

AGENDA

Meeting of the Board of Trustees University of Kentucky 1:00 P.M. October 26, 1999

Invocation

Roll Call

Nomination of Assistant Secretary

Approval of Minutes

President's Report and Action Items

- PR 1 President's Report to the Trustees
 - A. Lexington Community College Report
- PR 2 Personnel Actions
- PR 3 Central Administration
 - A. Proposed Amendments to the Governing Regulations
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
 - A. Name Change in Program and Degree Title
 - B. Consolidated MS Program in Nutrition
 - C. James W. Stuckert Career Center
- PR 6 Medical Center
 - A. The Center for Minimally Invasive Surgery

Finance Committee

1. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1999
2. Acceptance of the 1999 Annual Report and the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1999
3. Report of Lease
4. Approval of Lease
5. Patent Assignment Report
6. Settlement of Boundary Dispute Robinson Forest Beaver Dam Tract

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 26, 1999.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 26, 1999 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Dr. Grady Stumbo.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt, Mr. Paul W. Chellgren, Mr. James Glenn III, Mr. John "Jack" Guthrie, Dr. Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Mr. Steven S. Reed, Dr. Daniel R. Reedy, Mr. C. Frank Shoop, Ms. Marian Sims, Ms. Alice Sparks, Dr. W. Grady Stumbo, Ms. JoEtta Y. Wickliffe, Mr. Billy B. Wilcoxson, Mr. Russell Williams and Ms. Elaine Wilson. Absent from the meeting were Mr. Merwin Grayson and Dr. Elissa Plattner. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, Edward A. Carter, George DeBin and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Nomination of Assistant Secretary

Mr. Miles said the nomination of the assistant secretary was overlooked at the last meeting. General Counsel Dick Plymale has filled that role in the past; however, there needs to be a nomination for Mr. Plymale to be elected assistant secretary. Ms. Wickliffe moved that Mr. Plymale be elected assistant secretary. Mr. Williams seconded the motion, and it passed.

D. Approval of Minutes

Mr. Miles said that the Minutes of the Board meeting on September 21, 1999 had been sent out for the Board to read at their leisure. He asked for any change, correction or addition to the Minutes. Mr. Guthrie moved that the Minutes be approved. Mr. Reed seconded the motion, and it carried.

E. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The faculty and staff generated \$132.2 million in research grants, contracts, and gifts from sources outside the University during fiscal year 1999. The 441 faculty members who have obtained extramural funding for five or more consecutive years were honored at a reception hosted by Vice President Fitzgerald Bramwell and his staff.
2. One hundred seventy-three new UK Fellows were honored recently at the University's annual Fellows Society dinner.
3. The College of Fine Arts and Lexmark have designed and made prototypes of computer office furniture that might be seen in the year 2020. The furniture was unveiled in Boston and will be on exhibit in the gallery of the UK Art Museum on November 2-4.
4. Brian Ray, a senior business major from Denver, Colorado, was named the top speaker at the National Invitational Collegiate Debate Tournament held last month at Northern Iowa University. He won the top honor over 236 other college debaters from 40 institutions representing 16 states.
5. Miss America, Heather Renee French of Maysville, presented a \$25,000 scholarship gift to UK during halftime of the homecoming football game.
6. The College of Medicine has received a five-year, \$5 million grant from the National Institutes of Health to support a Parkinson's Disease Research Center of Excellence. Only eight centers were funded this year, bringing the total number funded nationwide to 11. Professor Greg Gerhardt, Anatomy and Neurobiology, leads the research team. He was recruited from Colorado and has brought the expertise staff and funding to enable the University to establish the Parkinson's Disease Research Center of Excellence.
7. The Kentucky Kernel was named a finalist for the National Pacemaker Award given by the Associated Collegiate Press. Finalists are considered the top 10 daily student papers in the country. The winner will be announced October 30th.
8. The internet helps persons with disabilities access higher education. A \$784,000, three-year grant to the College of Education will be used to develop Web-based instruction to help school administrators, faculty, and staff provide students with disabilities better access to quality higher education.

President Wethington asked the members to read the other items in the report as time allowed. He said with the Chairman's permission he would have the Lexington Community College report at the end of his agenda, and he continued his report with the action items.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Bates moved approval. The motion, seconded by Mr. Shoop, carried. (See PR 2 at the end of the Minutes.)

G. Proposed Amendments to the Governing Regulations (PR 3A)

President Wethington said that PR 3A is a recommendation for proposed amendments to the Governing Regulations. These amendments can be characterized as clarification of certain regulations of the University. He noted that the recommendations have come up through the various faculty bodies inside the University.

He explained that the Senate has been reviewing changes in the appointment, promotion, and tenure regulations during the past year. Part of that effort has led to a proposal that certain changes be made to better clarify the rules and regulations as expressed in PR 3A. He said, in his opinion, the proposed changes are not fundamental changes but a clarification of the process that currently exists at the University of Kentucky. The amendments need to stay on the table for at least a month before being approved. Initial action to receive the proposed amendments will be taken at this meeting, and the Board will have an opportunity for final action in December if PR 3A is approved today. He said he was pleased to recommend PR 3A for approval.

Mr. Bates asked if President Wethington was recommending PR 3A for approval now or would the Board get to act on it later.

President Wethington said that the recommendation is that the proposed amendments be received at this time for preliminary consideration and at the next regular meeting of the Board be included on the agenda for action. The Board's action today is to receive these proposed changes and then act officially on them at the December meeting if the Board chooses.

On motion made by Mr. Shoop, seconded by Ms. Wilson and carried, PR 3A was approved. (See PR 3A at the end of the Minutes.)

H. Name Change in Program and Degree Title (PR 5A)

President Wethington said that PR 5A is a recommendation that the Board approve the change to refocus the current MS degree program in Nutrition and Food Science to a MS degree program in Hospitality and Dietetics Administration. This consolidation and name change better reflect what the program will be doing as we head into the twenty first century. He said he was pleased to recommend approval of PR 5A. He noted the change would need to go from the

Board to the Council on Postsecondary Education for final approval because it represents a degree change at the University. Ms. Wilson moved approval. Mr. Chellgren seconded the motion, and it passed. (See PR 5A at the end of the Minutes.)

I. Consolidated MS Program in Nutrition (PR 5B)

President Wethington said that PR 5B is a companion recommendation. The recommendation is that the Board approve the consolidation of the MS in Clinical Nutrition in the College of Allied Health Professions and the MS in Nutrition and Food Science in the College of Human Environmental Sciences into one combined masters degree in Nutritional Sciences. This change pulls together a program which is in the Medical Center in Allied Health and one which is in the Lexington Campus in Human Environmental Sciences. This is a good move designed to increase efficiency and effectiveness. He said he was delighted to recommend approval of PR 5B. Mr. Williams moved approval. His motion, seconded by Mr. Chellgren, carried. (See PR 5B at the end of the Minutes.)

J. James W. Stuckert Career Center (PR 5C)

President Wethington said that PR 5C is a recommendation that he is delighted to bring to the Board. At the last Board meeting, the Board approved the acceptance of a pledge and gift from Jim and Diane Stuckert. He said he indicated at that time that he planned to take to the Committee on Naming University Buildings the proposal that the new University Career Center currently being built on Rose Street next to the Alumni House be named the James W. Stuckert Career Center. The Committee on Naming University Buildings would make the recommendation to the Chancellor who would make the recommendation to him and the Board. He said it gave him great pleasure to recommend that the new University Career Center to be completed in the year 2000 be named the James W. Stuckert Career Center. Mr. Bates moved approval. Mr. Guthrie seconded the motion, and it carried. (See PR 5C at the end of the Minutes.)

Mr. Guthrie said that he knew that Jim and Diane Stuckert were in attendance at the meeting. He said he would like for the Board to know of the leadership that Mr. Stuckert has brought to the University. He was a past national alumni president and has been active in the Jefferson County Alumni Club for years. It is a pleasure to see his success and that he has been able to pass that on to the University. On behalf of the Board he thanked Mr. and Mrs. Stuckert very much.

Mr. Miles and President Wethington asked Mr. and Mrs. Stuckert to stand and be recognized, following which they received a round of applause. President Wethington said that everyone certainly appreciated all that they do for the University of Kentucky, not just recently but for a long time. Mr. and Mrs. Stuckert are dedicated alumni and supporters of the University. He said, "We know where your heart is, and it is with the University. We look forward to participating with you in a dedication ceremony for the new James W. Stuckert Career Center sometime in the first half of the year 2000." He thanked them for coming to the meeting.

K. The Center for Minimally Invasive Surgery (PR 6A)

President Wethington said the recommendation is that the Board approve the establishment of the Center for Minimally Invasive Surgery in the Medical Center. The goals of the Center are to improve clinical service through research, contribute to basic, clinical and outcomes-based research and provide educational programs for residents and faculty seeking continuing education. Everyone who might be faced with surgery ought to be interested in this approach to surgery. If it works, it has numerous advantages. The Medical Center has some excellent expertise and a sizable gift from US Surgical that is helping with the establishment and funding of the Center. He said it was a pleasure for him to recommend the approval of PR 6A, the Center for Minimally Invasive Surgery. Ms. Sparks moved approval. Dr. Stumbo seconded the motion, and it passed. (See PR 6A at the end of the Minutes.)

L. Lexington Community College Report

President Wethington asked Chancellor Zinser to introduce Dr. James Kerley, President of Lexington Community College (LCC).

Dr. Zinser thanked President Wethington for giving them the opportunity to present to the Board a brief overview of the Lexington Community College. She said it was a great pleasure for her to introduce Dr. James Kerley. The Board and the University made a wonderful decision in bringing Dr. Kerley into the very exciting and unique community college.

President Kerley came to the University in July of 1998. Prior to that he spent nine years at Hopkinsville Community College as President and for a couple of years before that he was a Chief Academic Officer and Dean of Academic Affairs at Madisonville. He has had a great deal of experience in Kentucky. In addition to that, he spent some time as Chair of the Department of Education at Union College in Barbourville.

Chancellor Zinser said it is exciting to work with Dr. Kerley. He is full of enthusiasm and ideas. He has engaged his faculty and staff in thinking very progressively about the vision of Lexington Community College. He is very dedicated to the idea of having the Lexington Community College become a top twenty community college in our nation in harmony with our ambition as a public research university in the top twenty. We are creating a much closer alliance between our research university and our community college in this venture.

Dr. Kerley is a full member of the Deans' Council of the Lexington Campus. He was actively involved with his deans and other senior officers in a retreat where we were visioning how the University and the Community College can be more mutually supportive to one another in the course of our aspirations and development. There is a great deal to be said about the unique top twenty public research university and community college moving into the future in a very unique way that will help put both institutions on the map. Dr. Kerley is the right person to be helping us do that.

Dr. Kerley thanked Chancellor Zinser for her introduction and said he appreciated the opportunity of working with Chancellor Zinser. All the deans and vice chancellors have been truly supportive as far as Lexington Community College is concerned. He recognized Mark Denomme, his technical expert that has helped him with some of the graphics, and Suzanne Denomme, the Development Officer at Lexington Community College.

Dr. Kerley presented an overview of the college's past, present and future directions. He discussed the historical perspective of LCC which began in 1965 with its first graduating class in 1967. He talked about the campus facilities and the three buildings (Oswald, Moloney, Academic/Technical) on the Cooper Drive campus, plus the LCC East operation which is primarily geared for continuing education, workforce training and economic development. He mentioned the rich history of LCC and the close association with the University of Kentucky.

Dr. Kerley said a key component of LCC's success is the quality faculty, caring staff, and staying true to the mission of the College, open access, diversity, quality and serving the 13-county region. He emphasized the openness in giving opportunity to students of all ages and abilities. Transfer, technical and the continuing education components of the mission are at the core of its offerings. Since 1985, LCC has had an enrollment grow from 2,500 students to almost 7,000 students with only three buildings. LCC has a 21% increase in African American students, 10% increase in Hispanic students and students coming from 113 counties in Kentucky, 39 states and 32 countries.

LCC's future is limitless and without walls. It is the goal of LCC to be a national model working with a great research university like UK. LCC aspires to be a top twenty community college in the country. There are 19 benchmark colleges that give a measuring stick for faculty/staff salaries, structure and continued improvement.

LCC's primary goals and the strengthening of resources were discussed. For example, the emphasis on competitive salaries for faculty and staff is critical. LCC is seeking new grants, scholarships for students with an emphasis on retention; strengthening institutional effectiveness, planning and assessment; as well as the commitment to excellence through access, equity and diversity.

Dr. Kerley discussed the collaborative efforts with UK colleges and the medical center, such as with College of Engineering, Social Work and Education. A fourth goal is in the area of leadership and LCC becoming a premiere educational institution in addressing the issues and the challenges of the 21st century. He said LCC will accomplish the leadership role by adding more resources, measuring LCC against the best colleges in the nation, improving technology and opportunities for professional faculty and staff development.

The organizational structure and reporting mechanism for LCC is through the UK Board of Trustees, UK President, Lexington Campus Chancellor and then to the President of LCC. Dr. Kerley emphasized that LCC is a separately accredited institution with a certain degree of autonomy to make decisions as any other stand alone campus, but with strong ties through UK.

Partnerships were discussed with LCC and industries like Toyota, UPS, Lexmark, Square D and local school systems, and cooperative ventures with KCTCS and the local Central Kentucky Technical College.

Dr. Kerley discussed the challenge and strong need for a campus expansion and that a new building is a priority for LCC and UK. He stressed that to continue to provide opportunities, added space is imperative and that hopefully a new facility can be secured in the next legislative session.

He discussed the opportunities to make LCC and UK seamless in some of its programming and ease transfer through 2+2 programs. For example, LCC and UK's College of Human Environmental Sciences are currently discussing a 2+2 program in Early Childhood Education. LCC is working side by side with UK entities like Coldstream Research Park. The opportunities are unlimited.

There was an extended discussion of space needs: CPE reports that LCC has a 54% deficit of space, which includes classrooms, labs, student space and opportunities to expand existing and new programs. He said that they visualize a new early childhood program, child care, smart classrooms, and placing the student support area in a new facility. The projected enrollment at LCC within the next few years is 10,000 students. Space is critical and help is needed. Community colleges like LCC are a highly democratizing force in society and giving millions of people a sense of pride and an opportunity to realize their dreams.

In closing, Dr. Kerley said he is very proud and passionate about LCC and the connection with the University of Kentucky. He expressed appreciation to Chancellor Zinser and President Wethington for the opportunity to present the report, for their support for LCC and for the Board's support for LCC.

Mr. Miles asked what it would take and what could the Board do to help the community college get to the top twenty status.

Dr. Kerley said the college needs more resources. They need a new facility and more lease space. They have some national programs already; however, they need to continue to raise the programs to a higher level. They need to continue the UK connection with the 2+2 programs between the University of Kentucky and LCC. He indicated they were already on track doing some of those things.

Dr. Kerley said that he had asked Mr. Miles' question to Dr. Pierce, the head of the American Association of Community Colleges and chief spokesperson for the community colleges. Dr. Pierce said LCC needs quality programs, quality faculty, and competitive salaries. It needed to continue getting the vision out by letting people know about the college and to continue to work on resources.

Mr. Guthrie asked if the open access of the campus was true in most community colleges or only in the top twenty.

Dr. Kerley replied, "Yes, that is a hallmark. If you take that open door out, in my opinion, it is not a community college." He said if you give the students opportunity and motivation, they can do great things in their lives.

Ms. Wickliffe thanked Dr. Kerley for putting the Board on the Lexington Community College mailing list and keeping them abreast of what is happening at the College.

Professor Mather said that he noticed in Dr. Kerley's presentation that LCC has operations in a number of locations even outside of Fayette County. He asked Dr. Kerley if LCC got additional space would they put it in the heart of campus or in another location.

Dr. Kerley replied, "There are different options on that. I think it could be on the campus, and I could give a lot of reasons for that, or it could possibly be somewhere else. I think we want to be open on that right now. There are two or three options in the plans that were done several years ago. They have laid out an option at the Cooper Drive Campus as well as other options so we would entertain different options for that I'm sure."

Ms. Sims expressed her celebration for what the Lexington Community College does for the students.

A question was asked about LCC East and Dr. Kerley responded that at some point LCC East would probably need to be moved in to a more desirable location and be able to expand it more to meet the needs of business and industry.

President Wethington reminded the Board of certain initiatives that were proposed by the University of Kentucky that address the needs of Lexington Community College. In the Higher Education Reform Act the budget language indicated that the University of Kentucky was to continue making a budget request for Lexington Community College and keep it separated so that it could be identified. The building needs that Dr. Kerley has addressed is in that request which was made again to the Council on Postsecondary Education, the Governor and the General Assembly. In the analysis of the base budgets of the institutions in the state, Lexington Community College has been identified as one of those institutions which needs some serious adjustment upward in its base budget. You will find a recommendation from the Council on Postsecondary Education, or at least I hope so, that will help provide or at least recommend that there be some additional financial resources come to Lexington Community College in the next General Assembly session. If so, that will address one of Dr. Kerley's needs. He has mentioned two or three items i.e. faculty salaries, and some other issues that are crucial, I think, to the development of that institution. President Wethington said he appreciated the good work that they are doing at LCC and thanked Dr. Kerley for the good report.

M. Search Committee for President

President Wethington said that he had a recommendation from the University Senate within the last two weeks that there be a change made in the composition of the Search Committee for the President of the University of Kentucky, and he was asked to bring the recommendation to this Board meeting. When the recommendation came to him, it was a

recommendation that would remove the community college faculty member from the Search Committee and replace that member by a staff member. He said he felt the need to seek the input from that entity which was being impacted by this change i.e. the community college faculty. He said he wrote to the Senate of the Community College System because those individuals are still University of Kentucky employees and to the Chancellor of the Community College System asking for their input prior to making some recommendation to the Board.

He said that the Board may anticipate the recommendation coming from him on this matter in December rather than at this meeting because he thinks everyone needs to hear from those who are most impacted. The issue in question of any change in the composition of the Search Committee would require a change in the Governing Regulations. He said he wanted to be able to hear from those interested parties before bringing anything official to the Board. In case the University Senate has questions, he said he had communicated to them in writing telling them what he was doing. He reiterated that he would seek input and then bring something to the Board at the December meeting which would lay on the table and then come back to the Board for final consideration in January. He said he was reminded of this by a communication that Jimmy Glenn had distributed on that same issue.

Mr. Miles said, "So, we would have it on the December agenda."

President Wethington replied that the December meeting would be his intention unless he gets directed otherwise.

Mr. Miles called attention to the memorandum that Mr. Glenn had passed out expressing the students' desire.

Mr. Shoop asked if that was the same issue that the President was talking about and were the students recommending that, too.

President Wethington said that Mr. Glenn's letter was a recommendation that does relate to the recommendation from the University Senate. It may be a different recommendation, but it is related to the same topic.

Mr. Glenn said it was a rough draft that he and some of the members of the Student Government had drafted. He said that he talked to a couple of Board members and a couple of other people inside the University and he wanted to get some feedback from the Board members to go to the administration. It is not the final proposal

Mr. Shoop said, "So, in effect this is a rough draft that we remove the community college representative from the Search Committee and add a full-time staff member."

President Wethington said that is the recommendation from the University Senate, but he had not read Mr. Glenn's recommendation.

Mr. Chellgren said that Mr. Glenn's draft had another point as well that a graduate or professional student be added to the Search Committee.

Mr. Glenn responded that was true. His draft has a staff member and another student on the committee.

Mr. Miles said that there would need to be a lot of thought go into this matter. He and the officers met with three students last week and asked the students to present that to the Board. The questions are: Do we replace the community college representative, and if so, who with? He indicated that it could be a long meeting and asked the Board for any recommendation.

President Wethington explained the process to the Board. He said the process in the Board regulations indicates that any recommendation that comes to the Board must come to the President. The President then submits the recommendation to the Board along with his recommendation. He proposed that the regulations be followed and that the recommendations come to the Board with his recommendation attached. He said he wanted to let the Board know that it would be December before he did any of that rather than now.

Mr. Miles asked if it would require two-thirds of a majority of the vote.

President Wethington said it is a simple majority of the Board.

Mr. Miles asked if the structure of the Search Committee could be changed at a meeting.

President Wethington explained that it would take two meetings. This is like the other proposal that is on the agenda today. It would come before the Board to be reviewed and accepted at one meeting and then voted on for final approval at the following meeting.

Mr. Miles asked if everyone understood the process.

Mr. Shoop said, "So, the recommendation will come from President Wethington in December."

President Wethington said he must transmit the recommendations which are made to him to the Board and then comment on or submit another recommendation. He stated that his recommendation must accompany any change in the Governing Regulations. December is the time the Board ought to start that discussion. There needs to be time for input from others before that is submitted to the Board, and it might be a lengthy meeting.

N. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1999 (FCR 1)

Mr. Chellgren, Chairperson of the Finance Committee, reported that the Committee met and had six items on the agenda. He noted that many members of the Board were in attendance. The first item on the agenda was the Acceptance of the Interim Financial Report for the Three Months Ended September 30, 1999. He reported that the expenditure summary by program is 25% through the year. The revenue of the University is 28% of the budgeted amount and the expenditure is 27% of the budgeted amount. There are no material changes in the interim

financial statements. The University continues to be in sound financial condition, and the Finance Committee will continue to review and approve these reports as the fiscal year advances. He moved the acceptance of the interim financial report for the three months ended September 30, 1999. Mr. Guthrie seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

O. Acceptance of the 1999 Annual Report and the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1999 (FCR 2)

Mr. Chellgren said that the principal subject of the Finance Committee was quite an extensive discussion of the 1999 Annual Report and the financial statements of the University of Kentucky and the various affiliated corporations and other related organizations for the fiscal year that ended June 30, 1999. There was an extensive discussion from Jim Carpenter, the partner at Deloitte and Touche. Jim is the engagement partner for the University of Kentucky engagement audit.

Mr. Chellgren said that it was very important to note that Deloitte and Touche were giving the University of Kentucky a clean opinion with no exceptions or qualifications for all of the affiliated organizations plus the summary report for the University. Jim also relayed and described the three letters such as the management letter, the internal control letter, and the letter certifying the university's compliance with Kentucky House Bill No. 662. This is one of the requirements of the financial statements and one of the responsibilities of the external auditor to certify that compliance. The financial statements are quite complex. He did point out that no audit adjustments were made and recommended by the auditors and ultimately made by the financial staff of the University. The statements are a clean opinion. He moved that the Board accept the 1999 Annual Report and the financial statements. His motion, seconded by Mrs. Wilson, carried. (See FCR 2 at the end of the Minutes.)

Mr. Chellgren said he might mention for the record that as chair of the Finance Committee he met privately with the engagement partner on the accounts. He repeated the statements that he made at the Finance Committee meeting. The auditors were very comfortable with the financial staff and the nature of their cooperation. There were various other statements that the engagement partner was able to make to him in the private session which is consistent with policy, the way an audit committee needs to function with the outside auditors at least once a year on an annual basis as part of the closing process of closing an audit. He said he made that point just for the record.

P. Report of Lease (FCR 3)

Mr. Chellgren said the Board is notified of leases of less than \$30,000 of annual expense. There was one new lease for 100 square feet for six months for a \$14,000 total expenditure. This new lease was reviewed and discussed at the Finance Committee meeting. He moved acceptance of the recommendation in FCR 3. Mr. Reed seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

Q. Approval of Lease (FCR 4)

Mr. Chellgren said the Finance Committee and the Board must authorize the execution of leases and approve the leases greater than \$30,000 annual expenditure. This is a pretty good size lease for 21,836 square feet for almost \$350,000. It is for the Department of Psychiatry located on Blazer Parkway just off Man-O-War and Richmond Road.

Mr. Wilcoxson said he noticed on FCR 3 that the background information states in FCR 5, dated October 5, 1985, that these leases and easements are to be reported to the Board whereas FCR 4 states that it has to be approved by the Board. He asked, "What is the difference there?"

Mr. Chellgren said that there is a trigger point of \$30,000 annual expenditure on any one lease.

Mr. Wilcoxson said his question concerns reporting to the Board versus requiring approval of the Board.

Mr. Chellgren said this has been the University's practice. He deferred to the General Counsel whether in the future the Board could accept it and not actually have a motion.

President Wethinton said Mr. Chellgren could seek the opinion of the Legal Counsel on this; however, he believed that the Board could accept the report without action. He asked Mr. Plymale for his thoughts.

Mr. Plymale said he thought it could be accepted, but he ought to take a look at it before a decision is made.

Mr. Wilcoxson repeated his question, "My question is FCR 3 says reported to the Board, and FCR 4 says approved by the Board. I think that they should be the same, one way or the other either reported or approved."

Mr. Plymale said we have lots of items that are reported to the Board as a matter of courtesy and policy by the administration of the institution that are not required by formal approval, such as the report of Dr. Kerley. There will be items like this from time-to-time that are reported. It is not uncommon in our meetings to do that.

Mr. Wilcoxson said he understood that but the only thing he is saying is that we are contradicting ourselves.

Mr. Chellgren said in the future the Board could defer to vote on the report of the leases and just do it for notice purposes.

Professor Mather said that there is a difference in approving the lease and voting yes to accepting the report.

President Wethington said the policy of the Board has been that any lease under \$30,000 is reported to the Board and that no approval is necessary. The administration approves those leases. By the Board's policy, anything over \$30,000 requires the Board to approve it, and it must come through the Finance Committee first. That's what the University has been doing, and we will try to be consistent with that unless there is some need to change.

Mr. Bates said, "It is as a result of the Board's action many years ago that it simply be reported under \$30,000 and approved over \$30,000."

Mr. Wilcoxson apologized and said he did not mean to make such a big issue. His intention was to make them consistent.

On motion made by Mr. Chellren, seconded by Mr. Shoop and carried, FCR 4 was approved. (See FCR 4 at the end of the Minutes.)

R. Patent Assignment Report (FCR 5)

Mr. Chellgren said FCR 5 is the quarterly patent assignment report. The University is actively pursuing becoming a much more highly recognized research institution and as a result efforts to develop intellectual property and the exploitation of this intellectual property is something the Board should encourage and see. The brief description of the patent report is in FCR 5.

Mr. Chellgren commented on the following patents:

Number one is a Remote Resonant-Circuit Analyte Sensing Apparatus with Sensing Structure and Associated Method of Sensing.

Number 2 is Using a Promoter in Mirabilis Mosaic Caulimovirus to Express Chimeric Genes in Plants.

Number 3 is Metallic Nanoscale Fibers from Stable Iodine-doped Carbon Nanotubes. Nanotubes are quite an interesting development in physical chemistry in recent years and material science.

Number 4 is Particle Separation System using Parallel Multistage Electrostatic Separators.

Number 5 is in the cardiology area of Flexible Recording/high energy Electrode Catheter with Anchor for the Ablation of Atrial Flutter by Radio Frequency Energy. Quite an interesting development in electro cardiology.

Number 6 is a new agent for water disinfection using a different chemistry using N-chloro-N-methyl Glucamine and N-chloro-N-methyl Glucamine Ester as Novel Safe Agent for Water Disinfection.

Mr. Chellgren said the Vice President for Research would be happy to answer any questions. He moved the acceptance of the Patent Assignment Report. Mr. Shoop seconded the motion, and it passed. (See FCR 5 at the end of the Minutes.)

Mr. Miles said there was a question he wondered about and Mr. Bramwell is probably the one to ask. These things can be worth untold amounts of money. Should we have the projection of income from these because that's a big part of what's coming out of the University and if I understood Dr. Bramwell, we're in the top twenty already in this.

Dr. Bramwell replied that the University is very close to the top twenty. It is twenty-one in public research universities in term of royalty income. The challenge here is that it cannot be easily projected when these are going to take place. He gave an example. He said sometimes this takes many years. There is one right now that is coming up which deals with the identification of Parkinson's disease and enzymes and the primary ability to detect this. It was done by Boyd Haley, who is currently the Chair of the Chemistry Department, some eight or nine years ago. It is just now coming on board. It is very hard to actually do that projection. Once this has been actively licensed and the royalty is actually beginning to flow then a projection can be made as to what to expect over the next six or seven years.

Mr. Miles asked if all of these were based on royalty.

Dr. Bramwell said, "when we talk about royalty income which is generated by the patent."

Mr. Miles asked how do we decide then without a projection really what to do.

Dr. Bramwell said, "We wait and look at what's happening over a period of 4 to 5 years. Four years is what we are using right now. Then we make a decision whether we are going to continue on or not. That decision is based on interest that we've received, based on our experience as a scientist and based on what we project will be industrial needs or commercial needs of the Commonwealth or the country."

Mr. Miles asked if it would be fair to ask for that projection of what you assume it is going to be. He said Billy Wilcoxson had a good point about the other part and this we are doing a lot of. He noted that when we say we are in the top twenty it should mean a lot of money, and it should be a big part of the University's future.

Dr. Bramwell said, "We are at about \$2.7million from a \$6 million royalty income. The projection is very difficult to figure because this is based on new technology that has to be brought on screen, and it is often times very difficult to understand what the regulatory procedures, the legal costs, and so on will be as a result."

President Wethington said, "We can project what the royalty income will be next year and we do that and budget it in the University of Kentucky Research Foundation budget. What we can't do is project what these recently approved patents are going to generate somewhere down the road." This can be done once they start generating revenue. The amount of royalty

income is projected every year and that is folded into the overall operating budget. It is specifically in UKRF's budget.

Mr. Guthrie asked if \$2.7 million would be the current income.

Dr. Bramwell said, "That was last year's."

Mr. Guthrie asked if there was a projection for next year or is that still to be determined.

Dr. Bramwell said the projection comes before the Board roughly in February. The projection will be at least \$2.5 million, but it could be a little bit higher because of some other things coming in.

Mr. Guthrie said, "That compares favorably. You say we are number 21."

Dr. Bramwell replied, "Yes sir, for public research universities. Among the start benchmarks that puts us ahead of Arizona, Penn State, and North Carolina."

Dr. Stumbo said there are universities with many patents licensed that end up having absolutely zero value, but you do not know that up front. If you look at research universities, there are just a few that account for the vast majority of the bucks. In his opinion, we end up getting patents for far more things than we end up ever having any value other than its academic value.

Dr. Bramwell said with respect to what Dr. Stumbo said, one of the nice things about the University of Kentucky's policy is that we want to be certain about what we bring forward so that we actually license those inventions with a high probability of success in the marketplace.

S. Settlement of Boundary Dispute – Robinson Forest Beaver Dam Tract (FCR 6)

Mr. Chellgren said FCR 6 recommends that the Board of Trustees authorize the President to resolve a boundary line dispute at the Beaver Dam tract of the Robinson Forest in Breathitt County by executing a quitclaim deed conveying 14.05 acres to Floyd and Nannie Caudill and accepting from the Caudills a quitclaim deed for 19.73 acres. This is part of the ongoing management of Robinson Forest property sorting out a land dispute. He moved that the Board approve FCR 6. Dr. Reedy seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

T. Ad Hoc Committee

Mr. Miles said that he had appointed an ad hoc committee. That ad hoc committee was designed to look at all the top twenty schools and see how their committees are structured. He said he wants to look at how they are structured committee-wise to get into the top 20 status. He also wants to get the Board more involved in getting the University to that level. He stated that it is a temporary committee and after it serves that function it will serve at the pleasure of the Board.

President Wethington asked Chairman Miles when he was asking that committee to make a report to the Board.

Mr. Miles said that would really be up to the committee because that's going to be a very timely thing. He does not have any idea how they are structured other than the other schools within the state, and they are not the people we are targeting. We are targeting to be number one. He said that he thinks that's a very important part of it. He asked if there was any other business.

U. Comments from Mr. Guthrie and Mr. Miles

Mr. Guthrie called attention to the Annual Report. He said he had read the report from cover to cover and suggested that the other members might do the same along with looking at it. He said that it is a fantastic publication, and he commended President Wethington, Lloyd Axelrod, Michele Ripley and anyone else who had a role in it. This report is top twenty material and everyone should be proud of it. Certainly any Fortune 500 company would be proud to put this out. This report gives Mr. Stuckert, Chair of the Capital Campaign and Development Council, and Terry Mobley from the Development Office, a document that they can be proud to tell the story of the University of Kentucky.

Mr. Miles reported that Mr. Guthrie has represented the Board in an alumni function during the past month, and Mr. Reed had represented the Board at the Fellows function. He asked the members of the Board to let him know if there was an event in a school that they would like to represent the Board. He said he wanted to get all the Board involved with the different colleges.

V. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:23 p.m.

Respectfully submitted,

Daniel R. Reedy
Secretary
Board of Trustees

(PR 2, 3A, 5A, 5B, 5C, and 6A; FCR 1, 2, 3, 4, 5, and 6 which follow are official parts of the Minutes of the meeting.)

Office of the President
October 26, 1999

1. Faculty Honored at Research Celebration

Faculty members were honored at the biannual Research Celebration for another record year in extramural funding. Faculty and staff generated \$132.2 million in research grants, contracts and gifts from sources outside the University during fiscal year 1999. The 441 faculty members who have obtained extramural funding for five or more consecutive years were honored. Also recognized were the current University Research Professors: Peter Perry, Mathematics; Steven Weisenberger, English; and Daniel Potter, Entomology.

2. University Honors 173 Fellows for Contributions

One hundred seventy-three new UK Fellows – donors who have contributed \$10,000 or more to UK – were honored this month at the University's annual Fellows Society dinner. During the Fellows Society's 33-year history, more than 4,700 Fellows have made or pledged gifts in excess of \$390 million. The dinner followed UK's annual Development Council meeting.

3. Water Research Institute Works with City on Water Supply Issue

UK's Kentucky Water Resources Research Institute convened a series of meetings of the Fayette County Water Supply Technical Group to establish consensus positions related to issues including water demand, water supply alternatives, costs and implementation for Lexington. The results of these meetings are being presented to the Lexington-Fayette Urban County Council at work sessions, and the council will select a preferred solution to the water supply needs by December 6.

4. New Findings in Alzheimer's Disease Research

In building on his research into free-radicals and how they damage neurons in the brain, Allan Butterfield, Chemistry and the Center of Membrane Sciences, has discovered a possible mechanism by which Alzheimer's brain cells die. Butterfield has found that just one atom within an amino acid of beta-amyloid, a protein material that accumulates in the brains of people with Alzheimer's disease, is essential for free-radical formation. Two implications of this work are: (1) free-radical damage leading to brain cell death involves one amino acid (methionine), and (2) brain-accessible, free-radical antioxidants may be a promising therapeutic strategy for Alzheimer's disease. These results appear in the current issue of *Neurobiology of Aging*.

5. Study Shows Breast Feeding Increases Babies' IQs

Breast-fed babies' IQs are three to five points higher than that of formula-fed babies, according to researchers at the College of Medicine. The findings are published in the October issue of the *American Journal of Clinical Nutrition*. James W. Anderson, Medicine and Clinical Nutrition, found that breast-feeding, compared to formula feeding, is associated with significantly higher levels of cognitive development. He theorizes that breast milk provides nutrients required for rapid development of the immature brain. This is the first comprehensive analysis of results from the many clinical studies in this area.

6. Fine Arts and Lexmark Design Future Office Furniture

Through a collaborative effort, the College of Fine Arts and Lexmark, the Lexington-based computer printer manufacturer, have teamed up to design and make prototypes of computer office furniture that might be seen in the year 2020. The concept furniture, made of environment-friendly materials such as used tires and recycled aluminum, was unveiled this month in Boston at an international expo, and will be on exhibit in the gallery of the UK Art Museum November 2-4. Lynn Sweet, an instructional aid in the College of Fine Arts, was a principal manufacturer on the furniture project.

7. Unique Radiation Treatment Found Effective

A type of radiation treatment developed at the Chandler Medical Center – and used only at UK – has been found to reduce the size of large, very advanced tumors. Results of a study of the Spatially Fractionated Radiation Therapy were published in the September 30 issue of the *International Journal of Radiation Oncology*. The study's principal investigator, Mohammed Mohiuddin, Radiation Medicine, developed the technique about 12 years ago to treat large cancers that tend not to respond to conventional treatment.

8. UK Student Named Top National Debater

Brian Ray, a senior business major from Denver, Colorado, was named the top speaker at the National Invitational Collegiate Debate Tournament held last month at Northern Iowa University in Cedar Falls, Iowa. Ray won the top honor over 236 other college debaters from 40 institutions representing 16 states.

9. College of Communications Developing Effective Anti-Drug Messages

The College of Communications and Information Studies has received a four-year, \$1.67 million grant from the National Institute on Drug Abuse to investigate persuasive strategies for effective anti-drug messages. The research will focus on messages related to marijuana use. The college has received several previous grants for this project. Faculty investigators are Lewis Donohew, Nancy Grant Harrington, Rick Zimmerman, Derek Lane and Tom Kelly, all in the Department of Communication.

10. Miss America Presents Scholarship Gift to University

Miss America Heather Renee French of Maysville presented a \$25,000 scholarship gift to UK during halftime of the homecoming football game. The winner of the Miss America pageant receives money to be donated to a university in her home state. French chose UK for the donation. The University has earmarked the scholarship for students whose parents are veterans in honor of French's Miss America platform.

11. College of Architecture Celebrates 40th Anniversary

The College of Architecture celebrated its 40th anniversary with a symposium September 17-18. Fifteen speakers – all major architects, critics and historians – attended the event. The theme was "Speculation in Architecture: 1960-2000." The event included a dinner honoring Dean Emeritus Charles Parker Graves, founding dean of the UK College of Architecture.

12. Medicine Receives Grant to Establish Parkinson's Research Center

The College of Medicine has received a five-year, \$5 million grant from the National Institutes of Health to support a Parkinson's Disease Research Center of Excellence. Only eight centers were funded this year, bringing the total number funded nationwide to 11. Other centers are located at prestigious institutions including Emory University, Massachusetts General Hospital, Johns Hopkins University School of Medicine, University of California-Los Angeles and Harvard Medical School. Greg Gerhardt, Anatomy and Neurobiology, leads the research team.

13. Kentucky Kernel Wins National Awards

The Kentucky Kernel, the independent daily student newspaper, has been honored by two national organizations for excellence in journalism. For the second consecutive year, the Kernel was named a finalist for the National Pacemaker Award given by the Associated Collegiate Press. Finalists are considered the top 10 daily student papers in the country; the winner will be announced October 30. The Kernel also won a first place and two second place design awards in the Student Society for News Design's annual competition.

14. Rural Aging Consortium Receives Continued Funding

The Ohio Valley Appalachia Regional Geriatric Education Center, a consortium of UK, the University of Louisville, the University of Cincinnati and East Tennessee State University, received \$300,000 from the Health Resources and Services Administration. Now in its 14th year of funding, the center is administratively based at UK and provides curriculum development and continuing education programming about aging for rural care providers in the three-state area.

15. Internet Helps Persons with Disabilities Access Higher Education

A \$784,000, three-year grant to the College of Education will be used to develop Web-based instruction to help school administrators, faculty, and staff provide students with disabilities better access to quality higher education. The grant for the project, "Engaging Differences," is from the U.S. Department of Education. The project will be a collaborative effort with the UK Affirmative Action/Equal Opportunity Office, the Teaching and Learning Center and Lexington Community College. Principal investigators are William Berdine, Special Education and Rehabilitation Counseling, and Frederick Danner, Educational and Counseling Psychology.

16. Kentucky TeleCare Receives Continuation Grant for Rural Telemedicine

Kentucky TeleCare, a program begun in 1993 by the Chandler Medical Center, recently received a \$340,000 continuation grant from the Office for Advancement of Telehealth. The grant is for the third year of funding for the development of a rural telemedicine hub. The completed network will link six primary care medical facilities, five rural public school sites, St. Claire Medical Center in Morehead and the Albert B. Chandler Medical Center.

17. Rural Health, College of Allied Health Professions Partner with Russia

A delegation working with the health department in Pereyaslavka, Russia, visited Kentucky in September to learn about the Albert B. Chandler Medical Center and the Center for Rural Health's services and programs. The colleges of Allied Health Professions, Medicine and Nursing; the Center for Rural Health and the Kentucky Department of Public Health have formed a health care partnership with Russia that uses funds from the U.S. Agency for International Development. The partnership was established because Pereyaslavka is located in an area with many of the same demographic features as Eastern Kentucky.

18. UK, Louisville Receive Grant to Establish New Graduate Program

The University of Kentucky and the University of Louisville colleges of Allied Health Professions have received a three-year, \$360,000 grant to establish graduate programs in clinical laboratory sciences. The grant, from the Bureau of Health Professions, will be used to recruit graduate faculty and cover essential costs in the establishment and operation of the program.

19. LCC Students Volunteer During "Tire Amnesty Days"

LCC Environmental Science Technology students served as volunteers at the Bluegrass Regional Recycling Center during "Tire Amnesty Days." Local residents were encouraged to drop off tires for disposal during the week of October 5th. The students directed traffic, administered citizen surveys, distributed literature and unloaded tires.

20. Club Establishes Scholarship Fund in Memory of Fellow Student

LCC's Athena Club members established the Stanley Howard McGowan II Book Fund Scholarship in memory of McGowan, who died in March from injuries sustained after being struck by a car while crossing South Limestone. McGowan, a quadriplegic, attended LCC for three years before transferring to UK. The Athena Club president presented LCC President Jim Kerley with the initial check of \$500 during the ceremony. The fund currently has \$1,380 with a goal to raise \$10,000.

21. Student Awards and Honors

Rani Aldridge, Accounting, earned the Gold Medal on the May Certified Public Account examination and a top-five spot nationally.

Kimberly S. Bond, Physician Assistant Program, Melody L. Holliman, Physical Therapy, Tara A. O'Bryan, Nursing, Margaret F. Quintero, Education, and Marsheila J. Tincher, Social Work, each received \$3,000 scholarship from the UK Woman's Club.

Rebecca Brinker, Biology, Morgan Ellis, Biology, Mary Greaver, Biology, Jason Johnson, Political Science, Jamie Kemp, Biology, Courtney Reynolds, Biology and History, Julia Sander, Economics of Medicine, Doug Tabeling, History and Political Science, and Tina Webb, Psychology, were named College of Arts and Sciences ambassadors.

Julie Brown, Nursing, received a three-year \$750,000 grant from the U.S. Department of Health and Human Services.

John Georgesen, Psychology, had an article, "Just Teasing . . . : Personality Effects on Perceptions and Life Narratives of Childhood Teasing," published in *Personality and Social Psychology Bulletin*.

Diane R. Haber, Hospitality Management, Brooke Jenkins, Family and Consumer Sciences Education, Kimberly Kuzmovich, Merchandising, Apparel and Textiles, Tina Jo Lair, Individual and Family Development, Molly Mize, Dietetics, Karen Nugent, Early Childhood Education, Anne Pincus, Interior Design, Sarah Rice, Hospitality Management, Kara Scharber, Merchandising, Arinn B. Stapleton, Merchandising, Lisa M. Steenbergen, Interior Design, and Emily Winkle, Interior Design, were named College of Human Environmental Sciences ambassadors.

22. Significant Faculty and Staff Activities

Gerry Benoit and Ling Hwey Jeng, Library and Information Science, and Hong Young Yan, Biological Sciences, received a \$215,400 Leadership Grant from the U.S. Institute of Museum and Library Services to improve information seeking in interdisciplinary research areas.

Joseph Chappell, Agronomy, received the Philip Morris U.S.A. Award for Distinguished Achievement in Tobacco Science.

Henry Cole, Educational and Counseling Psychology received a \$182,040 grant from the National Institute for Occupational Safety and Health to fund a project entitled "Community Partners for Healthy Farming Intervention: Further Dissemination and Evaluation of the Kentucky Roll-over Protective Structures Program."

Henry Cole, Educational and Counseling Psychology, Pamela Kidd, Nursing, and Joan Mazur, Curriculum and Instruction, received a \$32,841 grant from the National Institute for Occupational Safety and Health to conduct a post-intervention evaluation of a project, "Evaluation of Two Delivery Formats for a Farm Safety Simulation Exercise."

Charles Coulston, Lexington Community College, was appointed to the Academic Affairs Committee of the Society of Nuclear Medicine - Technologist Section.

James Flesher, Pharmacology, received a four-year, \$661,299 National Institutes of Health grant to study the role of polycyclic hydrocarbons and their derivatives in carcinogenesis.

Thomas Foster, Pharmacology, received a five-year, \$471,803 National Institutes of Health grant to examine how estrogen levels affect memory changes with aging.

James B. Goode, Lexington Community College, will have his book, *The Cutting Edge: Mining in the 21st Century*, published by the Jesse Stuart Foundation and also has written three articles for the forthcoming *Appalachian Encyclopedia*.

Tom Guskey, Educational Policy Studies and Evaluation, received the Article of the Year Award from the National Staff Development Council for "The Age of Our Accountability," which was published in the *Journal of Staff Development*.

Ellen Hahn and Mary Kay Rayens, Nursing, received a \$70,051 grant from the Centers for Disease Control and Prevention for their study, "Community Partnerships in Tobacco Use Prevention and Control."

David Kaetzel, Pharmacology, received a five-year, \$700,981 National Institutes of Health grant to study the action of a protein known to be key in many different cancers, notably breast and colon cancer and melanoma.

Betty King and Lee Meyer, Agricultural Economics, received the Southern Agricultural Economic Association's Distinguished Extension Program Award for the Kentucky Leadership for Agriculture and Environmental Sustainability project.

Mary Marchant, Agricultural Economics, received the American Agricultural Economics Association Distinguished Undergraduate Teaching Award.

Susan E. Morgan, Communication, received a \$728,160 grant from the Centers for Disease Control and Prevention and the National Institute on Occupational Safety and Health, to evaluate and disseminate an agricultural safety campaign in Kentucky, Virginia and South Carolina.

Erla Mowbray, Lexington Community College, was appointed to the Kentucky Board of Nursing Education Committee.

Laura Nicol, Lexington Community College, was elected to the board of directors of the Humanitarian: Center for Culture and Diversity.

Lois Margaret Nora, Medicine, was named a Fellow of the American Council on Education.

Ann Peden, Nursing, was chosen Psychiatric-Mental Health Nurse of the Year by the Kentucky Nurses Association.

Daniel A. Potter, Entomology, won the Distinguished Achievement Award in Teaching from the Entomological Society of America.

Ning Qin, Pharmacology, received a \$130,000 grant from the American Heart Institute to examine the regulation of calcium channels in the heart.

Norm Stobel, Lexington Community College, co-authored a chapter in "Plant Resistance to Pathogens" in the *Handbook of Pest Management* published by Marcel Dekker, Inc.

Joseph Valentino, Otolaryngology, received the American Academy of Otolaryngology – Head and Neck Surgery's Honor Award.

Walter Walla, Cooperative Extension Service, received the Ruby Award from Epsilon Sigma Phi, the national Extension honorary fraternity.

Barbara Warner, Center on Drug and Alcohol Research, received a \$268,926 grant from the National Institute of Justice to examine violent crime in high drug-use neighborhoods.

Alayne White, Institute on Women and Substance Abuse, received the Robert Strauss Award for contributions to the treatment and prevention of substance abuse in Kentucky.

PR 2

Office of the President
October 26, 1999

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Research and Graduate Studies

Cobb, James C., Director, Kentucky Geological Survey, effective 10/1/99.

B. CHANGES

Vice President for Fiscal Affairs

Williamson, T. Lynn, from Associate Director, Human Resource Services, to Director, Human Resource Services, effective 10/15/99.

Vice President for Information Systems

Vaughn, Sarah C., from Librarian II (with tenure), Access Services to Librarian II (with tenure), Director's Office, University Libraries, effective 11/1/99.

C. RETIREMENTS

Vice President for Fiscal Affairs

Powell, Lena M., Custodial Worker II, Physical Plant Division, after 11 years, 2 months of consecutive service, under AR II-1.6-1 Section III.B, effective 1/7/00.

Reedy, Bobby, Plumber II, Physical Plant Division, after 16 years, 1 month of consecutive service, under AR II-1.6-1 Section III.B, effective 10/15/99.

Vice President for Research and Graduate Studies

Haney, Donald C., Director, Kentucky Geological Survey, after 21 years, 3 months of consecutive service, under AR II-1.6-1 Section III.B, effective 9/30/99.

D. EARLY RETIREMENTS

Vice President for Fiscal Affairs

Bowles, Earlease, Custodial Worker I, Physical Plant Division, after 20 years, 7 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 10/1/99.

Vice President for Information Systems

Hahn, Barbara G., Library Technician, University Libraries, after 21 years, 1 month of consecutive service, under AR II-1.6-1 Section III.A.2, effective 11/26/99.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Vice President for Information Systems

Manasco, James E., Librarian IV, Engineering Library, University Libraries, effective 11/8/99 through 6/30/00.

B. LEAVES OF ABSENCE

Vice President for Information Systems

Marshall, William J., Librarian I (with tenure), University Libraries, sabbatical leave with full pay, effective 1/1/00 through 6/30/00.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Skees, Jerry, Professor (with tenure), Agricultural Economics, named to the Mr. and Mrs. H. Bruce Price, Jr. Professorship in Agricultural Economics, 7/1/99 through 6/30/02.

College of Arts and Sciences

Dillehay, Tom, Professor (with tenure), Anthropology, named to the T. Marshall Hahn, Jr. Professorship, effective 8/16/99.

Sih, Andrew, Professor (with tenure), Biological Sciences, named to the T. Marshall Hahn, Sr. Professorship, effective 8/16/99.

College of Communications and Information Studies

Zimmerman, Rick S., Associate Professor (with tenure), Communication, effective 8/16/99.

College of Law

Fortune, William H., Professor (with tenure), Law, named to the Robert G. Lawson Professorship of Law, effective 8/1/99.

Frost, Christopher W., Professor (with tenure), Law, named to the Brown, Todd and Heyburn Professorship of Law, effective 8/1/99.

Michael, Douglas C., Professor (with tenure), Law, named to the Edward T. Breathitt Professorship of Law, effective 8/1/99.

Rogers, John M., Professor (with tenure), Law, named to the Thomas P. Lewis Professorship of Law, effective 8/1/99.

B. PROMOTIONS

College of Arts and Sciences

Davis, Charles L., from Associate Professor (with tenure), Political Science, to Professor (with tenure), Political Science, effective 7/1/99.

C. CHANGES

College of Education

Adams-Rodgers, Lois, from Associate Professor* (without tenure), Administration and Supervision, to Associate Professor (part-time), Administration and Supervision, 8/16/99 through 6/30/00.

D. RETIREMENTS

College of Agriculture

Grose, Arthur, Agriculture Tech II, Agronomy, after 25 years of consecutive service, under Section III.B of AR II-1.6-1, effective 8/20/99.

E. EARLY RETIREMENTS

College of Agriculture

Goodridge, Marianne, County Extension Secretary, Area Extension Programs, after 25 years of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 10/8/99.

College of Arts and Sciences

La Charité, Raymond, Professor (with tenure), French, after 30 years and 6 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/99.

La Charité, Virginia, Professor (with tenure), French, after 30 years and 6 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/99.

F. POST-RETIREMENT APPOINTMENTS

College of Agriculture

Goodridge, Marianne, County Extension Secretary (part-time), Area Extension Programs, 10/11/99 through 6/30/00.

Shain, Louis, Professor (part-time), Plant Pathology, 1/1/00 through 5/31/00.

Thomas, Grant, Field and Laboratory Technician (part-time), Agronomy, 10/1/99 through 6/30/00.

G. PHASED RETIREMENT APPOINTMENTS

College of Engineering

Tauchert, Ted, Professor (half-time), Mechanical Engineering, 7/1/00 through 6/30/03.

* Special Title Series

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Carman, John L., Instructor (part-time), Landscape Architecture, 10/1/99 through 12/31/99.

Myers, Frieda A., Instructor (part-time), Landscape Architecture, 9/1/99 through 12/31/99.

White, David L., Instructor (part-time), Landscape Architecture, 9/1/99 through 12/31/99.

College of Arts and Sciences

Pichard, Leisa D., Lecturer, English, 8/16/99 through 6/30/00.

Prendergast, Mark, Assistant Research Professor, Psychology, 8/1/99 through 6/30/00.

Tunberg, Jennifer, Assistant Professor, Classics, 8/16/99 through 6/30/01.

Yang, Danzhou, Assistant Research Professor, Chemistry, 7/1/99 through 6/30/00.

College of Communications and Information Studies

Boyle, Karen, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.

Cheser, Karen, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.

Gregory, Eric, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 5/15/00.

Weller, David L., Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 5/15/00.

College of Education

Koch, Kyna, Instructor (part-time), Administration and Supervision, 8/16/99 through 12/31/99.

Willis, Tom, Instructor (part-time), Administration and Supervision, 8/16/99 through 12/31/99.

College of Fine Arts

Carlisle, Gregory J., Instructor (part-time), Theatre, 8/1/99 through 12/31/99.

Chapman, Lesley F., Instructor (temporary), Art, 7/1/99 through 6/30/00.

Deskins, Kristina C., Instructor (part-time), Theatre, 8/1/99 through 12/31/99.

Noland, Gary Jr., Instructor (part-time), Art, 8/1/99 through 12/31/99.

Wilson, Annabelle R., Instructor (part-time), Art, 8/1/99 through 12/31/99.

Graduate School

Calabrese, Steve, Visiting Assistant Professor, Martin School of Public Policy and Administration, 8/16/99 through 5/15/00.

Lexington Community College

Bradley, Randolph, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Fugate, Deanna G., Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Gasaway, Priscilla, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Hagerman, Johnette, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Hardy, Sharon Kay, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Haughaboo, Susan E., Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Hyden, Jerry Thomas, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Noplis, Antoinette, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Oliver, Melissa J., Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Quammen, Charline M., Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Richmond, Stephen, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Woodsley, Lora, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

B. REAPPOINTMENTS

College of Agriculture

Hardwick, Theodore H., Instructor (part-time), Landscape Architecture, 9/1/99 through 12/31/99.

Hasenmyer, Michael, Instructor (part-time), Landscape Architecture, 9/1/99 through 12/31/99.

College of Arts and Sciences

Johnson, Clifford, Assistant Professor, Physics and Astronomy, 7/1/99 through 6/30/00.

College of Business and Economics

Ross, Danny E., Instructor (part-time), Accountancy, 8/16/99 through 5/15/00.

College of Communications and Information Studies

Blanton, Carla, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 5/15/00.

Crawley, Bruce, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 5/15/00.

Gallman, Vanessa, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 5/15/00.

Hawpe, David, Instructor (part-time), Journalism and Telecommunications, 8/16/99 through 5/15/00.

Schabel, Don, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.

Winner, Marian, Instructor (part-time), Library and Information Science, 8/1/99 through 12/31/99.

College of Fine Arts

Buchanan, Margo R., Instructor (part-time), Theatre, 8/1/99 through 12/31/99.

Heying, Patti L., Instructor (part-time), Theatre, 8/1/99 through 12/31/99.

Hoffman, Dorotea V., Instructor (part-time), Music, 8/16/99 through 12/31/99.

Hoffman, Richard M., Assistant Professor (temporary), Music, 8/16/99 through 5/15/00.

Holdren, Michael, Instructor (part-time), Art, 8/1/99 through 12/31/99.

Johnson, Lucy, Instructor (part-time), Art, 8/1/99 through 12/31/99.

Karp, Margaret, Instructor (part-time), Music, 8/16/99 through 12/31/99.

McVay, Vicki, Instructor (part-time), Music, 8/16/99 through 5/15/00.

Osland, Lisa, Instructor (part-time), Music, 8/16/99 through 5/15/00

Slade, Susan, Instructor (part-time), Art, 8/1/99 through 12/31/99.

Stofer, Jill, Instructor (part-time), Art, 8/1/99 through 12/31/99.

Stucky, Rodney, Instructor (part-time), Music, 8/16/99 through 12/31/99.

College of Human Environmental Sciences

Wilson, Jeannette, Instructor (part-time), Family Studies, 8/16/99 through 12/31/99.

Lexington Community College

Davis, Mike, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Davis, Trina, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Elrod, Mary Ann, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Hilbert, Julie, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Huffman, Tina, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Jackson, Dennis, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

Nipp, Rebecca, Instructor (voluntary), Lexington Community College, 10/1/99 through 6/30/02.

Ruggles, Doug, Instructor (voluntary), Lexington Community College, 8/25/99 through 6/30/02.

C. CHANGES

College of Business and Economics

Naugle, Lottie, from Associate State Director, Center for Entrepreneurship, to Business Development Center Director, Center for Entrepreneurship, effective 10/1/99.

D. LEAVES OF ABSENCE

College of Agriculture

Karathanasis, A.D., Professor (with tenure), Agronomy, Sabbatical leave with full salary, 3/1/00 through 8/31/00.

College of Arts and Sciences

Johnson, Clifford, Assistant Professor, Physics and Astronomy, Leave without pay, 7/1/99 through 6/30/00.

College of Education

Harley, Debra, Associate Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave with full salary, 7/1/00 through 12/31/00.

Nelson, C. Michael, Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave with full salary, 7/1/00 through 12/31/00.

College of Engineering

Finkel, Raphael, Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/00 through 6/30/01.

Holloway, Larry, Associate Professor (with tenure), Electrical Engineering, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

College of Law

Davis, Mary, Professor (with tenure), Law, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

Michael, Douglas C., Professor (with tenure), Law, Leave without pay, 1/1/00 through 6/30/00.

Underwood, Richard H., Professor (with tenure), Law, Sabbatical leave with full salary, 1/1/00 through 6/30/00.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Van Sickels, Joseph E., Professor (with tenure), Oral Health Science, effective 10/27/99.

College of Medicine

Burton, Jay M., Associate Professor (voluntary), Surgery, 10/27/99 through 6/30/00.

Dassow, Jeanie D., Assistant Professor (part time), Obstetrics and Gynecology, 11/1/99 through 6/30/00.

Fuhrman, Thomas M., Professor (temporary), Anesthesiology, 10/27/99 through 6/30/00.

Krishna, Komanduri V., Clinical Assistant Professor, Radiation Medicine, 10/27/99 through 6/30/00.

College of Pharmacy

Armitstead, John A., Clinical Associate Professor, 10/27/99 through 6/30/00.

B. ADMINISTRATIVE APOINTEMENTS

College of Medicine

Bowe, Edwin A., Professor* (with tenure), Anesthesiology, named Chair, Department of Anesthesiology, 7/1/99 through 6/30/05.

Fairchild, Donna, Nurse Anesthetist, Anesthesiology, effective 9/22/99.

Harper, Irene, Nurse Anesthetist, Anesthesiology, effective 9/22/99.

C. JOINT APPOINTMENTS

College of Medicine

Gerhardt, Greg A., Professor (with tenure), Anatomy & Neurobiology, joint appointment as Professor (without tenure), Neurology, effective 11/1/99.

* Special Title Series

D. PROMOTIONS

College of Allied Health Professions

Nitz, Arthur J., Associate Professor (with tenure), Health Services, to Professor (with tenure), Health Services, effective 9/21/99.

E. CHANGES

College of Allied Health Professions

Nitz, Arthur J., from Associate Professor (with tenure), Clinical Sciences, to Associate Professor (with tenure), Health Services, effective 7/1/99.

College of Medicine

Seroogy, Kim B. Associate Professor (with tenure), Anatomy and Neurobiology, from sabbatical leave at full salary, 9/1/99 through 2/29/00, to sabbatical leave at full salary, 10/1/99 through 3/31/00.

F. RETIREMENTS

College of Medicine

Mobley, Dorothy, Staff Support Associate II, Anatomy and Neurobiology, after 31 years, 2 months of consecutive service, under AR II-1.6-1 Section III.B, effective 12/30/99.

College of Pharmacy

Dittert, Lewis W., Professor (with tenure), after 14 years, 5 months of consecutive service, under AR II-1.6-1 Section III.B, effective 12/31/99.

G. EARLY RETIREMENTS

College of Medicine

Coonrod, Joseph D., Professor (with tenure), Internal Medicine, after 21 years, 4 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 7/1/99.

College of Pharmacy

Kornet, Milton J., Associate Professor (with tenure), after 36 years, 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 9/30/99.

University Hospital

Kavanaugh, Dorothy, Cook/Baker III, Dietetics and Nutrition, after 30 years, of consecutive service, under AR II-1.6-1, Section III.A.2, effective 9/14/99.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bradley, Jeremy L., Assistant Professor (voluntary), Clinical Sciences, 10/26/99 through 6/30/00.

Ford, Connie G., Instructor (voluntary), Health Services, 12/15/99 through 6/30/00.

Kutnicki, Benjamin, Assistant Professor (voluntary), Clinical Sciences, 12/15/99 through 6/30/00.

Lavarro, Rizalina R., Assistant Professor (voluntary), Clinical Sciences, 12/15/99 through 6/30/00.

Layton, Timothy S., Instructor (voluntary), Health Services, 12/15/99 through 6/30/00.

Williams, Deborah J., Instructor (voluntary), Health Services, 10/26/99 through 6/30/00.

College of Medicine

Baker, Michael D., Assistant Professor (part time), Diagnostic Radiology, 10/27/99 through 6/30/00.

Brunner, Dan, Assistant Professor (voluntary), Emergency Medicine, 10/27/99 through 6/30/00.

Cole, James, Assistant Professor (voluntary), Surgery, 10/27/99 through 6/30/00.

Combs, James L., Assistant Professor (voluntary), Emergency Medicine, 10/27/99 through 6/30/00.

Gilliam, Herbert Y., Assistant Professor (voluntary), Emergency Medicine, 10/27/99 through 6/30/00.

Gong, Ming C., Assistant Professor, Physiology, 12/1/99 through 6/30/00.

Harper, J. A., Assistant Professor (part time), Diagnostic Radiology, 10/27/99 through 6/30/00.

Proudfoot, Richard W., Assistant Professor (voluntary), Surgery, 10/27/99 through 6/30/00.

Schott, Jon, Assistant Professor (voluntary), Emergency Medicine, 10/27/99 through 6/30/00.

Stanforth, David, Assistant Professor (voluntary), Emergency Medicine, 10/27/99 through 6/30/00.

Sweeney, Gregory, Assistant Professor (voluntary), Emergency Medicine, 10/27/99 through 6/30/00.

Yaqoob, Khalid, Clinical Instructor, Internal Medicine, 10/27/99 through 6/30/00.

College of Pharmacy

Crone, Adam E., Instructor (voluntary), 10/27/99 through 6/30/00.
Jenkins, Janet H., Instructor (voluntary), 10/27/99 through 6/30/00.
Ramey, Wendy, Instructor (voluntary), 10/27/99 through 6/30/00.
Rice, Randy, Instructor (voluntary), 10/27/99 through 6/30/00.

B. ADMINISTRATIVE APPOINTMENTS

College of Allied Health Professions

Kim, Moonsun, Scientist III, Clinical Sciences, effective 10/20/99.

College of Pharmacy

Kim, Hyuntae, Scientist III, effective 10/20/99.

C. JOINT APPOINTMENTS

College of Allied Health Professions

Jennings, Patricia R., Assistant Professor*, Clinical Sciences, joint appointment as Assistant Professor*, College of Pharmacy, 12/15/99 through 6/30/00.

College of Medicine

Logan, T. K., Assistant Research Professor, Psychiatry, joint appointment as Assistant Research Professor, College of Social Work, 8/16/99 through 6/30/00.
McGee, Elizabeth A., Assistant Professor, Obstetrics and Gynecology, joint appointment as Assistant Professor, Physiology, 11/1/99 through 6/30/00.

College of Pharmacy

Romanelli, Frank, Assistant Adjunct Professor, joint appointment as Assistant Adjunct Professor, College of Allied Health Professions, Department of Clinical Sciences, 10/27/99 through 6/30/00.

D. CHANGES

College of Dentistry

Thompson, John A., from Assistant Professor (voluntary), Oral Health Science, to Assistant Professor (part time), Oral Health Science, 10/27/99 through 6/30/00.

* Special Title Series

Chancellor's Office

Bruce, Douglas A., from Director, Support Services, Medical Center Relations, to Director, Strategic Initiatives, Medical Center Relations, effective 10/1/99.

College of Medicine

Melahn, William L., from Assistant Professor (voluntary), Family Practice, to Assistant Professor (part time), Family Practice, 10/27/99 through 6/30/00.
Napier, Sharon M., from Clinical Assistant Professor, Ophthalmology, to Assistant Professor (part time), Ophthalmology, 9/1/99 through 6/30/00.
O'Nan, Jeanne M., from Clinical Assistant Professor, Obstetrics and Gynecology, 1/1/00 through 6/30/00, to Clinical Assistant Professor, Obstetrics and Gynecology, 12/1/99 through 6/30/00

University Hospital

Evans, Timothy, from Clinical Staff Pharmacist I, to Lead Pharmacist, Hospital Pharmacy, effective 9/26/99.
Farhodi, Zahra, from Clinical Staff Pharmacist I, to Lead Pharmacist, Hospital Pharmacy, effective 9/26/99.
Reagan, Rebecca, from Pharmacist, to Lead Pharmacist, Hospital Pharmacy, effective 9/26/99.
Solise, Lisa, from Clinical Staff Pharmacist I, to Lead Pharmacist, Hospital Pharmacy, effective 9/26/99.
Sutphin, Stephanie, from Clinical Staff Pharmacist I, to Lead Pharmacist, Hospital Pharmacy, effective 9/26/99.

E. LEAVES OF ABSENCE

College of Allied Health Professions

Stewart, Sharon R., Associate Professor* (with tenure), Health Services, sabbatical leave at full salary, 1/1/00 through 6/30/00.

College of Medicine

Kuo, Chien-Suu, Associate Professor (with tenure), Internal Medicine, sabbatical leave at full salary, 11/1/99 through 1/31/00.

* Special Title Series

PR 3A

Office of the President
October 26, 1999

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be received at this time for preliminary consideration and at the next regular meeting of the Board of Trustees, be included on the agenda for action.

(NOTE: Proposed additions are underlined and bold, proposed deletions are lined through).

Amendment #1 – Change Part VII-4 to read

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the University Senate, the faculty of each college shall establish its own rules, including a committee or council structure necessary for the performance of the faculty's functions. After approval of these rules by the appropriate chancellor/vice president, copies of the rules of the faculty and a description of ~~its~~ the committee or council structure shall be made available to its members and copies filed with the dean of the college, the appropriate chancellor/vice president, the secretary of the University Senate and the Senate Council Office.

Amendment #1 – Change Part VII-5 to read

Within the limits established by the regulations of the Board of Trustees, the policies and rules of the University Senate, and the policies and rules of the faculty of the college of which it is a unit, the faculty of a school shall determine the educational policies of the school. It shall be responsible for functions and duties assigned to it by the faculty of the college. For these purposes, it shall establish its own rules and determine its own committee structure. After approval of these by the dean of the college and the chancellor/vice president, copies of the rules and a description of its committee structure shall be made available to its members and a copy filed with the director of the school, the dean of the college of which it is a unit, the appropriate chancellor/vice president, the secretary of the University Senate and the Senate Council Office. It shall make recommendations to the faculty of the college on matters which require the approval of that body. It may make recommendations on other matters to the dean or other administrative officers of the college. The academic or scholastic requirements of a school may exceed, but not be lower than, those established by the college faculty. Any such differences in standards must be approved by the University Senate.

Amendment #1 – Change Part VII-6 to read

The departmental faculty has jurisdiction over matters concerning its internal educational policies, insofar as these **policies** do not conflict with those of other departments or with the rules of the University Senate or the faculties of the school or college of which the department is a part. It should hold regularly scheduled meetings and ~~is authorized, with the approval of the dean of the college and the appropriate chancellor/vice president, to~~ **shall** establish rules of procedure and a committee structure to deal with matters over which it has jurisdiction. **The proposed rules of procedure and committee structure shall be submitted to the director of the school (if appropriate), the dean of the college, and the appropriate chancellor/vice president for approval. Copies of the approved rules of procedure and committee structure shall be made available to the members of the departmental faculty and shall be** filed with the ~~secretary (secretaries)~~ **director** of the school, ~~and/or (if appropriate) the dean of the~~ college of which the department is a member, **the appropriate chancellor/vice president, the secretary of the University Senate, and the Senate Council Office.** It has primary responsibility for the development of policies on such matters as academic requirements, courses of study, class schedules, graduate and research programs, and service functions. Jointly with the department chairperson, it should establish:—(1) procedures to be used within the department in **(1)** making recommendations on the appointment of new members of the department, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure; ~~and (2) the procedures to be used within the department in~~ the faculty performance evaluations and ~~in~~ **(3)** the preparation of budget requests. The procedures in (1) and (2), ~~except those for the preparation of budget requests,~~ shall include consultation with directors of multidisciplinary research centers and institutes for those faculty who are or will be associated with such centers or institutes.

Rationale: These changes more clearly specify the approval process for faculty rules and faculty committee or council structure.

Amendment #2 – Change VII-11 to read

The department chairperson is responsible for recommendations on the appointment of new members of the department, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure. Procedures ~~and criteria~~ used in preparing recommendations shall be those established by the University, the college, and the department faculty. As a minimum, the procedures must include consultation with all tenured members of the department, with the directors of any multidisciplinary research centers or institutes with which the affected individuals are or will be associated, and with all full-time non-tenured faculty members (except those appointed in the research title series, Medical Center clinical title series, or visiting series) with the actual or equivalent rank of assistant professor or higher who have been members of the department for two years, except as noted below. Faculty members with appointments in the Medical Center clinical title series, however, shall be consulted on a departmental or divisional basis as appropriate about appointment or promotion of individuals to academic ranks equal to or below their own in the Medical Center clinical title series, but not be consulted on matters relating to appointment,

retention, promotion, or tenure of faculty in the regular or special title series. All recommendations on matters listed above, excluding reappointments and post-retirement appointments, shall include the written judgment of each consulted member of the department and of each director of pertinent multidisciplinary research centers and institutes, along with the recommendation of the chairperson. The following exceptions may be made: (1) faculty members on approved leave of absence or with a primary administrative, service, or other assignment outside the department may, but are not required to, provide written judgments on all recommendations; (2) faculty members need not be consulted on recommendations for promotion affecting members with equivalent or higher rank, except that all faculty members with tenure shall be consulted on recommendations for granting of tenure; (3) faculty members without tenure need not be consulted on recommendations for granting of tenure; (4) the right to make recommendations on temporary appointments and/or appointments at the assistant professor level or below may be delegated, with these appointments to be reviewed by the tenured faculty of the department during the second semester of the first year of appointment; and (5) in a large and diverse department, upon prior recommendation by the department faculty and approval of the dean and the chancellor/vice president, consultation with faculty members may be restricted to those associated with the concerned, previously-defined academic division or program area in the department.

Rationale: This change is proposed to make it clear that procedures that are used in preparing recommendations may be established by the University, the College, and Department faculty. The criteria are established by the University in Administrative Regulations AR II-1.0-1 and AR II-5.0-2.

Action taken:



Approved

Disapproved

Other _____

PR 5A

Office of the President
October 26, 1999

Members, Board of Trustees:

NAME CHANGE IN PROGRAM AND DEGREE TITLE

Recommendation: that the Board of Trustees approve the change to refocus the current MS degree program in Nutrition and Food Science to a MS degree program in Hospitality and Dietetics Administration.

Background: The Department of Nutrition and Food Science has a diverse group of students with complex educational needs in a rapidly changing market place. The proposed consolidated master's program with a molecular/biochemical focus which is being proposed in conjunction with the Graduate Center for Nutritional Sciences does not meet the needs of many undergraduates, alumni or other professionals in Hospitality and Dietetics who are seeking graduate education. The job market for graduates in Hospitality and Dietetics Administration master's programs is extensive and nationwide. This proposed change has been approved by the Graduate and Senate Councils and the University Senate. Approval by the Board of Trustees is required prior to submission of this proposed program and degree title change to the Council on Postsecondary Education.

Action taken:

Approved

Disapproved

Other _____

PR 5B

Office of the President
October 26, 1999

Members, Board of Trustees:

CONSOLIDATED MS PROGRAM IN NUTRITION

Recommendation: that the Board of Trustees approve the consolidation of the MS in Clinical Nutrition (College of Allied Health Professions) and the MS in Nutrition and Food Science (College of Human Environmental Sciences) into a combined MS in Nutritional Sciences.

Background: This change will enhance the efficiency of master's education in nutrition; provide a coordinated approach to education and research; and, become an important unified component of a prospective Center for Nutritional Sciences. The change has been approved by the Graduate and Senate Councils and the University Senate. Approval by the Board of Trustees is required prior to submission of this proposed consolidated program to the Council on Postsecondary Education.

Action taken: Approved Disapproved Other _____

PR 5C

Office of the President
October 26, 1999

Members, Board of Trustees:

JAMES W. STUCKERT CAREER CENTER

Recommendation: that the new University Career Center be named the James W. Stuckert Career Center.

Background: Mr. James W. Stuckert is a 1960 graduate of the University of Kentucky where he received a bachelor of science in mechanical engineering. In 1961 he received a master's of business administration. Mr. Stuckert's wife, Diane, and their three children, Steve, Scott and Susan are also UK graduates. Mr. Stuckert, who is president, chairman and CEO of J.J.B. Hilliard, W.L. Lyons Inc. of Louisville, was president of the UK National Alumni Association in 1976. He is currently chair of the UK Development Council and will serve as the chair of the UK Capital Campaign. At the September 21, 1999 meeting the board accepted a pledge of \$1,000,000 from James W. and Diane V. Stuckert for construction of the University Career Center.

The building, which is scheduled for completion in the spring of 2000, will house offices, interview rooms, and a career library for the University Career Center. These state-of-the-art spaces will provide such services as career planning and placement, job search and employment information for students, faculty and alumni, and coordination of on-campus and electronic interviewing for local, state, national, and international employers.

The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Lexington Campus who recommends to the President and the Board of Trustees that the University Career Center be named the James W. Stuckert Career Center.

Action taken: Approved Disapproved Other _____

PR 6A

Office of the President
October 26, 1999

Members, Board of Trustees:

THE CENTER FOR MINIMALLY INVASIVE SURGERY

Recommendation: that the Board of Trustees approve the establishment of the Center for Minimally Invasive Surgery in the Medical Center (CeMIS).

Background: The goals of this multidisciplinary Center are to improve clinical service through research, contribute to basic, clinical and outcomes-based research and provide educational programs for residents and faculty seeking continuing education. The Center staff will facilitate interactions among faculty with surgical interests, provide a framework for interdisciplinary research, assist with the recruitment of residents and graduate students with special interests in surgery and development of surgical devices and develop new technology for commercialization. An Immersadesk will be located in the Center and used for research and education. A telesurgery conference facility will also be housed in CeMIS .

The Center meets the criteria for establishing centers and is recommended by the Chancellor for the Medical Center and the President of the University after approval by the University Senate.

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
October 26, 1999

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 1999

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 1999.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1999, the University had realized income of \$319,309,000 representing 28% of the 1999-00 estimate of \$1,130,081,000. Expenditures and commitments total \$299,636,000 or 27% of the approved budget of \$1,130,081,000.

Action taken:

Approved

Disapproved

Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 1999
with comparative totals for September 30, 1998
(in thousands)

	1999-00					1998-99	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 110,866	\$ 86,242	\$ 3,680	\$ 638	\$ 130,646	\$ 332,072	\$ 264,889
Notes, loans, and A/R (less bad debt allowances of \$11,176)	120,848	24,039	22,408	873	1,410	169,578	175,511
Investments	29,709	52,168		332,349	31,749	445,975	364,198
Property, plant, and equipment					1,297,443	1,297,443	1,225,792
Inventories and other	14,746	57				14,803	18,496
Total Assets	\$ 276,169	\$ 162,506	\$ 26,088	\$ 333,860	\$ 1,461,248	\$ 2,259,871	\$ 2,048,886
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 26,148	\$ 5,343	\$ 552		\$ 1,843	\$ 33,886	\$ 33,093
Employee withholdings and deposits		22,873				22,873	32,375
Other liabilities	4,885	937		\$ 6,639	1,911	14,372	16,691
Liability for self insurance	49,321					49,321	44,838
Deferred income	15,739	16,491				32,230	24,718
Bonds payable					198,276	198,276	214,831
Capitalized lease obligation					28,792	28,792	28,792
Total Liabilities	96,093	45,644	552	6,639	230,822	379,750	395,343
Interfund Balances	(25,785)	70,097			(44,312)		
Net deferred revenues and appropriated fund balances	30,992	(3,371)				27,621	35,770
Fund Balances							
Current unrestricted							
Working capital	159,337					159,337	134,101
Future operating purposes	4,910					4,910	6,929
Other	10,622					10,622	6,721
Current restricted		50,136				50,136	44,975
Loan			25,536			25,536	24,558
True endowments				245,566		245,566	132,248
Term endowments				5,405		5,405	4,585
Quasi endowments				76,250		76,250	64,652
Plant							
Retirement of indebtedness					29,631	29,631	28,634
Renewal and replacement					15,086	15,086	17,061
Allocated for designated projects					202,164	202,164	176,709
Net investment in plant					1,027,857	1,027,857	976,600
Total Fund Balances	174,869	50,136	25,536	327,221	1,274,738	1,852,500	1,617,773
Total Liabilities and Fund Balances	\$ 276,169	\$ 162,506	\$ 26,088	\$ 333,860	\$ 1,461,248	\$ 2,259,871	\$ 2,048,886

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1999
with comparative totals for September 30, 1998
(in thousands)

	1999-00				1998-99	
	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Realized To Date</u>	<u>%</u>	<u>Realized To Date</u>	<u>%</u>
General Fund						
(1) State appropriations	\$ 290,835	\$ 290,835	\$ 72,548	25	\$ 71,803	25
(2) Student fees	119,290	119,314	58,591	49	55,893	51
(3) Federal appropriations	15,519	15,519	5,477	35	3,971	26
(4) County appropriations	7,151	7,151	1,232	17	1,054	16
(5) Endowment income	902	902	193	21	192	21
(6) Investment income	8,978	8,978	2,718	30	2,940	34
Gifts and grants						
(7) Affiliated corporations	14,319	14,319	2,972	21	3,077	22
(8) Other	60,708	58,950	10,192	17	13,245	22
(9) Sales and services	54,748	57,217	9,287	16	9,716	18
(10) Fund balance	<u>20,582</u>	<u>20,582</u>	<u>20,582</u>	100	<u>14,985</u>	100
(11) Total General Fund	593,032	593,767	183,792	31	176,876	31
(12) Auxiliary Enterprises	32,569	32,703	9,155	28	9,377	29
(13) Restricted Funds	67,303	67,387	14,599	22	14,448	25
(14) Affiliated Corporations	159,977	160,060	36,444	23	33,731	23
Hospital						
(15) Revenues	276,164	276,164	75,319	27	64,826	24
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,129,045</u>	<u>\$ 1,130,081</u>	<u>\$ 319,309</u>	28	<u>\$ 299,258</u>	28

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1999
with comparative totals for September 30, 1998
(in thousands)

	1999-00				1998-99	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 244,822	\$ 241,358	\$ 60,605	25	\$ 53,839	23
(2) Research	152,232	151,545	32,994	22	32,453	22
(3) Public service	147,479	147,158	37,348	25	34,237	24
(4) Academic support	68,771	70,485	20,544	29	17,002	26
(5) Student services	20,460	20,663	4,688	23	5,062	26
(6) Institutional support	45,507	49,098	13,219	27	10,501	26
(7) Student financial aid	46,602	46,343	18,732	40	17,534	42
(8) Operation and maintenance	41,125	41,250	8,235	20	7,899	21
(9) Mandatory transfers (debt service)	16,572	16,572	16,572	100	18,486	100
(10) Hospital	276,436	276,436	68,521	25	60,709	23
(11) Auxiliary enterprises	69,039	69,173	18,178	26	15,605	25
(12) Total Expenditure by Program	<u>\$ 1,129,045</u>	<u>\$ 1,130,081</u>	<u>\$ 299,636</u>	27	<u>\$ 273,327</u>	25

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1999
with comparative totals for September 30, 1998
(in thousands)

	1999-00				1998-99	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 641,914	\$ 639,900	\$ 155,818	24	\$ 148,525	24
(14) Operating expenses	421,286	427,592	101,163	24	92,196	23
(15) Mandatory transfers (debt service)	27,567	27,567	18,327	66	20,267	74
(16) Capital outlay	38,278	35,022	24,328	69	12,339	35
(17) Total Expenditure by Category	<u>\$ 1,129,045</u>	<u>\$ 1,130,081</u>	<u>\$ 299,636</u>	27	<u>\$ 273,327</u>	25

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1999
with comparative totals for September 30, 1998
(in thousands)

	<u>1999-00</u>	<u>1998-99</u>
(1) Realized Revenues	\$ 298,727	\$ 284,273
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 20,582	14,985
(3) University Hospital		
(4) Total Appropriated Fund Balances	<u>20,582</u>	<u>14,985</u>
(5) Total Revenues and Appropriated Fund Balances	319,309	299,258
(6) Expenditures/Encumbrances	299,636	270,297
(7) Less: Reserve for Encumbrances	<u>(11,322)</u>	<u>(7,176)</u>
(8) Total Expenditures	<u>288,314</u>	<u>263,121</u>
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues	<u>(3,374)</u>	<u>(367)</u>
(10) Net Deferred Revenues and Appropriated Fund Balances	<u>\$ 27,621</u>	<u>\$ 35,770</u>

FCR 2

Office of the President
October 26, 1999

Members, Board of Trustees:

ACCEPTANCE OF THE 1999 ANNUAL REPORT AND
THE FINANCIAL STATEMENTS OF THE UNIVERSITY OF KENTUCKY
AND AFFILIATED CORPORATIONS AND OTHER RELATED ORGANIZATIONS
FOR THE YEAR ENDED JUNE 30, 1999

Recommendation: that the Board of Trustees accept the 1999 Annual Report of the University of Kentucky, and the separate financial statements of the University of Kentucky, the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital for the year ended June 30, 1999, and reports submitted by the external auditors, Deloitte & Touche, on Compliance and on Internal Control, and on Compliance with House Bill 622 (KRS 164A.555 to 164A.630).

That the Board of Trustees accept the financial statements of the University of Kentucky affiliated corporations, including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., and Health Care Collection Service, Inc., and other related organizations, including University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1999.

Background: The Finance Committee of the Board of Trustees of the University of Kentucky has reviewed the 1999 Annual Report and the financial statements and related reports with the administration of the University of Kentucky. Deloitte & Touche, Certified Public Accountants, audited the financial statements and communicated directly to the Finance Committee concerning the conduct of their independent audit as required by *Governmental Auditing Standards*.

Note: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
October 26, 1999

Members, Board of Trustees:

REPORT OF LEASE

Recommendation: that the Board accept the President's Report of the following lease agreement in accordance with Board Policy:

A new lease between the University of Kentucky (Rehabilitation Medicine) and Kentucky Easter Seal Society, Inc., D/B/A Cardinal Hill Rehabilitation Hospital, 2050 Versailles Road, Lexington, Kentucky, for space located at 3090 Harrodsburg Road, Lexington, Kentucky, effective November 1, 1999 through April 30, 2000, for the use of 1,000 square feet of office space at an annual rate of \$14,000.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
October 26, 1999

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:

A new lease between the University of Kentucky (Department of Psychiatry) and Blazer Parkway Venture LLC, 100 East Vine Street, Lexington, Kentucky, for space located at 3470 Blazer Parkway, Lexington, Kentucky, effective December 1, 1999 through June 30, 2000, for the use of 21,836 square feet of office space, at an annual rate of \$348,284.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

Action taken:



Approved



Disapproved



Other _____

FCR 5

Office of the President
October 26, 1999

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period June 1 through September 30, 1999, be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENT
QUARTERLY REPORT FOR THE PERIOD JUNE 1 THROUGH SEPTEMBER 30, 1999

Patents

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: (To be assigned), filed (To be filed), titled "REMOTE RESONANT-CIRCUIT ANALYTE SENSING APPARATUS WITH SENSING STRUCTURE AND ASSOCIATED METHOD OF SENSING." Inventor: Craig A. Grimes. A resonant sensing apparatus for operative arrangement within a test environment to sense an analyte comprising: a sensing structure having an antenna in electrical communication with a resonant circuit at least one component of which has a structural element made of a material that selectively responds to the analyte; this sensing structure will resonate at a particular characteristic resonant frequency in the presence of an interrogation electromagnetic field upon the selective response; and a receiver for remotely measuring a value for the characteristic resonant frequency. At least one component may be resistive, capacitive, and inductive in nature and may comprise a conductive segment; a length of this conductive segment may also function as the antenna. The receiver can include an antenna capable of measuring a plurality of successive values for electromagnetic emission intensity of the sensing structure. Another resonant sensing apparatus includes an antenna in electrical communication with a resonant circuit, the antenna having a structural element that selectively responds to the analyte causing a change in electrical characteristics thereof. A method of sensing an analyte with a sensing structure having an antenna in electrical communication with a resonant circuit, including: applying an interrogation electromagnetic field causing the sensing structure to resonate, at least one component of the resonant circuit comprising a structural element made of a material that selectively responds; and remotely measuring, with a receiver, a value for a characteristic resonant frequency of the sensing structure upon its resonating and the selective response. The antenna can comprise the structural element that will selectively respond to the analyte.

2. U.S. Patent Serial Number: (To be assigned), filed (To be filed), titled "USING THE FULL LENGTH TRANSCRIPT (FLT) PROMOTER FROM MIRABILIS MOSAIC CAULIMOVIRUS TO EXPRESS CHIMERIC GENES IN PLANTS." Inventors: Indu B. Maiti, Nrisingha Dey and Robert J. Shepherd. This invention identifies a full-length transcript promoter from mirabilis mosaic caulimovirus (MMV) and provides its DNA sequence. The promoter functions as a strong and uniform promoter for chimeric genes inserted into plant cells. This strong promoter function is exhibited by histochemical assay in seeds and floral organs and by reproductive scores of transgenic plants including the promoter. The promoter preferably includes a 5' leader sequence that may be from

the MMV itself or from a heterologous source with respect to the promoter. The promoter is used in a chimeric gene and in methods for transforming plant cells to obtain transgenic plants, plant tissues, plant cells and seeds incorporating the MMV promoter. The MMV FLT promoter shows greater activity (14 to 24 fold) than the CaMV 35S promoter. A modified MMV FLT promoter with duplicated enhancer domains shows greater activity (3 fold) than a single enhancer domain.

3. U.S. Patent Serial Number: (To be assigned), filed (To be filed), titled "METALLIC NANOSCALE FIBERS FROM STABLE IODINE-DOPED CARBON NANOTUBES." Inventors: Peter C. Eklund, Leonid Grigorian, Keith A. Williams, Gamini U. Sumanasekera and Shaoil Fang. This invention provides a method of doping single-walled carbon by soaking in molten iodine. Excess physisorbed iodine may then be removed by annealing.
4. U.S. Patent Serial Number: (To be assigned), filed (To be filed), titled "PARTICLE SEPARATION SYSTEM USING PARALLEL MULTISTAGE ELECTROSTATIC SEPARATORS." Inventors: John Stencil, John Schaefer, James Neathery, Heng Ban and Dennis Finseth. This invention provides an electrostatic separation apparatus comprised of a plurality of separators for separating a particle mixture into two constituent species. Each separator includes one and preferably a plurality of modular separation stages. Each stage of the separator includes a pair of separation subchambers each having an electric field zone for drawing selected charged particles from the particle mixture. A curtain gas flow is provided for each subchamber to entrain and carry the selected charged particles drawn from the particle mixture in the electric field zone to a collector associated with each subchamber for recovery. The inlets for the particle mixture and curtain gas flows are adapted to straighten and smooth the respective flows to reduce turbulence in the separation subchamber and improve separation efficiency. The particle flow remaining after the first separation stage passes through an outlet to a second stage, a recycle line, or if further separation is deemed unnecessary, to a collection device for recovery. The apparatus may include a plurality of single or multistage separators arranged in parallel such that simultaneous operation is possible. Further, the apparatus may be included as part of an overall separation system
5. U.S. Patent Serial Number: (To be assigned), filed (To be filed), titled "FLEXIBLE RECORDING/HIGH ENERGY ELECTRODE CATHETER WITH ANCHOR FOR ABLATION OF ATRIAL FLUTTER BY RADIO FREQUENCY ENERGY." Inventors: Chien-Suu Kuo and John C. Gurley. An electrode catheter is provided having a predetermined flexibility throughout the entire length or at least at the contact portion, such as the electrode region, to allow for the molding of the contact portion such that it conforms to the shape of the heart at a preselected desired target area, such as the isthms between the inlet of inferior vena cava and posterior tricuspid annulus. The catheter also includes a guiding sheath that is preferably semi-rigid and may be pre-shaped with at least one bend or angulation to assist in molding to ensure that the electrode region overlies the

desired target treatment area. A remotely controlled anchoring device is provided at the distal end of the catheter for anchoring it in position to facilitate the molding operation and placement over the target treatment area. A method of cardiac ablation using the catheter of the present invention is also disclosed.

6. U.S. Patent Serial Number: (To be assigned), filed (To be filed), titled "N-CHLORO-N-METHYL GLUCAMINE AND N-CHLORO-N-METHYL GLUCAMINE ESTER AS NOVEL SAFE AGENT FOR WATER DISINFECTION." Inventors: Anwar A. Hussain, Bassam Tashtoush and Lewis W. Dittert. This invention provides N-chloro-N-methyl glucamine and N-chloro-N-methyl glucamine esters, and their use as agents for water disinfection and as mild oxidizing agents for radiolabeling of oxidation-sensitive biomolecules.

FCR 6

Office of the President
October 26, 1999

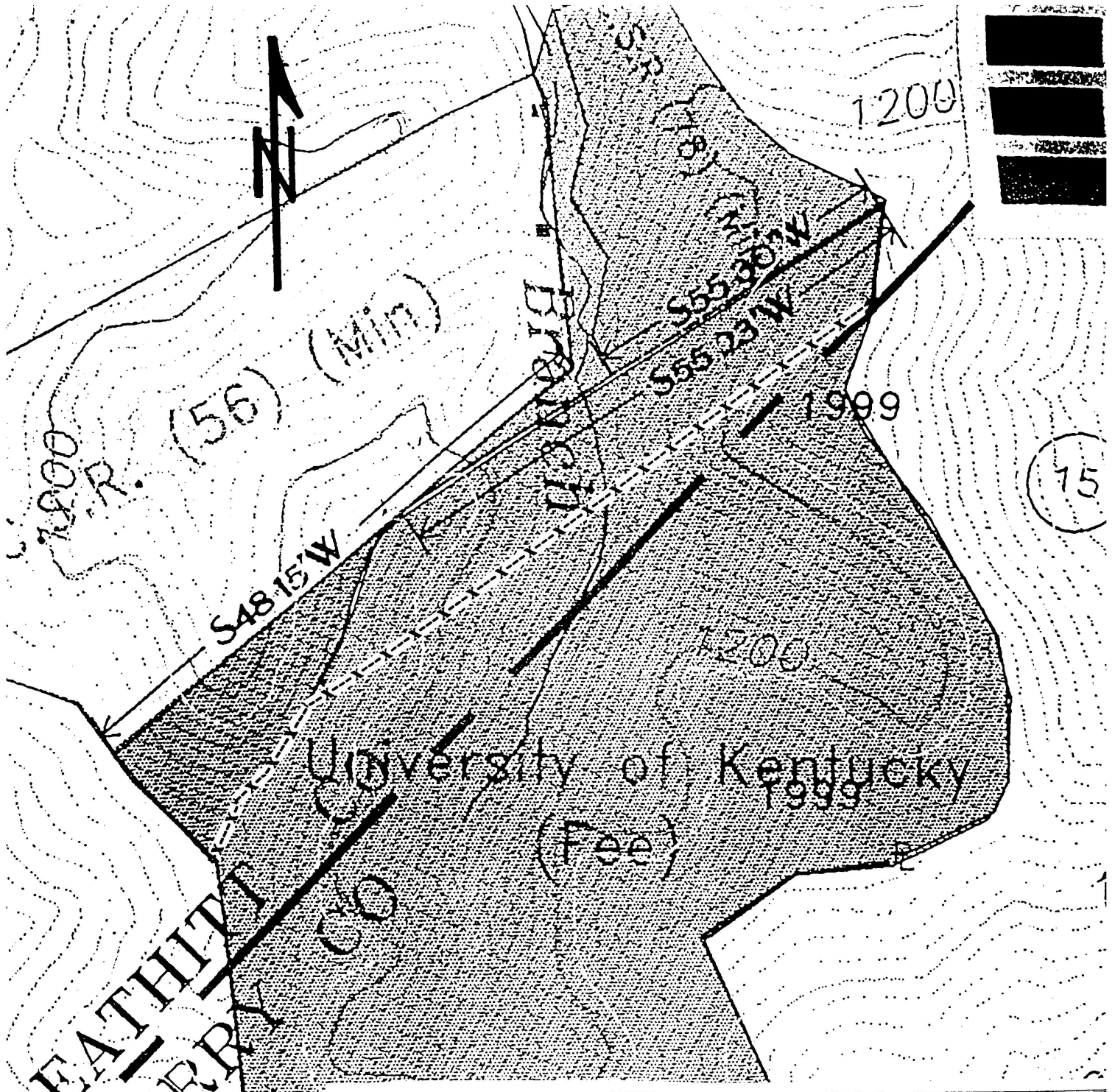
Members, Board of Trustees:

SETTLEMENT OF BOUNDARY DISPUTE
ROBINSON FOREST BEAVER DAM TRACT

Recommendation: that the Board of Trustees authorize the President to resolve a boundary line dispute at the Beaver Dam tract of the Robinson Forest in Breathitt County by executing a quitclaim deed conveying 14.05 acres to Floyd and Nannie Caudill and accepting from the Caudills a quitclaim deed for 19.73 acres.

Background: The Beaver Dam of the Robinson Forest contains 490 acres and is one of the outlying tracts of the Forest which has been leased for coal mining. The ownership of the 24 acres of the tract north of the Perry-Breathitt County line has been in dispute since 1925. The issue needs to be resolved prior to actual mining. Deeds held by both the University and the Caudills and the prior owners claim the 24 acres. Because of the uncertainty of the outcome of a lawsuit to determine ownership, the University administration and the Caudills have determined that a compromise to an agreed-upon boundary line is in the best interests of the parties. The proposed boundary line divides the property so that each landowner holds an equal amount of the coal on the property (approximately 83,000 tons each). A map is attached showing the agreed-upon line.

Action taken: Approved Disapproved Other _____



**MAP OF AGREED-TO PROPERTY LINE
 BETWEEN
 UNIVERSITY OF KENTUCKY AND FLOYD CAUDILL
 AT
 LOWER BEAVERDAM BRANCH OF TROUBLESOME CREEK
 BREATHITT COUNTY, KENTUCKY**

LEGEND: Agreed-to property line -- x ---x---x---x---x---

SCALE 1"=800'

DATE: _____, 1999