for existing water mixing valve located above ceiling.</li> <li>3. Provide two solid core doors.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Due to dialysis treatment of patients adjoining the work area the subcontractor was requested to perform work after normal working hours.</li> <li>2. Concealed water piping above ceiling was thought to have shut-off valves; but during construction it was discovered these valves did not exist.</li> <li>3. One existing door was badly damaged and needed replaced. One existing door was cut in half for a dutch door arrangement and was replaced with a solid core door.</li> </ol>		
CLEAVER CONSTRUCTION CO. MURRAY	PADUCAH COMMUNITY COLLEGE STUDENT SERVICES PHASE II	8	+ 4,838.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide a dedicated and isolated ground circuit for two cash registers.</li> <li>2. Install recess canopy lights.</li> <li>3. Add three duct smoke detectors.</li> <li>4. Install insulated sheet metal housing over motor of return fan.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Item omitted on plans.</li> <li>2. Lights specified were incorrect.</li> <li>3. State Fire Marshall required the additional detectors to meet code.</li> <li>4. Insulated housing to reduce noise.</li> </ol>		
R. C. CONSTRUCTION SOUTH POINT, OH	HAZARD COMMUNITY COLLEGE PARKING LOT	3	+ 2,500.00
Nature of Change:	Change grading plan on two rear lots and roadway.		
Reason for Change:	Error on elevations and cross sections on drawings.		
MACDONALD DEFENSE CO. COVINGTON	FIRE ALARM - FOUR BUILDINGS	1	+ 912.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install five smoke detectors in Frazee Hall.</li> <li>2. Replace two pull stations.</li> <li>3. Change three smoke detectors to heat detectors in Kastle Hall.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Required by State Fire Marshall.</li> <li>2. These stations were damaged.</li> <li>3. These smoke detectors were located in an area where extreme dust was activating them.</li> </ol>		
THOMPSON ENGINEERING LEXINGTON	FUNHOUSER AIR CONDITIONING PHASE III	1	+ 16,497.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional compensation for core drilling holes for fan/coil piping connections.</li> <li>2. Extension of outdoor air ducts for fan/coil units.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. Structural beam below floor partially blocking route of piping and floor thickness increased above beam.</li> <li>2. Change due to interference with adjacent roof/structure.</li> </ol>		
FINNEY COMPANY LEXINGTON	HOSPITAL ELEVATORS AIR CONDITIONING PENTHOUSES	1	+ 196.00
Nature of Change:	Owner requested three elevators be taken out of service by Elevator Company while ductwork was suspended from penthouse ceiling.		
Reason for Change:	All parties concerned felt that terminating elevator service would minimize any damage to the equipment by improper deactivation.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
LICHTEFIELD-MASSARO, INC. LEXINGTON	PARKING STRUCTURE #3	1	+ 560.00
Nature of Change:	1. Furnish & install three anchor bolts; remove existing concrete encased electrical duct to clear new elevator pit; furnish and install six stiffener connections at columns that are out of plumb. 2. Furnish barrier cable in lieu of monostrand cable.		
Reason for Change:	1. Existing conditions not known when project originally bid. 2. Barrier cable changed to provide cable with heavier sheathing.		
STRUCK CONSTRUCTION LOUISVILLE	ANIMAL CARE FACILITY	1	+ 117,975.00
Nature of Change:	1. Furnish and install three clean rooms per unit prices. 2. Change communication manhole from poured concrete to pre-cast.		
Reason for Change:	1. Include additional clean rooms that were deleted from original program when estimate exceeded budget during design phase. 2. Restrictions caused by existing utility lines prevented adequate space to form and place manhole.		
W. ROGERS COMPANY LEXINGTON	ANIMAL CARE FACILITY ELASTING	2	+ 13,181.00
Nature of Change:	1. Repair 8" water line and gas line. 2. Adjustment of final rock quantities.		
Reason for Change:	1. Uncharted utilities were damaged during construction. 2. Adjustment to base bid to pay for extra rock encountered.		
C. R. CRUME ASSOCIATED LEXINGTON	SPINDLETOP ANIMAL CONDITIONING UNIT	1	+ 17,000.00
Nature of Change:	Add four dog run units.		
Reason for Change:	Include additional runs that were deleted from original program when estimate exceeded budget during design phase.		
C. E. PENNINGTON LEXINGTON	MINING AND MINERALS RESOURCE BLDG.	1	+ 53,630.00
Nature of Change:	Additional caisson work required.		
Reason for Change:	Due to site conditions encountered that were not revealed in subsurface test.		
C. E. PENNINGTON LEXINGTON	MINING AND MINERALS RESOURCE BLDG.	2	N/A
Nature of Change:	Void contract with Frantz, Inc. and assign Cox Mechanical to the General.		
Reason for Change:	Mutual agreement between subcontractors to simplify coordination of operations.		
C. E. PENNINGTON LEXINGTON	MINING AND MINERALS RESOURCE BLDG.	3	+ 4,415,345.00
Nature of Change:	Add subcontractors to General's contract.		
Reason for Change:	According to contract, all subcontractor's would be assigned to the General.		
SPARKS-TERRELL LEXINGTON	FUNKHOUSER RENOVATION ADMISSIONS & HOUSING	1	+ 9,537.00
Nature of Change:	Additional work to complete original contract; i.e. extra lights, access panels, drywall, ceiling grid, floor tile, ductwork.		
Reason for Change:	Additional work requested to upgrade front lobby area.		



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
FINNEY COMPANY LEXINGTON	MINING AND MINEPALS UTILITIES	1	+ 11,030.00
Nature of Change: Furnish and install gate valves in lieu of butterfly valves.			
Reason for Change: Butterfly valves were inadequate to hold pressure at installation points.			
BRUCE'S TRI-STATE ROOFING OWENSBORO	HENDERSON COMMUNITY COLLEGE STUDENT CENTER ROOF REPAIRS	2	+ 4,448.00
Nature of Change: 1. Install new 4" perforated drain pipe. 2. Apply Sonneborn HLM5000 grade beam and foundation wall to exterior grade level.			
Reason for Change: These items were needed to prevent water seepage in the Music Room of the Building.			
BUFCO CORPORATION EVANSVILLE, IND.	HENDERSON COMMUNITY COLLEGE EMERGENCY GENERATOR	1	+ 595.00
Nature of Change: Replace existing emergency generator time clock.			
Reason for Change: Time clock was found defective during start-up and testing.			
HARRISON CONTRACTING MEMPHIS, TN	ASBESTOS REMOVAL OLD PHARMACY BLDG.(SLONE BUILDING)	1	+ 9,825.00
Nature of Change: Remove additional joints containing asbestos.			
Reason for Change: Additional asbestos discovered during removal project.			
STRUCK CONSTRUCTION LOUISVILLE	ANIMAL CARE FACILITY	2	+ 2,476.00
Nature of Change: 1. Revise areaway wall connection to Combs Facility. 2. Install Project sign. 3. Revise two planter drains.			
Reason for Change: 1. The Combs Facility is farther East than shown on the contract documents; the change is needed to make proper interface with the facility. 2. Requested by Owner. 3. Revisions suggested by waterproofing manufacturer to allow for proper drainage.			
KIRK & BLUM MANUFACTURERS LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUMEHOODS	1	+ 684.00
Nature of Change: Vent all three acid waste systems thru new duct chase above roof.			
Reason for Change: This change will reduce chance for fumes from acid waste drain system from entering the building's air conditioning system.			
CAREY CONSTRUCTION CO. LEXINGTON	COMPLEX BASKETBALL COURT RELOCATION	1	+ 9,824.00
Nature of Change: 1. Change seat brackets from galvanized to stainless steel. 2. Change downspouts through retaining wall to underground system. 3. Lower telephone conduit.			
Reason for Change: 1. Stainless steel seat brackets will improve stability and reduce maintenance. 2. Change in downspouts will improve appearance, increase visibility of courts. 3. Existing telephone conduit elevation conflicts with basketball court grades.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>AMOUNT</u>
WOODFORD BUILDERS LEXINGTON	FACULTY CLUB	1	+ 4,941.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add two wyes in the storm sewer piping.</li> <li>2. Change cornice detail on west elevation only.</li> <li>3. Add two underwater lights for fountain.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. The final mall drainage prepared by the University requires two catch basins.</li> <li>2. The University desires to return to the original design concept.</li> <li>3. The underwater lights were not specified and the University feels the lights will enhance the fountain.</li> </ol>		
EUBANK AND STEELE LEXINGTON	HOSPITAL INTERIOR DECOR RENOV. 5TH FLOOR	2	+ 3,482.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install magnetic door holder.</li> <li>2. Install ionized water supply above ceiling.</li> <li>3. Install nurse call zone signal above staff station.</li> </ol>		
Reason for Change:	<ol style="list-style-type: none"> <li>1. The magnetic holder was inadvertently left off the hardware schedule.</li> <li>2. Contract documents indicated removal. However, ionized water is used for dialysis machines.</li> <li>3. Requested by Owner.</li> </ol>		
B & B ELECTRIC LEXINGTON	LEXINGTON CAMPUS EXTERIOR LIGHTING	1	-0-
Nature of Change:	Extend project completion date.		
Reason for Change:	Delay in delivery of materials.		
A. E. GORDAN MECHANICAL SHELBYVILLE	HOSPITAL LOBBY FIRE SUPPRESSION	1	+ 21.00
Nature of Change:	Install latchset on door.		
Reason for Change:	Existing hardware was to be reused and existing door did not include a latchset.		
SPARKS-TERRELL LEXINGTON	FUNKHOUSER RENOVATION FINANCIAL AID	1	+ 2,670.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide waste and water supply to existing sink.</li> <li>2. Provide stoped top on soffit where it abuts window wall to eliminate exposed piping.</li> <li>3. Provide 8" return at all low walls for added stability.</li> </ol>		
Reason for Change:	All changes required due to situations discovered during demolition or work by other contractors.		

VI. CONTRACTOR TOTAL PAYMENTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
EUBANK & STEELE LEXINGTON	STUDENT CENTER ADDITION HOSPITALITY CENTER	15,887.00	85/07/13
CBS, INC. SOMERSET	SOMERSET COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING	1,288,938.00	85/09/01
EUBANK & STEELE LEXINGTON	KIRWAN/BLANDING CANOPIES	35,672.00	85/09/26
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOVATION 6th FLOOR INTERIOR DECOR	365,692.00	85/10/08
EUBANK & STEELE LEXINGTON	KIRWAN/BLANDING CANOPIES	35,672.00	85/10/10
NORTH BROTHERS COMPANY LEXINGTON	BOYD, JEWELL, PATTERSON HALLS REMOVAL OF ASBESTOS	155,700.00	85/10/31
ASHLAND ASPHALT PAVING ASHLAND	ASHLAND COMMUNITY COLLEGE PARKING LOT PAVING	170,291.00	85/11/07
WILLIAM HEPBURN COMPANY LEXINGTON	STUDENT CENTER SMOKE DETECTORS	38,976.00	85/11/12
WOODFORD BUILDERS LEXINGTON	CENTER FOR THE ARTS REHEARSAL ROOM	78,635.57	85/12/05
CAREY CONSTRUCTION COMPANY LEXINGTON	MAYSVILLE COMMUNITY COLLEGE PARKING LOT	180,567.98	85/12/09
ELLIOTT CONTRACTING PIKEVILLE	PRESTONSBURG STUDENT CENTER EMERGENCY POWER SUPPLY	6,999.00	85/12/09
BREEDEN CONSTRUCTION LEXINGTON	SOUTHEAST NEWMAN HALL RENOVATION	148,675.00	85/12/13
WHEELER GENERAL CONTRACTORS LEXINGTON	CENTER FOR THE ARTS DRY PIPE SPRINKLERS	77,146.00	86/01/07
SUPERIOR SYSTEMS ST. LOUIS, MO	ASBESTOS REMOVAL CENTRAL HEAT SMOKE STACKS	64,800.00	86/01/08
LCN SYSTEMS LEXINGTON	ASBESTOS REMOVAL FUNKHOUSER BUILDING	111,664.62	86/01/09
CUTTER-PULLIAM ELECTRIC CO. LEXINGTON	TENNIS BUILDING PRIMARY ELECTRIC	22,709.00	86/01/10
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE HVAC REPAIR 1ST & 2ND FLOORS	46,992.70	86/01/10
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE HVAC REPAIR 3RD FLOOR	62,877.00	86/01/10
DENHAM-BLYTHE LEXINGTON	TENNIS COURTS	54,328.00	86/01/24

VI. CONTRACTOR TOTAL PAYMENTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
A. B. ROOFING CO., INC. LEXINGTON	MEDICAL CENTER ANNEX II ROOF REPAIR	24,485.50	86/01/24
MCNUTT & RAY LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE LEARNING RESOURCE BUILDING	1,101,052.00	86/01/24
WOODFORD BUILDERS LEXINGTON	ADMINISTRATION ANNEX FIRE SAFETY	145,464.83	86/02/05
C. C. LEE, INC. LEXINGTON	MEDICAL CENTER 3RD FLOOR CLINIC	163,504.00	86/02/17
EN-TECH, INC. LOUISVILLE	ASHLAND COMMUNITY COLLEGE ROOF REPAIRS	25,171.00	86/02/24
JOHNSON ROOFING HARLAN	RESEARCH #2 ROOF REPAIRS	34,967.71	86/03/05
PEARCH-PHELPS ROOFING LEXINGTON	ALUMNI GYM ROOF REPLACEMENT	111,820.00	86/03/05
CENTRAL KENTUCKY GLASS CAMPBELLSVILLE	HOLMES HALL WINDOW REPLACEMENT	151,500.00	86/03/24
K V CONSTRUCTION LEXINGTON	SAFETY AND SECURITY NIGHT DEPOSITORY	15,521.25	86/03/31
CUTTER-PULLIAM LEXINGTON	SOMERSET COMMUNITY COLLEGE EMERGENCY GENERATOR	10,661.00	86/04/01
LICHTEFELD-MASSARO LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE LEARNING RESOURCE CENTER	476,096.82	86/04/02
HOPKINS CO. ASPHALT MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE PARKING LOT	75,502.75	86/04/16
WHITE CONSTRUCTION CO. LEXINGTON	MEDICAL CENTER SIXTH FLOOR NORTH RENOVATION	354,336.70	86/04/21
HAROLD J. BECKER DAYTON, OH	STUDENT CENTER ROOF REPAIRS	128,663.28	86/05/05
CLEAVER CONSTRUCTION CO. MURRAY	PADUCAH COMMUNITY COLLEGE STUDENT SERVICES PHASE II	1,086,950.00	86/05/08
MCDONALD DEFENSE CO. COVINGTON	SMOKE DETECTORS FOUR BUILDINGS	44,729.75	86/05/08
HOMO MECHANICAL CONTRACTORS LEXINGTON	CENTRAL HEATING PLANT SMOKE STACKS	81,756.00	86/05/08
CRAVENS CONSTRUCTION CO. FLATWOOD	FRESTONSBURG COMMUNITY COLLEGE PIKE & MARTIN BLDGS.	21,000.00	86/05/16
DEERINGER & SON ROOFING LEXINGTON	BLANDING I ROOF REPLACEMENT	47,787.50	86/05/27

VI. CONTRACTOR TOTAL PAYMENTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
R. C. CONSTRUCTION SOUTH POINT, OH	HAZARD COMMUNITY COLLEGE PARKING LOT	123,347.55	86/06/04
HEPACO, INC. CHARLOTTE, NC	ASBESTOS REMOVAL MCVEY HALL	88,281.28	86/06/11
ROMAC CONSTRUCTION LOUISVILLE	FUNKHOUSER ENCLOSE STAIRWELLS	89,774.00	86/06/11
WHEELER GENERAL CONTRACTORS LEXINGTON	LEXINGTON COMMUNITY COLLEGE LIGHTED SIGN	20,198.49	86/06/16
BUFCO CORP. EVANSVILLE, IND.	HENDERSON COMMUNITY COLLEGE EMERGENCY GENERATOR	9,589.00	86/06/18

Office of the President  
September 16, 1986

FCR 3

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between the Owensboro Community College and Owensboro Independent Board of Education, Mr. Carrol Hanberry, 1335 West Eleventh Street, Owensboro, Kentucky, for office and classroom space, effective July 1, 1986 through June 30, 1987 at a rental rate of \$42,955.85 annually.

Background: This lease was entered into on July 1, 1985 and continued through June 30, 1986. The Owensboro Community College wishes to continue with the lease from July 1, 1986 through June 30, 1987 at the above rental rate.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986

Office of the President  
September 16, 1986

FCR 4

Members, Board of Trustees:

Approval of Leases

Recommendation: that the President report the following lease agreements in accordance with Board policy:

The execution of a lease between Madisonville Community College and Parkway Plaza Mall Merchants Association, Madisonville, Kentucky, effective July 1, 1986 through December 31, 1986, for the use of classroom space, rental rate of \$1.00 annually, be accepted and made a matter of record;

The execution of a lease between Owensboro Community College and Owensboro-Daviess County Public Library, 450 Griffith Avenue, Owensboro, Kentucky, effective July 1, 1986 through June 30, 1987, for the use of library space, rental rate of \$18,750.00 annually, be accepted and made a matter of record;

The execution of a lease between Owensboro Community College and Joe Iracane, 1705 Frederica Street, Owensboro, Kentucky, effective August 22, 1986 through June 30, 1987, for the use of office space, rental rate of \$17,000.00 annually, be accepted and made a matter of record;

The execution of a lease between Owensboro Community College and Joe Iracane, 1705 Frederica Street, Owensboro, Kentucky, effective August 22, 1986 through June 30, 1987, for the use of parking spaces, rental rate of \$11,813.00 annually, be accepted and made a matter of record;

The execution of a lease between Elizabethtown Community College and Hardin County Board of Education, 110 South Main Street, Elizabethtown, Kentucky, effective August 27, 1986 through May 9, 1987, for classroom space, \$160 per classroom and \$240 per computer lab, be accepted and made a matter of record;

The execution of a lease between Elizabethtown Community College and Grayson County Board of Education, Leitchfield, Kentucky, effective August 27, 1986 through May 9, 1987, for classroom space, \$144 per classroom, be accepted and made a matter of record;

The modification of a lease between the Cooperative Extension Service and Federal Land Bank Association of Shelbyville, be extended through June 30, 1987 and add additional space of 250 square feet at the same rate as existing lease, \$193.11 per quarter. be accepted and made a matter of record.

Background: FCR 5, dated October 15, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986

#0107, p-65



Office of the President  
September 16, 1986

FCR 5

Members, Board of Trustees:

USE OF FRATERNITY RESERVE

Recommendation: that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Student Housing Bonds of 1960 to make available the sum of \$5,800.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of upgrading the electrical system to current code requirements of the Sigma Chi Fraternity House located at 704 Woodland Avenue.

Background: This bond issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of June 30, 1986 this account had a balance of \$44,172.45.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986

#0107,p-69

Office of the President  
September 16, 1986

FCR 6

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORTS  
FOR THE UNIVERSITY OF KENTUCKY FOR 1985-86

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated summary audit report for the fiscal year ended June 30, 1986, consisting of the Accountant's Report from Coopers and Lybrand, Balance Sheets, Statement of Current Funds Revenues, Expenditures and Transfers, Statement of Changes in Fund Balances, Summary of Significant Accounting Policies, Notes to the Financial Statements, and a Cash Reconciliation of amounts on deposit with the Kentucky State Treasurer.

Background: The Finance Committee of the University of Kentucky has reviewed the consolidated financial statements audited for the year ended June 30, 1986, by Coopers and Lybrand, Certified Public Accountants. The consolidated financial statements are presented on a comparative basis for fiscal years 1984-85 and 1985-86, and include the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., and Health Care Collections Service, Inc.

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: September 16, 1986

To the Board of Trustees of the  
University of Kentucky

and

the Secretary of Finance and  
Administration Cabinet of the  
Commonwealth of Kentucky

In connection with our examination of the financial statements of the University of Kentucky (an agency of the Commonwealth of Kentucky) for the year ended June 30, 1986, we have also examined the accompanying reconciliation of appropriations and trust and revolving funds, as reported on the University of Kentucky's financial statements, with unexpended balances reported by the Commonwealth Department of Finance as of June 30, 1986. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the accompanying schedule presents fairly the reconciliation of appropriations and trust and revolving funds with unexpended balances reported by the Commonwealth Department of Finance as of June 30, 1986.

*Coopers + Lybrand*

Lexington, Kentucky  
August 25, 1986

UNIVERSITY OF KENTUCKY

Reconciliation of Appropriations and Trust and Revolving Funds  
With Unexpended Balances Reported by the Commonwealth Department of Finance

As of June 30, 1986

	Mines and Minerals Trust Funds	Trust and Revolving Funds	Tobacco Research Trust Funds	Unexpended Plant Funds	Retirement of Indebtedness Funds	Service Revolving Funds	Debt Basic Compensation Funds	General Appropriation Funds
Cash balance reported on University of Kentucky financial statements as of June 30, 1986, representing cash on deposit with State Treasurer and unexpended balances of state appropriations	\$1,108,538	\$3,406,325	\$204,116	\$39,574,179	\$50,000	\$42,723,719	\$2,359,161	
Cash balances held as temporary investments by the Commonwealth Department of Finance				(23,786,285)				
Net amount of items in transit between the Commonwealth Department of Finance and the University of Kentucky		9,090,031	158,363	(749,376)		28,735	(79,369)	\$5,000
Unexpended balances as reported by the Commonwealth Department of Finance as of June 30, 1986	\$1,108,538	\$12,496,356	\$362,479	\$15,038,518	\$50,000	\$42,752,454	\$2,279,792	\$5,000
	\$74,093,137							
	8,453,384	9,090,031	158,363	(749,376)		28,735	(79,369)	\$5,000

To the Board of Trustees of the  
University of Kentucky

and

the Secretary of Finance and  
Administration Cabinet of the  
Commonwealth of Kentucky

We have examined the consolidated balance sheet of the University of Kentucky (an agency of the Commonwealth of Kentucky) and affiliated corporations as of June 30, 1986, and the related consolidated statements of changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the financial statements referred to above present fairly the consolidated financial position of the University of Kentucky and affiliated corporations as of June 30, 1986, and the consolidated changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended, in conformity with generally accepted accounting principles applied on a basis consistent with that of the preceding year.

*Coopers + Lybrand*

Lexington, Kentucky  
August 25, 1986

CONSOLIDATED BALANCE SHEET  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
JUNE 30, 1986  
with comparative totals at June 30, 1985  
(in thousands)

	1986				Totals	
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	June 1986	June 1985
<b>ASSETS</b>						
Cash and cash equivalents	\$ 51,346	\$ 2,350	\$ 184	\$ 68,295	\$122,175	\$ 94,536
Notes, Loans, and A/R (Less bad debt allowances of \$5,445 in 1986 and \$5,331 in 1985)	31,033	13,341	8	287	44,669	40,114
Investments	49,127		39,047	14,769	102,943	95,123
Property, plant, and equipment				556,401	556,401	506,474
Inventories and other	8,095				8,095	7,582
<b>Total Assets</b>	<b>\$139,601</b>	<b>\$15,691</b>	<b>\$39,239</b>	<b>\$639,752</b>	<b>\$834,283</b>	<b>\$743,829</b>
<b>LIABILITIES AND FUND BALANCES</b>						
<b>Liabilities</b>						
Accounts payable	\$ 25,037	\$ 26		\$ 3,845	\$ 28,908	\$ 25,274
Employee withholdings and deposits	8,721				8,721	7,756
Other liabilities	804				804	836
Estimated settlements for cost reimbursement	734				734	3,474
Deferred income	7,026				7,026	5,993
Bonds payable				144,793	144,793	134,072
Capitalized lease obligation				3,595	3,595	3,835
<b>Total Liabilities</b>	<b>42,322</b>	<b>26</b>		<b>152,233</b>	<b>194,581</b>	<b>181,240</b>
<b>Interfund Balances</b>	<b>5,443</b>			<b>(5,443)</b>		
<b>Fund Balances</b>						
<b>Current-Unrestricted</b>						
Working capital	31,552				31,552	27,022
Future operations	28,252				28,252	31,033
Other	8,196				8,196	5,977
Restricted	23,836				23,836	25,388
<b>Loan</b>						
Unrestricted		96			96	96
Restricted		3,216			3,216	2,982
U.S. Government		12,353			12,353	11,531
<b>Endowment</b>						
Endowment			18,504		18,504	10,663
Term			978		978	906
Quasi			19,757		19,757	17,088
<b>Plant</b>						
Unrestricted allocated for designated projects				55,407	55,407	38,664
Restricted				29,216	29,216	26,485
Net investment in plant				408,339	408,339	364,754
<b>Total Fund Balances</b>	<b>91,836</b>	<b>15,665</b>	<b>39,239</b>	<b>492,962</b>	<b>639,702</b>	<b>562,589</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$139,601</b>	<b>\$15,691</b>	<b>\$39,239</b>	<b>\$639,752</b>	<b>\$834,283</b>	<b>\$743,829</b>

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CHANGES IN FUND BALANCES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE YEAR ENDED JUNE 30, 1986  
 (in thousands)

	1986				Totals
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	
<b>Revenues and other additions</b>					
Unrestricted current fund revenues	\$396,463				\$396,463
Gifts	13,134	\$ 4	\$ 6,692	\$ 14,815	34,645
Endowment and investment income	2,874	474	735	3,548	7,631
Endowment gains and losses			1,737		1,737
Net bond proceeds				6,561	6,561
Grants and contracts	43,924	626			44,550
Plant facilities transferred to investment in plant (including \$19,306 charged to current expenditures)				26,937	26,937
Retirement of indebtedness				5,100	5,100
State capital construction funds				339	339
Other additions	86	122		1,399	1,607
<b>TOTAL REVENUES</b>	<b>456,481</b>	<b>1,226</b>	<b>9,164</b>	<b>58,699</b>	<b>525,570</b>
<b>Expenditures and other deductions</b>					
Unrestricted current fund expenditures	354,475				354,475
Restricted current fund expenditures	56,563				56,563
Plant facilities transferred to investment in plant				7,176	7,176
Non-capitalized expenditures				6,345	6,345
Retirement of indebtedness				11,780	11,780
Interest on indebtedness				8,908	8,908
Disposal of plant facilities				2,890	2,890
Other deductions		283		37	320
<b>TOTAL EXPENDITURES</b>	<b>411,038</b>	<b>283</b>		<b>37,136</b>	<b>448,457</b>
<b>Transfers among funds</b>					
<b>Mandatory</b>					
Debt service					
Educational and general	(10,180)			10,180	
Auxiliary enterprises	(2,317)			2,317	
Matching grant	(66)	66			
<b>Total mandatory transfers</b>	<b>(12,563)</b>	<b>66</b>		<b>12,497</b>	
<b>Net increase before non-mandatory transfers</b>	<b>32,880</b>	<b>1,009</b>	<b>9,164</b>	<b>34,060</b>	<b>77,113</b>
<b>Non-Mandatory</b>					
To loan					
To endowment	(1,465)	47	(47)		
To plant			1,465		
Educational and general	(10,501)			10,501	
Hospital	(14,804)			14,804	
Auxiliary enterprises	(3,694)			3,694	
<b>Total non-mandatory transfers</b>	<b>(30,464)</b>	<b>47</b>	<b>1,418</b>	<b>28,999</b>	
<b>Net increase for the year</b>	<b>2,416</b>	<b>1,056</b>	<b>10,582</b>	<b>63,059</b>	<b>77,113</b>
Fund balances, June 30, 1985	89,420	14,609	28,657	429,903	562,589
Fund balances, June 30, 1986	\$ 91,836	\$15,665	\$39,239	\$492,962	\$639,702

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CURRENT FUNDS  
REVENUES, EXPENDITURES AND OTHER CHANGES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE YEAR ENDED JUNE 30, 1986  
with comparative totals for June 30, 1985  
(in thousands)

	1985-1986			1984-1985
	Unrestricted	Restricted	Total	Total
<b>REVENUES</b>				
Education and general				
Student tuition and fees	\$ 44,064		\$ 44,064	\$ 40,101
Government appropriations				
Federal	13,191		13,191	13,991
State	187,330		187,330	177,649
County	3,231		3,231	3,102
Governmental grants and contracts				
Federal	281	\$ 31,515	31,796	28,649
State	139	12,467	12,606	14,882
Private gifts and grants	1,487	12,888	14,375	10,304
Indirect cost reimbursement	5,386		5,386	5,070
Endowment income	757	2,392	3,149	1,697
Sales and service of educational activities	11,905		11,905	9,638
Investment income	8,683	519	9,202	8,474
Other sources	338	455	793	1,252
<b>Total educational and general</b>	<b>276,792</b>	<b>60,236</b>	<b>337,028</b>	<b>314,809</b>
Hospital and clinics	84,774		84,774	76,750
Auxiliary enterprises	34,897	11	34,908	34,132
<b>TOTAL REVENUES</b>	<b>396,463</b>	<b>60,247</b>	<b>456,710</b>	<b>425,691</b>
<b>EXPENDITURES</b>				
Educational and general				
Instruction	115,727	5,908	121,635	106,538
Research	20,799	26,914	47,713	46,944
Public Service	34,084	6,959	41,043	36,791
Libraries	9,319	61	9,380	8,789
Academic support	19,538	1,601	21,139	20,701
Student Services	8,986	1,338	10,324	9,310
Institutional support	19,692	1,036	20,728	17,296
Operation and maintenance of physical plant	25,055	17	25,072	25,256
Student Aid	5,194	12,700	17,894	15,777
Other expenses	133	18	151	377
	258,527	56,552	315,079	287,779
Hospital and clinics	67,187		67,187	71,722
Auxiliary enterprises	28,761	11	28,772	26,753
<b>TOTAL EXPENDITURES</b>	<b>354,475</b>	<b>56,563</b>	<b>411,038</b>	<b>386,254</b>
Mandatory transfers				
Debt Services				
Educational and general	9,252	928	10,180	9,458
Auxiliary enterprises	2,317		2,317	2,263
Other	244	(178)	66	31
<b>TOTAL EXPENDITURES AND MANDATORY TRANSFERS</b>	<b>366,288</b>	<b>57,313</b>	<b>423,601</b>	<b>398,006</b>
Non-mandatory transfers				
General Fund	(646)	646		
To Plant fund				
Educational and general	7,978	2,523	10,501	6,971
Hospitals and clinics	14,804		14,804	1,415
Auxiliary enterprises	3,694		3,694	4,422
To endowment - educational and general	375	1,090	1,465	773
Excess restricted receipts over transfer to revenues		229	229	(8,406)
<b>TOTAL EXPENDITURES AND TRANSFERS</b>	<b>392,493</b>	<b>61,801</b>	<b>454,294</b>	<b>403,181</b>
<b>NET INCREASE IN FUND BALANCES</b>	<b>\$ 3,970</b>	<b>\$ (1,554)</b>	<b>\$ 2,416</b>	<b>\$ 22,510</b>

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.



## UNIVERSITY OF KENTUCKY

### SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

#### Scope of Statements

The consolidated financial statements of the University of Kentucky include the operations of the University and its affiliated corporations as follows: The University of Kentucky Research Foundation; The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association; Health Care Collection Service, Inc.; University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership Foundation, Inc.; University of Kentucky Equine Research Foundation, Inc.; and University of Kentucky Humanities Foundation.

#### Accrual Basis

The financial statements of the University have been prepared on the accrual basis of accounting, except that costs of an unfunded pension plan liability but are expensed when paid to retirees (see Note 2).

#### Current Funds

The statement of current funds revenues, expenditures, and other changes is a summary of financial activities of current funds related to the current reporting period.

To the extent that current funds are used to finance plant asset acquisitions, the amounts are accounted for as (1) expenditures, in the case of normal replacement of movable equipment and library books; (2) mandatory transfers, in the case of required provisions for debt amortization and interest; and (3) transfers of a nonmandatory nature for all other cases.

The Board allocates unrestricted current fund balances for specific purposes. These purposes are for the working capital needs of the University (including the hospital, the housing and dining system, and other auxiliary enterprises); certain unrestricted income from private sources; and budget appropriations for future operating purposes.

#### Fund Accounting

The University maintains its accounts and reports its financial transactions in accordance with the principles of fund accounting. This is the procedure by which resources for various purposes are classified into funds that are in accordance with activities or objectives specified and funds that have similar characteristics are combined for reporting purposes into fund groups.

Within each fund group, fund balances restricted by outside sources are so indicated and are distinguished from unrestricted funds allocated to specific purposes by action of the Board of Trustees. The Board retains full control over allocated fund balances to use in achieving its institutional purposes.

Restricted gifts, grants, appropriations, endowment income, and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenues and expenditures when expended for current operating purposes.

Endowment funds are subject to the restrictions of gift instruments requiring in perpetuity that the principal be invested permanently and only the income be utilized by the donee. Term endowment funds are similar to endowment funds except that upon the passage of a stated period of time or the occurrence of a particular event, all or part of the principal may be expended as well. Quasi-endowment funds are established by the governing board for the same general purposes as endowment funds, and any portion may be expended.

Ordinary income derived from investments, notes receivable, and the like, is accounted for in the fund owning the assets, except that income derived from investments of endowments and similar funds and the majority of current restricted funds is accounted for in the fund to which the income is designated.

All unrestricted revenue is accounted for in the unrestricted fund.

#### Investments

Investments are stated at cost or, in the case of gifts, at market value at the date of donation.

#### Inventories

Inventories are stated principally at the lower of average cost or market.

#### Liability for Self-Insurance

The University self-insures certain employee benefits to the extent not covered by insurance carriers. Current fund expenditures include claims paid by The University and an estimated liability for asserted claims at the end of the year.

The University and its agents are insured against medical malpractice by a combination of self insurance, excess liability insurance, and an excess liability fund established by the Commonwealth of Kentucky. As of June 30, 1986, the University has a malpractice liability reserve of \$3,721,013. To the extent judgements might exceed the reserve and excess liability insurance coverages, the Commonwealth of Kentucky has made provision for such excesses.

The University has established a 501(c)(9) trust with the Commerce National Bank, Lexington, as trustee, to administer a self-funded long term disability income program. The University remits premiums to the Trustee for purposes of paying claims and establishing necessary reserves.

#### Land, Buildings, and Equipment-Depreciation

Land, land improvements, buildings and equipment are stated at cost at date of acquisition or, in the case of gifts, at fair market value at date of gift except for the following: (1) The University has title to certain tracts of land which were either donated or purchased at a nominal price with no book value assigned to these properties; and (2) buildings acquired prior to June 30, 1958, were recorded at appraised value of \$33,000,000 at that date. In conformity with college and university accounting practices, no provision is made for depreciation of buildings and equipment.

#### Other

Other significant accounting policies are set forth in the financial statements and notes thereto.

UNIVERSITY OF KENTUCKY

NOTES TO CONSOLIDATED FINANCIAL STATEMENTS

(1) Pension Plans

Regular full-time employees, including faculty, are participants in the University of Kentucky Retirement Plan administered by the Teachers Insurance and Annuity Association (TIAA). The University of Kentucky Retirement Plan consists of four Teachers Insurance and Annuity Association/College Retirement Equities Fund (TIAA/CREF) group retirement plans as follows:

Group I	Established July 1, 1964, for faculty and certain administrative officials.
Group II	Established July 1, 1971, for staff members in the clerical, technical and service categories.
Group III	Established July 1, 1972, for staff members in the managerial, professional and scientific categories.
Group IV	Established January 1, 1973, for staff members having U. S. Civil Service appointments.

Participation in The University of Kentucky Retirement Plan in groups I, II, and III is mandatory for all faculty and staff members age 30 and older who have completed one year of service. Participation is voluntary to age 30 (after completion of one year of service). Participation in group IV is always voluntary.

Under these fully funded TIAA/CREF group retirement plans, The University and plan participants make annual contributions to TIAA to purchase individual annuities. The University's share of costs for these plans was \$13,571,837 and \$12,576,487 for 1986 and 1985, respectively. The University makes retirement contributions to the U. S. Civil Service for certain Cooperative Extension Service Employees in the College of Agriculture. These contributions amounted to \$1,051,500 and \$996,866 for 1986 and 1985, respectively.

In addition to retirement benefits provided from the TIAA/CREF retirement plans, The University provides supplemental retirement income benefits to certain eligible employees in each of the retirement groups (see Note 2).

(2) Minimum Annual Retirement Benefits and Supplemental Retirement Income

Employees in TIAA/CREF Retirement Groups I through III who were age 40 or older prior to the date of establishment of each group plan, and who were employed by the University prior to that date, qualify for supplemental retirement income to assure a minimum annual retirement benefit.

Benefits for these eligible employees are based upon a percentage, determined through years of service, of the participant's annual salary in the last year of employment prior to retirement. Retirement benefits as determined are funded partially by each individual retiree's accumulation in the TIAA/CREF Retirement Plan, with the balance provided by The University as supplemental retirement income.

The costs of supplemental retirement income benefits are not accrued but are recognized as payments are made to retirees. Supplemental retirement benefit payments were \$3,634,979 for 1986 and \$3,585,015 for 1985. The projected estimate of annual payments for supplemental retirement benefits for the next five fiscal years is as follows:

1987	\$3,722,000
1988	3,745,000
1989	3,819,000
1990	3,793,000
1991	3,795,000

The Legislature of the Commonwealth of Kentucky has appropriated funds to The University for the payment of supplemental retirement income benefits since adoption of the TIAA/CREF group retirement plans, and is expected to continue this practice; however, the Constitution of the Commonwealth of Kentucky prohibits the commitment of future revenues beyond the end of the current biennium. Accordingly, The University does not recognize the liability for supplemental retirement income benefits during the service life of covered employees, but recognizes their costs as funds are appropriated by the Legislature and payments are made.

The University intends to continue paying supplemental retirement income benefits contingent upon the Legislature continuing to appropriate funds required to make these payments.

The latest actuarial valuation was prepared as of June 30, 1986, by TIAA. The actuarial present value of vested and non-vested accumulated supplemental retirement income benefits as determined by this valuation, utilizing an assumed rate of return of 8%, was \$37,262,000 (\$35,792,000 vested and \$1,470,000 non-vested) as of June 30, 1986.

(3) Investments

A comparison of the carrying value and approximate market values of the University's investments is shown below:

	<u>Carrying Value</u>	<u>Approximate Market Value</u>
June 30, 1986		
Type of investment-		
United States Government securities (maturity value of \$47,532,595)	\$ 47,490,205	\$ 48,637,366
Certificates of deposit	16,740,550	16,740,550
Equity in pooled endowment funds	7,090,932	9,706,637
Equity in fixed income fund	15,497,896	16,502,526
Equity in money market fund	6,592,835	6,592,835
Equity in Intercollegiate Athletics fund	8,281,345	9,383,771
Real property and mineral rights	122,831	122,831
Common stocks	620,717	530,370
Other	506,303	504,914
	----- \$102,943,614 =====	----- \$108,721,800 =====

Fund Group-		
Current unrestricted	\$ 12,280,572	\$ 12,350,776
Current restricted	36,846,768	37,199,808
Endowment	39,047,201	43,681,721
Plant	14,769,073	15,489,495
	-----	-----
	\$102,943,614	\$108,721,800
	=====	=====

(4) Funds Held in Trust by Others

The University is the income beneficiary of various trusts which are held and controlled by independent trustees. For the years ended June 30, 1986 and 1985, the University received from these trusts income amounting to \$477,819 and \$369,905, respectively. The University has no equity interest in the principal of the trusts which, accordingly, is recorded at a nominal value of \$1 each. The income received from these funds is recorded in the current unrestricted fund for \$316,998 and in the current restricted fund for \$160,821.

(5) Bonds Payable

Bonds payable at June 30, 1986, consisted of the following:

	<u>Outstanding</u>	<u>Current Maturities 1986-87</u>
Lexington Campus:		
Consolidated Educational Buildings Revenue Bonds, Series A-I, issued 1960 to 1985 maturities to 2006, interest rates 3.0% to 9.3%	\$ 82,420,000	\$3,015,000
Consolidated Housing and Dining System Revenue Bonds, Series A-L, issued 1965 to 1986, maturities to 2018, interest rates 2.75% to 7.0%	32,120,000	1,080,000
Other, issued 1955 to 1968, maturities to 2004, interest rates 3.0% to 5.4%	2,078,000	167,000
Community Colleges:		
Consolidated Educational Buildings Revenue Bonds, Series A-E, issued 1969 to 1986, maturities to 2009, interest rates 3.0% to 10.2%	28,175,000	615,000
	-----	-----
Total	\$144,793,000	\$4,877,000
	=====	

Interest	
Fully funded issues	30,293
Other issues	9,115,082
	-----
Total debt service	\$14,022,375
	=====

The aggregate amounts of maturities for the next five years are as follows: (In thousands of dollars)

	Year ended June 30				
	<u>1987</u>	<u>1988</u>	<u>1989</u>	<u>1990</u>	<u>1991</u>
Unexpended Plant Bonds	\$ 315	\$ 345	\$ 375	\$ 410	\$ 445
Retirement of Indebtedness Bonds	96	99	102	106	109
Investment in Plant Bonds	4,466	4,708	4,956	5,244	5,541
	-----	-----	-----	-----	-----
Total	\$4,877	\$5,152	\$5,433	\$5,760	\$6,095
	=====	=====	=====	=====	=====

Certain of these bond issues are fully funded by investments on deposit with the bond trustees, totalling \$1,140,550 at June 30, 1986. Income on these investments will be allocated to pay current interest indebtedness.

Revenues from student housing and dining facilities, certain other auxiliary enterprises and student fees are pledged for the retirement of the bonds.

(6) Construction Commitments

Estimated cost to complete construction under contract at June 30, 1986 is approximately \$24,619,000. Such construction is principally financed by Commonwealth of Kentucky appropriations and long-term bonds payable.

(7) Contingencies

As of June 30, 1986, the University Hospital has recorded a liability of \$734,425 as an estimate of the amounts the Medicare and Medicaid reimbursements exceeded allowable costs for the years 1984 through 1986. The liability per year is:

	<u>Medicaid</u>	<u>Medicare</u>	<u>Total</u>
1984	\$ 320,243		\$320,243
1985	750,000		750,000
1986	1,067,990	\$(1,403,808)	(335,818)
	-----	-----	-----
	\$2,138,233	\$(1,403,808)	\$734,425
	=====	=====	=====

The University is defendant in several lawsuits; however, University officials are of the opinion, based on advice of in-house legal counsel, that the ultimate outcome of all litigation will not have a material effect on the future operations or financial position of The University beyond the amounts already provided.

(8) Pledges

Pledges from The University of Kentucky Fellows totaling approximately \$4,187,000 primarily for various restricted uses, are expected to be collected over the next ten years. For construction of the football sports complex, pledges totaling \$1,466,000 have been made, payable over the next three years. Also deferred gifts through insurance, known bequests and irrevocable trusts in which the University has a remainder interest, are estimated at a minimum of approximately \$10,000,000.

Pledges to the affiliated corporations are as follows: University of Kentucky Business Partnership Foundation, Inc., \$536,000 expected to be collected over the next four years; University of Kentucky Equine Research Foundation, Inc., \$4,143,000 expected to be collected over the next seven years; and University of Kentucky Mining Engineering Foundation, Inc., \$145,000 expected to be collected over the next two years.

It is not practical to estimate the net realizable value of such pledges and deferred gifts.

(9) Reclassifications

Certain account classifications for fiscal 1985 have been reclassified to reflect comparability with account classifications adopted for fiscal 1986 with no effects on previously reported fund balances.

(10) Grants and Contracts Awarded

At June 30, 1986, grants and contracts awarded to the University and the University of Kentucky Research Foundation, but not expended, amounted to \$30,511,768, and are not shown in these financial statements.

(11) Investment in Plant

The major components of investment in plant as of June 30, 1986 are as follows:

Land and improvements	\$ 26,709,729
Building and structures	349,070,581
Equipment and library books	163,861,440
Construction in progress	16,758,983
	-----
	\$556,400,733
	=====

(12) Subsequent Event

The University sold \$21,000,000 of Hospital Revenue Bonds, dated August 1, 1986, for renovation and expansion of the Hospital.

Office of the President  
September 16, 1986

FCR 1

Members, Board of Trustees:

Acquisition of Property for the University Press of Kentucky

Recommendation: that the Vice Chancellor for Administration of the Lexington Campus be authorized to acquire the property located at 663 South Limestone Street at its appraised value for the University Press of Kentucky at a price not to exceed \$240,000.

Background: The University Press is presently located in Lafferty Hall which is inadequate for its needs. The property located at 663 South Limestone is a six-unit brick apartment building that has recently become available for sale at an asking price of \$240,000. The property contains 6,096 square feet and has a five-car garage with 8 to 10 additional parking spaces. Very minimal renovation will be required to make this property usable by the Press.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986



Office of the President  
September 16, 1986

# FCR 8

Members, Board of Trustees:

Kirwan and Blanding Towers Brick Repair Project

Recommendation: that the Board authorize the increase of the scope of the brick spauling repair project for Kirwan and Blanding Towers from \$380,000 to \$1,290,000.

Background: The Kirwan and Blanding Towers are two 22 story student residence halls. At the present time the bricks on these towers are in danger of falling due to design and construction flaws which did not allow for expansion, contraction and proper attachment. The bricks must be replaced with new bricks or pre-cast concrete panels and properly attached to the concrete block backing. The scope of this project is \$1,290,000 which will be funded from the maintenance reserve fund the University is required to maintain under terms of the Housing and Dining trust indenture and from the replacement fund maintained by the system.

The Board authorized the Trustee of the University of Housing and Dining System Revenue Bonds to make available the sum of \$380,000 for the purpose of making a feasibility study and repairing the Kirwan and Blanding Towers at its meeting of September 17, 1985.

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Action taken: Approved  Disapproved  Other

Date: September 16, 1986