

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, December 10, 1974.

The Board of Trustees of the University of Kentucky met in regular statutory session at 2:00 p. m. (EST) on Tuesday, December 10, 1974 in the Board Room on the 18th floor of the Patterson Office Tower on the University campus. The following members answered the call of the roll: Mr. Frank Ramsey, Jr., Mr. Homer W. Ramsey, Mr. William R. Black, Mrs. Rexford S. Blazer, Mr. Stanley Burlew, Mr. Richard E. Cooper, Mr. Eugene Goss, Mr. George W. Griffin, Mr. Thomas B. Kessinger, Professor Michael Adelstein, Mr. Zirl Palmer, Professor Paul G. Sears, Mr. William B. Sturgill, Mr. James A. Sutherland, Mr. David Mucci and Dr. John R. Woodyard. Absent members were Mrs. Robert O. Clark, Mr. Albert G. Clay, and Mr. John R. Crockett. The University Administration was represented by President Otis A. Singletary; Vice Presidents Alvin L. Morris, Lewis W. Cochran, Robert Zumwinkle, Stanley Wall, Lawrence E. Forgy and Raymond R. Hornback; Dr. Donald B. Clapp, Executive Assistant to the President; and Mr. John Darsie, Legal Counsel. Representatives of the various news media also attended the meeting.

A. Meeting Opened

Mr. Sturgill, Chairman of the Board of Trustees, called the meeting to order at 2:02 p. m. Following the invocation, pronounced by Mr. Sutherland, the Secretary called the roll. A quorum being present, the Chairman declared the meeting opened for the official conduct of business at 2:06 o'clock.

B. Approval of the Minutes

On motion duly made, seconded and carried, the reading of the Minutes of the Executive Committee Meeting of November 12, 1974 was dispensed with and the Minutes were approved as published.

C. Resolutions Adopted

At the request of the Chairman, Mr. William R. Black read two Resolutions of Appreciation for the services rendered to the Board of Trustees and the University by Mr. Garvice D. Kincaid and Professor Paul Oberst during their terms of office on the Board. Copies of the Resolutions appear at the end of the Minutes.

Following the reading of the Resolutions, on motion by Mr. Black, seconded by Mr. Homer Ramsey, the Resolutions were adopted, ordered spread upon the Minutes of the meeting, and copies sent to Mr. Kincaid and Professor Oberst. (See Resolutions at the end of the Minutes.)

D. President's Report to the Trustees (PR 1)

President Singletary reviewed the items contained in his monthly report to the Trustees, taking particular note of the resignation of Dr. Alvin L. Morris who has accepted a position as Executive Director and Secretary-Treasurer of the Association for Academic Health Centers in Washington, D. C.

Upon the recommendation of the President, the Chairman accepted the report as presented and ordered it filed.

E. Recommendations of the President (PR 2)

Noting that the items in PR 2, Recommendations of the President, were routine in nature and had been distributed to members of the Board prior to the meeting, President Singletary, recommended approval of PR 2 as a whole.

On motion by Professor Sears, seconded by Mr. Homer Ramsey, PR 2 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

F. Appointment of Athletics Director (PR 3A)

President Singletary said that PR 3, Supplemental Recommendations of the President, was made up of three parts and was concerned with certain personnel changes which had been processed too late to send out in advance of the meeting.

The first section, PR 3A, recommended the appointment of Mr. Cliff Hagan as Athletics Director at the University of Kentucky, effective July 1, 1975. President Singletary reminded the members of the Board that Mr. Harry C. Lancaster, present Athletics Director, had requested that his successor be named at least one year prior to the effective date of his retirement from the University, which date would be July 1, 1976. Acting on this request, President Singletary appointed a Search Committee to screen candidates for the Athletics Director's replacement and it was the unanimous recommendation of this committee that Mr. Hagan, now Assistant Athletics Director, be named Athletics Director effective July 1, 1975. The recommendation was made known to the Athletics Association Board of Directors at a meeting on the morning of December 10, 1974 and that Board adopted a resolution endorsing Mr. Hagan for the position. President Singletary said he concurred wholeheartedly in the recommendation of the Search Committee and the endorsement of it by the Athletics Association Board of Directors and recommended approval by the Board of Trustees of Mr. Hagan's appointment as Athletics Director.

Mr. Frank Ramsey spoke of his long association with Mr. Hagan whom he believed would be superb in the job and moved that Mr. Hagan be named Athletics Director at the University of Kentucky effective July 1, 1975. His motion was seconded by Dr. Woodyard and passed with all present voting aye.

Mr. Hagan, being present at the meeting at the request of Dr. Singletary, was introduced and welcomed as the forthcoming new Director. Mr. Lancaster, also being present, was recognized and thanked for his loyal and devoted service to the University. Particular notice was taken of the unselfishness of his act in stepping down prior to his retirement so that the new Director during his first year in office might have the benefit of Mr. Lancaster's experience. (See PR 3A at the end of the Minutes.)

G. Leave of Absence for Dean of Students (PR 3B)

President Singletary said the next part of PR 3 was a recommendation that Dean of Students Jack B. Hall be granted a year's leave of absence in order to accept an assignment with state government in Frankfort.

After explaining that it was in keeping with the University's policy to provide service to state government upon request, President Singletary recommended approval of the leave of absence requested by Dean Hall for the period of one year effective on or about January 3, 1975. Mrs. Blazer so moved. Her motion was seconded by Mr. Mucci and the ayes carried.

President Singletary recognized Dean Hall. Both President Singletary and Dr. Zumwinkle commented on the excellent manner in which Dean Hall has carried out his duties as Dean of Students and expressed the hope that his absence from the campus would be a short one. (See PR 3B at the end of the Minutes.)

H. Appointment of Acting Dean of Students (PR 3C)

President Singletary said the third and final part of PR 3 was concerned with a replacement for Dean Hall during his leave of absence. He continued that the University was most fortunate to have on the staff, in the person of Joseph T. Burch, a man eminently well qualified to take over Dean Hall's responsibilities. He then recommended that the Board of Trustees approve the appointment of Mr. Burch as acting Dean of Students, effective on or about January 3, 1975.

The Chairman called for a motion approving the recommendation that Mr. Joseph T. Burch be named acting Dean of Students on or about January 3, 1975 for the period of one year. The motion was made by Mr. Sutherland, seconded by Professor Adelstein and passed without dissent. (See PR 3C at the end of the Minutes.)

I. Candidates for Degrees (PR 4)

Mentioning that the individuals whose names were presented as degree candidates were December graduates, President Singletary requested approval of the recommendation in PR 4 that he be authorized to confer upon each individual whose name appears on the list presented to the Board, the degree to which he is entitled upon certification of the Dean of Admissions and Registrar and approval by the University Senate that the individual has satisfactorily completed all requirements for the degree for which he has made application.

There being no discussion, on motion by Mr. Mucci, seconded by Mr. Griffin, the recommendation in PR 4 was approved. (See PR 4 at the end of the Minutes.)

J. Associate Degree Program in Nursing at the Ashland Community College (PR 5)

Noting that all new academic programs must be approved by the Council on Public Higher Education, President Singletary recommended approval of an Associate Degree Program in Nursing at the Ashland Community College under the conditions set forth as a part of PR 5 for transmission to the Council.

There being no questions, on motion by Mrs. Blazer, seconded by Mr. Homer Ramsey, the recommendation in PR 5 was approved and the appropriate University officials were authorized to submit the proposed new program to the Council on Public Higher Education. (See PR 5 at the end of the Minutes.)

K. Provisions for Supplemental Compensation under the Physicians' Services Plan (PR 6)

President Singletary mentioned that the changes recommended in the Provisions for Supplemental Compensation under the Physicians' Services Plan as set forth in PR 6 had been in the hands of the members for approximately ten days. He added that Dr. Bost was present to answer any questions and recommended approval of PR 6.

There being no questions, on motion by Mr. Sutherland, seconded by Mr. Mucci, PR 6 was approved as presented. (See PR 6 at the end of the Minutes.)

L. 1974-75 Budget Revisions (PR 7)

The President indicated that Mr. Carter, Budget Director, was present and would be pleased to answer any questions relative to the revisions of the 1974-75 Budget as proposed in PR 7.

Noting that the members had had an opportunity to see the proposed changes in advance of the meeting and determining that there were no questions, the Chairman called for a motion to authorize and approve the proposed Budget Revisions as presented in PR 7. Mr. Palmer so moved. His motion was seconded by Mr. Kessinger and passed without dissent. (See PR 7 at the end of the Minutes.)

M. Proposal for the Initiation of a Capital Construction Project (PR 8)

President Singletary explained that because the heating system in Carson Hall at Paducah Community College was antiquated and the cooling was provided by window air conditioning units which overload the electrical system, it was deemed desirable to install a new air conditioning, heating, and fire alarm system there. Since the total cost of the project will exceed \$100,000, approval from the Council on Public Higher Education is required and approval by the Board of Trustees is necessary before the project can be submitted to the Council. President Singletary recommended such approval be given.

The Chairman called for a motion to approve PR 8. Mr. Black so moved. His motion was seconded by Mrs. Blazer, and without dissent, it was approved and so ordered. (See PR 8 at the end of the Minutes.)

N. Approval of Amendments to the Governing Regulations (PR 9A & 9B)

At the request of President Singletary, Professor Sears explained that the proposed amendments in PR 9A & 9B had been presented to the Board in September and, under the Governing Regulations, must lie on the table for a minimum of thirty days. This time having elapsed, Professor Sears moved that the proposed amendments in PR 9A & PR 9B be removed from the table and adopted with the striking of the word "prospective" from the first change in PR 9A. The motion was seconded by Professor Adelstein and passed without dissent. (See PR 9A and 9B at the end of the Minutes.)

O. Report on Results of Alumni Member Election (PR 10)

Professor Sears, who as assistant secretary of the Board of Trustees had substituted for Mrs. Blazer in the tabulation of the results of the Alumni

election, presented his report (a copy of which appears at the end of the Minutes) which certified that the election had been held as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees and moved that the report be received and put to record and that he be authorized to certify to the Governor the names of the three persons receiving the largest number of votes from which list a successor to Mrs. Clark would be appointed. His motion was seconded by Mrs. Blazer and passed. (See PR 10 at the end of the Minutes.)

P. Recommendations to Implement Recommendations Formulated by Committee to Evaluate Co-educational Housing and Visitation (TR 1)

The Chairman asked Mr. David Mucci to present his recommendation which appeared on the Agenda as TR 1 and a copy of which had been mailed to the members prior to the meeting.

Mr. Mucci said that a committee appointed by Dr. Zumwinkle had made an in-depth study of the co-educational hall and visitation policies at the University over an eight month period and, as a result of their study, had presented their report to Dr. Zumwinkle with nine recommendations. Dr. Zumwinkle had approved six without change and a seventh with certain alterations for implementation. Two he did not approve. The two recommendations which were not approved and the change in the third recommendation were significant policies and were ones which the students very much wanted implemented. Basically these three recommendations would provide unrestricted sophomore option for co-educational housing, 6 p.m. to midnight week-day visitation in the co-educational hall, and a thirty hour week-end visitation policy for freshmen.

Mr. Mucci concluded his remarks with a motion that the Board of Trustees approve the recommendation in TR 1 to fully implement the nine recommendations formulated by the committee to evaluate co-educational housing and visitation. Dr. Adelstein seconded his motion and expressed his interest in and sympathy for the students' wishes in the matter. In the discussion which followed, Dr. Zumwinkle explained his reasons for not implementing the three recommendations in question. Mr. Black commented that the Administration had done well in carrying out this experimental program so far and that he felt they should continue to do so without interference from the Board of Trustees.

There being no further comment, the Chairman called for the vote on Mr. Mucci's motion and it was defeated with only two votes cast in favor. (See TR 1 at the end of the Minutes.)

Q. Interim Financial Report (FCR 1)

Mr. George W. Griffin, acting in the absence of the Chairman of the Finance Committee, moved that the Interim Financial Report for the period ending October 31, 1974 be accepted. His motion was seconded by Professor Sears and, without dissent, it was approved and so ordered. (See FCR 1 at the end of the Minutes.)

R. Acceptance of Estate Distribution (FCR 2)

Explaining that the Finance Committee had examined the proposed distribution of securities as proposed by the Republic National Bank of Dallas, Texas, acting under the will of Wilhelmina P. Robertson, and approved the schedule proposed as the University's share, Mr. Griffin moved that the resolution of acceptance as set forth in FCR 2 be adopted. His motion was seconded by Mr. Homer Ramsey and passed. (See FCR 2 at the end of the Minutes.)

S. Approval of Settlement Agreement (FCR 3)

At the request of Mr. Griffin, Mr. Forgy gave a brief review of the various steps taken by the University to recover its losses in connection with the Penn Central commercial papers purchased by it from Goldman, Sachs & Company just prior to Penn Central filing a petition for reorganization proceedings. As Treasurer of the University, Mr. Forgy said he felt that the settlement agreement presented for the Board's consideration in FCR 3 is the best possible settlement that can be worked out and the most advantageous to the University both money and time wise. He, therefore, recommended that the Board of Trustees approve the recommendation made in FCR 3.

After commendatory comments from various members, including one by Mr. Griffin that this would be the most favorable settlement Goldman, Sachs & Company has approved to date, Mr. Griffin moved that the settlement agreement in FCR 3 be approved. His motion was seconded by Mr. Goss. Without further discussion the vote was called for and the ayes carried. There were no dissenting votes. (See FCR 3 at the end of the Minutes.)

T. Report on Appalachian Education Satellite Program

Dr. Cochran, at President Singletary's request, introduced Mr. David L. Larimore, Project Director of the Appalachian Education Satellite Program, who gave an interesting and informative report to the Board Members and ended his remarks with the showing of a film about the Appalachian Education Satellite Program.

Mr. Sturgill thanked Mr. Larimore for his presentation and commended the University and its faculty for their enterprise in obtaining this program and in carrying it out so successfully.

U. Meeting Adjourned

Having first determined that there was no further business to come before the meeting, Mr. Sturgill called for a motion for adjournment. The motion being duly made, seconded and carried, the meeting adjourned at 3:30 p.m.

Respectfully submitted,

Lucile P. Blazer, Secretary
Board of Trustees

(Kincaid and Oberst Resolutions, PRs 2, 3A, 3B, 3C, 4, 5, 6, 7, 8, 9A, 9B, and 10; TR 1; FCRs 1, 2, and 3 which follow are official parts of the Minutes of the meeting.)

RESOLUTION

The Board of Trustees of the University of Kentucky notes with regret the expiration on June 30, 1974 of the term of

MR. GARVICE D. KINCAID

as a member of the Board and wishes to recognize the contributions which he made during his tenure.

By act of the 1972 General Assembly, the membership of the Board of Trustees was reconstituted. The three ex officio state officials were removed from the Board and four appointive members were named in their places. This change in the membership of the Board became effective July 1, 1972 and Governor Wendell H. Ford named the four new members for staggered terms. Mr. Kincaid was named for a two-year term which expired on June 30, 1974.

Mr. Kincaid received his A. B. degree from the University of Kentucky in 1934 and his LL. B. degree from this institution in 1937. A senior partner in the law firm of Kincaid, Wilson, Schaeffer, Trimble & Hembree, Mr. Kincaid's interests are many and varied. He is recognized throughout the country as a leader in the world of banking and insurance. He holds several honorary degrees and the list of the awards he has received is both long and impressive.

The University of Kentucky counts itself fortunate to have had Mr. Kincaid as a member on its Board of Trustees. As a member of the Finance Committee, the Investment Committee, and the Executive Committee his sage advice and wise counsel benefitted the institution in many ways. Now, therefore, be it

RESOLVED that this Board of Trustees go on record as recognizing the valuable contributions which Mr. Kincaid has made to the University of Kentucky, both as an alumnus and as a member of its governing body. And be it further

RESOLVED that this resolution of appreciation and thanks for his services be adopted, spread upon the Minutes of the meeting, and a copy sent to Mr. Kincaid.

RESOLUTION

The Board of Trustees of the University of Kentucky wishes to express its thanks and appreciation to

PROFESSOR PAUL OBERST

for his service as a faculty representative on the Board from July 1, 1971 through June 30, 1974.

Professor Oberst has served two previous terms on the Board, being first elected as faculty representative for a term beginning July 1, 1963 and ending June 30, 1966 and reelected for a second term beginning July 1, 1966 and ending June 30, 1969. The fact that he was elected for a third term is indicative of the esteem in which he is held by his colleagues and those with whom he has served on the Board share their high regard for him.

Professor Oberst received his AB degree from Evansville College, his LL.B. degree from the University of Kentucky, and his LL.M. degree from the University of Michigan. He has been very involved in University, community and state affairs throughout his career and is considered one of the outstanding members of the faculty of the College of Law.

Professor Oberst's legal training and his judicious approach made him a particularly valuable member of the Board's Hearing Committee. Able to see both sides of a question, Professor Oberst's judgments were always sound and his fellow members valued his opinions and sought his counsel and advice on many matters, particularly those relating to the faculty and the student body. Now, therefore, be it

RESOLVED that the Board of Trustees, recognizing its indebtedness to Professor Oberst for the meaningful manner in which he carried out his responsibilities as a faculty representative, express its thanks to him for the unselfish service which he rendered during his tenure on the Board. And be it further

RESOLVED that his resolution be adopted, spread upon the Minutes of the meeting, and a copy sent to Professor Oberst.

Missing report(s)

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Naming of Building

Recommendation: that the old Dairy Center located south of Cooper Drive be named the E. S. Good Barn.

Background: This building has been modified to include offices for some Extension personnel and a meeting place for groups visiting the campus. The College of Agriculture and the University Committee on Naming University Buildings have recommended that this building be named the E. S. Good Barn in memory of Professor E. S. Good, who was appointed Head of the Animal Husbandry Department in 1906 and had his responsibilities expanded to include the Animal Industries in 1919. Following his retirement as chairman he continued as a professor for 16 more years remaining active in the classroom until his death in 1958 at the age of 86. In addition to his long administrative service, Professor Good conducted distinguished research with beef cattle, horses, and swine. He was honored many years ago by having his portrait hung in the Saddle and Sirloin Club in Chicago. Only one other Kentuckian has ever been so honored.

II. Social Welfare Research Institute Discontinued

Recommendation: that the Social Welfare Research Institute be discontinued as an academic unit effective immediately.

Background: This Institute was established by the Trustees' action on December 9, 1969 to serve as an intercollege research institute for regular investigations and public service in the areas of social welfare and was very largely funded by federal grant. The work envisioned has been completed and the federal grant has been dis-

continued. This action has been recommended by an ad hoc committee, by the Dean of the Graduate School and Coordinator of Research, and by the University Senate.

III. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

Silvio Baez, Visiting Professor, Department of Pharmacology, College of Medicine, retroactive November 15, 1974.

Charles Coffey, Instructor (Adjunct), and Medical Physicist (University Hospital), Department of Radiation Medicine, College of Medicine, effective January 1, 1975.

George B. Coltharp, Associate Professor, (with tenure), Department of Forestry, College of Agriculture, retroactive December 1, 1974.

Mrs. Davis L. Gardner, Assistant Professor*, Department of Allied Health Education and Research, College of Allied Health Professions, and Coordinator Continuing Education Center for Learning Resources for Allied Health, effective January 1, 1975.

Roger Allan Laine, Assistant Professor, Department of Biochemistry, College of Medicine, effective January 1, 1975.

*Special Title Series

Robert G. Loy, Associate Professor, (with tenure), Department of Veterinary Science, College of Agriculture, retroactive November 1, 1974.
Kurt Marti, Visiting Associate Professor, Department of Mathematics, College of Arts & Sciences, effective January 1, 1975 through June 30, 1975.
Robert G. Templin, Jr. Assistant Professor in the Community College System and Associate Director, Community College System, Somerset Community College, retroactive December 1, 1974.
Leopoldo Torres, Instructor, Department of Radiation Medicine, College of Medicine, effective January 1, 1975.

Non-Academic Appointments

Carol A. Fuller, Labor Education Specialist in Center for Labor Education and Research, Office of Business Development and Government Services, College of Business & Economics, retroactive November 1, 1974.
J. Richard Toleikis, Senior Research Associate, Department of Physiology & Biophysics, College of Medicine, retroactive December 1, 1974.
Betty J. Wilson, Consultant in the Area Learning Resource Center, Department of Special Education, College of Education, retroactive September 1, 1974.

Changes

Ballard D. Wright, Associate Professor (Part-time), Department of Anesthesiology, College of Medicine, to Associate Professor, (with tenure), Department of Anesthesiology, College of Medicine, retroactive December 1, 1974.

Joint Appointments

Myron G. Sandifer, Jr., Professor, (with tenure), Department of Psychiatry and Department of Family Practice, College of Medicine, retroactive October 1, 1974.

Appointments with Waiver of Nepotism

Virginia Rogers Atwood, Associate Professor, (with tenure), Department of Curriculum & Instruction, College of Education, (husband is Ronald Atwood, Associate Professor, Department of Curriculum & Instruction, College of Education.

Reappointments

Louise Caudill, Associate Professor, (Part-time), Department of Family Practice, College of Medicine, 7/1/74 to 6/30/75.

William McElwain, Associate Professor, (Adjunct), Department of Community Medicine, College of Medicine, (7/1/74 to 6/30/75).

Leonidas Mostowycz, Associate Professor, (Adjunct), Department of Diagnostic Radiology, College of Medicine, (7/1/74 to 6/30/75).

Benjamin F. Roach, Clinical Professor, (Part-time), Department of Family Practice, College of Medicine, (7/1/74 to 6/30/75).

Philip G. Weiler, Associate Clinical Professor, (Part-time), Department of Community Medicine, College of Medicine, (7/1/74 to 6/30/75).

Retirements

Emaline Berbling, Custodian Supervisor, Department of Auxiliary Services, after 11 consecutive years of service, retroactive December 1, 1974.

Milton D. Bloodsaw, Head Custodian, Department of Auxiliary Services, after 15 consecutive years of service, retroactive December 1, 1974.

Sallie B. House, Cook-Baker II, Department of Auxiliary Services, after 20 1/2 consecutive years of service, effective February 24, 1975.

William H. Planck, Night Watchman, Department of Security, after 14 1/2 consecutive years of service, retroactive December 1, 1974.

Ida Lee Wheeler, Senior Secretary, Department of Sociology, after 12 consecutive years of service, retroactive December 1, 1974.

Alonzo White, Custodian, Department of Auxiliary Services, after 14 consecutive years of service, retroactive December 1, 1974.

Post-Retirement Appointments

Edward E. Elsey, Instructor, (Part-time), Department of Mechanical Engineering, College of Engineering, 9/1/74 to 10/31/74.

Richard B. Freeman, Professor, special assignment to the U.K. Art Gallery for the developing of Graphics'75, College of Arts & Sciences, 1/1/75 to 6/30/75.

Stella E. Helton, Lecturer, Department of Nutrition & Food Science, College of Home Economics, 9/1/74 to 12/31/74.

ADMINISTRATION ACTION

New Appointments - Lexington Campus

Lyle D. Aten, Instructor, (Part-time), Department of Horticulture, College of Agriculture, 9/1/74 to 5/31/75.

Pam Avery, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.

- Sister Mary Luann Bender, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Sister Robert Ann Cash, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Joseph Clark, Instructor, Part-time, Department of Horticulture, College of Agriculture, 9/1/74 to 5/31/75.
- E. Keith Coltharp, Assistant Professor, (Part-time), Department of Orthodontics, College of Dentistry, 1/1/75 to 6/30/75.
- Clyde Craft, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Elaine Dillhunt, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Virginia Durrett, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Sister Anne Marie Falloon, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Ruth L. Fitzpatrick, Instructor, (Part-time), Department of Curriculum & Instruction, College of Education, 11/1/74 to 5/31/75.
- Charlotte Gabbard, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Robert I. Goodman, Instructor, (Part-time), Department of Restorative Dentistry, College of Dentistry, 10/1/74 to 6/30/75.
- Kenneth Jones, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Lowell W. Jones, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Sister Eileen Judge, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Ruby Kelley, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
- Stanley Labanowich, Assistant Professor, Department of Health, Physical Education and Recreation, College of Education, 1/1/75 to 5/31/76.
- Thomas Lamb, Clinical Instructor, (Voluntary), College of Pharmacy, 1/1/75 to 6/30/75.

Vasantrao R. Nivargikar, Visiting Assistant Professor, Department of Civil Engineering, College of Engineering, 1/1/75 to 5/31/75.
Niles T. Nordquist, Instructor, (Part-time), Department of Horticulture, College of Agriculture, 9/1/74 to 12/31/74.
Kenneth E. Ragland, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
John S. Thompson, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
Philip George Van Vranken, Clinical Instructor, (Voluntary), Department of Community Dentistry, College of Dentistry, 1/1/75 to 6/30/75.
Patricia Welch, Clinical Instructor, (Voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 11/1/74 to 6/30/75.
Roy D. Wilson, Instructor, (Part-time), Department of Health, Physical Education and Recreation, College of Education, 1/1/75 to 5/30/75.
Carla Z. Wolff, Instructor*, Department of Psychiatry, College of Medicine, 11/1/74 to 6/30/75.

New Appointments - Community College System

Ashland Community College

Stanley E. Radjunas, Instructor, 11/1/74 to 6/30/75.

Elizabethtown Community College

Barry K. Holder, Instructor, 7/1/74 to 6/30/75, (Voluntary).

Lexington Technical Institute

Tarcy Fassas, Instructor, (Voluntary), 7/1/74 to 6/30/75.

Prestonsburg Community College

Hugh Kenneth Fuller, Instructor, 1/1/75 to 6/30/75.

Jefferson Community College

Jacqueline Collins, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Charlotte Corson, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Peggy Dupaquier, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Teena Halbig, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Ruth Ann Reinstedler, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Diana Reuther, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Sister Barbara Sheehan, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Mildred Sommerman, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Kay Starks, Instructor, (Voluntary), 7/1/74 to 6/30/75.

*Special Title Series

Jacqueline Meade, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Mary Carey, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Estus Harris, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Theodore Mitchell, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Marjorie Baker, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Deborah Borden, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Wilma Edith Brownfield, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Sandra Loveless, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Allan B. Morris, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Mary Beth Nett, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Marsha L. Nicholas, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Virginia C. Parsons, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Marsha L. Riley, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Mabel I. Scrivner, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Sharon Tomlin, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Sotia Waggoner, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Mary Warder, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Annette Williams, Instructor, (Voluntary), 7/1/74 to 6/30/75.
Marcie Woodward, Instructor, (Voluntary), 7/1/74 to 6/30/75.

Promotions (without tenure)

Francis M. Burke, Department of English, College of Arts & Sciences, from Instructor (on Terminal Appointment) to Assistant Professor, 10/16/74 to 5/31/76.
Thomas R. Clarkson, College of Pharmacy, from Clinical Instructor, (Voluntary), to Assistant Clinical Professor, (Voluntary), 1/1/75 to 6/30/75.
Walter C. Foreman, Jr., Department of English, College of Arts & Sciences, from Instructor (on Terminal Reappointment) to Assistant Professor, 10/28/74 to 5/31/76.
Vernon Hager, College of Pharmacy, from Clinical Instructor, (Voluntary), to Assistant Clinical Professor, (Voluntary), 1/1/75 to 6/30/75.
Jimmie W. Lockhart, College of Pharmacy, from Clinical Instructor, (Voluntary), to Assistant Clinical Professor, (Voluntary), 1/1/75 to 6/30/75.
Chester Parker, College of Pharmacy, from Clinical Instructor, (Voluntary), to Assistant Clinical Professor, (Voluntary), 1/1/75 to 6/30/75.

Reappointments - Lexington Campus

College of Dentistry

Karl Albert Thomas Gothberg, Assistant Professor, Department of Oral Diagnosis and Oral Medicine, 1/1/75 to 6/30/75.

John McDowell, Clinical Instructor, (Voluntary), Department of Community Dentistry, 7/1/74 to 6/30/75.

T. Milton Skeeters, Assistant Clinical Professor, (Voluntary), Department of Restorative Dentistry, 9/1/74 to 6/30/75.

O. Harlan Wilson, Clinical Instructor, (Voluntary), Department of Community Dentistry, 7/1/74 to 6/30/75.

College of Medicine

Barbara Birge, Clinical Instructor, (Part-time), Department of Physiology and Biophysics, 7/1/74 to 6/30/75.

Kenneth L. Gerson, Assistant Clinical Professor, (Part-time), Department of Pediatrics, 7/1/74 to 6/30/75.

Joseph W. Skaggs, Assistant Professor, (Adjunct), Department of Community Medicine, 7/1/74 to 6/30/75.

Manuel L. Vider, Clinical Instructor, (Part-time), Department of Radiation Medicine, 7/1/74 to 6/30/75.

Changes

Rhoda Channing, from Librarian IV to Librarian III to correct error in original appointment, Business Library, 8/1/74 to 6/30/75.

Terrence M. Leigh, Assistant Director, Office of Student Services, to Acting Director, Office of Student Services, Department of Student Services, College of Medicine, 1/1/75 to 6/30/75.

Leaves of Absence

Virginia Rogers Atwood, Associate Professor, (with tenure), Department of Curriculum & Instruction, College of Education, Sabbatical leave, full-salary, July through December 1975.

Donald Johnson, Associate Professor, (with tenure), Somerset Community College, Community College System, Sabbatical leave, half-salary, 7/1/75 through 6/30/76.

Effie H. Kemp, Associate Professor, (with tenure), Lexington Technical Institute, Community College System, Sabbatical leave, full-salary, January 1, 1975 through June 30, 1975.

D. Dal Kratzer, Associate Professor, (with tenure), Department of Animal Sciences, College of Agriculture, Sabbatical leave, full-salary, September 1, 1975 through February 29, 1976.

Roger O. Lambson, Associate Professor, (with tenure), and Associate Dean for Student Affairs, Department of Anatomy, College of Medicine, Sabbatical leave, full-salary, January 1, 1975 through June 30, 1975.

- W. C. MacQuown, Jr., Professor, (with tenure), Department of Geology, College of Arts & Sciences, Sabbatical leave, full-salary, July 1, 1975 through December 31, 1975.
- Hubert Martin, Jr., Professor, (with tenure), Department of Classics, College of Arts & Sciences, Sabbatical leave, half-salary, July 1, 1975 through June 30, 1976.
- Dorothy A. Miller, Associate Professor*, (with tenure), College of Social Professions, Sabbatical leave, half-salary, for the Academic year 1975-76.
- Rupert T. Pickens, Associate Professor, (with tenure), Department of French Language & Literature, College of Arts & Sciences, Sabbatical leave, full-salary, July 1, 1975 through December 31, 1975.
- Robert A. Stokes, Associate Professor, (with tenure), Department of Physics and Astronomy, College of Arts & Sciences, Leave of Absence correction-revision; page 11, as approved by Board of Trustees, 11/12/74 change to Spring semester 1975 and Spring semester 1976 leave without pay.
- Alfred D. Winer, Associate Professor, Department of Biochemistry, College of Medicine, (with tenure), Sabbatical leave, half-salary, September 1, 1975 through August 31, 1976.

*Special Title Series

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 3A

Members, Board of Trustees

APPOINTMENT OF ATHLETICS DIRECTOR

Recommendation: that Mr. Cliff Hagan be appointed Director of Athletics at the University of Kentucky, effective July 1, 1975.

Background: In October 1974, Mr. Harry C. Lancaster formally requested that he be relieved of the responsibilities as Athletics Director at the end of the current academic year. Subsequently, a Screening Committee of the Athletics Board was appointed to assist in the selection of his successor. Following the consideration of both internal and external candidates, Mr. Hagan's appointment was the unanimous and enthusiastic recommendation of the Screening Committee.

The Athletics Director is an employee of the University rather than the Athletics Association. An appointment to this position, therefore, requires action by the Board of Trustees of the University. It should be indicated, however, that the recommendation of Mr. Hagan's appointment has the support of the Athletics Board.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 3B

Members, Board of Trustees:

LEAVE FOR DEAN JACK HALL

Recommendation: that that Board of Trustees approve a leave of absence for a special assignment with state government for Dean Jack Hall, Dean of Students and Assistant Vice President for Student Affairs, effective on or about January 3, 1975 for the period of one year.

Background: In keeping with the University's policy of providing services to state government when requested, it is recommended that Dean Jack Hall be granted a leave of absence to accept an appointment with the new state administration in Frankfort. The recommendation is made at the request of Dean Hall and has the concurrence of the President and the Vice President for Student Affairs.

Action: Approved _____ Disapproved _____ Other _____

Date: _____ 1974

Office of the President
December 10, 1974

PR 3C

Members, Board of Trustees:

APPOINTMENT OF ACTING DEAN OF STUDENTS

Recommendation: that the Board of Trustees approve the appointment of Mr. Joseph T. Burch as Acting Dean of Students effective on or about January 3, 1975 for the period of one year.

Background: Joseph T. Burch, presently serving as Director of Public Safety and Assistant to the Vice President for Business Affairs, received his B.A. degree in economics from the University of Kentucky in 1962 and his J.D. degree from this institution in 1966. He served as a Counselor and Head Resident during his undergraduate days and as Assistant Director of Men's Residence Halls during 1963-64 and Director during 1964-65. His experience in the student affairs area where he served as Assistant Dean of Men (1965-67) and Assistant to the Vice President for Student Affairs and Legal Counsel for Student Affairs (1967-1969) make him eminently qualified to assume the duties of Dean of Students on an acting basis.

The recommendation to appoint Mr. Burch as Acting Dean of Students comes to the Board of Trustees from Vice President Robert Zumwinkle with the full concurrence of the President and the Vice President for Business Affairs.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 4

Members, Board of Trustees:

CANDIDATES FOR DEGREES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the list the degree to which he is entitled, upon certification by the Dean of Admissions and Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which he has made application.

Background: In order that degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the Dean of Admissions and Registrar and the University Senate that all requirements have been satisfactorily completed.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 5

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN NURSING AT THE
ASHLAND COMMUNITY COLLEGE

Recommendation: that approval be given for submission to the Council of Public Higher Education of an associate degree nursing program at Ashland Community College to be started in the fall of 1975.

Background: For some time the administrative personnel and faculty of the Kings Daughters Hospital School of Nursing have recognized the significance of preparing nurses in institutions of higher learning. In December 1973, the Hospital Board of Managers, cognizant of the changing trends in nursing education, voted to phase out their diploma program. This decision was made with the understanding the diploma program would continue until the Ashland Community College could implement an associate degree nursing program.

The hospital administrators and director of the community college have continued to work toward a smooth transition of phasing out the diploma nursing program and initiating an associate degree nursing program when approval has been granted.

The community is aware of the proposed change and representatives of the community have indicated their cooperative support. On March 28, 1974 the Ashland Community College Advisory Board recommended that the college and hospital proceed with the planning necessary to effect an orderly change of the nursing education program.

There is obvious need for a nursing education program in the area.

Adequate funds to support the program through 1975-76 are to be provided by Kings Daughters Hospital. The community college will make a request for support funds in its 1976-78 biennium budget request. If for some reason the college is unable to receive support, the Kings Daughters Hospital will continue its support through completion of the 1976-77 year for the initial class.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 6

Members, Board of Trustees:

PROVISIONS FOR SUPPLEMENTAL COMPENSATION
UNDER THE PHYSICIANS' SERVICES PLAN

Recommendation: that the Board approve the indicated changes in the "Provisions for Supplemental Compensation Under the Physicians' Services Plan" in order to provide clarification and additional flexibility for the administration of the plan.

Background: The Board originally approved the "Provisions for Supplemental Compensation Under the Physicians' Services Plan" on August 4, 1970. The original plan provides for its review and for recommendations to be made from time to time for modifications deemed to be appropriate and desirable. The proposed recommendations came to me with the approval of the Physicians' Services Plan Faculty Advisory Committee and the Vice President for the Medical Center. The modifications provide for clarification of existing policy and for changes in existing policy to create needed flexibility in administration.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

UNIVERSITY OF KENTUCKY
MEDICAL CENTER
OFFICE OF VICE PRESIDENT

PROVISIONS FOR SUPPLEMENTAL COMPENSATION
UNDER THE PHYSICIANS' SERVICES PLAN

I. Underlying Considerations

The factors and considerations which underlie the provisions herein include the following:

1. The problem of deteriorating competitive position in retaining and attracting faculty in clinical fields is acute and pressures are expected to become more intense in the years immediately ahead.
2. Budgetary restrictions upon the University and the prospects for the foreseeable future make it essential that income from professional fees and other sources be increased to provide support for faculty compensation necessary for the maintenance and development of clinical departments to meet the growing program requirements they face.
3. Third-party payment programs, the principal source of payment for professional fees, are increasingly requiring not only direct involvement in the care of patients on the part of faculty, but full documentation of this as a condition for payment of professional fees for services of faculty physicians. These requirements are often difficult to fulfill in a teaching setting; in the absence of special effort there will be loss of potential income of significant amounts.
4. A major and essential requirement for achieving increased financial support from the source of professional fees is a high level of interest and effort toward this objective on the part of full-time faculty members who provide the services and meet the requirements that make professional fee income realizable. Such interest and effort are important factors in attracting an increasing proportion of paying patients, which is essential for the support of the Hospital as well as for improvement in professional fee income.
5. A method is needed for giving more specific recognition of and incentive for performance in the clinical departments which produces increased income from professional activities.
6. Provision for such recognition and incentive can be accomplished by modifications within the existing Physicians' Services Plan, and it is the consensus that such modification should allocate funds for supplemental compensation among clinical departments for the purpose of recognizing faculty performance.

II. Applicable Provisions

Pursuant to the above considerations, the following provisions are adopted:

1. Supplemental compensation will be determined on a semi-annual basis and established by approval action of the Board of Trustees upon supplementary operating budgets of the College of Medicine covering six month periods. The appropriation of funds for expenditures projected in such a budget will be equal in amount to additional income to the University provided by a grant by the Board of Directors of THE FUND to the University specifically for the purpose of financing the supplementary operating budget. Such a grant will be in addition to that made by THE FUND in support of clinical salaries provided in the basic operating budget of the College of Medicine.
2. FUND grants for the purpose of supplemental compensation will constitute non-recurring funds, and approval of the supplementary operating budget based thereon will not constitute an increase in the budget base of the College of Medicine. [Details regarding the time and method of making payments to individuals of supplementary salary in accordance with an approved supplementary operating budget and of withholding taxes will be determined in consultation with the Vice President for Business Affairs.] Supplemental compensation payable to individuals in accordance with approved supplementary operating budgets will be distributed in the months of March and September of each year, based on equity determinations on January 1 and July 1 of each year, provided, however, that such individuals must continue in the employment of the University through the first day of March and September respectively to be eligible to receive the supplemental compensation payable at such times and no rights to receive such supplemental compensation shall vest in individuals prior to such dates.
3. THE FUND budget will be projected each year recognizing as income such factors as the collection experience with physicians bills, anticipated patient day equivalents of service, and schedule of charges. The amount of the grant to the University by THE FUND for the purpose of supplemental compensation will be determined as of January 1 and June 30 by the Board of Directors, and will be the amount by which payments received in the Medical Services Account since the prior semi-annual date are in excess of the sum of 1) pro rata requirements for coverage of THE FUND grant in support of salaries provided in the basic operating budget, and 2) requirements for coverage of other expenditures which have been budgeted or are reserved in the Medical Services Account pursuant to recommendations by the Physicians' Services Plan Faculty Advisory Committee, and 3) requirements for maintenance of a reserve against monthly fluctuations in payments to the account[.] ; provided, however, that the total amount of such grant for purposes of supplemental compensation by

THE FUND shall not exceed 25 percent of the aggregate amount of the clinical faculty salary payments made since the prior semi-annual date to individuals in clinical faculty positions, whose duties include provision of professional services to patients, in clinical departments of the College of Medicine. This percentage limitation is subject to adjustment in future years by revisions of the plan as may be found necessary to maintain competitive levels of total compensation for faculty positions in clinical departments as determined by periodic comparisons with prevailing levels of total compensation for comparable faculty positions in institutions selected for benchmark purposes.

4. The supplementary operating budget for the College of Medicine will be prepared and submitted, in accordance with University budgetary procedures, immediately following the determination of the amount of THE FUND grant to the University for supplemental compensation. The amount of supplemental compensation for departmental chairman will be determined by the dean.
5. The amount of supplemental compensation provided for any individual faculty member in the supplementary budget for a semi-annual period, when annualized, shall not exceed [25] 50 percent of the regular salary of the individual. Because supplemental compensation is not considered as a part of basic salary, no deductions will be made for TIAA and there will be no University contributions to TIAA for the supplemental compensation.
6. In the preparation of the supplementary operating budget, the dean of the College of Medicine will allocate 70% of the total amount of THE FUND grant for supplemental compensation among the clinical departments on the basis of available data to measure the performance of the respective departments in producing income including, but not limited to, professional fee income. Such allocations will be the basis for departmental budget submittals in which the distribution of supplemental compensation among faculty members of the respective departments is to be made by the departmental chairman, based on his determination and judgment of relative performance and contributions to the program of the department. [If the money available to a department for supplementary compensation is in excess of the amount which can be used for salary supplementation, the overage shall go into the reserve of the Medical Services Account, pending the adoption of policies for the use of such overages. Such policies will be developed and recommended to THE FUND Board and the University by the Faculty Advisory Committee to the Physicians' Services Plan and the dean.]

If the amount of allocation to a department is in excess of the amount which can be or is used by the department for salary supplementation, up to 50 percent of the amount of such excess, subject to the approval of the Dean, may be added to the current balance outstanding in the incidental account for the department, to be available for expenditure in accordance with policies and procedures applicable to such accounts. The residual 50 percent or more of such excess shall be added to amount available for budgeting by the Dean of the College of Medicine under the provisions of Paragraph II, 7, herein.

7. The budget for the remaining 30% of the amount of the grant will be prepared by the dean of the College of Medicine with the funds available being devoted, in accordance with his discretion, to 1) providing supplemental compensation for faculty members in clinical departments where appropriate recognition of performance and contribution to the patient care program is not possible within formula allocations to departments, and 2) supplementing the position pool for additions to faculty in clinical departments with funds to be used only after other funds available for the position pool have been fully committed. Commitments made for additional faculty in clinical departments based upon allocations for this purpose by the dean, in accordance with the foregoing provisions, shall be included in the basic operation budget of the College of Medicine and financed by the basic grant of THE FUND to the University for the fiscal year following such allocation. In recognition of this commitment, the dean may authorize chairmen of clinical departments to recruit for filling duly approved faculty positions based upon his use of funds allocated to supplement the position pool, provided, however, that the effective date of any full-time faculty appointment shall not be prior to July 1 of the fiscal year following such allocation. Since such positions will not require funding until the following year, funds in the current year earmarked for the position may be spent, in the dean's discretion, for nonrecurring items which do not increase the budget base.
8. The allocations among departments of the amount representing 70% of THE FUND grant for supplemental compensation will be determined as follows:
 - a) The amount of payments to the Medical Services Account received, in the six-month period upon which THE FUND grant for supplemental compensation is based, from the combined sources of the Physicians Accounts Office and the University Hospital, plus the amount of income for professional services of faculty members accruing to the institution in the six-month period from other sources, including grants and contracts, will be determined in aggregate for all clinical departments of the College of Medicine and separately for each such department.

- b) The amount determined for each department, expressed as a percentage of the amount determined for all departments in aggregate, will be the basis for dividing among departments the funds available for distribution, and the amount to be allocated to the respective departments will be calculated accordingly.
9. Data on the factors to be utilized in determining departmental allocations will be submitted by the dean of the College of Medicine for review by the Physicians' Services Plan Faculty Advisory Committee prior to preparation of the supplementary operating budget. Upon recommendation of the Committee, adjustments in the factors used may be made by the dean if deemed necessary to avoid inequities due to limitations of available data. Computations of the amount of allocations for individual departments will be provided to the chairmen of the departments, respectively.
 10. Following adoption of the provisions herein, recommendations may be made by the Faculty Advisory Committee from time to time for modifications deemed to be appropriate and desirable, with the understanding that at the end of the first year the entire plan for supplemental compensations will be critically reviewed and appropriate changes made.

Office of the President
December 10, 1974

PR 7

Members, Board of Trustees:

1974-75 BUDGET REVISIONS

Recommendation: that the following revisions in the 1974-75 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Changed</u>
A. <u>General Funds</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Community College			
System			
	\$ 3,295,700	\$ 3,344,700	\$ 49,000
	762,200	764,200	2,000
	1,179,700	1,350,300	170,600
Gifts and Grants			
	537,600	540,100	2,500
Sales and Services			
	2,080,000	2,118,000	<u>38,000</u>
			262,100
2. Expenditures			
Academic Affairs			
College of Law			
	92,500	94,500	2,000
College of Agriculture			
Agriculture Extension			
	4,399,400	4,570,000	170,600
College of Arts and			
Sciences			
	21,300	27,800	6,500
Graduate School			
	144,700	178,700	34,000
Community College System			
	371,000	420,000	<u>49,000</u>
			262,100

3. Comments - The proposed expenditure increases for the College of Law and the Lexington Technical Institute will be supported by increased student fee income. The increased expenditures in Gallery Administration will be funded by gifts from the Courier Journal and the Kentucky Arts Commission and by exhibition fees and catalog sales. The proposed increase in expenditures for the Kentucky Geological Survey will be supported by an increase in sales of publications. The increase in expenditures for Agriculture Extension will be supported by an increase in the county appropriations.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Changed</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$ 12,284,700	\$ 12,343,500	\$ 58,800
2. Expenditures			
Academic Affairs			
College of Arts and Sciences			
Department of Chemistry			
Anna S. Naff Endowment Fund	- 0 -	1,200	1,200
Department of Math			
Alfred P. Sloan Research Fellowships	- 0 -	8,400	8,400
College of Agriculture			
Marketing Potential Study	25,800	64,000	38,200
College of Home Economics			
Home Economics Development Fund	- 0 -	400	400
Medical Center			
College of Medicine			
R. C. Kash Lecture in Internal Medicine	- 0 -	300	300
Community College System			
Elizabethtown	1,700	9,200	7,500
Jefferson-Hazel R. Bolan Memorial Fund	- 0 -	1,200	1,200
Maysville-Consumer and Homemaking	7,100	7,900	800
Paducah-Real Estate Program	2,000	2,800	800
			<u>58,800</u>

3. Comments - The proposed additional expenditures will be funded from income restricted to the purposes indicated above.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974.

Office of the President
December 10, 1974

PR 8

Members, Board of Trustees:

PROPOSAL FOR THE INITIATION OF A
CAPITAL CONSTRUCTION PROJECT

Recommendation: that the Board approve for submission to the Council on Public Higher Education an air conditioning, heating and fire alarm system for Carson Hall at Paducah Community College.

Background: Carson Hall at Paducah Community College houses administrative and business offices, Admissions and Student Records, Counseling and Testing, and other support facilities. The current heating system is antiquated and the cooling is provided by window air conditioning units which overload the electrical system. There is no fire alarm system in the building. This project will provide a new hot water boiler, an air-cooled chiller, a fire alarm and emergency lighting system, and an emergency generator for the entire campus. Since the project will cost an estimated \$110,000 the approval of the Council on Public Higher Education is required and approval of the Board of Trustees is required before the project can be submitted to the Council on Public Higher Education.

Action: Approved _____ Disapproved _____ Other _____

Date: _____ 1974

Office of the President
December 10, 1974

PR 9A

Members, Board of Trustees:

APPROVAL OF AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the proposed amendments to Part X-B-2; Part II; and Part VIII-A-3 of the Governing Regulations received and tabled at the September 17, 1974 meeting of the Board of Trustees be removed from the table and approved as proposed.

Background: A copy of PR 7A which was received and tabled at the September 17, 1974 meeting is attached. As explained at that meeting, the first amendment would permit the waiver with appropriate administrative approval, of all or part of a period of prior service in determining the length of the probationary period of a non-tenured faculty member; the second amendment would authorize the President to promulgate and issue a Personnel Policy and Procedure Manual in addition to the Administrative Regulations; and the third amendment would provide a needed clarification of ambiguous wording in Part VIII-A-3.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 9B

Members, Board of Trustees:

APPROVAL OF AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the proposed amendments to Part X-B-2 and Part X-B-4 of the Governing Regulations received and tabled at the September 17, 1974 meeting of the Board of Trustees be removed from the table and approved as proposed.

Background: A copy of PR 7B which was received and tabled at the September 17, 1974 meeting is attached. As explained at that meeting, the amendments as proposed constitute technical changes in wording in Part X-B-2 and Part X-B-4 which would bring the statements in the Regulations into better conformance with institutional procedures.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

PR 10

Members, Board of Trustees:

REPORT ON RESULTS OF ALUMNI MEMBER ELECTION

Recommendation: that the report of the assistant secretary of the Board of Trustees on the results of the election authorized by the Alumni Association be received and put to record, and that he be authorized to certify to the Governor the names of the three persons receiving the largest number of votes, from which list a successor to Mrs. Robert O. Clark, whose term expires December 31, 1974, will be appointed.

Background: The term of Mrs. Robert O. Clark as alumnus member of the Board of Trustees expires December 31, 1974. An election has been held among the alumni of the University as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees. The attached letter from the assistant secretary of the Board of Trustees to the President of the University reports the results of the election.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF THE PRESIDENT

December 10, 1974

Dr. Otis A. Singletary
President
University of Kentucky

Dear President Singletary:

The term of Mrs. Robert O. Clark as alumnus member of the Board of Trustees expires December 31, 1974. An election has been held among the alumni of the University as prescribed by statute and by the rules and regulations of the Board of Trustees. The following is the result of the election:

<u>Nominees</u>	<u>Votes</u>
Smith D. Broadbent, Jr.	2,865
Betty Pace Clark	3,406
Harry C. Denham	2,179
Charles O. Landrum	1,918
John C. Nichols, II	1,791
Robert M. Spragens	2,048

There were 145 write-in names, all of which have been tabulated and recorded.

Will you please submit this information to the Board of Trustees that same may be approved and the names of the three receiving the highest number of votes be certified to the Governor of the Commonwealth of Kentucky, from which list of three he may appoint one to serve a term of four years beginning January 1, 1975.

Respectfully submitted,



Paul G. Sears, Assistant Secretary
Board of Trustees

UNIVERSITY OF KENTUCKY
STUDENT GOVERNMENT
Student Center
LEXINGTON, KENTUCKY 40506

TR 1

DAVID MUCCI
PRESIDENT

MIKE WILSON
VICE PRESIDENT

MEMBERS, BOARD OF TRUSTEES:

Recommendation: That the Board of Trustees have fully implemented the nine recommendations formulated by the Committee to Evaluate Co-educational Housing and Visitation.

Background: The Committee to Evaluate Coeducational Housing and Visitation spent nearly eight months studying the coed hall and visitation policies here at the University. They recommend various policies, significantly among them the implementation of unrestricted sophomore coed housing, 6 p. m. to midnight week-night visitation in the coed halls, and freshman visitation hours commensurate with upper classman hours. The administration has chosen not to implement these three significant policies. In light of the glowing recommendations by the Committee, the Board of Trustees should act to have an unrestricted sophomore option for coed halls, 6 p. m. to midnight week-day visitation in the coed hall and a thirty hour week-end visitation policy for freshman instituted for the 1975-76 school year.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

August 6, 1974

**Dr. Ctis A. Singletary, President
University of Kentucky
Administration Bldg.
Campus**

Dear Dr. Singletary:

As representative of the student, it is imperative that Student Government respond to the needs of students within residence halls. You shall soon make decisions in this area which will likely be binding for the next two years. For this reason it is imperative that Student Government respond to the Report of the Committee on Evaluation of Co-educational Housing and Visitation and the comments of Dr. Zumwinkle concerning the report.

My response to the matter will be based on a SG survey conducted last fall on hall resident housing desires, an intensive year of involvement in resident hall affairs, an election campaign in which I sought and received the opinions and attitudes of students on this issue, and a thorough examination of the Committee's report and statistical data.

In the last year, Student Government has proposed that the lifestyle dorm concept be utilized on this campus beyond the three housing alternatives now available, as is done at other prestigious institutions. Lifestyle dorms allow students to choose from varying housing options. A student would have the opportunity to choose from coed and non-coed hall alternatives offering varying visitation hours all the way from 24 hour visitation, to non-visitation. If the student interest warranted, a hall could be established based on the desired visitation and coed-non-coed preference. Based on a SG survey of hall residents indicating that 88% of those students in resident halls desired "the option of choosing between several residence halls geared to different lifestyles", the SG Senate voted unanimously to endorse the establishment of lifestyle dorms on campus. Upon discussing the issue with hall residents during the presidential campaign, I found great support for the concept and owe a part of my election to its inclusion in my platform.

Despite establishing the relative simplicity of implementing such a system, demonstrating its appeal to students, documenting its successes (particularly at Stanford) and emphasizing its popularity of a time when the institution can expect enrollment to fall with nearly 40 years of resident hall bond payments remaining, the Committee evidently dismissed the proposal as too bold. This is unfortunate for both the students and the institution.

Unfounded arguments have often been used against lifestyle dorms. The contention that freshmen and sophomores in the dorms are generally inferior academic performers forced into hall residence by their parents, hoping hall restrictions will improve school work, is groundless and patently offensive. Loosened hall arrangements, continues the argument, will cause parents to indifferently allow their children to leave the halls to find off-campus housing on the premise that there is no difference between off-campus or on campus housing. I re-emphasize that such arguments are simplistic without basis and, in light of the varied motives for living in the dorms and the actual off-campus housing situation, unrealistic. Another counter to the lifestyle concept, is that in offering many options, the student unable to get his/her first choice will cancel the housing application leaving the University in a precarious position. The belief lacks supportive evidence. The SG 1973-74 Housing Survey indicates student preferences fall into five major areas - coed housing with some visitation (10%), coed with open visitation (21.7%), apartment type dwellings (14.6%), non-coed with some visitations (28.9%), and non-coed with open visitation (18.6%) - refuting arguments that students would be unable to be housed in a suitable and - more importantly - desirable dorm arrangements. Yet even if there were merit to this argument, offering two additional alternatives to the limited three options of the present, would hardly create a situation in which students were given too great a choice. An interesting test of this contention would be to offer a non-coed dorm with expanded visitation hours - as Appendix B-1 of the Committee's report indicates sizable minorities exercise visitation privileges (and a SG housing survey shows 66% of the respondents want lengthened visitation hours) as an additional alternative for the 1975-76 year.

As you appointed the Committee to formulate policy options, and it is from these recommendations that you will most likely formulate housing policy for the following years, let me address myself primarily to the Committee's report and Dr. Zumwinkle's preliminary responses to its findings.

The report glowingly endorses the coed dorm environment. Greater socialization, increased group activity, increased social activities, the development of strong hall comradeship, facilitation of more natural relations between members of the opposite sex, and a general feeling of greater satisfaction with dorm life seem to result from the coed situation. Anticipated problems with the halls have not materialized. There is no evidence that the coed hall encourages promiscuous behavior, in fact it appears the non-coed halls are the hot-beds of passion. Coed halls produced no adverse effects on hall academic performance. The surveys indicate that the coed

residents felt their hall was more "conducive" to academic endeavors than non-coed residents. The coed hall shows an intention to return to the same living arrangement precentageedly much higher than these students residing in the non-coed halls.

Visitation appears to have had little or no effect on academic performance or the time, place, and amount of study poses no substantial problems for privacy, and seems to have had little effect on sexual activities. In the SG fall housing poll 66% of the respondents indicate they desire lengthening of visitation hours. As it appears visitation has no apparent detrimental effects, and expansion seems justified and desirable.

Recommendation I of the report suggests that the three 24-hour study halls be continued, that no additional ones be opened, and that they be manned by only one staff member. The Committee's data supports this, and I can see no problems with the Recommendation.

Recommendation II states that security arrangements are presently adequate and that the system should be continued as operated in 1973-74. This statement reflects the strong feeling of the hall respondents that security arrangements were adequate.

Recommendation III strongly recommends that additional coed buildings be made available, if demand warrants, as soon as possible and no later than fall 1975. At this time, demand by juniors and seniors for coed housing does not justify the reserving of additional housing for that purposes. The admittance of sophomores to coed halls may radically alter the demand, and if so, the University should be prepared for coed hall expansion in the Fall of 1975.

The Committee's report indicates that nearly a quarter of the freshmen planning to return to residence halls prefer the coed housing lifestyle. As the survey results seem reliable, then approximately 518 soon to be sophomores returning to the halls desire coed housing - a strong enough showing of interest to warrant the opening of at least one large facility to handle the sophomore influx into the coed hall program.

It is contended that the mixing of sophomores with upperclasspeople, may produce adverse results (academically and domestically) and that upperclass people find such a situation undesirable. Evidence has yet to be produced which documents these contentions either on this campus or at other institutions. Yet if this is the case, there are enough interested soon-to-be-sophomores to fill two if not three of the complex low rises in units separate from upperclasspeople.

Recommendation V of the Committee advocates the expansion of external visitation from 6 p. m. to midnight on weeknights in the coed halls. Approximately 78% of those students indicating they would return to the halls next year and preferred the

coed lifestyle feel that the present external visitation policy in the coed hall should be increased. The Committee findings are without a hint that visitation adversely affects academic performance. Though the survey indicates studying is not one of the priority items during visitation, this can be attributed to the fact the only visitation permissible on campus occurs over the weekend - a time traditionally not used for study. The strong desire for expanded external visitation shown by the individuals returning to the halls with a coed preference, argues strongly for the hours expansion in light of the apparent lack of adverse affects arising from visitation. Should a separate coed dorm be established for sophomores external visitation hours for the visit should also be increased. According to the committee survey more than 85% of these freshpeople planning to return to the halls this year, preferring the coed hall option, believe that external visitation hours in the coed hall should be increased. In any case, external visitation hours must be expanded, preferably along the lines of the Committee's Recommendation.

Those who argue that freshpeople are unable to handle the responsibilities of external visitation have been discredited by the report findings. The breakdown of student answers by class reveal no significant difference in answers. This leads one to the conclusion - that persons from any class perceive and handle external visitation in much the same way. The information strongly advocates, as does Committee Recommendation VI, that upperclass and fresh hours be made commensurate. Despite strong external pressures, the University must not act "in loco parentis"; it must allow freshpeople as adults to regulate their hours in the same manner as other students in the halls.

The Committee Recommendation VII suggests that visitation privileges in non-coed upperclass halls should remain the same way. It is puzzling that the Committee should suggest this stand. The report suggests no significant problems with visitation and there is widespread student support for expanded visitation hours. A 1973-74 SG hall survey shows 64.5% of its respondents desired lengthened visitation hours. The merits of visitation are apparent in the report, student desire for expanded hours is also there, and visitation hours should not remain the same but be lengthened.

The Committee recommends (VIII) that CPI profiles be maintained on hall residents in order that the housing office may have a better idea of the population it is working with. This does not seem objectionable as long as the confidentiality of each student's records is maintained.

In Recommendation IX the Committee requests that further study of why 32% of hall residents feel that the hall setting here is not conducive to academic endeavors. This definitely a question that should be answered and situation, if possible, that must be rectified.

The analysis and Recommendations of this report rely heavily on survey results from the Committee on Evaluation of Co-educational Housing and Visitation and 1973-74 Student Government Residence Hall Survey. This report reflects strongly what students indicate as their desires and when it does recommend a particular action, it is in keeping with the known wishes of hall residents. None of the suggestions of this SG report are in conflict with what appears as in the best interests of the student in the halls. The University must also carefully examine the hall situation to determine the needs and desires of students living there. The University must not bow to strong external pressures if students needs and desires demand that changes be instituted. The institution took a strong stand on the coed hall in acquiring the best possible environment for students and has not suffered major problems because of it. Should hard decisions present itself in these Recommendations, the University must once again suffer adversity to develop the best possible program for students in the residence halls.

David Mucci
SG President

cc: Dean Jack Hall
Dr. Robert Zumwinkle
Dean Rosemary Pond
Janie Gennarelli
Melinda DeJarnette

Attached is the report of the

COMMITTEE ON EVALUATION OF COEDUCATIONAL HOUSING AND VISITATION

JACK B. HALL, CHAIRMAN

Followed by the

ADMINISTRATIVE RESPONSE BY

ROBERT ZUMWINKLE, VICE PRESIDENT FOR STUDENT AFFAIRS

REPORT
of the
COMMITTEE
on
EVALUATION OF COEDUCATIONAL HOUSING AND VISITATION

Mr. Jack B. Hall, Chairman
Dr. Lisa Barclay
Mr. Thomas P. Bell
Mrs. Anna Bolling
Mr. Charles J. Breidert
Miss Rose Marie Clements
Miss Ann Marie Kleinschmidt
Mrs. A. W. Pennington

Miss Rosemary Pond
Miss Betty Prather
Mr. George J. Ruschell
Dr. Rudolph Schriels
Mr. David Schroader
Mr. Thomas Sturgis
Mr. John F. Wagner III
Miss Rebecca H. Jones

April, 1974

Report of the Committee on Evaluation of Coeducational Housing and Visitation

The Committee on Evaluation of Coeducational Housing and Visitation was appointed in June, 1973 and charged by the Vice President for Student Affairs to study and evaluate undergraduate coeducational housing and visitation in UK residence halls during the 1973-74 year and to report the committee's findings and advice on or before April 15, 1974.

The committee, composed of six students, six administrative staff, two faculty, one trustee, and one parent-alumna, met ten times as a full committee during the period from September 1973 to April, 1974. Early in this period, two sub-committees, one dealing with visitation and one dealing with coeducational housing, were formed and each has met numerous times.

METHODS

In its earlier deliberations, the committee tentatively identified areas to which its attention would be directed in its efforts to establish objectives for the evaluation process with which it had been charged. These areas naturally fell into three categories; those concerning coeducational housing, those concerning the visitation policy, and those of concern to both of these groups.

These areas are as follows:

Coeducational Housing

Identification of:

1. demand (number of applicants for this type housing)
2. how the environment of this hall differs from other halls
3. whether this hall government is more or less effective in this building
4. any differences in programming in this building
5. extent of student satisfaction with this type living situation
6. whether or not the coeducational living situation leads to longer residence in residence halls
7. the kinds of students who apply for coeducational housing (how they may differ from the norm)

Visitation

Identification of:

1. the extent of the use of the 24-hour study lounges
2. the number of hours the upperclass halls are using visitation privileges
3. the number of hours freshmen are utilizing visitation privileges and how many students are involved
4. the demand and need for "no visitation" areas
5. the number of roommate complaints related to visitation

Both--Coeducational Housing and Visitation

Identification of:

1. the effect on academics and social relationships
2. the impact on University community
3. the current reaction of parents and public
4. the extent of student reaction and satisfaction
5. the reaction of residence hall staff
6. the number and kinds of violations related to either coeducational housing or visitation
7. the kinds of supervision and security and their effectiveness

Methods of evaluation generated by the committee which it deemed useful to its purposes included surveys, interviews, and analysis of student data in computer storage.

Within the framework of these objectives and methods each sub-committee formulated and presented a working plan with which to ascertain the pertinent data necessary for the accomplishment of the goals pertaining to their particular area of concern. It was decided that in the interest of time, the students on the committee would provide input as representatives of student views, rather than attempting to conduct personal interviews with individual students.

These plans are outlined below:

Coeducational Housing

- I. The Counseling and Testing Service to provide information in the form of a personality analysis derived from data already in the possession of this department. This analysis will compare:
 - a. coeducational housing residents
 - b. upperclassmen in residence halls
 - c. off-campus residents
- II. The Registrar's Office to supply data pertaining to academic performance. The cumulative grade point standing at the beginning of the current semester, the semester's grade performance, and the cumulative grade point average at the end of the semester will be computed on fifty students selected at random who are residents of the coeducational hall and fifty students selected at random who reside on campus and are juniors and seniors. Comparisons will be made within the coeducational group, and among this group and the random sample.
- III. The committee will circulate a survey to the residents of the coeducational hall designed to provide information relevant to the evaluation process.

Visitation

- I. Statistics compiled from records maintained by the Residence Halls Programming Office will examine the utilization of the visitation privilege in the seventeen undergraduate halls and the utilization of the three 24-hour study lounge facilities.
- II. A survey will be distributed by the Committee to residents of freshmen and upperclass halls to obtain information on:
 - a. frequency of individual use of the visitation privileges, including the time periods preferred by students who use the privileges on a regular basis.
 - b. types and numbers of problems arising from or during the visitation periods, such as noise levels, lack of privacy and security.
 - c. student satisfaction with the present policy
 - d. effects of the visitation on the individual student in the areas of study habits, dating habits, and sexual behavior.
- III. A survey will be distributed to the residents of the non-visitation areas to obtain information on:
 - a. reason(s) the area was chosen
 - b. satisfaction with living situation
 - c. use of visitation privileges in other halls
- IV. A survey will be distributed by the Committee to Residence Halls Programming Staff (17 Head Residents, 4 Assistant Head Residents, 139 Corridor Advisors) to obtain their attitudes and opinions on:
 - a. types and nature of individual and/or social problems arising from or during visitation periods relating to:
 1. noise levels in the living units
 2. considerations of privacy
 3. effectiveness of current security procedures
 4. staffing patterns and practices
 - b.
 1. atmosphere of the hall
 2. roommate problems/requests for room changes
 3. programming/residence hall government

RESULTS

Following these proposed plans, both of the sub-committees produced a substantial amount of data, the analysis of which provides some interesting insights and much useful information.

A summary of the data supplied by the Counseling and Testing Center for the coeducational sub-committee is found in Appendix A.

The conclusions to be drawn from these results indicate that academic success, as measured by grade point average, is not significantly different among students, male or female, who live in the coeducational residence, in other residence halls, or off campus.

The Omnibus Personality Inventory Profile based on scores attained when students entered the University, comparing the coeducational residents with other residents and off-campus students reveals interesting differences between the males of the coeducational building and other males. The females do not significantly differ. The coeducational males were found to be more socially introverted than the other two groups. When compared to the females of the coeducational hall the males were more authoritarian, conservative and non-intellectual in interests.

These differences between the sexes are supported by the results of the survey conducted by the Committee to be reported and discussed at a later point.

If one assumes that the males in the coeducational hall have chosen this type of living arrangement in order to enhance their social opportunities, it

is interesting to speculate as to why the females chose to live in the hall. Further study on the differences of the profiles of the sexes would prove informative, especially investigation into whether this characteristic of social introvertedness of the males changes (i.e. residence halls meeting their needs in terms of social development).

The sub-committee on Coeducational Housing also made grade comparisons in a further effort to ascertain possible effects of this type living situation on academic achievement. The Office of the Registrar supplied grade point averages for a random sample of residents of Blanding I (the coeducational hall) and Kirwan and Blanding Towers (upperclass male and female, respectively.) Reported were the cumulative g.p.s. as of Spring 1973 and the g.p.s. for Fall 1973. These are as follows:

	<u>Cumulative</u>	<u>Fall 1973</u>
Coeducational Hall	2.72	2.70
Other Upperclass Single Sex Halls	2.82	2.92

These results differ from those previously discussed, which may be due to sampling procedures in that sophomores were included in the data from the Counseling and Testing Center and were not in the sub-committee data. They were not included because only juniors and seniors live in Blanding I.

Even with these differences it is evident that grades have not been substantially effected by place of residence.

Statistics compiled on the utilization of the visitation privilege in sixteen halls (no statistics kept for Haggin due to structural arrangement) and the usage of the 24-hour study lounge facilities may be found in Appendix B and C. It should be noted that the percentage of the average number of residents participating in visitation in the upperclass halls increased only 6% from Spring 1973 to Fall 1973, while the number of hours available for visitation increased from 12 to 30. The hours available to freshmen increased from 12 to 15 during the above time period, while the percentage of the average number of residents participating decreased slightly. It should further be noted that these figures of usage of visitation appear to be less than when students themselves responded to the question of the amount they use the privilege.

The data on the usage of the study lounges indicates they are not utilized to any great extent and are particularly not used after 3:00 a.m.

A summary of the results with a copy of the questions of the survey completed by the Head Residents may be found in Appendix D. Generally, the responses to the questionnaire were positive with Head Residents indicating they feel present security measures during visitation are effective, that the staffing procedures during visitation are adequate, that the hall atmosphere had been improved along with attitudes toward group living, that excessive noise and drinking in relation to visitation are only occasional problems, and that the visitation policy fulfills student needs in the areas of group socialization, privacy, and emotional and social development. There was some difference of opinion among the Head Residents as to the effect of visitation on hall pro-

gramming during the week, some responding that they felt that visitation was useful in coeducational programming.

Coeducational and Visitation Survey Results

As previously indicated, the committee conducted a survey of the residents of the UK residence halls. The survey was in four parts. 4,137 questionnaires went to sixteen halls and were called "visitation," surveys, 159 questionnaires went to Blanding I, the coeducational hall, and were called "coed" surveys. Because of the interrelatedness of the evaluation process for the two areas, coeducational housing and visitation, the first 28 questions on these two surveys were identical, and several other questions were similar, with the remaining ones being directly related to the target group. 140 visitation surveys went to the corridor advisors; however, these were scored separately, and called "CA's" surveys, which composed the third part of the survey. The fourth part consisted of 63 questionnaires which were distributed to the non-visitation floors of Haggin and Blanding III and were called "non-visitation" surveys. Copies of these four parts of the survey with the total percentages of responses are labeled in the Appendix E, F, G, H, respectively.

The content of the questionnaires seemed to fall into seven natural categories: (1) demand and satisfaction with residence halls, (2) security, (3) privacy, (4) effect on academic achievement, (5) effect on social relationships and socializing (6) effect on sexual attitudes, and (6) political attitude.

The findings related to these areas will be briefly discussed here. A more complete analysis of the results including crosstabulation data can be found in

Appendix I of this report and complete results on all questions is available in the Office of the Dean of Students.

Demand and Satisfaction with Residence Halls

Generally, it can be said that the survey indicated that the residents of the coeducational hall are satisfied with their living situation and would reapply for that type hall again. The residents of this hall view themselves as better groomed, and their hall more pleasing than others, their roommates pleasant, and approximately 88% said the hall suited their needs and met their expectations to some positive degree. The one specific area of dissatisfaction with the hall seemed to be the discrepancy between the number of hours allotted for internal and external visitation, the external hours being somewhat less than the internal.

The residents of the other halls seemed satisfied, but to some lesser degree than those of the coeducational hall, with 58% of this group indicating they would reapply for the same type residence hall under their present living conditions.

61% of the total visitation group indicated that they thought freshmen visitation hours should be increased.

Residence Hall Security.

The results of the survey disclosed that both of the groups feel that security measures are effective and should remain as they are presently. The visitation group felt that security measures during the hours of visitation are effective; however, 39% would like to see these measures decreased. It should be noted that approximately 25% of both groups, a large percentage relative to the overall survey, did not respond to these questions concerning security.

Residence Hall Privacy

Students indicated, generally, that privacy in their rooms during visitation is not a problem, however, 48% of the females said that privacy in the public areas, i.e. lounges, study rooms, and recreational areas was occasionally or frequently a problem.

Both groups said that the use of their room for visitation had not created conflicts between themselves and their roommates.

It would appear that the privacy of the roommate with a visitor is being observed; however, 68% of the visitation group said they voluntarily leave their room when their roommate had a visitor. The privacy of these individuals might be questioned even though the results of the survey do not reveal dissatisfaction in this respect.

Of note is the fact that 41% of the coeducational group and only 21% of the visitation group said that they included their roommates in their plans when they had a visitor during visitation. This gives some credence to a trend that emerges from the entire evaluation, that being the increase amount of socializing and socialization among the residents of the coeducational hall. Further evidence will be discussed at a later point.

Influence on Academic Achievement

The survey produces little evidence that the increase in the number of hours of weekend visitation or coeducational housing affect academic achievement, place of study, amount of study, or time of study. It should be noted that 32% of the visitation group said that their residence hall atmosphere was not conducive to their academic endeavors. Further study into the reasons

for this and of ways to rectify the situation is definitely warranted.

Social Relationships and Socializing

One of the most dramatic differences between the two groups that emerged from the survey is the difference they indicate in the increased amount of time spent in group activity. 50% of the coeducational group and 19% of the visitation group said that visitation (internal-external) had increased the amount of time they spent in group activity. Crosstabulation of these data by sex reveals evidence in support of the difference between the males and the females of this hall reported on their Omnibus Personality Inventory profiles. 56% of the males and 45% of the females report this increase in time spent in group activity. If it is assumed that the males chose this hall in order to increase their social exposure, it would appear that the hall is to some extent meeting this need.

Further evidence seems to be revealed in the responses to a question concerning whether or not the respondents have as much social contact with members of the opposite sex as they would like. 58% of the coeducational group and 40% of the visitation answered in the affirmative. Again the differences between the sexes is marked. 47% of the males and only 19% of the females said they wanted more contact.

When asked about the activities in which they engage during visitation, again evidence supports the "more social" trend in the coeducational hall. The most frequently engaged in activity by both groups is listening to stereo,

radio, watching T.V. or just talking, but the next most frequently engaged in activity for the coeducational group is partying with a group of friends (44%) while only 20% of the visitation group so indicated.

The coeducational respondents said that relationships between the sexes in their hall were natural and relaxed, that there was no peer pressure to date residents of that hall, and that when a group got together to talk, it most often was composed of members of both sexes.

The survey seems to indicate that there exists a camaraderie or togetherness among the residents of the coeducational hall that does not exist in the other halls and that the social contact between the sexes resulting from this type living situation is increased and is natural and relaxed.

The living situation in the other halls subject to the visitation policy appears to foster relationships of the one-to-one or "date" variety.

Sexual Attitudes

The results of the survey do not indicate any substantial changes in sexual attitudes or activity in either of the two groups.

Of the visitation group, 18% reported that living in residence halls afforded them the opportunity to experience more sexual freedom but only 6% of the coeducational group said they thought internal visitation encouraged sexual freedom.

It should be noted that the instructions on the cover of the survey instructed the respondents that they could omit any item they felt to be offensive or of too personal a nature, but the questions relating to sexual behavior were not generally

left out in greater numbers than were other items.

Political Attitude

The inclusion of the one question on political attitudes was prompted by other studies that have reported students who choose coeducational housing view themselves as "liberal". This survey indicated this is the trend at the University of Kentucky with 31% of the coeducational group and 21% of the visitation group rating themselves as politically "liberal." The greatest percentage, almost half, in both groups was "middle of the road."

Corridor Advisors Survey Results

In most instances the responses of the corridor advisors followed the same patterns as those of the other respondents. It is probably fair to characterize their responses as being somewhat more conservative and perhaps more realistic. This would not be unexpected in view of the fact that they are students, but also part of the staff and would, therefore, view the situation from a slightly different perspective.

Non-Visitation Survey Results

The results of the survey indicate that approximately one half of the residents of the non-visitation areas selected that type living situation because they lacked understanding of University visitation policy and 89% said they would not choose this type of residence hall again.

Summary

The committee concludes, on the basis of the information it has compiled, that the detrimental effects of a coeducational living situation and/or visitation privileges which were feared and expected by some, have, for the most part not materialized. The negative aspects appear to be minimal and are balanced

with the increase in student satisfaction. In fact, in the case of the co-educational hall, some positive results have been noted, namely, the increased social interaction which is apparently meeting some specific needs of at least a portion of the students living in this type residence hall.

The committee further concludes, on the basis of the information it has compiled, that the following recommendations are warranted.

Recommendation I:

That the three 24 hour study lounges be continued with no additional ones being opened. The three lounges should be manned with one staff member, the night clerk, rather than two as during 1973-74.

Recommendation II:

That the security arrangements in the residence halls be continued as they have operated in 1973-74.

Recommendation III:

That as soon as possible, but not later than Fall, 1975, additional coeducational buildings be opened if demand so justifies.

Recommendation IV:

That as soon as possible, but not later than Fall, 1975, that sophomores be provided the option of selecting a coeducational building.

Recommendation V:

That, as soon as possible, but not later than Fall, 1975, the hours available for external visitation (visitors from outside the building) for residents of the coeducational buildings be extended for week nights from 6 p.m. to 12 midnight.

The committee notes that this recommendation, along with the previous one, essentially gives the option for expanded visitation hours to all upperclassmen.

Recommendation VI:

That, as soon as possible, but not later than Fall, 1975, the hours available for visitation privileges for residents of the freshmen residence halls be extended to be commensurate with those of the upperclass, single sex residence halls.

Recommendation VII:

That the hours available for visitation privileges for the upperclass single sex residence halls remain the same as they have been in 1973-74.

Recommendation VIII:

That further studies be done concerning the OPI profile of the residents of the coeducational residence halls, with attention being given to how any changes that may occur in these profiles, from the time the students enter the University, through their coed hall living experience, differ from changes that may occur in the profiles of other students.

Recommendation IX:

That further study be made into the reasons 32% of the residents of the University residence halls feel that the residence hall atmosphere is not conducive to their academic endeavors.

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF VICE PRESIDENT
STUDENT AFFAIRS

September 20, 1974

MEMORANDUM

**TO: Dean of Students Jack B. Hall, Chairman
Committee on Evaluation of Coeducational Housing and Visitation**

FROM: Robert G. Zumwinkle

**SUBJECT: Action on recommendations of Committee on Evaluation
of Coeducational Housing and Visitation**

Following receipt in April of this year of the Report of the Committee on Evaluation of Coeducational Housing and Visitation, I met with the Committee, discussed the Report's findings and recommendations, and gave a preliminary indication of my views on the recommendations. Subsequently I have studied the Report further, have reviewed the recommendations with you and President Singletary, and have given much thought to the actions to be taken. In the following paragraphs I shall respond to the recommendations as fully and specifically as I am able at this time.

I wish first to express my appreciation for the excellent, thorough, and conscientious work by the Committee during the 1973-74 academic year in evaluating the impact of coeducational housing and visitation. The Committee's findings should be of interest to many people in the University community.

Although the Report summarizes its findings quite adequately, I would like to outline below those findings which appear to me to be especially significant for my office and the University administration:

1. It appears that academic success, as measured by grade point average, was not significantly different among residents of three types of housing: the undergraduate coed hall, the single-sex residence halls, and off-campus housing.

2. The number of students utilizing visitation privileges, both in the study lounges and in student rooms, was not as high as had been anticipated.
3. Head residents in general believed that the staffing procedures and security measures during visitation had been effective, that the atmosphere in the halls had improved, and that excessive noise and drinking in relation to visitation were only occasional problems, and that the visitation policy met students' needs with respect to group socialization, privacy, and emotional and social development.
4. The Committee's survey of student residents of the residence halls revealed that:
 - a) Students expressed general satisfaction with residence hall living, though the level of satisfaction appeared to be significantly higher in the coed hall than in the single-sex halls.
 - b) Most students expressed the view that the current security measures were effective.
 - c) Students indicated generally that privacy in their rooms during visitation was not a problem and that use of their rooms for visitation had not created conflicts between themselves and their roommates. However, 48% of the women residents said that privacy in the public areas (lounges, study rooms, and recreational areas) was occasionally or frequently a problem.
 - d) The survey produced little evidence that either the increase in weekend visitation hours or the coeducational housing affected academic achievement, place of study, amount of study, or time of study.
 - e) 32% of the residents of single-sex halls felt that the residence hall atmosphere was not conducive to their academic endeavors.
 - f) Residents of the coeducational hall apparently spent significantly more time in group activity than did the residents of single-sex halls. Coed hall residents reported that relationships between the sexes in their hall were natural and relaxed, that there was no peer pressure to date residents of that hall, and that discussion groups in the hall most often were composed of members of both sexes. The living situation in the other halls appeared to foster relationships of the one-to-one or "date" variety.

- g) The survey results did not indicate any substantial changes in sexual attitudes or activity as a result of either coeducational housing or expanded visitation privileges.
- h) Among the residents (63) of the non-visitation housing areas, 89% said they would not choose that type of residence hall again.

5. In summarizing its findings the Committee said:

"The Committee concludes, on the basis of the information it has compiled, that the detrimental effects of a coeducational living situation and/or visitation privileges which were feared and expected by some, have, for the most part not materialized. The negative aspects appear to be minimal and are balanced with the increase in student satisfaction. In fact, in the case of the coeducational hall, some positive results have been noted, namely, the increased social interaction which is apparently meeting some specific needs of at least a portion of the students living in this type residence hall."

The Committee report includes a set of nine recommendations (pages 14 and 15). In the balance of this memorandum I shall quote each of the Committee's recommendations in full, followed in each case by my action on the recommendation.

Recommendation I

"That the three 24-hour study lounges be continued with no additional ones being opened. The three lounges should be manned with one staff member, the night clerk, rather than two as during 1973-74."

Action: Approved. This recommendation has been implemented effective the fall semester of 1974-75.

Recommendation II

"That the security arrangements in the residence halls be continued as they have operated in 1973-74."

Action: Approved. The security arrangements are being continued.

Recommendations III and IV

III."That as soon as possible, but not later than fall, 1975, additional coeducational buildings be opened if demand so justifies."

IV."That as soon as possible, but not later than fall, 1975, that sophomores be provided the option of selecting a coeducational building."

Action: The Committee's evaluative findings on our 1973-74 experience with one undergraduate coeducational hall argue persuasively for gradual expansion of coeducational housing for upperclass students if demand should so justify. We were prepared to add a second undergraduate coeducational hall effective this fall, but the number of applications for such housing was just enough for one building, Blanding I.

Although the 1973-74 experience with a coeducational residence hall for juniors and seniors was successful, the Committee's findings do not lead necessarily to the conclusion that sophomores should be as fully eligible for coeducational housing as are juniors and seniors. It is possible that a higher proportion of juniors and seniors than of sophomores have achieved the degree of personal and social maturity required for coeducational living. However, I believe that the Committee's recommendation has sufficient merit to justify some kind of limited expansion of coeducational housing to include sophomores, subject to change in subsequent years based on experience and evaluation.

For the 1975-76 academic year Blanding I will be continued as a coeducational hall for junior and senior students. A second building will also be made available for coeducational housing, for which sophomores, juniors, and seniors will be eligible. Details concerning the second building (e.g., the specific building to be used and the means of achieving some reasonable balance among the three classes) will be determined later. It must be emphasized that the foregoing actions on Recommendations III and IV are subject to change depending upon the numbers of actual applications for both coeducational and single-sex housing.

Recommendation V

"That, as soon as possible, but not later than fall, 1975, the hours available for external visitation (visitors from outside the building) for residents of the coeducational buildings be extended for week-nights from 6:00 p.m. to 12:00 midnight. The Committee notes that this recommendation, along with the previous one, essentially gives the option for expanded visitation hours to all upperclassmen."

Action: Disapproved. There appear to be good reasons for limiting visitation hours in the single-sex halls to Fridays, Saturdays, and Sundays -- i. e., concern for studying conditions as well as the additional staff and budget that would be required for an extension of visitation hours to weekdays. I am not persuaded that the hours for external visitation (as distinguished from visitation among residents within a given residence hall) in a coeducational hall should be any more permissive than in the single-sex upperclass halls.

Recommendation VI

"That, as soon as possible, but not later than fall, 1975, the hours available for visitation privileges for residents of the freshmen residence halls be extended to be commensurate with those of the upperclass, single-sex residence halls."

Action: Disapproved. It seems reasonable that there would be a difference between the visitation hours of freshmen, many of whom are living away from home for the first time, and those of upperclass students. Furthermore, expansion of the hours would require additional staff and budget and therefore an increase in room rates -- which I am not prepared to recommend at this time.

Recommendation VII

"That the hours available for visitation privileges for the upperclass single-sex residence halls remain the same as they have been in 1973-74."

Action: Approved. There are no indications, either in the Committee's report or elsewhere, that the 1973-74 visitation hours, which were expanded from the previous year, were not successfully implemented.

Recommendation VIII

"That further studies be done concerning the OPI profile of the residents of the coeducational residence halls, with attention being given to how any changes that may occur in these profiles, from the time the students enter the University, through their coed hall living experience, differ from changes that may occur in the profiles of other students."

Action: Approved. I am asking the Counseling and Testing Center to initiate such a study, to be conducted in such a way as not to reveal OPI scores of individual students to persons other than authorized staff of the Counseling and Testing Center.

Recommendation IX

"That further study be made into the reasons 32% of the residents of the University residence halls feel that the residence hall atmosphere is not conducive to their academic endeavors."

Action: Approved. I am asking the Dean of Students Office to undertake such a study.

I request that you share this communication with each member of the Committee, together with my expression of gratitude for their fine contributions. Further, I request that the Dean of Students Office proceed with appropriate steps to implement Recommendations III, IV, and IX.

RGZ:k

cc: President Otis A. Singletary
Dean Rosemary Pond
David Mucci, Student Government President
The Kernel

Office of the President
December 10, 1974

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the four months ending October 31, 1974, be accepted.

Background: The October, 1974, report reflects income of \$71,575,866 for the period of the report; the income figure is 47.6% of the annual income estimate of \$150,450,725.

The expenditure and commitments represent a total of \$52,248,022 for this period of four months, or 34.7% of the expenditure authority of \$150,450,725 approved by the Board.

Note: The report is not included in the Minutes but is in the official files of the meeting.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF ESTATE DISTRIBUTION

Recommendation: that the following Resolution be adopted:

RESOLVED, that the University of Kentucky accept from the Republic National Bank of Dallas, Dallas, Texas, as Independent Executor of the Estate of Wilhelmina P. Robertson, its (the University's) share of the division of securities, in accordance with the schedule of distribution proposed by said Executor.

FURTHER, the University of Kentucky agrees to place said securities (and the other assets that will be received) in a scholarship fund named after Wilhelmina P. Robertson and used for the purposes she requested in her Will.

FURTHER, the University of Kentucky agrees, in consideration of the Executor forwarding said securities at this stage in the administration of the Estate, to pay its (the University's) portion of any Federal Income Tax that may be assessed and to pay its (the University's) portion of any claims or expenses that may arise after all assets have been distributed.

AND FURTHER, that Clay Maupin, Assistant Treasurer of the University of Kentucky, be and is hereby authorized to receipt for the said securities and other assets received.

Background: In her Will, Wilhelmina P. Robertson left to the University of Kentucky one-fifth (1/5) of the residue of her Estate. According to the Executor of her Estate, because all of the residue of her Estate was left to educational institutions, no Federal Estate Tax is due and only a small amount of Texas Inheritance Tax is due. The Executor has advised the University that all tax returns have already been filed and that releases of liens are expected sometime in 1975.

The Executor is willing to make distribution of the University's portion of the securities and other assets prior to receipt upon adoption of the above Resolution by the Board of Trustees.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

Office of the President
December 10, 1974

FCR 3

Members, Board of Trustees:

APPROVAL OF SETTLEMENT AGREEMENT

Recommendation:

1. That the University of Kentucky authorize its Vice President for Business Affairs and Treasurer, Lawrence E. Forgy, Jr., to execute, on behalf of the University, the Settlement Agreement attached hereto, providing for the settlement of the University's pending litigation against Goldman, Sachs & Co. for the sum of \$315,000.00.
2. That the said University authorize its Attorney, John C. Darsie, Jr., to dismiss, discontinue and settle all litigation by said University against Goldman, Sachs & Co., and each and every partner thereof, currently pending in the United States District Courts for the Eastern District of Kentucky and the Southern District of New York upon receipt of a fully executed copy of the aforementioned Settlement Agreement.

Background:

The University of Kentucky instituted action against Goldman, Sachs & Co. and the individual partners thereof, pursuant to the securities laws on December 29, 1972. This action arose from the University's purchase of the commercial paper of the Penn Central Transportation Company from Goldman, Sachs & Co. for the sum of \$481,017.36.

The amount of \$315,000.00 to be paid to the University represents a sum equal to approximately sixty-five percent (65%) of the purchase price of the commercial paper. The University retains its claim in the Penn Central Reorganization Proceedings pending in the United States District Court for the Eastern District of Pennsylvania.

Action: Approved _____ Disapproved _____ Other _____

Date: _____, 1974

SETTLEMENT AGREEMENT

AGREEMENT made as of this day of December, 1974 by and between University of Kentucky ("University"), University of Kentucky Athletic Association ("A.A."), University of Kentucky Research Foundation ("Research") (hereinafter collectively "Kentucky") and Goldman, Sachs & Co., a partnership, and the general and limited partners thereof (hereinafter "Goldman, Sachs").

Kentucky has commenced an action against Goldman, Sachs in the United States District Court for the Eastern District of Kentucky, Civil Docket No. 2461 (the "Action"), asserting claims against Goldman, Sachs arising out of the purchase of unsecured promissory notes issued by Penn Central Transportation Company, in bearer form, by University in the face amount of Five Hundred Thousand Dollars (\$500,000), discounted from January 26, 1970 and maturing on June 29, 1970, by A.A. in the face amount of Three Hundred Thousand Dollars (\$300,000), discounted from March 13, 1970 and maturing on September 25, 1970, and by Research in the face amount of Two Hundred Thousand Dollars (\$200,000), discounted from April 1, 1970 and maturing on June 26, 1970.

On June 21, 1970 Penn Central Transportation Company filed a petition for reorganization under the National Bankruptcy Act in the United States District Court for the Eastern District of Pennsylvania.

The parties hereto desire to settle and terminate the Action on the terms and conditions set forth herein in order to avoid the expense and uncertainty of further protracted litigation.

EXHIBIT

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF KENTUCKY, AT LEXINGTON

----- x
UNIVERSITY OF KENTUCKY, et al., : Civil No. 2461
Plaintiffs, :
-against- : STIPULATION
GUSTAVE L. LEVY, et al., :
Defendants. :
----- x

The parties, by their counsel, stipulate that the attached Order may be entered upon consent settling and dismissing the action.

Dated: December , 1974

John C. Darsie, Jr.
Room 2-Administration Bldg.,
University of Kentucky,
Lexington, Kentucky 40506
(606) 258-2936

ATTORNEY FOR PLAINTIFFS

Squire R. Ogden
Ogden, Robertson & Marshall,
1200 One Riverfront Plaza,
Louisville, Kentucky 40270
(502) 582-1601

-and-

Michael M. Maney
Sullivan & Cromwell,
48 Wall Street,
New York, New York 10005
(212) 952-8100

ATTORNEYS FOR DEFENDANTS

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF KENTUCKY, AT LEXINGTON

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UNIVERSITY OF KENTUCKY, UNIVERSITY	:	
OF KENTUCKY ATHLETIC ASSOCIATION,	:	
and UNIVERSITY OF KENTUCKY RESEARCH	:	
FOUNDATION,	:	Civil Action
	:	No. 2461
Plaintiffs,	:	
	:	
-against-	:	
	:	
GUSTAVE L. LEVY, HENRY H. FOWLER,	:	
JAMES C. HEMPHILL, EDWARD A. SCHRADER,	:	<u>ORDER</u>
STANLEY R. MILLER, JOHN L. WEINBERG,	:	
JOHN C. WHITEHEAD, CHARLES E. SALTZMAN,	:	
HOWARD R. YOUNG, ARTHUR G. ALTSCHUL,	:	
CHARLES L. GRANNON, JAMES D. ROBERTSON,	:	
L. JAY TENENBAUM, ROBERT S. DANFORTH,	:	
RICHARD J. FAY, H. FREDERICK KRIMENDAHL, II,	:	
GEORGE E. DOTY, DONALD R. GANT, JAMES P.	:	
GORTER, PETER A. HAGER, JAMES S. MARCUS,	:	
ALAN L. STEIN, J. FRED WEINTZ, JR., ROBERT	:	
B. MENSCHER, ROBERT E. MNUCHIN, SIDNEY J.	:	
WEINBERG, JR., LEWIS M. WESTON, ROBERT G.	:	
WILSON, PETER A. LEVY, L. THOMAS MELLY,	:	
THOMAS B. WALKER, JR., GEORGE T. BOYER,	:	
MICHAEL H. COLES, JOHN C. JAMISON, RICHARD	:	
L. MENSCHER, ROSS E. TRAPHAGEN, JR.,	:	
individually and doing business under	:	
the firm name and style of GOLDMAN,	:	
SACHS & CO.,	:	
	:	
Defendants.	:	

----- x

Upon the consent of the parties, this action is hereby discontinued and dismissed, settled, with prejudice and without costs.

Dated: Lexington, Kentucky
December , 1974

U. S. D. J.

NOW, THEREFORE, for good and valuable consideration, the parties hereto AGREE, as follows:

1. The Due Date shall be the next day after the expiration of six months from the date of this Agreement, said date being in June 1975 on the same day of the month as the date of this Agreement.

2. Goldman, Sachs agrees to deliver at 10:30 A.M. E.S.T. on the Due Date in New York, New York, to Kentucky, or to such person or bank in New York, New York as Kentucky may hereafter designate in writing, its checks drawn upon a member bank of the New York Clearing House Association payable to (a) University in the sum of Three Hundred Fifteen Thousand Dollars (\$315,000), (b) A.A. in the sum of One Hundred Eighty Nine Thousand Dollars (\$189,000), and (c) Research in the sum of One Hundred Twenty Six Thousand Dollars (\$126,000).

3. The parties have executed by their counsel stipulations discontinuing the Action in the forms annexed hereto as Exhibits. The original of said stipulations shall be delivered simultaneously with the execution of this Agreement to counsel for Goldman, Sachs for filing with the Court.

4. Should Goldman, Sachs fail or refuse to deliver or tender the checks described in Paragraph 2 of this Agreement on the Due Date, then and in that event Kentucky may enter judgment in any court of competent jurisdiction, either in the Commonwealth of Kentucky or in the State of New York, against Goldman, Sachs, in the amount of the sums described in Paragraph 2 of this Agreement.

Goldman, Sachs has executed and is delivering simultaneously with the execution of this Agreement, an Affidavit of Confession of Judgment in the form annexed hereto.

5. This Agreement may not be changed or amended except in writing executed by the parties hereto.

6. This Agreement is to be governed by, and construed in accordance with, the law of the State of New York.

UNIVERSITY OF KENTUCKY

By _____

UNIVERSITY OF KENTUCKY
ATHLETIC ASSOCIATION

By _____

UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

By _____

GOLDMAN, SACHS & CO.

By _____

Kentucky Research Foundation, \$126,000, all with interest thereon at the legal rate from June 11, 1975.

3. This confession of judgment is for a debt justly to become due to the plaintiffs arising from an unconditional obligation to pay, pursuant to a Settlement Agreement dated as of December 10, 1974, a copy of which is annexed hereto as Exhibit A. Pursuant to that Agreement Goldman, Sachs is obligated to pay the sums described in Paragraph 2 thereof on June 10, 1975, and in default thereof judgment by confession may be entered. This affidavit is executed prior to a default and authorizes the entry of judgment in the event that payment pursuant to the Agreement of December 10, 1974 is not made when due.

4. This affidavit is executed pursuant to C.P.L.R. 3218 and is to be construed in accordance with the law of the State of New York.

Sworn to before me this
day of December, 1974.

Notary Public