

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 15, 1987.

The Board of Trustees of the University of Kentucky met at 4:00 p.m. Lexington time on Tuesday, September 15, 1987 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened

Mr. Robert T. McCowan, Chairman, called the meeting to order at 4:00 p.m., and the invocation was pronounced by Mr. T. A. Lassetter.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Robert T. McCowan (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Governor Albert B. Chandler, Mr. Albert G. Clay, Professor Mary Sue Coleman, Professor David R. Driscoll, Jr., Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Mrs. Edythe Jones Hayes, Mr. Henry E. Hershey, Senator Walter D. Huddleston, Mr. T. A. Lassetter, Dr. Nicholas J. Pisacano, Mr. Frank Ramsey, Jr., Mr. James L. Rose, Mr. Jerome A. Stricker, Mr. Bobby Watson, Ms. Cyndi Weaver, and Mr. Billy B. Wilcoxson. Absent from the meeting were Mr. George W. Griffin, Jr., Mr. Brereton C. Jones, Mr. R. Larry Jones, and Judge Julia K. Tackett. The University administration was represented by President David P. Roselle; Mr. Edward A. Carter, Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher and Charles T. Wethington; Dr. Raymond R. Hornback, Vice President for University Relations; Mr. Eugene R. Williams, Acting Vice President for Information Systems; Mr. John C. Darsie, Legal Counsel.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 4:03 p.m.

C. Minutes Approved

On motion made by Mr. Stricker, seconded by Mr. Hershey and passed, the Minutes of the August 18, 1987 meeting of the Board of Trustees were approved as written.

D. Nomination of Officers and Executive Committees

Under the Governing Regulations officers of the Board and the Executive Committee are elected annually at the September meeting. Mr. McCowan, who had appointed a Nominating Committee comprised of Mr. Frank Ramsey (Chairman), Professor David R. Driscoll, Jr., Senator Walter D. Huddleston, Mr. James L. Rose, and Mr. Billy B. Wilcoxson, called on Mr. Ramsey to present the Committee's recommendations.

Mr. Ramsey placed the following slate of officers for 1987-88 in nomination:

OFFICERS

Robert T. McCowan, Chairman
Albert G. Clay, Vice Chairman
Edythe Jones Hayes, Secretary
John C. Darsie, Assistant Secretary

Mr. McCowan called upon Mr. Lassetter to serve as acting chairman for the motion. There being no nominations from the floor, on motion made by Mr. Ramsey, seconded by Mr. Hershey and passed, the slate was elected as proposed.

Mr. McCowan, speaking on behalf of the officers, expressed thanks and appreciation to the other members of the Board for their expression of confidence.

Mr. Ramsey then placed the following slate of Executive Committee members for 1987-88 in nomination:

EXECUTIVE COMMITTEE

Robert T. McCowan, Chairman
Albert G. Clay
Tracy Farmer
T. A. Lassetter
James L. Rose

There being no nominations from the floor, on motion made by Mr. Ramsey, seconded by Mr. Stricker and passed, the slate was elected as proposed.

E. Committee Appointments

Mr. McCowan stated that appointments to committees are normally made in September. However, since the committees were revised in April, the only changes to be made for 1987-88 are the additions of the new trustees: Mary Sue Coleman and Cyndi Weaver, Student Code Committee. He, therefore, indicated the following committee appointments for 1987-88:

Finance Committee

Lawrence E. Forgy, Jr., Chairman
Albert G. Clay
Tracy Farmer
*George W. Griffin
Walter D. Huddleston
*Brereton C. Jones
*R. Larry Jones
Robert T. McCowan
James L. Rose
Jerome A. Stricker

Student Code

Bobby Watson, Chairman
Cyndi Weaver
*George W. Griffin
Edythe Jones Hayes
Nicholas J. Pisacano
Julia K. Tackett
Mary Sue Coleman

Investment

Henry E. Hershey, Chairman
Ted B. Bates
Albert G. Clay
T. A. Lassetter
Frank Ramsey
Jerome A. Stricker
Billy B. Wilcoxson

Hearing

*R. Larry Jones, Chairman
Raymond F. Betts
David R. Driscoll, Jr.
Edythe Jones Hayes
Julia K. Tackett
Billy B. Wilcoxson

Honorary Degrees

Walter D. Huddleston

*The six-year term has not expired; legal issue pending.

F. Governor Chandler Recognized

Mr. McCowan reported that a film had been made entitled "Roads Home" for Governor Chandler. The film will be shown in the Museum of Modern Art in New York City, and the Baseball Hall of Fame has purchased a copy for their outstanding films in their library. Mr. McCowan stated the film was a credit to the Governor and the Commonwealth of Kentucky. Governor Chandler was recognized and given a round of applause.

G. President's Report to the Trustees (PR 1)

President Roselle expressed sympathy over the loss of three University employees within the last month to automobile accidents: Ms. Lil Knowlton, who worked in Employee Benefits, and a husband and wife team, who were both on the faculty at Prestonsburg Community College, William Graves and Louise Houghton.

He reviewed briefly some of the items in PR 1, calling particular attention to (1) a press conference that had been held regarding enrollment at the University and some memorable things about it: the first time the University's enrollment has gone above 50,000 in its history, ACT scores continuing their upward trend, and the twelve National Merit Scholars and 72 valedictorians in this year's freshman class, (2) the groundbreaking ceremony for the new Regulatory Services Building on September 9 and the upcoming groundbreaking ceremony for Prestonsburg Community College on September 18, (3) the Jefferson Community College Culinary Arts program being one of only eight to receive accreditation, (4) the success of Saturday Seminars, (5) a \$735,658 contract awarded to the Kentucky Geological Survey Natural Gas Study (6) the Student Center's 50th Anniversary celebration, and (7) the ribbon-cutting ceremony for the Erving J. Nutter Football Training Facility.

H. Personnel Actions (PR 2)

With President Roselle so recommending, on motion made by Mr. Stricker, seconded by Professor Coleman and passed, the routine personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

I. 1987-88 Budget Revisions (PR 3B)

With President Roselle so recommending, on motion made by Mr. Clay, seconded by Mrs. Hayes and passed, the 1987-88 budget revisions were approved. (See PR 3B at the end of the Minutes.)

J. Proposed Amendments to the Governing Regulations (PR 3C)

Professor Coleman moved that the proposed amendments to the Governing Regulations be received for preliminary consideration and be included on the agenda at the next regular meeting of the Board. The motion was seconded by Mr. Wilcoxson and passed without dissent. (See PR 3C at the end of the Minutes.)

K. Proposed Amendments to the Governing Regulations (PR 3D)

Mr. Rose moved that the proposed amendments to the Governing Regulations which were received by the Board for preliminary consideration at its May 1987 meeting be approved. The motion was seconded by Mr. Lassetter and carried. (See PR 3D at the end of the Minutes.)

L. Five Year Plan 1987-92 and Biennial Budget Request 1988-90 (PR 3E)

President Roselle in presenting the Five Year Plan and Biennial Budget Request detailed some of the major areas of emphasis and concern in the Plan and commented about the University's situation. Vice President Carter displayed charts and reviewed the items on which the plan was based.

Following the presentation, President Roselle recommended that the Five Year Plan for 1987-92 and the Biennial Budget Request for 1988-90, including the Capital Construction Request, be approved for submission by the University of Kentucky to the Council on Higher Education for review and recommendation to the Governor of the Commonwealth. The motion, which was made by Mr. Ramsey and seconded by Senator Huddleston, was voted on and carried. (See PR 3E at the end of the Minutes.)

M. Patent Assignment - Lexington Campus (PR 5A)

Mr. Clay moved, and Mr. Stricker seconded, that the routine patent assignment in PR 5A be approved. The motion carried without dissent. (See PR 5A at the end of the Minutes.)

N. Appointment to University of Kentucky Equine Research Foundation (PR 5B)

President Roselle recommended that approval be given to the appointment of Mr. Edward Bonnie and Mr. John Cashman as members of the Board of Directors of the University of Kentucky Equine Research Foundation for terms ending December 1988. The motion, which was made by Mr. Clay and seconded by Mr. Hershey, carried unanimously. (See PR 5B at the end of the Minutes.)

O. Board Appointments, McDowell Cancer Network (PR 6A)

President Roselle recommended the reappointment of Carolyn Kenton, Ivan Jett, Judy Rose, Ben Roach, Albert Dix and David Weil and the appointment of Byron Young and David Nash as members of the Board of Directors of the McDowell Cancer Network with terms beginning October 1, 1987 and expiring September 30, 1990. The motion, which was made by Mr. Stricker and seconded by Mrs. Hayes, was voted on and carried. (See PR 6A at the end of the Minutes.)

P. Acceptance of Interim Financial Report for the University of Kentucky for the Month Ended July 31, 1987 (FCR 1)

Mr. Forgy, Chairman of the Finance Committee, reported that the Committee met and reviewed the agenda items at length to be presented for action. He then moved that the Interim Financial Report for the University be adopted. The motion was seconded by Mr. Rose and carried. (See FCR 1 at the end of the Minutes.)

Q. Acceptance of Audit Reports for the University of Kentucky for 1986-87 (FCR 2)

On motion made by Mr. Forgy, seconded by Mr. Clay and carried, the Board approved the Audit Reports for the University of Kentucky for 1986-87 as recommended in FCR 2. (See FCR 2 at the end of the Minutes.)

R. Capital Construction Report (FCR 3)

Mr. Forgy entertained a motion to adopt the Capital Construction Report for the twelve months ending June 30, 1987, and the motion carried.

S. Use of Housing and Dining Maintenance Reserve (FCR 4)

On motion made by Mr. Forgy seconded by Professor Coleman and carried, the Vice Chancellor for Administration, Lexington Campus, was authorized and directed to request the Trustee of University of Kentucky Housing and Dining System Revenue Bonds to make available the sum of \$375,000 for the purpose of making repairs to Kirwan Tower and Blanding Tower due to brick spalling. (See FCR 4 at the end of the Minutes.)

T. Report of Leases (FCR 5)

Mr. Forgy presented FCR 5 and made a motion that it be adopted. The motion was seconded by Mr. Watson and unanimously carried. (See FCR 5 at the end of the Minutes.)

U. Establishment of Quasi-Endowment for the Eleanor M. Snedeker Scholarship Fund for Home Economics Students (FCR 6)

With the concurrence of the Finance Committee, Mr. Forgy recommended and so moved that the Board authorize the creation of a quasi-endowment fund to be entitled the "Eleanor M. Snedeker Scholarship Fund for Home Economics Students." The motion was seconded by Professor Betts and carried without dissent. (See FCR 6 at the end of the Minutes.)

V. Report of Investments (ICR 1)

Mr. Hershey, Chairman of the Investment Committee, reported that the Investment Committee met and reviewed the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the three months ended June 30, 1987. He then moved that the report be accepted. The motion was seconded by Mr. Stricker and carried. (See ICR 1 at the end of the Minutes.)

W. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 4:57 p.m.

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(PR's 2, 3B, 3C, 3D, 3E, 5A, 5B, and 6A; FCR's 1, 2, 3, 4, 5, and 6; and ICR 1 which follow are official parts of the Minutes of the meeting.)

Office of the President
September 15, 1987

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved Disapproved Other

Date: September 15, 1987

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CENTRAL ADMINISTRATION

I. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Information Systems - Computing
Center

Coffman, Jack L., Security and Contingency Officer,
effective August 10, 1987

Controller and Treasurer - General Accounting

Oberg, Robert S., Institutional Staff Officer II,
named Accounting Manager IV, effective August 1, 1987

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Southeast Community College

Noe, Roger, Professor in the Community College System (with tenure), Chairman, Division of Social Sciences and Related Technologies, 7/1/87 through 6/30/90.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Transfer From Maysville Community College
To Jefferson Community College

Booth, Beverly, Assistant Professor in the Community College System, 8/1/87 through 6/30/88.

Ashland Community College

Mann, James, Instructor in the Community College System, 8/1/87 through 6/30/88.

Elizabethtown Community College

Buck, Ellen Pem, Instructor in the Community College System, 8/1/87 through 6/30/88.

Foster, Loretta, Instructor in the Community College System, 8/1/87 through 6/30/88.

Schork, James E., Instructor in the Community College System, 8/1/87 through 6/30/88.

Hazard Community College

Delpont, Donna L., Instructor in the Community College System, 8/1/87 through 6/30/88.

Galmish, Henry P., Instructor in the Community College System, 8/1/87 through 6/30/88.

Henderson Community College

Kline, Sally H., Instructor in the Community College System, 8/1/87 through 6/30/88.

Long, Deborah K., Librarian IV in the Community College System, 8/24/87 through 12/24/87.
Maltby, Lorie A., Instructor in the Community College System, 8/1/87 through 6/30/88.
Meredith, Connie M., Instructor in the Community College System, 8/24/87 through 5/31/88.
Wickersham, Helen C., Instructor in the Community College System, 8/1/87 through 6/30/88.

Jefferson Community College

Beckham, Judith E., Instructor in the Community College System, 8/1/87 through 12/31/87.
Drake, Paul, Instructor in the Community College System, 8/1/87 through 12/31/87.
Le, Nghia T., Instructor in the Community College System, 8/1/87 through 6/30/88.
Maxson, Richard, Instructor in the Community College System, 8/1/87 through 6/30/88.
Mollaghasemi, Hossein, Instructor in the Community College System, 8/1/87 through 6/30/88.
Ransom, Debra K., Instructor in the Community College System, 8/1/87 through 6/30/88.
Rasras, Awad R., Instructor in the Community College System, 8/1/87 through 6/30/88.
Washburn, Maureen M., Instructor in the Community College System, 8/1/87 through 6/30/88.
Ziegler, Charlene, Instructor in the Community College System (voluntary), 8/1/87 through 6/30/88.

Madisonville Community College

Calvert, Jacquelyn B., Librarian IV in the Community College System, 8/1/87 through 6/30/88.
Hawkins, Judith G., Instructor in the Community College System, 8/1/87 through 6/30/88.
Moore, Russell W., Instructor in the Community College System, 8/1/87 through 6/30/88.
Morrison, George H., Instructor in the Community College System, 8/1/87 through 6/30/88.
Rogers, Eric O., Instructor in the Community College System, 8/1/87 through 6/30/88.
Sellers, S. Liale, Instructor in the Community College System, 8/1/87 through 6/30/88.
Stocker, Michael G., Instructor in the Community College System, 8/19/87 through 6/30/88.

Maysville Community College

Curtis, Sylvia B., Assistant Professor in the Community College System, 8/1/87 through 6/30/88.

Dunaway, Linda K., Instructor in the Community College System, 8/10/87 through 6/30/88.
Jones, Claude E., Instructor in the Community College System, 8/10/87 through 6/30/88.
Myers, Alma E., Instructor in the Community College System, 8/1/87 through 6/30/88.
Tobia, G. Robert, Instructor in the Community College System, 8/1/87 through 6/30/88.
Wilson, Sharon G., Instructor in the Community College System, 8/1/87 through 6/30/88.

Owensboro Community College

Beck, Bruce L., Instructor in the Community College System, 8/1/87 through 6/30/88.
Benningfield, Dottie, Instructor in the Community College System, 8/1/87 through 6/30/88.
Quisenberry, Larry T., Assistant Professor in the Community College System, 8/1/87 through 6/30/88.

Prestonsburg Community College

Cramer, David T., Instructor in the Community College System, 8/1/87 through 6/30/88.
Elliott, Myra T., Assistant Professor in the Community College System, 8/1/87 through 6/30/88.
Leon, Ana E., Instructor in the Community College System, 8/1/87 through 6/30/88.
Stewart, Judy C., Instructor in the Community College System, 8/15/87 through 6/30/88.

Somerset Community College

Fleenor, Dana P., Instructor in the Community College System, 8/1/87 through 6/30/88.

Southeast Community College

Anderson, Wayne F., Instructor in the Community College System, 8/1/87 through 6/30/88.
Cox, Robert S., Instructor in the Community College System, 8/1/87 through 6/30/88.
Forbes, Zelma, Instructor in the Community College System, 8/1/87 through 6/30/88.
Howard, Margaret, Instructor in the Community College System, 8/17/87 through 6/30/88.
Lewis, Cheryl, Instructor in the Community College System, 8/1/87 through 6/30/88.
Pratt, Roberta W., Instructor in the Community College System, 8/1/87 through 6/30/88.
Puckett, Anita, Instructor in the Community College System, 8/1/87 through 6/30/88.

Reid, Robert, Instructor in the Community College System, 8/1/87 through 6/30/88.

Thompson, Carlie, Instructor in the Community College System, 8/1/87 through 6/30/88.

B. ADMINISTRATIVE APPOINTMENTS

Jefferson Community College

Gaskey, Norma, Professor in the Community College System (with tenure), Acting Associate Director for Academic Affairs, Downtown Campus, 9/1/87 through 12/31/87.

C. JOINT APPOINTMENTS

Hazard Community College

Monson, Barbara, Instructor in the Community College System, joint appointment as Instructor at Southeast Community College, 8/1/87 through 6/30/88.

D. REAPPOINTMENTS

Jefferson Community College

Bizot, David H., Instructor in the Community College System (voluntary), 8/1/87 through 6/30/88.

Graham, S. Lyle, Instructor in the Community College System (voluntary), 8/1/87 through 6/30/88.

Ingle, Pat, Instructor in the Community College System (voluntary), 8/1/87 through 6/30/88.

Southeast Community College

Dreyer, Jennifer, Instructor in the Community College System, 7/1/87 through 6/30/88.

E. LEAVES OF ABSENCE

Lexington Community College

DeMers, Freda S., Assistant Professor in the Community College System, leave of absence without pay, 8/13/87 through 6/30/88.

Madisonville Community College

Cantrell, Timothy A., Professor in the Community College System (with tenure), sabbatical leave at full salary, 1/1/88 through 5/31/88

Owensboro Community College

Carden, Stephen D., Instructor in the Community College
System, leave of absence without pay, 7/1/87
through 6/30/88.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Architecture

Oubrerie, Jose, Professor (with tenure), effective 7/1/87.

College of Arts and Sciences

Han, Yijie, Assistant Professor, Computer Science, 8/16/87 through 6/30/89.

Igarashi, Yoshide, Visiting Professor, Computer Science, 8/16/87 5/31/88.

Li, Bing-an, Associate Professor (without tenure), Physics and Astronomy, 1/1/88 through 12/31/89

College of Education

Bulbulian, Ronald, Associate Professor (without tenure), Health, Physical Education, and Recreation, 8/16/87 through 6/30/89.

College of Engineering

Damarla, Thyagaraju, Assistant Professor, Electrical Engineering, 7/1/87 through 6/30/89.

Saperstein, Lee W., Professor (with tenure), Mining Engineering, effective 7/1/87.

College of Fine Arts

Ramsey, William, Professor (with tenure)*, Music, effective 7/1/87.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Hiatt, A. J., Professor (with tenure), Agronomy, and Chairman, Agronomy, reappointed Chairman, Agronomy, 7/1/87 through 6/30/93.

College of Engineering

Saperstein, Lee W., Professor (with tenure), Mining Engineering, appointed Chairman, Mining Engineering, 7/1/87 through 6/30/91.

*Special Title Series

C. JOINT APPOINTMENTS

College of Arts and Sciences

Watt, David S., Professor (with tenure), Chemistry, joint appointment as Professor (without tenure), Pharmacy, effective 7/1/87.

D. REAPPOINTMENTS

College of Education

Hesseldenz, Jon, Adjunct Associate Professor, Educational Policy Studies and Evaluation, 7/1/87 through 6/30/89.

E. TENURE

College of Communications

Dick, David B., from Associate Professor (without tenure)*, Journalism, to Associate Professor (with tenure)*, Journalism, effective 7/1/87.

F. PROMOTIONS

College of Architecture

Rozenberg, Jerzy, from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/87.

G. CORRECTIONS IN MINUTES

College of Agriculture

Cervelli, Janice A. Note: To correct title series from Extension Title Series to Regular Title Series as reported under Promotions in August 18, 1987 Minutes.

Vice Chancellor for Academic Affairs

Stofer, Richard T., Admissions Officer, Office of Admissions. Note: To add title of Assistant Professor (with tenure)*, Curriculum and Instruction, College of Education, and to correct consecutive years of service from 18.5 to 28.0 as listed under Retirements in June 23, 1987 Minutes.

H. RETIREMENTS

College of Agriculture

Brewer, Elizabeth T., EFN Program Assistant, Cooperative Extension Service, after 15.0 consecutive years of service, under Section II.B of AR II-1.6-1, effective 9/30/87.

*Special Title Series

Criswell, James E., Assistant Professor (with tenure), Agricultural Economics, after 39 consecutive years of service, under Section II.B of AR II-1.6-1, effective 11/1/87.

Libraries

Coomer, Myra E., Library Technician IV, Periodicals/Newspapers/Microtext Department, after 15 consecutive years of service, under Section II.B of AR II-1.6-1, effective 10/31/87.

Vice Chancellor for Administration

Evenson, Carlyle J., Senior Storesworker, Purchasing, Stores, after 18.5 consecutive years of service, under Section II.B of AR II-1.6-1, effective 10/9/87.

Gardner, James L., Disabled Staff, Employee Benefits and Risk Management, after 16.5 consecutive years of service, under Section II.B of AR II-1.6-1, effective 11/30/87.

Joseph, Howard, Assistant Building Operator, Auxiliary Services, Undergraduate Housing, after 21 consecutive years of service, under Section II.B of AR II-1.6-1, effective 9/30/87.

I. EARLY RETIREMENTS

Vice Chancellor for Administration

Phillips, Edna F., Food Service Worker I, Auxiliary Services, after 19 consecutive years of service, under Section II.A.1(b) of AR II-1.6-1, effective 9/15/87.

Spears, Helen Jean, Assistant Food Production Manager, Auxiliary Services, Blazer Cafeteria, after 23 consecutive years of service, under Section II.A.1(b) of AR II-1.6-1, effective 9/30/87.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Architecture

Argabrite, Joseph, Assistant Professor (part-time), 8/15/87 through 6/30/88.

Doble-Smith, Ellen, Assistant Professor (part-time), 8/15/87 through 6/30/88.

Hawkins, Mark, Assistant Professor (part-time), 8/15/87 through 6/30/88.

College of Arts and Sciences

Bartch, Carl, Instructor (part-time), Political Science, 8/16/87 through 12/31/87.

*Special Title Series

Bowdan, Melvin, Instructor (part-time), Political Science, 8/16/87 through 12/31/87.
Jagnow, Jeffrey, Instructor (part-time), Political Science, 8/16/87 through 12/31/87.
Neack, Laura, Instructor (part-time), Political Science, 8/16/87 through 12/31/87.

College of Business and Economics

Hrabak, Albert, Instructor (part-time), Finance, 9/1/87 through 12/31/87.
Johnson, Pamela, Instructor (part-time), Management, 9/1/87 through 4/30/88.

College of Education

Hickey, C. Ronald, Instructor (temporary), Curriculum and Instruction, 8/16/87 through 5/15/88.
Smith, Douglas C., Assistant Professor, Vocational Education, 8/16/87 through 6/30/89.

College of Engineering

Pak, Han-Ryong, Visiting Assistant Professor, Metallurgy, 8/5/87 through 6/30/88.
Yount, William R., Instructor (temporary), Electrical Engineering, 7/1/87 through 6/30/88.

College of Fine Arts

Holland, Samuel S., Assistant Professor (temporary)*, Music, 7/1/87 through 6/30/88.

College of Home Economics

Wright, Jannelle W., Instructor (part-time), Administration, 8/1/87 through 12/31/87.

Libraries

McLaren, Mary K., Librarian III, Acquisitions Department, 8/17/87 through 6/30/88.

College of Social Work

Kimel, Kris W., Assistant Professor (part-time), 8/16/87 through 5/15/88.

*Special Title Series

B. ADMINISTRATIVE APPOINTMENTS

College of Education

Goodrich, Judy A., Director of Instructional Technology, effective 7/13/87.

College of Engineering

Heard, Roderick S., Extension Engineer, Center for Robotics and Manufacturing Systems, effective 7/1/87.

Wittwer, Alvin L., Extension Engineer, Center for Robotics and Manufacturing Systems, effective 7/1/87.

Vice Chancellor for Academic Affairs

Hesseldenz, Jon, Manager of Information Resources, Computing Center, effective 7/1/87.

Vice Chancellor for Student Affairs

Clay, Robert A., Acting Director of Residence Life, 7/1/87 through 6/30/88.

Wilson, W. Douglas, Acting Dean of Students, 8/1/87 through 6/30/88.

C. REAPPOINTMENTS

College of Arts and Sciences

Arcury, Thomas J., Adjunct Assistant Professor, Anthropology, 7/1/87 through 6/30/89.

Christ, Alice T., Instructor (part-time), Classics, 8/16/87 through 5/31/88.

Hay, Jane, Instructor (part-time), Biological Sciences, 8/16/87 through 5/31/88.

Jefferies, Richard W., Adjunct Assistant Professor, Anthropology, 7/1/87 through 6/30/89.

Moore, James, Instructor (part-time), Biological Sciences, 8/16/87 through 12/31/87.

Mullinax, Maureen C., Instructor (part-time), Sociology, 8/16/87 through 12/31/87.

Nazhat, Saadi, Instructor (part-time), Sociology, 8/16/87 through 12/31/87.

Peim, Martin D., Assistant Professor (temporary), Mathematics, 8/16/87 through 5/31/88.

Wilson, David J., Instructor (part-time), Classics, 8/16/87 through 5/31/88.

*Special Title Series

College of Education

Dye, Griffith, Adjunct Assistant Professor, Educational and Counseling Psychology, 7/1/87 through 6/30/89.
Lipton, Leonard, Adjunct Assistant Professor, Educational and Counseling Psychology, 7/1/87 through 6/30/89.
Meegan, William, Adjunct Assistant Professor, Educational and Counseling Psychology, 7/1/87 through 6/30/89.

Graduate School

Brown, David R., Research Assistant Professor, Werner Gren Laboratory, 7/1/87 through 6/30/88.

College of Home Economics

Perch, Karen L., Assistant Professor, Family Studies, 7/1/87 through 12/31/87.

D. PROMOTIONS WITHOUT TENURE

College of Arts and Sciences

Scancarelli, Janine, from Instructor, English, to Assistant Professor, English, 7/1/87 through 6/30/88.

College of Business and Economics

Anglin, Paul M., from Instructor, Economics, to Assistant Professor, Economics, 5/1/87 through 6/30/88.
Lagace, Rosemary, from Instructor, Marketing, to Assistant Professor, Marketing, 8/16/87 through 6/30/88.

E. CHANGES

College of Architecture

Hennessey, Leslie G., from Visiting Professor (part-time), to Assistant Professor (part-time), 8/15/87 through 6/30/88.

College of Arts and Sciences

Milman, Jacquelyn, from Assistant Professor (temporary), English, to Research Assistant Professor, English, 8/10/87 through 6/30/88.

College of Business and Economics

Chung, Hae Wang, from Assistant Professor, Management, to Assistant Professor, Decision Sciences and Information Systems, 7/1/87 through 6/30/88.

*Special Title Series

F. LEAVES OF ABSENCE

College of Agriculture

Breeze, Philip R., Extension Communications Specialist, Agricultural Communications, Study leave with half salary, 9/14/87 through 3/13/88.

Hendrick, Tim, County Extension Agent, Cooperative Extension Service, to change from Study leave with full salary 8/26/87 through 2/25/88 and Leave without pay 2/26/88-8/31/89, to Leave without pay 10/1/87 through 3/31/88, Study leave with full salary 4/1/88 through 9/30/88, and Leave without pay, 10/1/88 through 6/30/89.

College of Arts and Sciences

Gragg, William B., Professor (with tenure), Mathematics, Leave without pay, 7/1/87 through 6/30/88.

Suffridge, Ted J., Professor (with tenure), Mathematics, Sabbatical leave with full salary, 1/1/88 through 6/30/88.

College of Business and Economics

Fackler, James S., Associate Professor (with tenure), Economics, Leave without pay, 1/1/88 through 6/30/88.

College of Education

Danner, Frederick W., Associate Professor (with tenure), Educational and Counseling Psychology, Sabbatical leave with full salary, 1/1/88 through 6/30/88.

*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Cook, William B., Associate Clinical Professor (voluntary),
Surgery, 7/1/87 through 6/30/88.

B. REAPPOINTMENTS

College of Dentistry

Lynch, Joseph R., Associate Professor (with tenure),
Community College System, joint reappointment as
Assistant Professor (without tenure), Restorative
Dentistry, effective 7/1/87 through 6/30/88.

College of Pharmacy

Kadaba, Pankaja K., Associate Research Professor
(part-time), 7/1/87 through 6/30/88.

C. CORRECTION IN MINUTES

College of Medicine

Massey, Andrew D., Assistant Professor*, Neurology,
8/1/87 through 6/30/88. Note: To correct beginning
date from 7/1/87 to 8/1/87 as reported in August 18,
1987 Minutes.

D. RETIREMENTS

College of Pharmacy

Glover, Marie, Administrative Assistant I, after 26
consecutive years of service, "Under AR II-1.6-1
Section II.A.1(b), effective 9/30/87.
Marion, Mildred, Staff Assistant VII, after 20 consecutive
years of service, "Under AR II-1.6-1 Section
II.A.1(b), effective 9/30/87.

*Special Title Series

Support Units

Umstead, George E. Jr., Electronic Tech III, Chancellor's Office, after 19.0 consecutive years of service, "Under AR II-1.6-1 Section II.A.2(b)", effective 10/1/87.

E. POST-RETIREMENT EXTENSION

Support Units

Smith, Vivian C., Assistant Administrator, Student Health Service, 8/16/87 through 5/15/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Buck, John J., Instructor (voluntary), Community Health, 9/1/87 through 6/30/88.

Elliott, Bob, Instructor (voluntary), Community Health, 9/1/87 through 6/30/88.

Fornoff, Gerald A., Instructor (voluntary), Community Health, 9/1/87 through 6/30/88.

Hayes, Dan E., Instructor (voluntary), Community Health, 9/1/87 through 6/30/88.

College of Dentistry

Thomas, Mark V., Assistant Professor (part-time), Periodontics, 8/1/87 through 6/30/88.

Valdez, Ingrid H., Assistant Professor (part-time), Restorative Dentistry, 11/1/87 through 6/30/88.

College of Medicine

Bailey, Linda, Assistant Professor, Clinical Title Series, Medicine, 8/1/87 through 6/30/88.

Edwards, Roger D., Assistant Clinical Professor (voluntary), Emergency Medicine, 8/1/87 through 6/30/88.

Farrell, James P., Clinical Instructor (part-time), Neurology, 7/1/87 through 6/30/88.

Glenn, James F., Clinical Professor (part-time), Surgery, 9/1/87 through 6/30/88.

Isernhagen, Rick, Assistant Clinical Professor (voluntary), Ophthalmology, 8/1/87 through 6/30/88.

Lowenthal, Stuart L., Clinical Instructor (voluntary), Family Practice, 7/1/87 through 6/30/88.

Marquis-Sedarat, Sharon, Assistant Professor, Rehabilitation Medicine, 8/1/87 through 6/30/88.

*Special Title Series

Rogers, Anthony G., Assistant Clinical Professor
(voluntary), Surgery, 7/1/87 through 6/30/88.
Thompson, James H., Clinical Instructor (part-time),
Neurology, 8/1/87 through 6/30/88.
Todd, Edward P., Clinical Professor (voluntary), Surgery,
7/1/87 through 6/30/88.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Wilson, Emery A., Professor (with tenure), named Acting
Vice Chancellor for Clinical Professional Services,
effective 8/1/87.

Support Units

Stephan, Robert E. Jr., Engineer Associate III, Physical
Plant, effective 8/1/87.

C. JOINT APPOINTMENTS

College of Medicine

Andrykowski, Michael A., Assistant Professor, Behavioral
Science, joint appointment as Assistant Professor,
Psychology, College of Arts and Sciences, 8/16/87
through 5/15/88.

Schmitt, Frederick A., Assistant Research Professor,
Neurology, joint appointment as Assistant Research
Professor, Psychology, College of Arts and Sciences,
8/16/87 through 5/15/88.

D. REAPPOINTMENTS

College of Nursing

Bailey, Ellen L., Assistant Professor (Adjunct), 7/1/87
through 6/30/88.

College of Pharmacy

Arnold, James T., Assistant Professor (part-time), 7/1/87
through 6/30/88.

Brown, James Baxter, Assistant Professor (part-time), 7/1/87
through 6/30/88.

Clarkson, Thomas R., Instructor (part-time), 7/1/87 through
6/30/88.

Cummings, Ted A., Instructor (part-time), 7/1/87 through
6/30/88.

Terry, Herbert Nelson, Assistant Professor (part-time),
7/1/87 through 6/30/88.

Tiggelaar, John, Assistant Professor (part-time), 7/1/87
through 6/30/88.

*Special Title Series

E. CHANGES

College of Medicine

Haist, Steven A., from Assistant Clinical Professor
(voluntary), Medicine to Assistant Professor*,
Medicine, 8/15/87 through 6/30/88.

F. PROMOTIONS WITHOUT TENURE

College of Medicine

Chasen, Craig A., from Instructor, Medicine, to Assistant
Professor, Clinical Title Series, Medicine, 8/1/87
through 6/30/88.

*Special Title Series

PR 3B

Members, Board of Trustees:

1987-88 BUDGET REVISIONS
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1987-88 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. Income Estimates			
State Appropriation	\$225,833,700	\$226,602,300	\$ 768,600
Student Fees			
Registration Fees			
Summer Session - Community			
College System	858,500	883,900	25,400
Continuing Education Fees	4,008,400	4,197,600	189,200
Miscellaneous Fees	437,500	456,100	18,600
Gifts and Grants			
UK Athletics Association	89,000	104,000	15,000
Other Gifts and Grants	2,669,100	3,041,100	372,000
Sales and Services			
Departmental Sales and Services	9,605,900	9,647,200	41,300
			<u>\$1,430,100</u>
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Arts and Sciences			
Mathematics	2,980,200	2,992,900	12,700
College of Education			
Administration	247,000	257,000	10,000
College of Fine Arts			
Music	1,481,100	1,491,100	10,000
College of Home Economics			
Early Childhood Laboratory	43,200	79,200	36,000
Nutrition and Food Service	431,000	434,900	3,900

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Lexington Campus			
Academic Affairs			
Agricultural Cooperative Extension			
Agricultural Economics	1,441,300	1,491,000	49,700
Graduate School			
Appalachian Center	101,300	111,300	10,000
Center for Computational Sciences	-0-	368,600	368,600
Cultural Assessment Center	47,800	22,800	(25,000)
Academic Support			
University Extension			
Extension Class Program	614,000	740,600	126,600
Vice Chancellor			
Office of Vice Chancellor - Academic Competition Program	156,400	158,000	1,600
Registrar	1,158,000	1,153,600	(4,400)
Office for International Programs	374,600	406,600	32,000
Administration			
Assistant Vice Chancellor for Resource Management - Lexington Campus Special Projects	236,000	268,000	32,000
Student Affairs			
Dean of Students			
Student Center	297,100	287,600	(9,500)
Athletics			
Athletic Director	89,000	94,000	5,000
Medical Center			
College of Dentistry			
Community Dentistry and Dental Auxiliary Training	1,011,100	1,029,300	18,200
College of Medicine			
Magnetic Resonance Imaging and Spectroscopy	20,600	25,600	5,000
Sanders Brown Center on Aging	-0-	400,000	400,000
Community College System			
Madisonville	2,249,800	2,257,800	8,000
Maysville	1,925,300	1,929,800	4,500
Prestonsburg	2,612,300	2,625,200	12,900
Central Administration			
President - Institutional Operations	224,200	546,500	322,300
			<u>\$1,430,100</u>

3. Comments - The increase in state appropriation will support two centers of excellence approved by the Council on Higher Education -- the Sanders Brown Center on Aging and the Center for Computational Sciences. The increase in summer session registration fees will support enrollment growth in the summer session programs of Madisonville, Maysville, and Prestonsburg Community Colleges. The increase in continuing education fees results from new program offerings and will support those programs in the College of Education, Appalachian Center, University Extension, Registrar and Lexington Campus special projects. The increase in miscellaneous fees is the net result of new programs which will provide additional funding for Academic Competition and International programs and a decrease in the budget of the Registrar's Office due to the discontinuance of the Guaranteed Student Loan Fee. The increase in University of Kentucky Athletics Association gifts and grants will support the Athletics Director's Office and the Music Department's Band program. The increase in other gifts and grants is a result of grants from the State to support Agricultural Cooperative Extension public service programs and private gifts in support of institutional operations. The increase in departmental sales and services is the net result of increased sales and services which will support increased program activities in the College of Arts and Sciences, Home Economics, Dentistry, and Medicine and a reduction in the budgets of the Cultural Assessment Center and Student Center.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$ 33,621,400	\$ 34,181,200	\$ 559,800
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Arts and Sciences			
Mathematics	10,700	19,700	9,000
College of Communications			
Administration	11,600	13,300	1,700
College of Home Economics			
Administration	48,200	58,200	10,000
Agricultural Experiment Station			
Agronomy	716,700	741,700	25,000
Entomology	143,600	167,700	24,100
Plant Pathology	385,400	416,000	30,600
Cooperative Extension Service			
Director	302,500	442,800	140,300
Entomology	9,900	62,900	53,000
Graduate School			
Appalachian Center	116,500	126,500	10,000
Wenner Gren	33,700	38,700	5,000
Tobacco and Health			
Research Institute	3,100,000	3,210,000	110,000

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (continued)			
Lexington Campus			
Student Aid			
Gifts and Grants			
for Scholarships			
General Scholarships	1,228,200	1,237,500	9,300
Medical Center			
College of Medicine			
Dean's Office	901,900	914,900	13,000
College of Pharmacy			
Research	274,000	324,000	50,000
Community College System			
Henderson	293,100	303,100	10,000
Madisonville	56,200	76,300	20,100
Southeast	26,500	33,200	6,700
Central Administration			
Chair In Humanities	-0-	32,000	32,000
			<u>\$ 559,800</u>

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>Auxiliary Services</u>			
1. Income Estimates	\$ 26,442,600	\$ 26,458,700	\$ 16,100
2. Expenditures			
Community College System			
Southeast	186,000	202,100	16,100

3. Comments - Auxiliary Services are self supporting programs of the institution. The increased level of program activity will be supported by additional income generated by the respective auxiliary operations.

Action taken: Approved Disapproved Other

Date: September 15, 1987

REVISED

Office of the President
September 15, 1987

PR 3C

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be received at this time for preliminary consideration and, at the next meeting of the Board of Trustees, be included on the agenda for action.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

Amendment #1 - Change PART X.A, second paragraph, to read:

Members of the Board of Trustees, except those elected to the Board as faculty representatives or as a student representative, and relatives by blood or marriage of any member of the Board of Trustees are ineligible for appointment to any position in the University. No relative by blood or marriage of the President or [any vice president] the Vice President for Administration shall be employed in a position at the University. Similarly, no relative of a chancellor [or any vice chancellor] in a sector shall be employed in a position in that sector. Waiver of the above regulation may be permitted by the Board of Trustees on a stated temporary basis, not to exceed two years, when it is otherwise impossible practicably to fill a position with a fully qualified person. The same individual shall not be eligible for reappointment under the terms of this exception unless approval is given by the Board of Trustees. No relative by blood or marriage of any administrative officer of the University, or of any member of the University faculty, may be appointed to any position in the University over which the related officer or staff member exercises supervisory or line authority. Employment of persons related by blood or marriage within the same department or division shall be approved specifically by the chancellor in a sector or the Vice President for Administration in the Central Administration, as appropriate. "Relatives by blood or marriage," as used above, includes parents and children, husbands and wives, brothers and sisters, brothers- and sisters-in-law, mothers- and fathers-in-law, uncles, aunts, nieces and nephews, and step-relatives in the same relationships. The employment of relatives by blood or marriage whose relationship was not a violation of this section of the Governing Regulations prior to its amendment on April 10, 1984, does not constitute a violation of this amended policy provided the relatives are regular employees of the University and remain in University employment positions which they held on April 10, 1984. However, the transfer of any such employees (whose employment relationship was not a violation of policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.

Rationale: The present policy on employment of persons related by blood or marriage to the vice presidents other than the Vice President for Administration and to the vice chancellors is considered to be too broadly restrictive. An adequate safeguard concerning the employment of relatives by blood or marriage to the vice presidents other than the Vice President for Administration and to the vice chancellors is provided by the sentence in the same paragraph which states: "No relative by blood or marriage of any administrative officer, or any member of the University faculty, may be appointed to any position in the University over which the related officer or staff member exercises supervisory or line authority."

Amendment #2 - Change PART X.B.1, seventh paragraph, to read:

A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former employees who have elected to retire [but have not reached the mandatory retirement age], requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one year.

Rationale: This amendment is needed to bring this paragraph of the Governing Regulations into conformity with the requirements of the Age Discrimination in Employment Act Amendments of 1986 which became effective January 1, 1987.

Amendment #3 - Change PART X.C.8 to read:

8. Retirement

The University of Kentucky Retirement Plan [(September 18, 1979)] represents a consolidation of the original Retirement Resolution (April 7, 1964) and its numerous subsequent amendments into a unified statement of University policy and guidelines on retirement of employees in Groups I, II, III, [and] IV, and V. The University of Kentucky Retirement Plan, which has been adopted by the Board of Trustees and may be amended only by that body, is presented in its entirety in the Administrative Regulations (AR II-1.6-1).

Rationale: The date of September 18, 1979, should be deleted because several amendments to the University of Kentucky Retirement Plan have been approved by the Board of Trustees since that date; also, the deletion of this date will eliminate unnecessary, out-of-date, and misleading information. The addition of Group V in the Retirement Plan was approved by the Board of Trustees on June 23, 1987.

1989A

Action taken: Approved Disapproved Other

Date: September 15, 1987

Office of the President
September 15, 1987

PR 3D

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky, which were received by the Board of Trustees for preliminary consideration on May 5, 1987, be approved:

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

Amendment #1 - Change Part IV, fifth paragraph, to read:

The ex officio voting members shall number [12] 13. In academic years beginning with an even number (e.g., 1982-1983, 1984-85), this group shall be composed of the following: Chancellor for the Medical Center, Vice Chancellor for Research and Dean of the Graduate School, Director of Libraries, Vice Chancellor for Academic Affairs for the Lexington Campus, Vice Chancellor for Academic Affairs for the Community College System, and Deans of the Colleges of Allied Health Professions, Architecture, Communications, Dentistry, Education, Engineering, Law, and Social Work. In academic years beginning with an odd number, the ex officio voting members shall be the following: Chancellor for the Lexington Campus, Chancellor for the Community College System, the Vice Chancellor for Academic Affairs for the Medical Center, the President of the Student Government Association, and the Deans of the Colleges of Agriculture, Arts and Sciences, Business and Economics, Fine Arts, Home Economics, Library and Information Science, Medicine, Nursing, and Pharmacy.

Background: Dr. Peter P. Bosomworth, Chancellor for the Medical Center, requested that the University Senate consider adding the Vice Chancellor for Academic Affairs for the Medical Center to the University Senate as an ex officio voting member. Doing this would make membership according to positions consistent between the Medical Center and Lexington Campus sectors, since the Vice Chancellor for Academic Affairs for the Lexington Campus is a voting member of the Senate. In addition to providing consistency, the change would provide a more direct communications link to a person who chairs an important council of the University Senate, the Academic Council for the Medical Center.

To achieve University-wide consistency in representation from administrative offices, it is necessary also to include the Vice Chancellor for Academic Affairs for the Community College System as an ex officio voting member of the University Senate. At its meeting on March 26, 1987, the University Senate Council voted to recommend that both positions be added to the University Senate, thereby increasing the ex officio voting membership from 12 to 13. At its meeting on April 13, 1987, the University Senate approved the recommendation of the University Senate Council and ordered that this recommended change be forwarded to the President for appropriate action.

Amendment #2 - Change Part X.C.5.b.(1) to read:

(1) Sick Leave for Faculty

Sick leave may be granted to [academic appointees] faculty by the appropriate chancellor. [Group I personnel, as defined in the retirement program,] Eligible faculty who are totally disabled are entitled to six months' sick leave with pay after which the Long Term Disability Plan, for which the University pays the full cost, becomes operative. Sick leave with pay for more than six months requires approval by the Board of Trustees.

Background: All full-time faculty are categorized as Group I personnel, but all Group I personnel are not faculty. Sick leave for Group I non-faculty personnel is covered under University of Kentucky Staff Personnel Policy and Procedure Number 82.0, entitled "Temporary Disability Leave." This change has been recommended to eliminate confusion and misunderstanding about sick leave provisions in Part X.C.5.b.(1) of the Governing Regulations being applicable to Group I non-faculty personnel.

Amendment #3 - Change Part X.C.10 to read:

10. Group Insurance

Regular full-time faculty and staff who are employed by the University [prior to their attaining age 60] are insured under the Basic Life Insurance Program for \$5,000. This insurance may be increased optionally to a total of one, two, or three times the employee's basic annual salary. The premium for the basic insurance is paid by the University, whereas that for the optional increase in insurance is paid by the employee.

[Regular full-time faculty and staff who are employed by the University after they attain age 60 are insured under the Basic Life Insurance Program for \$2,000, with the premium for this basic coverage being paid by the University. No optional increase in this insurance is permitted under the group insurance plan.]

Background: This amendment will modify the Governing Regulations to conform with University practice implemented after passage of the Age Discrimination in Employment Act Amendments of 1978, which became effective January 1, 1979. The University has been in compliance with these Amendments of 1978 to the full extent required by the federal statutes.

1842A

Action taken: Approved Disapproved Other

Date: September 15, 1987

Office of the President
September 15, 1987

PR 3E

Members, Board of Trustees:

FIVE YEAR PLAN 1987-92
and
BIENNIAL BUDGET REQUEST 1988-90

Recommendation: that the Five Year Plan 1987-92 and Biennial Budget Request 1988-90, including capital construction requests, be approved for submission to the Council on Higher Education for review and recommendation to the Governor of the Commonwealth.

Background: The Five Year Plan 1987-92 and Biennial Budget Request 1988-90 includes in Volume I the financial component of the biennial budget request and the capital construction request.

Action taken: Approved Disapproved Other

Date: September 15, 1987

Office of the President
September 15, 1987

PR 5A

Members, Board of Trustees:

PATENT ASSIGNMENT
LEXINGTON CAMPUS

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Ottofried J. Hahn, Serial No. (to be assigned), filed July 7, 1987.

Background: Ottofried J. Hahn has invented certain improvements in METHOD AND APPARATUS FOR CONVERSION FOR COAL IN-SITU. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved Disapproved Other

Date: September 15, 1987.

REVISED

Office of the President
September 15, 1987

PR 5B

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY OF KENTUCKY
EQUINE RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment of Mr. Edward Bonnie and Mr. John Cashman as members of the Board of Directors of the University of Kentucky Equine Research Foundation for terms ending December 1988.

Background: It is the recommendation that Mr. Edward Bonnie and Mr. John Cashman be appointed to complete the unexpired terms of Mr. John Gaines and Mr. Frederick Van Lemep, respectively. These appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Equine Research Foundation approved by the University Board of Trustees at its meeting on September 18, 1984.

Action taken: Approved Disapproved Other

Date: September 15, 1987

PR 6A

Members, Board of Trustees:

BOARD APPOINTMENTS, MCDOWELL CANCER NETWORK

Recommendation: that Carolyn Kenton, Ivan Jett, Judy Rose, Ben Roach, M.D., Albert Dix and David Weil be reappointed as members of the Board of Directors of the McDowell Cancer Network, that Byron Young, M.D. and David Nash, D.M.D., be appointed members of the Board of Directors of the McDowell Cancer Network with terms beginning October 1, 1987 and expiring September 30, 1990.

Background: According to the Bylaws of the McDowell Cancer Network, its Board of Directors shall consist of no fewer than 18 nor more than 24 members appointed by the President and approved by the Board of Trustees of the University of Kentucky.

Action taken: Approved Disapproved Other

Date: September 15, 1987

Office of the President
September 15, 1987

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE MONTH ENDED
JULY 31, 1987

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the month ended July 31, 1987.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, University of Kentucky Equine Research Foundation, Inc., and Health Care Collection Service, Inc.

As of July 31, 1987, the University had realized income of \$109,985,000, representing 20% of the 1987-88 estimate of \$544,204,000. Expenditures and commitments total \$44,956,000 or 8% of the approved budget of \$544,204,000.

Action taken: Approved Disapproved Other

Date: September 15, 1987

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JULY 31, 1987
(in thousands)

Statement 1

	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals July 1987
ASSETS						
Cash and cash equivalents	\$ 80,068	\$ 12,528	\$ 2,389	\$ 676	\$137,695	\$ 233,356
Notes, loans, and A/R (less bad debt allowances of \$4,365)	35,091	3,394	13,821		743	53,049
Investments	10,643	39,979		46,422	66,895	163,939
Property, plant, and equipment					610,667	610,667
Inventories and other	9,938	105				10,043
Total Assets	\$135,740	\$ 56,006	\$16,210	\$47,098	\$816,000	\$1,071,054
LIABILITIES AND FUND BALANCES						
Liabilities						
Accounts payable	\$ 14,948	\$ 279				\$ 15,227
Employee withholdings and deposits		10,128				10,128
Other liabilities	932	188			\$ 4,381	5,501
Estimated settlements for cost reimbursement	2,968					2,968
Deferred income	9,419					9,419
Bonds payable					259,410	259,410
Capitalized lease obligation					34,267	34,267
Net deferred revenues and appropriated fund balances (Schedule 4)	73,037	(94)				72,943
Total Liabilities	101,304	10,501	0	0	298,058	409,863
Interfund Balances	(16,681)	23,272			(6,591)	0
Fund Balances						
Current						
Unrestricted						
Working capital	32,510					32,510
Funded Hospital Depreciation	13,000					13,000
Other	5,607					5,607
Restricted						
		22,233				22,233
Loan						
			\$16,210			16,210
Endowment						
True				24,073		24,073
Term				526		526
Quasi				22,499		22,499
Plant						
Retirement of Indebtedness					21,652	21,652
Renewal and Replacement					3,556	3,556
Allocated for designated projects					56,299	56,299
Net investment in plant					443,026	443,026
Total Fund Balances	51,117	22,233	16,210	47,098	524,533	661,191
Total Liabilities and Fund Balances	\$135,740	\$ 56,006	\$16,210	\$47,098	\$816,000	\$1,071,054

CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE MONTH ENDED JULY 31, 1987
with comparative totals for July 31, 1986
(in thousands)

Schedule 1

	1987-1988				1986-1987	
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
UNIVERSITY OF KENTUCKY UNRESTRICTED CURRENT REVENUES AND APPROPRIATED FUND BALANCES						
STATE APPROPRIATION	\$ 233,516	\$ 225,833	\$ 57,265	25	\$ 50,470	25
STUDENT FEES	50,998	50,998	2,254	4	1,908	4
FEDERAL APPROPRIATIONS	13,621	13,621	1,401	10	1,304	10
COUNTY APPROPRIATIONS	3,555	3,555	358	10	263	8
ENDOWMENT INCOME	696	696		0		0
INVESTMENT INCOME	7,358	7,358	621	8	781	8
GIFTS AND GRANTS						
Affiliated corporations	8,117	8,117		0	23	0
Other	2,905	2,905	60	2	138	3
SALES AND SERVICES	12,100	12,199	753	6	439	4
HOSPITAL						
Revenues	83,418	83,418	8,214	10	8,044	11
Fund Balances	22,747	22,747	22,747	100	6,820	100
Total Hospital and clinics	106,165	106,165	30,961	29	14,864	19
FUND BALANCES	7,021	7,021	7,021	100	8,281	100
TOTAL GENERAL FUND AND HOSPITAL	446,052	438,468	100,694	23	78,471	20
AUXILIARY SERVICES	26,442	26,442	810	3	909	4
RESTRICTED FUNDS	33,621	33,621	3,564	11	1,566	4
AFFILIATED ORGANIZATIONS	45,673	45,673	4,917	11	5,155	12
TOTAL REVENUES AND APPROPRIATED FUND BALANCES	\$ 551,788	\$ 544,204	\$ 109,985	20	\$ 86,101	18

CONSOLIDATED STATEMENT OF CURRENT FUND
 EXPENDITURES AND TRANSFERS SUMMARY BY PROGRAM - ALL FUNDS
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE MONTH ENDED JULY 31, 1987
 (in thousands)

Schedule 2

	1987-1988			
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDITURES/ ENCUMBRANCES TO DATE	PERCENT EXPENDED/ ENCUMBERED
INSTRUCTION	\$ 148,563	\$ 146,433	\$ 11,232	8
RESEARCH	63,845	62,911	10,479	17
PUBLIC SERVICE	50,620	47,481	3,798	8
ACADEMIC SUPPORT	34,950	35,804	4,264	12
STUDENT SERVICES	13,266	13,172	1,593	12
INSTITUTIONAL SUPPORT	21,351	22,119	3,839	17
STUDENT FINANCIAL AID	19,789	20,269	819	4
OPERATION AND MAINTENANCE	32,721	32,073	2,372	7
MANDATORY TRANSFERS (DEBT SERVICE)	22,542	19,801		0
HOSPITAL	107,280	107,280	4,488	4
AUXILIARY ENTERPRISES	36,861	36,861	2,072	6
	<u>\$ 551,788</u>	<u>\$ 544,204</u>	<u>\$ 44,956</u>	<u>8</u>

EXPENDITURES AND TRANSFERS SUMMARY
 BY CATEGORY OF EXPENDITURES
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE MONTH ENDED JULY 31, 1987

(in thousands)

	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED	% EXPENDED ENCUMBERED
PERSONNEL COSTS	\$ 311,799	\$ 308,150	\$ 26,548	9
OPERATING EXPENSES	187,404	186,210	12,915	7
MANDATORY TRANSFERS (DEBT SERVICE)	22,542	19,801		0
CAPITAL OUTLAY	30,043	30,043	5,493	18
	<u>\$ 551,788</u>	<u>\$ 544,204</u>	<u>\$ 44,956</u>	<u>8</u>

CONSOLIDATED STATEMENT OF CURRENT FUNDS
EXPENDITURES AND TRANSFERS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE MONTH ENDED JULY 31, 1987
with comparative totals for July 31, 1986
(in thousands)

Schedule 3

EXPENDITURES AND TRANSFERS	1987-1988				1986-1987	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ENCUMBERED	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ENCUMBERED
UNRESTRICTED CURRENT FUNDS						
INSTRUCTION	\$ 139,915	\$ 137,785	\$ 10,982	8	10,217	8
RESEARCH	31,719	30,785	2,831	9	2,348	9
PUBLIC SERVICE	42,827	39,688	3,664	9	3,122	8
ACADEMIC SUPPORT	31,715	32,569	3,751	12	2,648	9
STUDENT SERVICES	13,133	13,039	1,585	12	1,179	10
INSTITUTIONAL SUPPORT	19,577	20,345	3,602	18	2,898	17
STUDENT FINANCIAL AID	4,805	5,285	477	9	219	5
OPERATION AND MAINTENANCE	32,601	31,953	2,321	7	1,456	5
MANDATORY TRANSFERS DEBT SERVICE	22,542	19,801		0		0
HOSPITAL	107,218	107,218	4,488	4	2,900	4
TOTAL CURRENT UNRESTRICTED FUNDS	446,052	438,468	33,701	8	26,987	7
RESTRICTED CURRENT FUNDS						
INSTRUCTION	1,988	1,988	255	13	258	11
RESEARCH	13,760	13,760	1,288	9	1,016	7
PUBLIC SERVICE	837	837	134	16	162	14
ACADEMIC SUPPORT	991	991	87	9	81	7
STUDENT SERVICES	118	118	5	4	7	5
INSTITUTIONAL SUPPORT	910	910	195	21	89	15
STUDENT FINANCIAL AID	14,835	14,835	314	2	122	1
OPERATIONS AND MAINTENANCE	120	120	51	43	1	1
DEBT SERVICE						
HOSPITAL	62	62		0		
TOTAL RESTRICTED CURRENT FUND	33,621	33,621	2,329	7	1,736	5
AUXILIARY ENTERPRISES	26,442	26,442	1,389	5	664	3
AFFILIATED ORGANIZATIONS	45,673	45,673	7,537	17	4,848	12
TOTAL EXPENDITURES	\$ 551,788	\$ 544,204	\$ 44,956	8	\$ 34,235	7

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES
AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE MONTH ENDED JULY 31, 1987
(in thousands)

Schedule 4

REVENUES, REALIZED		\$ 80,217
APPROPRIATED FUND BALANCES		
Current unrestricted fund	\$ 7,021	
University Hospital	22,747	

TOTAL APPROPRIATED FUND BALANCES		29,768

TOTAL REVENUES AND APPROPRIATED FUND BALANCES (SCHEDULE 1)		109,985

EXPENDITURES/ENCUMBRANCES (SCHEDULE 2)	44,956	
LESS: RESERVE FOR ENCUMBRANCES	(7,914)	

TOTAL EXPENDITURES		37,042

NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES (STATEMENT 1)		\$ 72,943

Office of the President
September 15, 1987

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORTS
FOR THE UNIVERSITY OF KENTUCKY FOR 1986-87

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated summary audit report for the fiscal year ended June 30, 1987, consisting of the Accountant's Report from Coopers and Lybrand, Balance Sheets, Statement of Current Funds Revenues, Expenditures and Transfers, Statement of Changes in Fund Balances, Summary of Significant Accounting Policies, Notes to the Financial Statements, and a Cash Reconciliation of amounts on deposit with the Kentucky State Treasurer.

Background: The Finance Committee of the University of Kentucky has reviewed the consolidated financial statements audited for the year ended June 30, 1987, by Coopers and Lybrand, Certified Public Accountants. The consolidated financial statements are presented on a comparative basis for fiscal years 1985-86 and 1986-87, and include the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., and Health Care Collection Service, Inc.

Action taken: Approved Disapproved Other

Date: September 15, 1987

Coopers
& Lybrand

certified public accountants

To the Board of Trustees of the
University of Kentucky

and

the Secretary of Finance and
Administration Cabinet of the
Commonwealth of Kentucky

In connection with our examination of the financial statements of the University of Kentucky (an agency of the Commonwealth of Kentucky) for the year ended June 30, 1987, we have also examined the accompanying reconciliation of appropriations and trust and revolving funds, as reported on the University of Kentucky's financial statements, with unexpended balances reported by the Commonwealth Department of Finance as of June 30, 1987. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the accompanying schedule presents fairly the reconciliation of appropriations and trust and revolving funds with unexpended balances reported by the Commonwealth Department of Finance as of June 30, 1987.

Coopers + Lybrand

Lexington, Kentucky
August 21, 1987

UNIVERSITY OF KENTUCKY

Reconciliation of Appropriations and Trust and Revolving Funds
With Unexpended Balances Reported by the Commonwealth Department of Finance

As of June 30, 1987

	Mines and Minerals Trust Funds	Trust and Revolving Funds	Tobacco Research Trust Funds	Unexpended Plant Funds	Retirement of Indebtedness Funds	Bond Revenue Accounts	Medical Malpractice	General Appropriation Funds
Total	\$181,373,901	\$ (3,740,614)	\$321,306	\$ 128,613,431	\$742,245	\$51,402,167*	\$3,261,120*	\$(50,000)
Cash balances reported on University of Kentucky financial statements as of June 30, 1987, representing cash on deposit with State Treasury and unexpended balances of state appropriations	\$824,246							
Cash balances held as temporary investments by the Commonwealth Department of Finance				(124,203,484)				
Net amount of items in transit between the Commonwealth Department of Finance and the University of Kentucky		19,738,015	4,007	(631,531)		(14,340)		50,000
Unexpended balances as reported by the Commonwealth Department of Finance as of June 30, 1987	\$824,246	\$15,997,401	\$325,313	\$ 3,778,414	\$742,245	\$51,387,827	\$3,261,120	\$ -0-

*Invested but not reflected on the Commonwealth's records

Bond Revenue Accounts \$47,070,531
Medical Malpractice \$ 3,260,217

Coopers
& Lybrand

certified public accountants

To the Board of Trustees of the
University of Kentucky

and

the Secretary of Finance and
Administration Cabinet of the
Commonwealth of Kentucky

We have examined the consolidated balance sheet of the University of Kentucky (an agency of the Commonwealth of Kentucky) and affiliated corporations as of June 30, 1987, and the related consolidated statements of changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the financial statements referred to above present fairly the consolidated financial position of the University of Kentucky and affiliated corporations as of June 30, 1987, and the consolidated changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended, in conformity with generally accepted accounting principles applied on a basis consistent with that of the preceding year.

Coopers + Lybrand

Lexington, Kentucky
August 21, 1987

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JUNE 30, 1987
with comparative totals at June 30, 1986
(in thousands)

	1987				Totals	
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	1987	1986
ASSETS						
Cash and cash equivalents	\$ 68,548	\$ 2,505	\$ 252	\$141,715	\$ 213,020	\$122,175
Notes, loans, and accounts receivable (less bad debt allowances of \$5,261 in 1987 and \$5,445 in 1986)	31,529	13,533		743	45,805	44,669
Investments	47,544		46,240	66,895	160,679	102,943
Property, plant, and equipment				610,674	610,674	556,401
Inventories and other	8,674				8,674	8,095
Total Assets	\$156,295	\$16,038	\$46,492	\$820,027	\$1,038,852	\$834,283
LIABILITIES AND FUND BALANCES						
Liabilities						
Accounts payable	\$ 9,417	\$ 4		\$ 2,020	\$ 11,441	\$ 11,473
Accrued expense	12,811			4,380	17,191	12,678
Employee withholdings and deposits	9,625				9,625	8,721
Other liabilities	692				692	804
Liability for self insurance	7,220				7,220	5,491
Deferred income	6,779				6,779	7,026
Bonds payable				259,410	259,410	144,793
Capitalized lease obligation				34,267	34,267	3,595
Total Liabilities	46,544	4		300,077	346,625	194,581
Interfund Balances	6,633			(6,633)		
Fund Balances						
Current-Unrestricted						
Working capital	32,510				32,510	31,552
Future operations	26,991				26,991	28,252
Funded hospital depreciation	13,000				13,000	
Other	8,384				8,384	8,196
Current-Restricted	22,233				22,233	23,836
Loan						
Unrestricted		94			94	95
Restricted		3,538			3,538	3,216
U.S. Government		12,402			12,402	12,353
Endowment						
True			\$22,814		22,814	18,504
Term			525		525	978
Quasi			23,153		23,153	19,757
Plant						
Unrestricted allocated for designated projects				48,591	48,591	55,407
Restricted				34,958	34,958	29,216
Net investment in plant				443,034	443,034	408,339
Total Fund Balances	103,118	16,034	46,492	526,583	692,227	639,702
Total Liabilities and Fund Balances	\$156,295	\$16,038	\$46,492	\$820,027	\$1,038,852	\$834,283

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CHANGES IN FUND BALANCES
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE YEAR ENDED JUNE 30, 1987
 (in thousands)

	1987				Totals
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	
REVENUES AND OTHER ADDITIONS					
Unrestricted current fund revenues	\$424,291				\$424,291
Gifts	12,666	\$ 20	\$ 2,871	\$ 5,580	21,137
Endowment and investment income	3,537	472	844	5,841	10,694
Endowment gains and losses			1,166		1,166
Grants and contracts	48,160	277			48,437
Plant facilities transferred to investment in plant (including \$10,900 charged to current expenditures)				36,438	36,438
Retirement of indebtedness				5,462	5,462
Other sources	98		4	864	966
Total Revenues	488,752	769	4,885	54,185	548,591
EXPENDITURES AND OTHER DEDUCTIONS					
Unrestricted current fund expenditures	380,769				380,769
Restricted current fund expenditures	60,301				60,301
Plant facilities transferred to investment in plant				26,034	26,034
Non-capitalized expenditures				5,019	5,019
Retirement of indebtedness				5,288	5,288
Interest on indebtedness				13,881	13,881
Disposal of plant facilities				4,165	4,165
Other deductions		488		121	609
Total Expenditures	441,070	488		54,508	496,066
TRANSFERS AMONG FUNDS					
Mandatory					
Debt service					
Educational and general	(11,539)			11,539	
Hospital and clinics	(1,385)			1,385	
Auxiliary enterprises	(2,013)			2,013	
Matching grant	(24)	24			
Restricted	(1,858)			1,858	
Total Mandatory Transfers	(16,819)	24		16,795	
Net Increase Before Non-Mandatory Transfers	30,863	305	4,885	16,472	52,525
NON-MANDATORY					
To loan		64	(64)		
To plant					
Educational and general	(8,670)			8,670	
Hospital	(4,945)			4,945	
Auxiliary enterprises	(3,534)			3,534	
To endowment	(2,432)		2,432		
Total Non-Mandatory Transfers	(19,581)	64	2,368	17,149	
Net increase for the year	11,282	369	7,253	33,621	52,525
Fund Balances, June 30, 1986	91,836	15,665	39,239	492,962	639,702
Fund Balances, June 30, 1987	\$103,118	\$16,034	\$46,492	\$526,583	\$692,227

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES, EXPENDITURES AND OTHER CHANGES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE YEAR ENDED JUNE 30, 1987
with comparative totals for June 30, 1986
(in thousands)

	1987			1986
	Unrestricted	Restricted	Total	Total
REVENUES				
Educational and general				
Student tuition and fees	\$ 48,342		\$ 48,342	\$ 44,064
Government appropriations				
Federal	13,876		13,876	13,191
State	199,348		199,348	187,330
County	3,575		3,575	3,231
Governmental grants and contracts				
Federal	368	\$ 34,516	34,884	31,796
State	543	13,680	14,223	12,606
Private gifts and grants	790	10,009	10,799	14,375
Indirect cost reimbursement	6,800		6,800	5,386
Endowment income	705	3,005	3,710	3,149
Sales and service of educational activities	13,221		13,221	11,905
Investment income	8,907	627	9,534	9,202
Other sources	439	92	531	793
Total educational and general	296,914	61,929	358,843	337,028
Hospital and clinics	90,289		90,289	84,774
Auxiliary enterprises	37,088	38	37,126	34,908
Total Revenues	424,291	61,967	486,258	456,710
EXPENDITURES				
Educational and general				
Instruction	123,206	8,270	131,476	121,635
Research	22,784	27,508	50,292	47,713
Public service	36,232	8,757	44,989	41,043
Libraries	10,262		10,262	9,380
Academic support	22,008	1,496	23,504	21,139
Student services	12,379	171	12,550	10,324
Institutional support	19,251	369	19,620	20,728
Operation and maintenance of physical plant	26,572	23	26,595	25,072
Student aid	5,233	13,643	18,876	17,894
Other expenses	145	26	171	151
Total educational and general	278,072	60,263	338,335	315,079
Hospital and clinics	72,320		72,320	67,187
Auxiliary enterprises	30,377	38	30,415	28,772
Total Expenditures	380,769	60,301	441,070	411,038
MANDATORY TRANSFERS				
Debt service				
Educational and general	11,539	1,858	13,397	10,180
Hospital and clinics	1,385		1,385	
Auxiliary enterprises	2,013		2,013	2,317
Other	216	(192)	24	66
Total Expenditures and Mandatory Transfers	395,922	61,967	457,889	423,601
NON-MANDATORY TRANSFERS				
General fund	(11)	11		
To plant fund				
Educational and general	6,707	1,963	8,670	10,501
Hospital and clinics	4,945		4,945	14,804
Auxiliary enterprises	3,534		3,534	3,694
To endowment fund - educational and general	310	2,122	2,432	1,465
Excess restricted receipts over transfer to revenues		(2,494)	(2,494)	229
Total Expenditures and Transfers	411,407	63,569	474,976	454,294
Net Increase in Fund Balances	\$ 12,884	\$ (1,602)	\$ 11,282	\$ 2,416

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

UNIVERSITY OF KENTUCKY

SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Scope of Statements

The consolidated financial statements of the University of Kentucky include the operations of the University and its affiliated corporations as follows: The University of Kentucky Research Foundation; The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association; Health Care Collection Service, Inc.; University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership Foundation, Inc.; University of Kentucky Equine Research Foundation, Inc.; and University of Kentucky Humanities Foundation, Inc.

Accrual Basis

The financial statements of the University have been prepared on the accrual basis of accounting, except that costs of an unfunded pension plan liability are expensed when paid to retirees (see Note 2).

Current Funds

The statement of current funds revenues, expenditures, and other changes is a summary of financial activities of current funds related to the current reporting period.

To the extent that current funds are used to finance plant asset acquisitions, the amounts are accounted for as (1) expenditures, in the case of normal replacement of movable equipment and library books; (2) mandatory transfers, in the case of required provisions for debt amortization and interest; and (3) transfers of a nonmandatory nature for all other cases.

The Board allocates unrestricted current fund balances for specific purposes. These purposes include (a) the working capital needs of the University (including the hospital, the housing and dining system, and other auxiliary enterprises); (b) certain unrestricted income from private sources; (c) budget appropriations for future operating purposes; and (d) funded depreciation for the hospital.

Fund Accounting

The University maintains its accounts and reports its financial transactions in accordance with the principles of fund accounting. This is the procedure by which resources for various purposes are classified for accounting and reporting purposes into funds that are in accordance with activities or objectives specified and funds that have similar characteristics are combined for reporting purposes into fund groups.

Within each fund group, fund balances restricted by outside sources are so indicated and are distinguished from unrestricted funds allocated to specific purposes by action of the Board of Trustees. The Board retains full control over allocated fund balances to use in achieving its institutional purposes.

Restricted gifts, grants, appropriations, endowment income, and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenues and expenditures when expended for current operating purposes.

Endowment funds are subject to the restrictions of gift instruments requiring in perpetuity that the principal be invested permanently and only the income be utilized by the donee. Term endowment funds are similar to endowment funds except that upon the passage of a stated period of time or the occurrence of a particular event, all or part of the principal may be expended as well. Quasi-endowment funds are established by the governing board for the same general purposes as endowment funds, and any portion may be expended.

Ordinary income derived from investments, notes receivable, and the like, is accounted for in the fund owning the assets, except that income derived from investments of endowments and similar funds and the majority of current restricted funds is accounted for in the fund to which the income is designated.

All unrestricted revenue is accounted for in the unrestricted fund.

Investments

Investments are stated at cost or, in the case of gifts, at market value at the date of donation.

Inventories

Inventories are stated principally at the lower of average cost or market.

Liability for Self-Insurance

The University self-insures certain employee benefits to the extent not covered by insurance carriers. Current fund expenditures include claims paid by the University and an estimated liability for asserted claims at the end of the year.

The University and its agents are insured against medical malpractice by a combination of self insurance, and an excess liability fund established by the Commonwealth of Kentucky. As of June 30, 1987, the University has a malpractice liability reserve of \$5,297,510. To the extent judgements might exceed the reserve and excess liability insurance coverages, the Commonwealth of Kentucky has made provision for such excesses.

The University has established a 501(c)(9) trust with the Commerce National Bank, Lexington, as trustee, to administer a self-funded long-term disability income program. The University remits premiums to the Trustee for purposes of paying claims and establishing necessary reserves.

Land, Buildings, and Equipment-Depreciation

Land, land improvements, buildings and equipment are stated at cost at date of acquisition or, in the case of gifts, at fair market value at date of gift except for the following: (1) The University has title to certain tracts of land which were either donated or purchased at a nominal price with no book value assigned to these properties; and (2) buildings acquired prior to June 30, 1958, were recorded at appraised value of \$33,000,000 at that date. In conformity with college and university accounting practices, no provision is made for depreciation of buildings and equipment.

Other

Other significant accounting policies are set forth in the financial statements and notes thereto.

UNIVERSITY OF KENTUCKY

NOTES TO CONSOLIDATED FINANCIAL STATEMENTS

1. Pension Plans

Regular full-time employees, including faculty, are participants in the University of Kentucky Retirement Plan administered by the Teachers Insurance and Annuity Association (TIAA). The University of Kentucky Retirement Plan consists of four Teachers Insurance and Annuity Association/College Retirement Equities Fund (TIAA/CREF) group retirement plans as follows:

Group I	Established July 1, 1964, for faculty and certain administrative officials.
Group II	Established July 1, 1971, for staff members in the clerical, technical and service categories.
Group III	Established July 1, 1972, for staff members in the managerial, professional and scientific categories.
Group IV	Established January 1, 1973, for staff members having U. S. Civil Service appointments.

Participation in The University of Kentucky Retirement Plan in groups I, II, and III is mandatory for all faculty and staff members age 30 and older who have completed one year of service. Participation is voluntary to age 30 (after completion of one year of service). Participation in group IV is always voluntary.

Under these fully funded TIAA/CREF group retirement plans, the University and plan participants make annual contributions to TIAA to purchase individual annuities. The University's share of costs for these plans was \$15,166,689 and \$13,571,837 for 1987 and 1986, respectively. The University makes retirement contributions to the U. S. Civil Service for certain Cooperative Extension Service Employees in the College of Agriculture. These contributions amounted to \$1,107,407 and \$1,051,500 for 1987 and 1986, respectively.

In addition to retirement benefits provided from the TIAA/CREF retirement plans, the University provides supplemental retirement income benefits to certain eligible employees in each of the retirement groups (see Note 2).

2. Minimum Annual Retirement Benefits and Supplemental Retirement Income

Employees in TIAA/CREF Retirement Groups I through III who were age 40 or older prior to the date of establishment of each group plan, and who were employed by the University prior to that date, qualify for supplemental retirement income to assure a minimum annual retirement benefit.

Benefits for these eligible employees are based upon a percentage, determined through years of service, of the participant's annual salary in the last year of employment prior to retirement. Retirement benefits as determined are funded partially by each individual retiree's accumulation in the TIAA/CREF Retirement Plan, with the balance provided by the University as supplemental retirement income.

The costs of supplemental retirement income benefits are not accrued but are recognized as payments are made to retirees. Supplemental retirement benefit payments were \$3,784,439 for 1987 and \$3,634,979 for 1986. The projected estimate of annual payments for supplemental retirement benefits for the next five fiscal years is as follows:

1988	\$ 3,859,000
1989	3,833,000
1990	3,835,000
1991	3,832,000
1992	3,810,000

The Legislature of the Commonwealth of Kentucky has appropriated funds to The University for the payment of supplemental retirement income benefits since adoption of the TIAA/CREF group retirement plans, and is expected to continue this practice; however, the Constitution of the Commonwealth of Kentucky prohibits the commitment of future revenues beyond the end of the current biennium. Accordingly, the University does not recognize the liability for supplemental retirement income benefits during the service life of covered employees, but recognizes their costs as funds are appropriated by the Legislature and payments are made.

The University intends to continue paying supplemental retirement income benefits contingent upon the Legislature continuing to appropriate funds required to make these payments.

The latest actuarial valuation was prepared as of June 30, 1986, by TIAA. The actuarial present value of vested and non-vested accumulated supplemental retirement income benefits as determined by this valuation, utilizing an assumed rate of return of 8%, was \$37,262,000 (\$35,792,000 vested and \$1,470,000 non-vested) as of June 30, 1987.

3. Investments

A comparison of the carrying value and approximate market values of the University's investments is shown below:

	<u>Carrying Value</u>	<u>Approximate Market Value</u>
June 30, 1987		
Type of investment-		
United States Government securities (maturity value of \$95,543,043)	\$ 93,607,212	\$ 93,657,085
Certificates of deposit	21,014,258	21,014,258
Equity in regular endowment funds	9,299,531	11,627,395
Equity in fixed income fund	22,290,715	22,361,205
Equity in money market fund	3,110,365	3,110,365
Equity in Intercollegiate Athletics fund	10,534,588	11,010,959
Real property and mineral rights	73,877	73,877
Common stocks	5,981	4,806
Other	743,050	743,050
	-----	-----
	\$160,679,577	\$163,603,000
	=====	=====

Fund Group-		
Current unrestricted	\$ 11,014,641	\$ 11,020,625
Current restricted	36,529,380	36,529,308
Endowment	46,240,466	49,114,016
Plant	66,895,090	66,939,051
	-----	-----
	\$160,679,577	\$163,603,000
	=====	=====

4. Funds Held in Trust by Others

The University is the income beneficiary of various trusts which are held and controlled by independent trustees. For the years ended June 30, 1987 and 1986, the University received from these trusts income amounting to \$854,137 and \$477,819, respectively. The University has no equity interest in the principal of the trusts which, accordingly, is recorded at a nominal value of \$1 each. The income received from these funds is recorded in the current unrestricted fund for \$300,936 and in the current restricted fund for \$553,201.

5. Bonds Payable

Bonds payable at June 30, 1987, consisted of the following:

	<u>Outstanding</u>	<u>Current Maturities 1987-88</u>
Lexington Campus:		
Consolidated Educational Buildings Revenue Bonds, Series B-J, and refunding issues G, H, I, issued 1960 to 1987 maturities to 2007, interest rates 3.0% to 8.45%	\$143,470,000	\$3,950,000
Consolidated Housing and Dining System Revenue Bonds, Series A-L, issued 1965 to 1986, maturities to 2018, interest rates 2.75% to 7.0%	31,929,000	1,219,000
Other, issued 1955 to 1968, maturities to 2004, interest rates 3.0% to 5.4%	496,000	47,000
Hospital Revenue Bonds, Series A, issued 1986, maturities to 2006, interest rates 6.2% to 8.0%	21,000,000	-0-
Community Colleges:		
Consolidated Educational Buildings Revenue Bonds, Series A-F, and refunding issue D, issued 1969 to 1987, maturities to 2009, interest rates 3.0% to 7.5%	62,515,000	1,040,000
	-----	-----
Total	\$259,410,000	\$6,256,000
	=====	
Interest		
Fully funded issues		3,403,626
Other issues		13,880,973

Total debt service		\$23,540,599
		=====

The aggregate amounts of maturities for the next five years are as follows:

	Year ended June 30				
	<u>1988</u>	<u>1989</u>	<u>1990</u>	<u>1991</u>	<u>1992</u>
Fully funded issues	\$ 209,000	\$ 217,000	\$ 231,000	\$ 244,000	\$ 263,000
Other issues	6,047,000	7,149,000	7,591,000	8,672,000	9,208,000
Total	<u>\$6,256,000</u>	<u>\$7,366,000</u>	<u>\$7,822,000</u>	<u>\$8,916,000</u>	<u>\$9,471,000</u>

Certain of these bond issues are fully funded by investments on deposit with the bond trustees, totaling \$37,874,000 at June 30, 1987. Income on these investments will be allocated to pay current indebtedness.

During the fiscal year 1986-87 the University issued refunding bonds to obtain funds to retire the following issues:

Consolidated Educational Buildings Revenue Bonds

Series G	\$11,970,000
Series H	6,230,000
Series I	11,310,000

Community Colleges Educational Building Revenue Bonds

Series D	\$ 7,475,000
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The issuance of refunding debt at interest rates lower than previous rates will result in lower debt service payments in the future by \$2,807,830 or a present value savings of \$1,604,564.

Revenues from student housing and dining facilities, certain other auxiliary enterprises, hospital and student fees are pledged for the retirement of the bonds.

6. Construction Commitments

Estimated cost to complete construction under contract at June 30, 1987 is approximately \$20,937,000. Such construction is principally financed by Commonwealth of Kentucky appropriations and long-term bonds payable.

7. Contingencies

The University is defendant in several lawsuits; however, University officials are of the opinion, based on advice of in-house legal counsel, that the ultimate outcome of all litigation will not have a material effect on the future operations or financial position of The University beyond the amounts already provided.

8. Capital Lease Obligations

Plant assets acquired by capitalized leases include the football stadium (\$10,992,000), the energy management system (\$1,837,000), and a lease purchase agreement executed in January, 1987, to acquire various items of equipment totaling \$30,770,000 which are included in the unexpended plant fund.

The minimum future lease payments on the capital lease obligations, which are principally with the Commonwealth of Kentucky, are as follows:

	(In Thousands)
1988	\$ 6,004
1989	5,916
1990	5,921
1991	5,926
1992	5,963
1993-1997	10,570

Total	40,300
Less: Amount representing interest	(6,033)

Present value of net minimum lease payment	\$34,267
	=====

9. Pledges

Pledges from the University of Kentucky Fellows totaling approximately \$4,741,000 primarily for various restricted uses, are expected to be collected over the next ten years. For construction of the football sports complex, pledges totaling \$519,000 have been made, payable over the next three years. Also deferred gifts through insurance, known bequests and irrevocable trusts in which the University has a remainder interest, are estimated to be at least \$18,000,000.

Pledges to the affiliated corporations are as follows: University of Kentucky Business Partnership Foundation, Inc., \$177,000 expected to be collected over the next four years; University of Kentucky Equine Research Foundation, Inc., \$3,171,000 expected to be collected over the next seven years; and University of Kentucky Mining Engineering Foundation, Inc., \$51,000 expected to be collected over the next fiscal year.

It is not practical to estimate the net realizable value of such pledges and deferred gifts.

10. Reclassifications

Certain account classifications for fiscal 1986 have been reclassified to reflect comparability with account classifications adopted for fiscal 1987 with no effects on previously reported fund balances.

11. Grants and Contracts Awarded

At June 30, 1987, grants and contracts awarded to the University and the University of Kentucky Research Foundation, but not expended, amounted to \$55,453,000, and are not shown in these financial statements.

12. Investment in Plant

The major components of investment in plant as of June 30, 1987 are as follows:

Land and improvements	\$ 30,399,574
Building and structures	368,572,878
Equipment and library books	184,106,514
Construction in progress	27,595,392

	\$610,674,358
	=====

Office of the President
September 15, 1987

FCR 3

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report for the twelve months ending June 30, 1987, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the twelve months ending June 30, 1987, the University has:

1. Awarded forty-one (41) architect and engineer contracts.
2. Made nineteen (19) amendments to architect and engineer contracts.
3. Made thirty-six (36) final payments for thirty-six (36) architect and engineer contracts.
4. Awarded or requisitioned for the award of sixty-eight (68) construction contracts.
5. Made one hundred thirteen (113) change orders to construction contracts.
6. Made forty-three (43) final payments for forty-three (43) construction contracts.

Action taken: Approved Disapproved Other

Date: September 15, 1987

DIVISION OF DESIGN AND CONSTRUCTION
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
 UNIVERSITY OF KENTUCKY
 FOR THE PERIOD JULY 1, 1986 - JUNE 30, 1987

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
CHRISMAN MILLER WOODFORD LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 468.0	\$ 3,600,000	\$ 158,031	8/29/86
SHERMAN CARTER BARNHART LEXINGTON	HAZARD COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 469.0	2,900,000	127,600	8/29/86
LOUIS & HENRY ARCHITECTS LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	3,600,000	165,311	8/29/86
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 471.0	2,900,000	134,488	8/29/86
KNAPP GIVENS VEAZY & SHOULDERS - LEXINGTON	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.0	2,900,000	175,000	10/31/86
JOHNSON/ROMANOWITZ ARCHITECTS - LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	3,500,000	150,100	10/31/86
OMNI/COLLIGNON & NUNLEY LEXINGTON	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	12,500,000	550,000	10/31/86
BICKEL-GIBSON LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. Project No. 475.0	11,000,000	475,838	10/31/86
CONGLETON MORRIS THORUP LEXINGTON	CHEMISTRY/PHYSICS BUILDING FIRE SAFETY Project No. 855.0	163,000	15,350	12/24/86
JOHNSON DEPP QULSEN BERRY OWENSBORO	OWENSBORO COMMUNITY COLLEGE SITE SURVEY Project No. 461.0	31,000	24,062	12/24/86
PEARSON & BENDER & JOLLY LEXINGTON	HOSPITAL RENOVATION PHASE I 2ND FLOOR CLINIC FOR SURGERY Project No. 495.0	275,598	22,660	2/27/87
DAVID C. BANKS, ARCHITECT FRANKFORT	FARM HOUSE FRATERNITY FIRE SAFETY Project No. 870.0	95,000	6,000	2/27/87
DAVID C. BANKS, ARCHITECT FRANKFORT	KAPPA ALPHA FRATERNITY FIRE SAFETY Project No. 871.0	95,000	6,000	2/27/87
DAVID C. BANKS, ARCHITECT FRANKFORT	KAPPA SIGMA FRATERNITY FIRE SAFETY Project No. 872.0	95,000	6,000	2/27/87
DAVID C. BANKS, ARCHITECT FRANKFORT	PHI SIGMA KAPPA FRATERNITY FIRE SAFETY Project No. 873.0	95,000	6,000	2/27/87
DAVID C. BANKS, ARCHITECT FRANKFORT	LAMBDA CHI ALPHA FRATERNITY FIRE SAFETY Project No. 874.0	95,000	6,000	2/27/87
KOVERT - HAWKINS - ARMSTRONG ARCHITECT LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING Project No. 478.0	375,000	55,930	3/26/87
ENVIRONMENTAL PLANNING & DESIGN PITTSBURGH, PA	ARBORETUM MASTER PLAN Project 453.0	129,600	87,920	4/30/87
LERCH BATES ASSOCIATES HUSTON, TX	PER DIEM CONSULTANT ELEVATOR CONSULTANT	6,000	6,000	4/30/87

I. ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
SHERMAN CARTER BARNHART LEXINGTON	ROBOTICS CENTER Project No. 431	\$ 10,000,000	\$ 360,000	4/30/87
POTTS & POTTS LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852	1,775,000	125,000	4/30/87
BOOKER ASSOCIATES LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441	195,000	24,172	5/29/87
MARTIN-HAWKINS-ARGABRITE LOUISVILLE	STUDENT CENTER CAFETERIA RENOVATION Project No. 238	150,000	24,000	5/29/87
W. H. BROWN & ASSOCIATES SHELBYVILLE	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
MCCOY & MCCOY MADISONVILLE	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT SERVICES	NOT APPLICABLE	120,000 *	5/29/87
DAVID BANKS FRANKFORT	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT SERVICES	NOT APPLICABLE	120,000 *	5/29/87
DAVID BANKS FRANKFORT	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	85,000 *	5/29/87
BLAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGINEERS	NOT APPLICABLE	85,000 *	5/29/87
CHRISMAN/MILLER/WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	120,000 *	5/29/87
PEARSON, BENDER, JOLLY LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	85,000 *	5/29/87
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	NOT APPLICABLE	85,000 *	5/29/87
KAISER-TAULBEE ASSOCIATES LEXINGTON	PER DIEM CONSULTANT ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
STAGGS & FISHER LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL SERVICES	NOT APPLICABLE	85,000 *	5/29/87
GRW ENGINEERS LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
LOSS CONTROL, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	NOT APPLICABLE	120,000 *	5/29/87
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
MASON & HANGER LEXINGTON	PER DIEM CONSULTANT ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
WHITE, WALKER & MCREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
WITT & ASSOCIATES LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	NOT APPLICABLE	85,000 *	5/29/87
SCHENCK & ASSOCIATES LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	NOT APPLICABLE	85,000 *	6/29/87
POTTS & POTTS LEXINGTON	CENTER FOR THE UNDERGRADUATE STUDIES IN THE HUMANITIES RENOVATE 218 E. MAXWELL Project No. 218.2	100,000	37,000	6/29/87

*Maximum fee through 6/30/88 on an as needed basis.

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
CHRISMAN, MILLER, WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECT	1	7/30/86	-0-
Nature of Change:	Insurance to be carried by second party.			
Reason for Change:	For protection from claims under Workman's Compensation Acts; damages due to bodily injury, including death to their employees, and for other liability normally covered by such insurance. If unable to obtain insurance coverage against exposure to asbestos; none shall be required.			
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEER	1	8/29/86	-0-
Nature of Change:	Add Aerial Photography (flying & mapping) to Schedule of Rates.			
Reason for Change:	Provides the University the capability of obtaining aerial photography and mapping services from the Engineers.			
GRW ENGINEERS LEXINGTON	PER DIEM CONSULTANT CIVIL ENGR. SERVICES	1	9/30/86	-0-
Nature of Change:	Increase maximum fee for each assignment from \$15,000 to \$20,000.			
Reason for Change:	\$15,000 limit was insufficient for certain projects which require full range of services.			
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	1	10/31/86	-0-
Nature of Change:	Increase maximum fee for two assignments, from \$10,000 to \$40,000.			
Reason for Change:	\$10,000 limit per assignment was insufficient for Owensboro Community College and Hospital Expansion Projects.			
STAGGS & FISHER CONSULTING ENGINEERS, INC. - LEXINGTON	PER DIEM CONSULTANT	1	2/27/87	-0-
Nature of Change:	Change name of firm from "Carter-Foster, Inc" to "Staggs & Fisher Consulting Engineers, Inc."			
Reason for Change:	Effective January 1, 1987, the two (2) firms merged and will be known as "Staggs & Fisher Consulting Engineers, Inc." This firm will assume the existing Per Diem contract of "Carter-Foster, Inc."			
SHERMAN/CARTER/BARNHART LEXINGTON	PARKING STRUCTURE #3 Project No. 109.0	3	11/26/86	+\$ 38,865
Nature of Change:	Additional services.			
Reason for Change:	Site development design services and contract administration.			
JOHNSON/ROMANOWITZ/ARCHITECTS LEXINGTON	MINING & MINERALS RESOURCE BLDG. Project No. 115.0	7	5/29/87	+ 5,800
Nature of Change:	Revise drawings.			
Reason for Change:	Changes required to communications closets; site development changes, and tagging of landscape materials.			
JOHNSON/ROMANOWITZ/ARCHITECTS LEXINGTON	HOSPITAL INTERIOR DECOR 4TH FLOOR PEDIATRICS Project No. 129.5	1	12/24/86	+ 8,000
Nature of Change:	Additional Phase A services. Establish fees for Phases B and C.			
Reason for Change:	Replacement of heating piping was added to Phase A. Phase B and C were undetermined when contract was initiated.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
GRW ENGINEERS LEXINGTON	COMMONWEALTH STADIUM CONCRETE WATERPROOFING - PHASE II Project No. 175.1	2	4/30/87	+\$ 28,008
Nature of Change:	Additional services.			
Reason for Change:	Additional contract administration services for Phase II construction of the project.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	MAXWELL H. GLUCK EQUINE RESEARCH CENTER Project 230.0	5	5/29/87	+ 2,205
Nature of Change:	Additional reimbursement to Architect in Phase C of project.			
Reason for Change:	Employment of a resident inspector for one year.			
OMNI/CMW JOINT VENTURE LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	1-5	NOT APPLICABLE	+ 2,060,000
Nature of Change:	Initial contract was approved with an estimated fee of \$2,100,000. The only fee established at that time was Phase A-1 @ \$40,000.			
Reason for Change:	As program and design developed, consultants preliminary fees for Phases A, B, & C were established. "In - House" Amendments were issued only up to the authorized \$2,100,000.			
OMNI/CMW JOINT VENTURE LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	6	1/30/87	+ 1,274,691
Nature of Change:	Establish fees for Phase A-2, Phase B and Phase C.			
Reason for Change:	Phase A-2; Phase B and Phase C were undetermined when contract was initiated.			
LUCKETT & FARLEY ARCHITECTS LOUISVILLE	MEDICAL SCIENCE RESEARCH LABS Project No. 310.0	1	2/27/87	+ 7,170
Nature of Change:	Additional services.			
Reason for Change:	The scope of the referenced project has increased since the original fee was established.			
GODSEY ASSOCIATES ARCHITECTS LOUISVILLE	HILARY BOONE FACILITY CLUB Project No. 339.0	2	11/26/86	- 3,960
Nature of Change:	Reduced design services requested relating to interiors.			
Reason for Change:	Pay consultant for actual expenditures for interior design proposal.			
SCRUGGS & HAMMOND, INC. LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	2	3/26/87	+ 6,566
Nature of Change:	Additional services.			
Reason for Change:	To redesign and simplify project.			
McCLOSKEY & ASSOCIATES LEXINGTON	AMERICAN BUILDING LIFE SAFETY Project No. 376.0	1	2/27/87	-0-
Nature of Change:	Change the project name from "American Building - Kentucky Geological Survey" to the "American Building - Life Safety".			
Reason for Change:	The Architect, in working through Phase "A" (programming), has determined that the work that can be accomplished with the funds available would be the correction of the code violations. Therefore, since the name of the project is to be descriptive of the work being performed, it has been changed as shown above.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
JOHNSON/ROMANOWITZ/ARCHITECTS LEXINGTON	KIRWAN TOWERS BRICK REPLACEMENT Project No. 423	1	5/29/87	+ \$ 7,245
Nature of Change:	Additional reimbursement to Architect in Phase C of project.			
Reason for Change:	Employment of a resident inspector for duration of project.			
OMNI ARCHITECTS LEXINGTON	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1	1/30/87	+ 18,090
Nature of Change:	Establish fees for Phase B and Phase C.			
Reason for Change:	Fees for Phase B and Phase C were undetermined when contract was initiated.			
JOHNSON, DEPP & QUISENBERRY OWENSBORO	COMMUNITY COLLEGE SITE SURVEY OWENSBORO COMMUNITY COLLEGE Project No. 461.0	1	3/26/87	+ 8,215
Nature of Change:	Additional services.			
Reason for Change:	Services not included in the original scope of work.			

III. ARCHITECT & ENGINEER FINAL PAYMENTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
OMNI ARCHITECTS LEXINGTON	HOSPITAL INTERIOR DECOR RENOVATION 5TH, 6TH, 7TH, & 8TH FLOORS Project No. 129.0	\$ 115,993	7/11/86
HI-TECH ENGINEERING LEXINGTON	HAZARD COMMUNITY COLLEGE PARKING LOT PAVING Project No. 274.0	6,000	8/04/86
JOHNSON/ROMANOWITZ LEXINGTON	HOSPITAL 5TH FLOOR NORTH RENOVATION Project No. 126.0	40,632	8/25/86
CONGLETON-MORRIS-THORUP & ASSOC. LEXINGTON	HOSPITAL ELEVATOR AIR CONDITIONING Project No. 108.1	6,700	9/17/86
PECK, FLANNERY, GREAM, WARREN, INC. PADUCAH	PADUCAH COMMUNITY COLLEGE SCIENCE LAB RENOVATION Project No. 306.0	23,750	10/20/86
GRW ENGINEERS, INC. LEXINGTON	ELIZABETHTOWN COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 122.0	23,715	10/20/86
BIAGI, CHANCE, CUMMINS, LONDON, TITZER SHELBYVILLE	PRESTONSBURG COMMUNITY COLLEGE STUDENT CENTER HVAC REPLACEMENT Project No. 289.0	17,650	11/7/86
POTTS & POTTS ARCHITECTS LEXINGTON	FUNKHOUSER RENOVATION ADMISSIONS & HOUSING Project No. 381.0	22,150	11/10/86
COLEMAN HOPPNER ASSOCIATES LEXINGTON	SPINDLETOP RESEARCH ANIMAL CONDITIONING UNIT Project No. 270.0	21,341	11/20/86

III. ARCHITECT & ENGINEER FINAL PAYMENTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
PRESNELL ASSOCIATES LOUISVILLE	HOPKINSVILLE COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 272.0	\$ 10,750	12/16/86
WATKINS & ASSOCIATES, INC. LEXINGTON	V.A. HOSPITAL STEAM LINE RELOCATION Project No. 474.0	20,000	12/17/86
GRW ENGINEERS LEXINGTON	ELIZABETHTOWN COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 122.0	23,715	1/19/87
J. QUINTIN BLAGI, PSC SHELBYVILLE, KY.	PRESTONSBURG STUDENT CENTER ROOF REPLACEMENT Project No. 291.0	7,913	1/27/87
OMNI ARCHITECTS LEXINGTON	ANIMAL CARE FACILITY Project No. 259.0	155,316	1/27/87
GRW ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM WATERPROOFING Project No. 175.0	42,830	2/11/87
BOOKER ASSOCIATES, INC. LEXINGTON	PATTERSON HALL PLUMBING Project No. 384.0	35,788	2/18/87
GRW ENGINEERS, INC. LEXINGTON	FIRE PROTECTION SIX BUILDINGS LEXINGTON CAMPUS Project No. 801.0	12,500	2/26/87
E.O. ASSOCIATES ARCHITECTS LEXINGTON	FUNKHOUSER RENOVATION FOR STUDENT FINANCIAL AID Project No. 241.0	18,500	3/31/87
JOHNSON, DEPP & QUISENBERRY OWENSBORO	OWENSBORO COMMUNITY COLLEGE SITE SURVEY Project No. 461	32,277	4/16/87
W. H. BROWN & ASSOCIATES SHELBYVILLE	VARIOUS ASSIGNMENTS - PER DIEM CIVIL ENGINEERING SERVICES	6,479	6/30/87
MCCOY & MCCOY MADISONVILLE	VARIOUS ASSIGNMENTS ENVIRONMENTAL CONSULTANT SERVICES	48,230	6/30/87
DAVID BANKS FRANKFORT	VARIOUS ASSIGNMENTS - PER DIEM ARCHITECTURAL SERVICES	20,613	6/30/87
BLAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	VARIOUS ASSIGNMENTS - PER DIEM MECHANICAL/ELECTRICAL SERVICES	19,200	6/30/87
PEARSON, BENDER, JOLLY LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM ARCHITECTURAL SERVICES	26,279	6/30/87
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM GEOTECHNICAL SERVICES	84,573	6/30/87
KAISER-TAULBEE ASSOCIATES LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM ENGINEERING SERVICES	7,284	6/30/87
STAGGS & FISHER LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM MECHANICAL/ELECTRICAL SERVICES	6,731	6/30/87
GRW ENGINEERS LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM CIVIL ENGINEERING SERVICES	48,892	6/30/87
LOSS CONTROL, INC. LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM ENVIRONMENTAL CONSULTANT SERVICES	34,699	6/30/87
WHITE, WALKER & MCREYNOLDS LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM STRUCTURAL ENGINEERING SERVICES	16,541	6/30/87

III. ARCHITECT & ENGINEER FINAL PAYMENTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
WITT & ASSOCIATES LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM CIVIL ENGINEERING SERVICES	\$ 84,960	6/30/87
SCHENCK & ASSOCIATES LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM ARCHITECTURAL SERVICES	13,915	6/30/87
MASON & HANGER LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM ENGINEERING SERVICES	14,187	6/30/87
CHRISMAN/MILLER/WOODFORD LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM ARCHITECTURAL SERVICES	75,042	6/30/87
PARROTT, ELY & HURT LEXINGTON	VARIOUS ASSIGNMENTS - PER DIEM CIVIL ENGINEERING SERVICES	25,586	6/30/87
DAVID BANKS FRANKFORT	VARIOUS ASSIGNMENTS - PER DIEM ENVIRONMENTAL CONSULTANT SERVICES	58,864	6/30/87

IV. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
CENTRAL ROCK MINERAL CO. LEXINGTON	PARKING LOT CLIFTON & COLUMBIA. Project No. 437.0	\$ 172,493	7/09/86	5	\$ 172,493 - 220,000
CAREY CONSTRUCTION COMPANY LEXINGTON	BUS ROUTE ROAD IMPROVEMENTS Project No. 452.0	22,150	7/10/86	5	22,150 - 27,510
ASPHALT STONE COMPANY HOPKINSVILLE	HOPKINSVILLE C. C. PARKING LOT PAVING AND LIGHTING Project No. 272.0	95,548	7/24/86	3	95,548 - 116,662
LUTHER STONE CONSTRUCTION HENDERSON	HENDERSON C. C. ADMINISTRATION BLDG. DOORS & PANIC HARDWARE Project No. 293.0	18,968	8/01/86	2	18,968 - 23,964
PACK'S, INC. MCREHEAD	PRESTONSBURG C. C. STUDENT CTR. ROOF REPLACEMENT Project No. 291.0	64,886	8/04/86	3	64,886 - 78,441
NORWOOD CONSTRUCTION CO. LEXINGTON	DEMOLITION OF STRUCTURES 424 & 428 CLIFTON PARK Project No. 493.0	3,484	8/04/86	1	3,484
WILLIAM HEPBURN ELECTRIC, INC. LEXINGTON	KIRWAN/BLANDING ELEVATOR SMOKE DETECTORS Project No. 388.0	7,461	8/05/86	4	7,461 - 11,120
DEERINGER & SONS, INC. LEXINGTON	KIRWAN I & II ROOF REPAIRS Project No. 447.0	102,763	9/10/86	5	102,763 - 140,986

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
NORWOOD CONSTRUCTION CO. LEXINGTON	DEMOLITION OF STRUCTURES 451 COLUMBIA AVENUE Project No. 504.0	\$ 3,264	9/26/86	1	\$ 3,264
PACK'S INC. MOREHEAD	HOSPITAL/AMBULANT WING ROOF REPAIRS Project No. 203.0	19,486	10/02/86	5	19,486 - 43,500
PACK'S INC. MOREHEAD	MEDICAL CENTER WALKWAY ROOF REPLACEMENT Project NO. 326.0	18,886	10/02/86	5	18,886 - 42,316
CAREY CONSTRUCTION CO. LEXINGTON	PARKING STRUCTURE #3 SITE DEVELOPMENT Project No. 109.1	501,260	10/17/86	2	501,260 - 655,317
P & R ROOFING & SHEET METAL LEXINGTON	DONOVAN HALL ROOF REPAIRS Project No. 449.0	85,710	11/13/86	5	85,710 - 155,000
NORWOOD CONSTRUCTION LEXINGTON	DEMOLITION OF STRUCTURES 458 ROSE LANE Project No. 501.0	3,794	11/24/86	3	3,794 - 5,642
HOPKINS COUNTY ASPHALT MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE CONCRETE CONCOURSES - 3RD LEVEL Project No. 387.0	66,890	11/26/86	3	66,890 - 131,772
VARIOUS (See Below):	HOSPITAL EXPANSION PHASE II MARKEY 4TH FLOOR RENOVATION Project No. 304.4				
STRUCK CONSTRUCTION CO. LEXINGTON	GENERAL WORK	721,764	12/02/86	9	721,764 - 836,000
BROWN SPRINKLER CORP.	FIRE PROTECTION	85,250	11/26/86	2	85,250 - 89,325
HUSSUNG MECHANICAL CONTRACTORS	MECHANICAL	731,446	12/01/86	4	731,446 - 804,550
MARRS ELECTRIC CO., INC.	ELECTRICAL	223,900	12/01/86	10	223,900 - 273,990
SHILLER HARDWARE	Hollow Metal Doors & Frames	8,500	10/21/86	4	8,500 - 12,813
ATLAS METAL	Builders Hardware	15,665	10/21/86	4	15,665 - 21,134
VARIOUS (See Below):	FOOTBALL TRAINING FACILITY Project No. 211.0				
B & B ELECTRIC LEXINGTON	ELECTRICAL	187,091	10/21/86	5	187,091 - 229,504
R & W CONSTRUCTION	EXCAVATION	329,000	10/21/86	4	329,000 - 411,000
GLOBAL	STRUCTURAL STEEL	328,000	10/21/86	1	328,000
CENTRAL KENTUCKY GLASS		194,643	10/21/86	1	194,643
CENTRAL KENTUCKY GLASS	EXTERIOR GLAZING	59,624	11/26/86	1	59,624
A-B ROOFING CO., INC.	ROOFING	140,000	11/26/86	6	140,000 - 217,500
FINNEY COMPANY	SITE, BLDG. PLUMBING & HVAC	1,233,000	11/26/86	6	1,223,000 - 1,410,000
ARROW ELECTRIC CO.	ELECTRIC	319,000	11/26/86	10	319,000 - 560,093

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
BLUEGRASS ARTCAST WINCHESTER	PRECAST CONCRETE PANELS	\$ 366,600	10/21/86	1	\$ 366,600
AMERICAN INSULATED STRUCTURES CINCINNATI, OH	ASBESTOS ABATEMENT MCVEY HALL Project No. 483.0	54,000	12/12/86	5	54,000 - 148,072
VARIOUS (See Below):	FOOTBALL TRAINING FACILITY Project No. 211.0				
VIKING FIRE PROTECTION	FIRE PROTECTION	43,605	1/5/87	4	43,605 - 64,500
THERMAL BALANCE, INC.	BALANCING	8,230	1/5/87	3	8,230 - 9,180
HONEYWELL, INC.	FACILITIES MANAGEMENT	177,345	1/14/87	1	177,345
BROADVIEW/ACOUSTICAL, INC.	INTERIOR & EXTERIOR GWB	243,000	1/14/87	1	243,000
GENERAL MASONRY	INTERIOR MASONRY	20,982	1/14/87	2	20,982 - 32,500
WIDES MATERIAL CO.	HOLLOW METAL WORK & HARDWARE	38,430	1/14/87	3	38,430 - 51,912
CENTRAL KY. GLASS CO.	INTERIOR GLAZING	29,486	1/14/87	1	29,486
PERRY LUMBER CO., INC. LEXINGTON	ENGINEERING CHEMICAL/GAS STORAGE BUILDING Project No. 467.0	36,843	1/28/87	6	36,843 - 54,400
RECO ELECTRIC, INC. LOUISVILLE	JEFFERSON HARTFORD BUILDING SPRINKLERS (BASEMENT) Project No. 275.0	7,476	3/9/87	5	7,476 - 10,732
SPARKS - TERRELL LUMBER CO. LEXINGTON	LEXINGTON COMMUNITY COLLEGE REPLACE FRONT ENTRANCE DOORS Project No. 366.0	33,864	3/13/87	4	33,864 - 41,480
CHESTER O'DONLEY & ASSOCIATES PADUCAH	HOPKINSVILLE COMMUNITY COLLEGE ADMIN. BLDG. AIR CONDITIONING Project No. 460	70,977	3/27/87	3	70,977 - 88,748
C. C. LEE, INC. LEXINGTON	EXPANSION OF DESIGN & CONSTRUCTION Project No. 907	47,874	4/6/87	3	47,874 - 61,755
BROCK EXCAVATING, INC. CORBIN	SOUTHEAST COMMUNITY COLLEGE PARKING LOT Project No. 379	118,624	4/17/87	2	118,624 - 132,727
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	FIRE SAFETY KAPPA SIGMA FRATERNITY Project No. 872	64,700	4/24/87	4	64,700 - 76,400
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	FIRE SAFETY LAMBDA CHI ALPHA FRATERNITY Project No. 874	58,100	4/24/87	4	58,100 - 74,500
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	FIRE SAFETY PHI SIGMA KAPPA FRATERNITY Project No. 873	58,990	4/24/87	4	58,990 - 73,990
C. C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION PHASE II 2ND FLOOR CLINIC FOR SURGERY Project No. 495	176,427	4/24/87	5	176,427 - 246,758
MCNEESE CONSTRUCTION SERVICE LEXINGTON	ANIMAL CARE FACILITY; MS318 Project No. 259.2	31,229	4/27/87	4	31,229 - 38,500

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
LIGHTFIELD-MASSARO, INC. LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423	\$ 1,667,000	4/28/87	4	\$ 1,667,000 - 2,531,000
MONEESE CONSTRUCTION SERVICE LEXINGTON	FIRE SAFETY FARM HOUSE FRATERNITY Project No. 870	53,817	5/6/87	6	53,817 - 73,000
MONEESE CONSTRUCTION SERVICE LEXINGTON	FIRE SAFETY KAPPA ALPHA FRATERNITY Project No. 871	60,347	5/6/87	6	60,347 - 80,000
WEBBER & WEBBER CONTRACTORS LOUISVILLE	JEFFERSON COMMUNITY COLLEGE FIRE SAFETY BROADWAY BLDG. Project No. 277	143,333	5/7/87	1	143,333
VARIOUS LOW BIDDERS:					
BROADVIEW ACOUSTICAL	FOOTBALL TRAINING FACILITY Project No. 211 Interior Finishes	106,900	5/7/87	2	106,900 - 124,605
F. E. GATES COMPANY	Rubber Flooring	33,425	5/7/87	4	33,425 - 51,800
CORPORATE INTERIORS	Racquetball System	66,488	5/7/87	2	66,488 - 67,700
B. L. RADDEN & SON	Painting	41,921	5/7/87	5	41,921 - 63,704
KPC ARCHITECTURAL PRODUCTS	Folding Partitions	26,974	5/7/87	2	26,974 - 42,815
GRINNEL FIRE PROTECTION LEXINGTON	TENNIS BUILDING SPRINKLERS Project No. 514	6,960	5/11/87	3	6,960 - 8,295
MARR MASONRY LOUISVILLE	HOLMES HALL MASONRY REPAIR Project No. 450	43,500	5/13/87	8	43,500 - 120,153
C. C. LEE, INC. LEXINGTON	HOSPITAL INTERIOR DECOR RENOV. 3RD FLOOR OBSTETRICS Project No. 129.6	230,333	5/29/87	1	230,333
NORWOOD CONSTRUCTION CO. LEXINGTON	DEMOLITION OF STRUCTURES 330 LEXINGTON AVENUE Project No. 515	3,784	6/1/87	2	3,784 - 4,400
WILLIAM H. KELLY CO. FRANKLIN, OH	COMMONWEALTH STADIUM WATERPROOFING PHASE II Project No. 175.1	441,000	6/8/87	5	441,000 - 538,274
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470	2,792,500	6/11/87	9	2,792,500 - 3,225,000
CENTRAL KENTUCKY GLASS CO. CAMPBELLSVILLE	LEXINGTON COMMUNITY COLLEGE WINDOW REPLACEMENT Project No. 323	67,886	6/11/87	6	67,886 - 99,623
ENGLE CONSTRUCTION CO. LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441	92,581	6/15/87	5	92,581 - 132,492
EAGLE ROOFING, INC. LEXINGTON	PATTERSON HALL DORMITORY ROOF & GUTTER REPLACEMENT Project No. 442	58,339	6/22/87	5	58,339 - 137,300
LANDMARK NORTH CAROLINA CORP. WORTHINGTON, OHIO	BOYD HALL DORMITORY ROOF & GUTTER REPLACEMENT Project No. 443	83,500	6/25/87	2	83,500 - 87,507

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
THOMPSON ENGINEERING CO., INC. LEXINGTON	FUNKHOUSER PHASE III AIR CONDITIONING Project No. 104.0	2	2/9/87	+ 3,813.97
Nature of Change: Reinsulation of steam lines, steam converter and steam valves.				
Reason for Change: Insulation was removed under asbestos project.				
STRUCK CONSTRUCTION CO. LOUISVILLE	JOURNALISM BUILDING RENOVATION Project No. 105.0	1	07/10/86	+ 13,975.71
Nature of Change: 1. Remove asbestos containing pipe insulation from ground floor and 1st floor. 2. Remove ceiling on 1st floor. 3. Remove asbestos from water lines on 1st floor. 4. Add door frame, hardware opening.				
Reason for Change: 1. Pipe not indicated on plans as having asbestos containing insulation. Additional quantities of asbestos was encountered. 2. To prevent delay in asbestos removal. 3. Item not covered in contract. 4. Omitted from schedule.				
STRUCK CONSTRUCTION CO., INC LOUISVILLE	JOURNALISM BUILDING RENOVATION Project No. 105.0	2	07/15/86	+ 13,106.60
Nature of Change: Install kitchen cabinet and sink, power conditioner, automatic chill water cut-off, activated by Energy Management System, and assist Honeywell for including Journalism Building as part of Energy Management System.				
Reason for Change: Items were deleted by consultant prior to bidding because of budget constraints.				
STRUCK CONSTRUCTION CO. LOUISVILLE	JOURNALISM BUILDING RENOVATION Project No. 105.0	3	08/07/86	+ 14,001.82
Nature of Change: 1. Revise outside air, return air duct work. Delete louver and connect unit outside air duct to louver on ground floor. Revise hot, chilled water and condensation drain piping. 2. Furnish and install HVAC system in mini-computer rooms.				
Reason for Change: 1. Intake grill is too large to pass existing structure. Relocation, rerouting required to resolve conflict with structure not visible during design. 2. Item was deleted prior to bidding due to budget constraints.				
STRUCK CONSTRUCTION CO. LOUISVILLE	JOURNALISM BUILDING RENOVATION Project No. 105.0	4	8/11/86	+ 13,150.00
Nature of Change: Remove additional 400 sq. ft. of asbestos.				
Reason for Change: Additional asbestos discovered during construction.				
STRUCK CONSTRUCTION CO. LOUISVILLE, KY.	JOURNALISM BUILDING RENOVATION Project No. 105.0	5	8/14/86	+ 6,697.60
Nature of Change: Delete the 5KW diesel generator as originally specified and substitute in its place a 30KW diesel generator.				
Reason for Change: Upgrade generator in order to provide emergency power to Fence, Kastle, and McVey Halls as well as Journalism Building.				

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STRUCK CONSTRUCTION CO. LOUISVILLE	JOURNALISM BUILDING RENOVATION Project No. 105.0	6	10/23/86	+ \$ 699.20
Nature of Change:	<ol style="list-style-type: none"> 1. Widen walls from 3 5/8" to 6" in Rooms 026, 047, 216 & 224. 2. Install wider glazing tape in ground floor windows. 			
Reason of Change:	<ol style="list-style-type: none"> 1. Walls widened to accommodate electrical cabinets. 2. Window frames on ground floor were 7/8" deep, not 5/8" as shown. 			
STRUCK CONSTRUCTION CO. LOUISVILLE, KY.	JOURNALISM BUILDING RENOVATION Project No. 105.0	7	2/24/87	+ 9,000.90
Nature of Change:	<ol style="list-style-type: none"> 1. Provide television satellite dish. 2. Substitute sink specified for larger sink in room 044. 3. Provide additional coat hooks in room 023. 4. Provide new view window in room 123, convert view window to access panel in room 024 and install cased opening in library. 5. Install ground fault circuit in rooms 011, 012, 013, 014, 016 and 018. 6. Remount darkroom light switches and provide hinged cover for switch in room 010. 7. Provide additional 110 electrical outlets, relocate two existing outlets in room 243 and relocate light switches in rooms 240, 243 and 244. 8. Revise door lockset in room 052. 9. Provide telephone outlet in room 048. 10. Relocate countertops from room 243 to room 240. 11. Provide cable enclosure. 12. Add computer outlets in rooms 220, 221, 222, 243, 250. 13. Delete gate valve and oil return piping in mechanical room. 14. Provide additional ductwork in room 035. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Required to link the Kernal with the Associated Press wire service. 2. Sink specified was not large enough and would not function properly. 3. User required additional coat hooks. 4. Required to improve function of spaces. 5. Provides greater safety for occupants. 6. Devices installed improperly due to lack of instruction from manufacturer. 7. Outlets and switches in these areas were not in most efficient locations. 8. User required security from either side of passage. 9. User required additional telephone. 10. Location of countertops incorrectly shown on contract documents. 11. Required for improved maintainability and service. 12. User required additional outlets. 13. Components not required. 14. Required to improve ventilation of space. 			
STRUCK CONSTRUCTION CO. LOUISVILLE, KY.	JOURNALISM BUILDING RENOVATION Project No. 105.0	8	3/13/87	+ 460.30
Nature of Change:	Provide sink and faucet for Room 125.			
Reason for Change:	Owner was to supply sink and now wants contractor to provide.			
STRUCK CONSTRUCTION CO. LOUISVILLE	JOURNALISM BUILDING RENOVATION Project No. 105.0	9	3/13/87	- 63.00
Nature of Change:	Delete the labor for installation of vinyl reinforced tile in the Mechanical Room.			
Reason for Change:	Existing Mechanical Room does not require floor tile for maintenance purposes.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	2	7/10/86	+ \$ 3,204.00
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish and install three new anchor bolts. 2. Remove existing concrete encased electrical duct; delete, remove, and relocate existing sprinkler line in elevator pit. 3. Furnish and install six horizontal stiffener connections at 6 existing perimeter columns. 4. Furnish a different type of barrier cable from that originally specified. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing conditions were not known when project originally bid. Existing anchor bolts were damaged. 2. Required to clear elevator pit. 3. Six existing columns were found to be out of plumb. 4. Barrier cable changed to provide cable with heavier sheathing. 			
LICHTEFELD-MASSARO, INC. LOUISVILLE	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	3	9/15/86	+ 342.00
Nature of Change:	Install sheet metal to encase sprinkler line located in elevator chase.			
Reason for Change:	Sprinkler line relocated to elevator chase required to be encased by sheet metal.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	4	12/12/86	+ 680.00
Nature of Change:	<ol style="list-style-type: none"> 1. Install sloped concrete fill slab at second floor exterior elevator lobby at PACC Bldg. and Parking Structure #3. Patch corners of existing pre-cast concrete columns where required. 2. Extend contract time 29 calendar days. 			
Reason for Change:	Existing floor slab conditions at elevator lobby were not indicated on original drawings. This was creating an unsafe situation at the new elevator door.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	5	12/12/86	+ 680.00
Nature of Change:	Provide additional galvanized wire mesh for concrete slabs on parking structure.			
Reason for Change:	Wire mesh was increased by 6" for each lap, thus requiring more mesh.			
CAREY CONSTRUCTION, INC. LEXINGTON	PARKING STRUCTURE #3 SITE DESIGN Project No. 109.1	1	2/9/87	+ 2,095.00
Nature of Change:	<ol style="list-style-type: none"> 1. Saw cut existing pre-cast panels and remove debris to provide wider openings at new 2-way access drive. 2. Extend new U/G conduit and wires to provide electrical hook-up to the existing light standard at Porter Building parking lot. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Cutting of panels was deleted from the bid until final determination of actual amount of panels to be cut were known. 2. Existing circuitry to the light was unknown prior to bid. 			
CAREY CONSTRUCTION, INC. LEXINGTON	PARKING STRUCTURE #3 SITE DESIGN Project No. 109.1	2	4/13/87	- 1,481.72
Nature of Change:	<ol style="list-style-type: none"> 1. Repair conduit and replace wire under walk. 2. Install connect fuses; pull circuit for light poles. 3. Raise two junction boxes. 4. Credit for asphalt and DGA not installed. 5. Credit for not removing bituminous paving. 			
Reason for Change:	<ol style="list-style-type: none"> 1.- 3. Items required field verification, conditions unknown at bid time. 4. All asphalt and DGA specified was not required. 5. Existing grades could remain. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
M. B. MCGREGOR CO. LEXINGTON	MAYSVILLE COMMUNITY COLLEGE LANDSCAPING Project No. 111.3	3	9/12/86	+ \$ 981.67
Nature of Change:	Add perforated drain line in gravel at plant beds.			
Reason for Change:	Facilitate proper drainage so plants would not die.			
C. E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Phase II Project No. 115.0	4	10/01/86	+ 49,752.00
Nature of Change:	Additional consultant requirements and user program changes. Site changes.			
Reason for Change:	Changes were due to the lack of time for proper review and the expediency for getting the project out for bids. Site changes due to unforeseen geotechnical conditions.			
C.E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING PHASE III Project No. 115.2	5	10/01/86	+ 165,917.14
Nature of Change:	Bid packages from sub contractors added to General's contract.			
Reason for Change:	According to contract, all subcontractor's shall be assigned to the General.			
C. E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING PHASE II Project No. 115.0	6	10/01/86	+ 17,713.70
Nature of Change:	Change is for rock encountered due to unknown site conditions, and to achieve acceptable bearing on conditions for cussions. 1. Additional rock excavation for cussions to achieve acceptable bearing conditions. 2. Rock removal at Chemistry Storage footing, due to unknown site conditions. 3. Trench rock removed under tunnel, due to unknown site conditions.			
Reason for Change:	Rock removal required for construction of foundation systems.			
C.E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Phase II Project No. 115.0	7	1/5/87	+ 390,542.02
Nature of Change:	1. Assign Honeywell for the Control Sequencing and Monitoring System. 2. Assignment made for Bid Package #19 - Facilities Management System.			
Reason for Change:	Per request of the University and in accordance with the contract with C.E. Pennington Co.			
C.E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Phase II Project No. 115.0	8	2/9/87	+ 8,396.65
Nature of Change:	1. Add 2.15% markup for assignment made 1/5/87.			
Reason for Change:	1. Per the terms and conditions of contract.			
C.E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Phase II Project No. 115.0	9	6/19/87	+ 6,096.00
Nature of Change:	Additional structural steel in three penthouses. Provide & install struts and louver opening frames.			
Reason for Change:	Recalculation of wind loading on the metal stud backup for the brick veneer on the penthouses and the changed size of the louvers required additional steel supports to reinforce the walls and openings.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FINNEY COMPANY LEXINGTON	MINING & MINERALS RESOURCE BUILDING PHASE I - UTILITIES Project No. 115.1	2	12/2/86	+ \$ 1,850.00
Nature of Change:	1. Lower steam condensate, telephone & power conduits. 2. Remove three light poles including overhead conductors.			
Reason of Change:	Change in site conditions; items not shown on drawings.			
FINNEY COMPANY LEXINGTON	MINES & MINERALS RESOURCE BUILDING PHASE I - UTILITIES Project No. 115.1	3	12/2/86	+ 5,025.00
Nature of Change:	Enlarge steam manhole #1.			
Reason for Change:	To accommodate Faculty Club utility functions within same manhole.			
FINNEY COMPANY LEXINGTON	MINES & MINERALS RESOURCE BUILDING PHASE I - UTILITIES Project No. 115.1	4	2/26/87	-0-
Nature of Change:	30 day extension of time.			
Reason for Change:	Due to extra work requested for project improvements. Revised completion date October 11, 1986.			
FINNEY COMPANY LEXINGTON	MINES & MINERALS RESOURCE BUILDING PHASE I - UTILITIES Project No. 115.1	5	2/26/87	+ 20,834.55
Nature of Change:	1. Install ground wire - 7 days time extension. 2. Install 500 MCM ground wire from K-4 yard to MH-E46 - 30 days time extension. Revised completion date is November 17, 1986.			
Reason for Change:	Ground wire was deleted by addendum before bid time.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	1	7/10/86	+ 18,968.10
Nature of Change:	Alterations to back-wash and pool drain system.			
Reason for Change:	Required by Lexington-Fayette County Health Department and City Engineer.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	2	7/28/86	+ 9,511.65
Nature of Change:	Revise steam manhole and valve pit.			
Reason for Change:	Provide for future tie in to Training Center and alleviate outage during winter months.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	3	8/15/86	+ 908.50
Nature of Change:	Relocate 4" water line feeding existing Seaton Center.			
Reason for Change:	Change required to make room for installation of new storm sewer line.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	4	8/25/86	- \$ 23,626.00
Nature of Change:	Substitute concrete utilizing Type I cement for class "C" concrete.			
Reason for Change:	Post bid research indicated shrinkage compensating cement not required.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	5	10/07/86	+ 12,723.60
Nature of Change:	Miscellaneous revisions to building plumbing system. Installation of all building plumbing.			
Reason for Change:	Required by State Plumbing Department prior to issuance of building permit.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	6	10/02/86	+ 3,611.91
Nature of Change:	Extra work required while setting pool anchors in rock.			
Reason for Change:	During blasting process pool drain trench expanded requiring additional anchors.			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	7	12/11/86	- 1,558.46
Nature of Change:	Repair of utility line severed during excavation.			
Reason for Change:	Carelessness of contractor while excavating.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	8	12/22/86	-0-
Nature of Change:	1. Contract time extension.			
Reason for Change:	1. Time required to make revisions required by Lexington Fayette County Health Department.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	9	12/23/86	+ 4,153.68
Nature of Change:	Revise chemical room exhaust system.			
Reason for Change:	Required by the Lexington-Fayette County Health Department.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	10	12/23/86	+ 35,193.70
Nature of Change:	1. Delete plaster finish above foot ledge. 2. Add ceramic tile to side of pool above foot ledge. 3. Delete ceramic tile lane stripes and targets on bottom of pool. 4. Modify form work and concrete work.			
Reason for Change:	To allow for future revisions to pool and to improve maintainability of facility.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	11	12/23/86	+ \$ 7,645.69
Nature of Change:	1. Furnish all valves and strainers rated at 250 psig in lieu of 150 psig. 2. Lower east-west run of 16 inch pool drain piping.			
Reason for Change:	1. To obtain a minimum 250 psig operating pressure rating. 2. To meet University of Kentucky requirements. 3. Drawings show top of pipe in structural slab.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	12	1/19/87	+ 4,240.05
Nature of Change:	1. Relocate 6 floor drains at deck level over Filter Room. 2. Addition of 3 floor drains in Men's Locker Room. 3. Relocate encasement beams to coordinate with Number 2 above.			
Reason for Change:	To comply with requirements of Housing, Buildings & Construction.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	13	5/29/87	+ 1,840.00
Nature of Change:	Relocate encasement beams to match addition of three floor drains.			
Reason for Change:	Locations on structural drawings differ from those shown on plumbing drawings.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	14	6/3/87	+ 643.00
Nature of Change:	Lower catch basin for yard drain to match finish grade elevation.			
Reason for Change:	Resolve conflict between site development drawing and site utilities drawing.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	15	6/19/87	+ 292.01
Nature of Change:	Relocate transformer pad.			
Reason for Change:	Housing, Building and Construction required greater distance between transformer and building than drawings required.			
DIVERSIFIED CONSTRUCTION CO. LEXINGTON	ANIMAL PATHOLOGY AND COOPER DAIRY RENOVATION Project No. 194.0	1	2/9/87	+ 990.53
Nature of Change:	1. Install 12 electrical outlets in four hoods. 2. Provide and install duct transitions. 3. Additional 30 day time extension.			
Reason for Change:	1. Product data did not clearly define receptacles. 2. Required to meet code. 3. Problem with supplier meeting delivery schedule.			
KIRK & BLUM MFG. CO. LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUME HOODS - PHASE II Project No. 200.0	2	2/24/87	+ 475.00
Nature of Change:	Revise existing electrical controls for Room 203. Laboratory hood to interlock hood interior fans with new exhaust fan and the roof.			
Reason for Change:	Increase safety by reducing chance for operator error.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
KIRK & ELLUM MFG. CO. LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUME HOODS - PHASE II Project No. 200.0	3	2/24/87	+ \$ 784.00
Nature of Change:	Modify laboratory work bench.			
Reason for Change:	Existing Laboratory Work Bench that had to be shortened to allow laboratory hood to be installed was found to be fabricated from asbestos containing material.			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	1	3/24/87	+ 23,460.00
Nature of Change:	<ol style="list-style-type: none"> 1. Add relocation of the existing sewer line. 2. Add the column anchor bolts and plates. Amendment Number 5 should be changed to read Change Order Number 5. Amendment 5 will reflect assignments of Packages 6 and 7. Change Order Number 1 due to items being clarified. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Due to fast track schedule, package that contained work had yet to be bid. 2. To expedite construction package that contained original work that had been bid but not yet assigned. 			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE, KY.	FOOTBALL TRAINING FACILITY Project No. 211.0	2	1/29/87	- 22,400.23
Nature of Change:	<ol style="list-style-type: none"> 1. Relocate manhole for sanitary sewer line. 2. Increase size to several footing pads. 3. Revision to footing along existing Shively perimeter. 4. Change size of transformers. 5. Delete electrical manhole. 6. Delete sump in communications manhole. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Due to conflict with existing communication conduit. 2. Due to Penthouse wall revision from metal louver material to Pre-cast Concrete. 3. Due to requirement of State Fire Marshal's office. 4. In original design, future expansion was considered in sizing the transformer. Due to budget constraints, a smaller transformer was used. 5. & 6. Items deleted due to budget constraints. 			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	3	4/14/87	+ 18,669.18
Nature of Change:	<ol style="list-style-type: none"> 1. Cut and patch holes in Mechanical Room. 2. Relocate water main. 3. Additional concrete columns. 4. Additional steel support for mechanical roof penetrations. 5. Revision to roofing detail at perimeter of new building along edge of Shively Sports Center; add crickets and more substantial flashing system. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing openings in mechanical sump pump wall revised to coordinate actual utilities placement. 2. Electrical duct bank was re-routed to eliminate a manhole; this in turn conflicted with water main which has to be relocated. 3. Three columns were omitted in addendum. 4. Duct openings through the roof deck were originally unsupported. 5. Needed to prevent water ponding on Shively roof. 			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	4	5/6/87	- 12,544.67
Nature of Change:	<ol style="list-style-type: none"> 1. Repair 1 1/2 water line at tennis courts. 2. Delete fire dampers. 3. Delete pressure gauges and thermometers on coils for constant and variable reheat boxes. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Water line was damaged during construction -- not shown on record drawings. 2. Fire dampers not needed, per Fire Marshal. 3. Items were not critical to operations or maintenance; were deleted because of budget reasons. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STRUCK CONSTRUCTION CO., INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	5	5/7/87	+ \$ 11,882.30
Nature of Change:	<ol style="list-style-type: none"> 1. Add batt insulation and 2" rigid insulation at corner soffit areas. 2. Add drywall under and between runs of Stair B. 3. Additional ductwork required in the taping/treatment area. 			
Reason for Change:	<ol style="list-style-type: none"> 1. This additional insulation was scheduled to be bid in bid package 8-A. Due to scheduling of other trades this work had to be accomplished earlier. 2. At the time bid documents were completed it was not apparent that additional drywall was needed under Stair B. 3. The layout of this area was redone causing additional material to be required. 			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	6	5/29/87	- 909.00
Nature of Change:	<ol style="list-style-type: none"> 1. Delete mirrors in weight room. 2. Revise light fixture type in showers. 3. Additional steel in corridor 107. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Some mirrors were deleted due to a wall mounted piece of equipment. 2. Light fixture was revised due to coordination problems with delivery and drywall installation. 3. Additional steel under the corridor skylight to stiffen curb on which this skylight sits. 			
R & W CONSTRUCTION CO. LOUISVILLE, KY.	MAXWELL H. GLUCK EQUINE RESEARCH Project No. 230.1	3	1/13/87	+ 6,857.17
Nature of Change:	<ol style="list-style-type: none"> 1. Modify fire pump electric circuit. Modify cage washer electric circuit & make final connections to control panel. Provide electrical circuitry for horse scales. Modify electrical breaker and circuitry for sterilizer. 2. Modify electrical switchgear for fire pump, change electrical switchgear for vacuum pump, modify exhaust fan controls. 3. Install 6 elbows with terminators on vacuum switch electrical manhole MH-1. 4. Change ceiling mounted light fixture to wall bracket in Lounge 309. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Original fire pump was of less horsepower than needed to comply with codes. Shop drawings showed electrical load for cage washer larger than anticipated. No dedicated circuit to horse scale control panel was included on plans. Increase size of wiring to circuits to accommodate sterilizer ordered. 2. Contractors fire pump was smaller causing switchgear to be reduced to accommodate the change. Change in vacuum pump submitted caused switchgear to be modified to accommodate change. Change from single speed to 2-speed fans required additional changes to starters and selector switches. 3. Conductors to vacuum switch installed in MH-1 by Physical Plant Division. 4. Enhance character of lounge lighting and facilitate access to fixtures for changing lamps. 			
R & W CONSTRUCTION CO. LOUISVILLE, KY.	MAXWELL H. GLUCK EQUINE RESEARCH Project No. 230.1	4	2/26/87	+ 5,744.90
Nature of Change:	<ol style="list-style-type: none"> 1. Interchange door and frame 348 with door and frame 422. 2. Change reducing valves and relief valves in accordance with Schedule. 3. Provide and install 2 disconnect/starters for deionized water circulator pumps. 4. Install new PVC conduit buried 24" below grade adjacent to existing and provide PVC junction box. 5. Extend 20" + 24" exhaust ducts to southeast corner of Room 152. 6. Provide a roof outdoor air intake above louver no. L3 in Penthouse of Building "B". 7. Relocate cage and rack washer fan to Building "B" penthouse. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Door is too wide. Casework overlapped door opening. 2. Consultant recommendation. 3. Work was not included in contract documents. 4. Conduit not shown on drawings; exposed while fine grading of site. 5. Structural members interfered with duct access to shaft. 6. Insufficient vertical space for louver over door as planned. 7. To make readily accessible for maintenance. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION CO. LOUISVILLE, KY.	MAXWELL H. GLUCK EQUINE RESEARCH Project No. 230.1	5	5/6/87	+ \$ 51,702.57
Nature of Change:	<ol style="list-style-type: none"> 1. Revise ductwork in two offices. 2. Paint thin coat plaster in Rooms 100 to 100F. 3. Revise landscaping. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Inadequate above ceiling space for original ductwork. 2. Painting of sand-finish plasterwork was not originally included in contract as an economy measure. 3. Minimum allowance was not sufficient to properly landscape building. 			
R & W CONSTRUCTION CO. LOUISVILLE, KY.	MAXWELL H. GLUCK EQUINE RESEARCH Project No. 230.1	6	5/6/87	+ 3,498.00
Nature of Change:	Receive four pieces of equipment (owner purchased), move to final location.			
Reason for Change:	The University had no provision for storing the owner purchased equipment.			
R & W CONSTRUCTION CO. LOUISVILLE, KY.	MAXWELL H. GLUCK EQUINE RESEARCH Project No. 230.1	7	6/3/87	+ 15,316.53
Nature of Change:	<ol style="list-style-type: none"> 1. Provide connections for owner furnished equipment. 2. Fabricate and install two precast panels to match existing on East elevation. 3. Change seating in Lecture Hall. 4. Add two light fixtures to main entrance soffit. 			
Reason for Change:	<ol style="list-style-type: none"> 1. This work was not included in the original contract. 2. Panels needed to screen mechanical equipment on roof. 3. The fabric and type seating was upgraded to a better quality. 4. The additional fixtures will increase lighting level of main entrance and improve security. 			
R & W CONSTRUCTION CO. LOUISVILLE, KY.	MAXWELL H. GLUCK EQUINE RESEARCH Project No. 230.1	8	6/4/87	+ 16,174.39
Nature of Change:	<ol style="list-style-type: none"> 1. Install additional electric circuits to each elevator. 2. Additional electric work. 3. Install additional relief valve on steam piping system. 4. Paint white vinyl covered gypsum panels for ceiling of Lecture Hall to match acoustical ceiling panels. 5. Provide additional duct connections to four clean room cubicles. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Separate circuits are required for cab lights and fans. 2. Work necessary to connect isotope hoods to central exhaust system. 3. Required by State Boiler Inspector. 4. Matching vinyl was not available. Painting improved the appearance. 5. During the design phase, the consultants were given insufficient information by clean roof manufacturer. 			
SPARKS-TERRELL LUMBER CO. LEXINGTON	FUNKHOUSER RENOVATION STUDENT FINANCIAL AID Project No. 241.0	2	9/22/86	+ 141.45
Nature of Change:	Reverse the hinge side of access counter top door from left to right in reception area 127.			
Reason for Change:	To provide a smoother traffic flow situation.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STRUCK CONSTRUCTION COMPANY LOUISVILLE	ANIMAL CARE FACILITY Project No. 259.0	3	9/12/86	+ \$ 33,809.46
Nature of Change:	<ol style="list-style-type: none"> 1. Revise the backflow preventer in the domestic water line and provide a backflow preventer in the fire service main. 2. Construct two concrete supports on top slab to support and contain the surface drains. 3. Clear rock, over and above allowance in specifications, and provide additional concrete. 4. Remove and replace concrete walk under canopy at east Dental Wing entrance. Provide filter over the stone in area to receive unit pavers above building. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Local water company has recently had a change of policy and they now require a backflow preventer in the fire service main. 2. After reviewing the waterproofing requirements it was determined that it would be much more desirable to have a rigid support for the surface sidewalk. 3. Required to fill additional excavation. 4. The existing sidewalk was severely cracked and excavation and shoring work further aggravated this condition. 			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE, KY.	ANIMAL CARE FACILITY Project No. 259.0	4	3/24/87	+ 8,033.50
Nature of Change:	<ol style="list-style-type: none"> 1. Provide 7/8" metal furring channels + 1/2" drywall to Room 136 and paint. 2. Rework corridor door at D-77, to meet Fire Marshal's requirements. 3. Provide 3-9KV-M.O.V.E. distribution class lighting arrestors in high voltage compartment of pad mount transformer. 4. Change light switches for all animal rooms to key type. 5. Provide time switch for center light in each animal room. 6. Re-route security conduit from Med. Plaza to H-62 for 24 hour surveillance. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Room will be Director's office. Exposed concrete wall was not appropriate finish. 2. The using agency preferred the door be removed, however due to a fire separation wall, it could not be removed. Therefore, the door was modified to serve both. 3. To facilitate better function and control of laboratory conditions. 4.-6. Med. Center requested change in order to have 24 hour surveillance. 			
W. ROGERS COMPANY LEXINGTON	ANIMAL CARE FACILITY BLASTING AND EXCAVATION Project No. 259.1	3	7/23/86	+ 963.55
Nature of Change:	Shore the existing water line.			
Reason for Change:	Protect existing water line from freezing and displacement.			
VERVILLE CONSTRUCTION CO. MAYSVILLE	MAYSVILLE COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING STRUCTURAL REPAIRS Project No. 262.0	1	8/11/86	+ 4,913.83
Nature of Change:	<ol style="list-style-type: none"> 1. Set footers and piers extra depth. 2. Repair wall finish in storage room. 3. Replace light fixture. 4. Repair crack in wall at corner of main lobby. 5. Replace carpet in auditorium. 6. Break out concrete, install wire mesh and pour new concrete. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Footing elevations for new footings at corner of library were lowered to get below soft material and on to firm undisturbed clay. 2. Face of block in poor condition; additional work required for smooth finish. 3. Mechanical unit had rusted out due to water leakage from condensation line. 4. Observed crack on inspection trip. 5. Due to construction of riser in auditorium, repair to slab caused damage to carpet. 6. To bring storage room floor level even with adjoining floors. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
VERVILLE CONSTRUCTION CO. MAYSVILLE	MAYSVILLE COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING STRUCTURAL REPAIRS Project No. 262.0	2	8/18/86	+ \$ 43,285.00
Nature of Change:	Extra grouting.			
Reason for Change:	Required to fill unexpected voids in fill material at walls and mechanical ducts.			
VERVILLE CONSTRUCTION CO. MAYSVILLE	MAYSVILLE COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING STRUCTURAL REPAIRS Project No. 262.0	3	1/29/87	+ 1,264.00
Nature of Change:	Install a new drain from the elevator shaft.			
Reason for Change:	To remove underground water that seeps into bottom of elevator pit, after the voids and cavities under Teaching Auditorium floor were filled by pressure grouting.			
VERVILLE CONSTRUCTION CO. MAYSVILLE	MAYSVILLE COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING STRUCTURAL REPAIRS Project No. 262.0	4	4/8/87	+ 908.47
Nature of Change:	Extra saw cutting of concrete floor slab at partitioned wall.			
Reason for Change:	After required work was completed it was discovered that floor was cracked and more concrete had to be broken out and replaced.			
ASPHALT-STONE CO. HOPKINSVILLE	HOPKINSVILLE C. C. PARKING LOT/LIGHTING Project No. 272.0	1	12/9/86	+ 9,119.00
Nature of Change:	<ol style="list-style-type: none"> 1. On existing parking lot - add 1" over-lay paving in lieu of specified seal coat. 2. Patch & repair depressed sidewalk and paving area; pipe and headwall required to adjust drainage for existing water line. 3. Provide and install sod on south side of parking lot. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing parking lot surface has deteriorated and sewer repair work in a portion of the lot has further damaged surface since installation. Overlay paving will better restore the surface to usable condition. 2. To correct and accommodate existing conditions. 3. Sod was necessitated by the prior change adding the spillway at existing water line. 			
D. C. ELEVATOR CO., INC. LEXINGTON	JEFFERSON COMMUNITY COLLEGE HARTFORD TOWER FIRE SAFETY FOUR ELEVATORS Project No. 276.0	1	10/2/86	+ 421.20
Nature of Change:	<ol style="list-style-type: none"> 1. Install 1 photo electric smoke detector in the sub-basement elevator lobby. 2. Install fire alarm circuit from smoke detector in sub-basement to main lobby basement. 3. Connect fire alarm circuit to smoke detector located in main basement lobby. 4. Smoke detector in sub-basement elevator lobby shall be connected with fire alarm control panel so as to cause elevator Phase I operation when activated. 			
Reason for Change:	Smoke detector is necessary to monitor sub-basement lobby for elevator emergency Phase I operation.			
D.C. ELEVATOR CO., INC. LEXINGTON	JEFFERSON COMMUNITY COLLEGE HARTFORD TOWER FIRE SAFETY FOUR ELEVATORS Project No. 276.0	2	12/9/86	+ 240.00
Nature of Change:	Install one telephone compartment in elevator car #1.			
Reason for Change:	Requested by C.C.S. for security purposes.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
D.C. ELEVATOR CO., INC. LEXINGTON	JEFFERSON COMMUNITY COLLEGE HARTFORD TOWER FIRE SAFETY FOUR ELEVATORS Project No. 276.0	3	1/19/87	+ \$ 98.00
Nature of Change:	Relocate one - photo electric smoke detector in the elevator machine area to within 6" of ceiling.			
Reason for Change:	To bring installation in compliance with requirements of the Elevator Safety Section of the Dept. of Housing, Building and Construction.			
ARROW ELECTRIC CO., INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE SEMINARY BUILDING SPRINKLERS Project No. 284.0	1	9/29/86	+ 107.00
Nature of Change:	Install fire protection system piping and sprinkler heads on walls in Telephone Room rather than on ceiling.			
Reason for Change:	To avoid disruption of ceiling tiles identified by U.K. as containing asbestos.			
ELLIOTT CONTRACTING, INC. PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE STUDENT CENTER HVAC SYSTEM Project No. 289.0	1	9/15/86	+ 331.00
Nature of Change:	1. Credit for electrical conduit. 2. Install two gate valves in heating water supply and return lines at boiler.			
Reason for Change:	1. Contractor reused existing section of electrical conduit. 2. Required per State Boiler Code.			
HUSSUNG MECHANICAL CONTRACTOR LOUISVILLE, KY.	HOSPITAL EXPANSION PHASE II MARKEY 4TH FLOOR Project No. 304.4	1	3/18/87	+ 811.00
Nature of Change:	Plug all existing floor drains with mechanical test plugs and seal all conduit or other type openings with duct tape or metal cover and tape.			
Reason for Change:	Plugs and seals will help prevent serious damage through openings to the occupied 2nd floor should there be a water leak or accidental activation of a sprinkler head.			
HUSSUNG MECHANICAL CONTRACTOR LOUISVILLE, KY.	HOSPITAL EXPANSION PHASE II MARKEY 4TH FLOOR Project No. 304.4	2	5/27/87	+ 6,534.00
Nature of Change:	1. Relocate existing fume hood exhaust fans and provide ductwork for temporary operation. 2. Install automatic smoke dampers in three elevator vent openings.			
Reason for Change:	1. Using agency could not do without use of existing fume hoods for the period of construction. 2. To eliminate cold drafts in the elevator shaft during the winter since this was affecting patient comfort.			
MARRS ELECTRIC CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY 4TH FLOOR RENOVATION Project No. 304.4	1	5/27/87	+ 6,366.76
Nature of Change:	1. Provide electrical service to two temporary fume hood exhaust fans. 2. Revise two electrical closets. 3. Provide 208 volt, single phase receptacle in Room 245.			
Reason for Change:	1. Using agency could not do without use of existing fume hoods for the period of construction. 2. Insufficient clearance in front of electrical panels as required by code. 3. Needed for occupant to utilize equipment essential to operation.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
BROWN SPRINKLER CORP LEXINGTON	HOSPITAL EXPANSION PHASE II MARKEY 4TH FLOOR RENOVATION Project No. 304.4	1	5/27/87	+ \$ 75.00
Nature of Change:	Revise two electrical closets.			
Reason for Change:	Insufficient clearance in front of electrical panels as required by code.			
STRUCK CONSTRUCTION CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY 4TH FLOOR RENOVATION Project No. 304.4	1	5/27/87	+ 10,655.73
Nature of Change:	<ol style="list-style-type: none"> 1. Add aluminum closures and glass and glazing to General Work Category. 2. Provide steel base plate at column on roof and revise column length. 3. Revise fume hood to a custom 8' long by 42" deep. 4. Revise two electrical closets. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Door glass, sidelights and aluminum trim were indicated on drawings but but not included in specifications. 2. An existing embedded base plate could not be at this location; base plate required for structural addition. 3. Existing laboratory equipment could not be accommodated inside standard 6' fume hood. 4. Insufficient clearance in front of electrical panels as required by code. 			
CROUCH CONSTRUCTION CO. MAYFIELD	PADUCAH COMMUNITY COLLEGE SCIENCE LAB RENOVATION Project No. 306.0	1	10/07/86	+ 1,497.47
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish all necessary labor and materials for increasing size of new masonry block chase space in classroom 205. 2. Furnish all necessary labor and materials for painting entire north wall in Lecture Hall. 3. Furnish all necessary labor and materials for filling voids in existing masonry block walls in rooms 202, 203 and 206 with masonry block units. 4. Furnish all necessary labor and materials for replacing 3 existing defective fire alarm pull stations, one smoke detector, and one heat detector. 			
Reason for Change:	Existing conditions were encountered respective to remedial actions required because of conflicts for installing new work and improvements requested by owner.			
CROUCH CONSTRUCTION CO. MAYFIELD	PADUCAH COMMUNITY COLLEGE SCIENCE LAB RENOVATION Project No. 306.0	2	10/07/86	+ 874.00
Nature of Change:	Improve the piping and water pressure control for the distilled water system.			
Reason for Change:	Change recommended to improve existing conditions by the elimination of all plastic piping and control water supply serving the existing stills.			
CAREY CONSTRUCTION LEXINGTON	V.A. ACCESS ROADWAY Project No. 324.0	2	12/8/86	+ 3,630.53
Nature of Change:	Lower storm sewer. Credit for pavement and curb removal.			
Reason for Change:	Existing utilities conflicted with plan elevation. A portion of pavement did not need removed.			
CAREY CONSTRUCTION COMPANY LEXINGTON	V.A. ACCESS ROADWAY Project No. 324.0	3	12/10/86	- 764.00
Nature of Change:	<ol style="list-style-type: none"> 1. Add bituminous pavement to entrance of Cooling Plant #1 lot. 2. Delete removal & construction of sidewalk. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Street pavement raised approximately 1" because of an existing utility line causing the need for additional pavement. 2. Sidewalk did not need to be removed. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	2	7/28/86	+ \$ 29,000.40
Nature of Change:	Remove existing face brick, relay brick & prepare additional sample panel.			
Reason for Change:	To better meet the program requirements of the donor.			
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	3	10/02/86	+ 257.44
Nature of Change:	Change doors in Opening AZ from wood to hollow metal.			
Reason for Change:	The use of hollow metal doors will improve long term maintenance.			
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	4	10/02/86	+ 1,413.35
Nature of Change:	Add wood base in lieu of vinyl in Rooms 107, 110, 111, 119 and 120.			
Reason for Change:	Wood base is more appropriate in areas that have carpet.			
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	5	10/02/86	+ 1,289.00
Nature of Change:	Construct a building inspection sign.			
Reason for Change:	Cost of sign designed is in excess of dollar allowance in Contract.			
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	6	11/12/86	+ 1,915.90
Nature of Change:	<ol style="list-style-type: none"> 1. Change plastic laminate color on vanity in Men's Toilet Room. 2. Drywall changes in Dining & Meeting Room. 3. Delete fryer and filter and 2 burner range from kitchen equipment and add one 6 burner range with oven. Add end cowls with exhaust control dampers to dishwasher. 4. Time extension due - 16 days. 			
Reason for Change:	<ol style="list-style-type: none"> 1, 2, 3. Owner requested change. 4. Adjustment to adjust contract time for abnormal weather conditions. 			
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	7	11/12/86	+ 709.55
Nature of Change:	Add two areas of ceramic tile at Waitress Stations in Dining Room.			
Reason for Change:	In these heavily travelled areas it was felt that tile would be more serviceable.			
WOODFORD BUILDERS LEXINGTON	HILARY J. BOONE, JR. FACULTY CLUB Project No. 339.0	8	12/12/86	- 3,851.00
Nature of Change:	<ol style="list-style-type: none"> 1. Delete approx. 500 sq. ft. of concrete walk and 50 l.f. integral curb. 2. Construct service drive per original drawings and specifications to shape shown on drawings. 3. Delete approx. 150 l.f. of concrete curb. 4. Delete approx. 200 sq. ft. of concrete paving at loading dock. 5. Delete regrading of entry drive. 6. Provide access to loading dock using existing construction entrance. 7. Delete entrance (3/4) approx. 432 sq. ft. of concrete paving. 			
Reason for Change:	1. Owner will complete site work and drive under a separate contract.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE FACULTY CLUB Project No. 339.0	9	3/13/87	+ \$ 1,130.45
Nature of Change:	1. Add telephone outlet in Room 103C. 2. Extend contract time - 38 calendar days			
Reason for Change:	1. Request of owner. 2. Time extension due to delay of utility contractor on Project 115 (Mines & Minerals).			
WOODFORD BUILDERS, INC. LEXINGTON	HILARY J. BOONE FACULTY CLUB Project No. 339.0	10	5/7/87	+ 118.89
Nature of Change:	Change three sprinkler heads to recessed "concealed" type in President's dining room.			
Reason for Change:	To provide a more pleasing appearance.			
JOE HAWKINS AND COMPANY, INC. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR PATIENT CARE Project No. 349.0	1	8/6/86	+ 1,046.50
Nature of Change:	1. Install two fire alarm break glass stations in Corridor. 2. Replace slate window stools in five rooms.			
Reason for Change:	1. Fire alarm break glass stations did not exist as shown on as-built drawings. 2. Existing slate window stools did not project sufficiently to install insulation required by Kentucky Energy Codes.			
B & B ELECTRIC CO, INC. LEXINGTON	LEXINGTON CAMPUS EXTERIOR LIGHTING OF WALKS AND ROADWAYS Project No. 355.0	2	10/31/86	+ 770.00
Nature of Change:	Repair sidewalk at Sorority Row north of the Kappa Alpha Theta House.			
Reason for Change:	Erosion of fill beneath sidewalk has allowed broken walk to settle under weight creating a trip hazard.			
THE WAGNER CO. LEXINGTON	644 MAXWELTON COURT MUNICIPAL LEAGUE OFFICES Project No. 362.0	1	7/25/86	+ 10,508.84
Nature of Change:	Underpinning.			
Reason for Change:	Discovered during construction that bottom of existing footings were not as deep as Consultant's report stated.			
WAGNER COMPANY LEXINGTON	644 MAXWELTON COURT MUNICIPAL LEAGUE OFFICES Project No. 362.0	2	12/3/86	+ 2,356.56
Nature of Change:	1. Add 4-way switch at top of stairs. 2. Rework door + threshold. 3. Add ductwork.			
Reason for Change:	1. Switch at top of stairs was not shown on mechanical drawings. 2. Carpet pile was thicker than indicated causing two doors to drag. 3. Existing duct to upstairs didn't serve both rooms as anticipated.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
SPARKS-TERRELL LUMBER CO., INC. LEXINGTON	FUNKHOUSER RENOVATION FOR ADMISSIONS & HOUSING Project No. 381.0	2	9/8/86	+ \$ 684.80
Nature of Change:	<ol style="list-style-type: none"> 1. Undercut existing steel door. 2. Remove half wall return in Housing area. 3. Furnish, install and finish oak veneer door in storage room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To allow for clearance for new carpet installed by University. 2. To provide clearance for new furniture to fit properly. 3. To provide a better appearance. 			
FINNEY COMPANY, INC. LEXINGTON	PATTERSON HALL PLUMBING Project No. 384.0	1	1/9/87	+ 5,972.24
Nature of Change:	<ol style="list-style-type: none"> 1. Change out electrical plates. 2. Install metal studs and drywall pipe chase. 3. Install 145 sq. ft. obscure glass. 4. Re-do ceilings for light fixtures. 5. Install mixing valve. 6. Re-work waste line. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Receptacle covers changed for ease of outlet use. Switch covers changed to eliminate sharp edges. 2. Chases constructed to improve appearance of area and reduce chance of students getting burned on piping. 3. For more attractive and durable finish. 4. Ceiling and lighting grid did not allow sufficient clearance for recessed lighting fixtures. 5. Temperature control valve required to prevent chance of scalding at showers and lavatories. 6. Deteriorated waste lines had to be replaced so that new service sink could be connected to existing sanitary system. 			
FINNEY COMPANY, INC. LEXINGTON	PATTERSON HALL PLUMBING Project No. 384.0	2	3/13/87	+ 226.84
Nature of Change:	Change electrical mounting boxes on steam cabinet unit heaters in seven bathrooms.			
Reason for Change:	Required by Housing Building and Construction's Electrical Inspector.			
CAREY CONSTRUCTION, INC. LEXINGTON	COMPLEX BASKETBALL COURT RELOCATION Project No. 391.0	2	8/18/86	- 504.00
Nature of Change:	<ol style="list-style-type: none"> 1. Provide conduit with bituminous coating, conductors and control to feed relocated lights. 2. Credit for damaged basketball standard. 3. Extend contract period 116 calendar days (to September 30, 1986). 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing area lights to be relocated do not have conductors in conduit. 2. Contractor damaged a basketball standard furnished by U. K. 3. Time extension due to allow plantings to be installed in the proper planting season. 			
AMERICAN INSULATED STRUCTURES, INC. CINCINNATI, OH.	PRESTONSBURG COMMUNITY COLLEGE ASBESTOS REMOVAL Project No. 401.0	1	7/9/86	+ 46,438.00
Nature of Change:	Remove additional acoustical ceiling tiles containing asbestos from Johnson Administration Building.			
Reason for Change:	Asbestos testing at job site showed additional tiles containing asbestos.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
AMERICAN INSULATED STRUCTURES, INC. CINCINNATI, OH.	PRESTONSBURG COMMUNITY COLLEGE ASBESTOS REMOVAL Project No. 401.0	2	5/8/87	+ \$ 9,669.95
Nature of Change:	1. Replace 43 fluorescent light fixture lenses. 2. Liquidated damages.			
Reason for Change:	1. Damages to community college property as a result of contractor's operations. 2. Failure to obtain final completion as required by contract.			
LIGHTFELD-MASSARO, INC. LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423	1	6/30/87	+ 68,525.00
Nature of Change:	1. Furnish new relief angles to match existing which coordinate with the new work. 2. Provide patching of existing concrete block work at columns.			
Reason for Change:	1. After inspection of existing angles, new angles were required due to poor condition of existing. 2. Existing concrete block back-up was found to be improperly installed and had to be repaired before brick could be replaced.			
CENTRAL ROCK MINERAL CO., INC. LEXINGTON	PARKING LOT PHASE I COLUMBIA/CLIFTON AVENUE Project No. 437.0	1	11/18/86	+ 1,500.00
Nature of Change:	Remove two trees along Clifton Avenue.			
Reason of Change:	Excavation of parking lot resulted in damage to tree roots and potential instability during high winds.			
DEERING & SON, INC. LEXINGTON	KIRWAN I & II ROOF REPLACEMENT Project No. 447	1	5/29/87	- 400.00
Nature of Change:	Delete 200 l.f. of wood blocking (per unit price).			
Reason for Change:	Less blocking had to be replaced than anticipated during design.			
LAGCO, INC. LEXINGTON	V.A. STEAM LINE RELOCATION Project No. 474.0	1	10/31/86	+ 3,687.00
Nature of Change:	Thirty-six (36) additional feet of 8" insulated steam line.			
Reason of Change:	The bid drawings were prepared based on saturated steam at 175 psig, not accounting for the 100° superheat produced by the Central Steam Plant.			
LAGCO, INC. LEXINGTON	V.A. STEAM LINE RELOCATION Project No. 474.0	2	10/31/86	+ 8,066.10
Nature of Change:	DGA for trench backfill and haul off excess dirt.			
Reason of Change:	This steam line trench is located in an area travelled by large, heavily loaded vehicles. For future maintenance reasons it is thought advisable to reduce the probability of pavement settlement by using compacted DGA backfill instead of compacted earth.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LAGCO, INC. LEXINGTON	V.A. STEAM LINE RELOCATION Project No. 474.0	3	12/8/86	+ \$ 2,374.22
Nature of Change:	<ol style="list-style-type: none"> 1. Rock Excavation. 2. Repair to existing casing pipe. 3. Insulate condensate line in new manhole. 4. Expenses due to shutdown by V.A. Hospital. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Solid rock encountered in lower portion of pipe trench. 2. Existing steam line casing pipe was found to be badly eroded at both tie-in locations and water was saturating the insulation. Repairs were necessary. 3. Deemed advisable for safety reasons. 4. Parking site was not available over Labor Day. V.A. stopped contractor from excavating & pipe laying and welding crews were made to stand idle for 1/2 day. 			
LAGCO LEXINGTON	V.A. STEAM LINE RELOCATIONS Project No. 474.0	4	12/9/86	+ 3,450.00
Nature of Change:	Backfill trench and excavate caved-in material. Slope north wall of trench to prevent further cave-ins.			
Reason for Change:	Excess weight and vibrations from truck traffic caused the north wall of the steam line trench to collapse and the roadway pull away from the curb.			
AMERICAN INSULATED STRUCTURES, INC. CINCINNATI, OH	MCVEY HALL ASBESTOS REMOVAL Project No. 483	1	4/28/87	+ 3,015.89
Nature of Change:	Asbestos pipe insulation removal.			
Reason for Change:	Additional asbestos pipe insulation discovered during the abatement process.			
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	FIRE ALARM SYSTEM SIX BUILDINGS Project No. 801.0	2	8/8/86	+ 3,364.00
Nature of Change:	<ol style="list-style-type: none"> 1. Clean and bag smoke detectors in Animal Pathology. 2. Add two exit lights, relocate 3 exit lights, add 1 pull station in Animal Pathology. 3. Relocate fire alarm panel to junction box location in Anderson Hall. 4. Replace 17 smoke detectors with heat detectors, add 1 fire alarm light in Engineering Quadrangle. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Construction of the Animal Care Facility (dust) was causing false alarms. The bags keep out the dust, and allow the smoke detector to operate as heat detectors until the construction is completed. 2. Requirement of State Fire Marshal's office. Noted during inspection. 3. Provide needed space for future EMS panel. 4. To prevent non-fire related alarms caused by smoke, and meet requirement of State Fire Marshal's office. 			
WILLIAM HEPBURN CO. LEXINGTON	FIRE ALARM SYSTEM SIX BUILDINGS Project No. 801.0	3	9/29/86	+ 7,990.00
Nature of Change:	Replace existing fire alarm control panel with new 20 zone panel.			
Reason for Change:	Requested by State Fire Marshal's Office.			
S.C. NEAL ELEC. CONTRACTORS GEORGETOWN, KY.	ENGINEERING TOWER INSTALL SAFETY DEVICES Project No. 846.0	1	1/27/87	+ 280.02
Nature of Change:	<ol style="list-style-type: none"> 1. Install exit light. 2. Install new plug on existing circuit for generator. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Required by Safety and Security. 2. New outlet added to existing circuit for generator and battery charger. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	MEDICAL SCIENCE WING SMOKE DETECTION Project No. 853.0	1	7/28/86	+ \$ 1,123.44
Nature of Change: Add two zone modules for penthouse smoke detectors, and one Mechanical Room smoke detector.				
Reason for Change: To provide zone annunciation for duct smoke detectors.				
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	MEDICAL SCIENCE WING SMOKE DETECTION Project No. 853.0	2	11/12/86	+ 440.08
Nature of Change: 1. Relocate existing pull station and connect to zone 9 (clinic). 2. Revised completion date March 3, 1987.				
Reason for Change: Pull station is located in clinic penthouse and wired to Medical Science Zone 2.				
WILLIAM HEPBURN ELECTRIC CO. LEXINGTON	DENTAL SCIENCE WING SMOKE DETECTION Project No. 854.0	1	7/28/86	+ 338.28
Nature of Change: Add zone module for penthouse smoke detectors.				
Reason for Change: To provide separate zone annunciation for duct smoke detectors.				

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS.

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
W. ROGERS COMPANY LEXINGTON	ANIMAL CARE FACILITY BLASTING & EXCAVATION Project No. 259.1	\$ 181,534.06	7/23/86
FINNEY COMPANY, INC. LEXINGTON	MEDICAL CTR. CHILLER ADDITION INSTALL THIRD CHILLER Project No. 110.3	272,470.00	7/31/86
A. E. GORDON MECHANICAL SHELBYVILLE	HOSPITAL LOBBY FIRE SUPPRESSION Project No. 350.0	36,320.90	8/12/86
R & R ROOFING & SHEET METAL LEXINGTON	LEXINGTON COMMUNITY COLLEGE ROOF REPAIR Project No. 345.0	21,551.00	8/14/86
BRUCE'S TRI STATE ROOFING JWENSBORO	HENDERSON COMMUNITY COLLEGE STUDENT CTR. ROOF Project No. 179.0	88,541.77	8/28/86
FINNEY COMPANY, INC. LEXINGTON	HOSPITAL ELEVATORS AIR CONDITIONING Project No. 108.1	56,976.44	9/16/86
WHITE & CONGLETON LEXINGTON	MEDICAL CENTER STRUCTURAL REPAIR OVERHEAD WALKWAY Project No. 390.0	17,998.00	9/18/86
ASPHALT STONE COMPANY HOPKINSVILLE	HOPKINSVILLE COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 272.0	104,668.00	10/13/86

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
CROUCH CONSTRUCTION CO. MAYFIELD	PADUCAH COMMUNITY COLLEGE SCIENCE LAB RENOVATION Project No. 306.0	\$ 119,771.00	10/20/86
HARRISON CONTRACTING, INC. MEMPHIS, TN	OLD PHARMACY BUILDING ASBESTOS REMOVAL Project No. 411.0	28,516.00	11/3/86
SPARKS-TERRELL LUMBER CO. LEXINGTON	FUNKHOUSER RENOVATION ADMISSIONS & HOUSING Project No. 381.0	111,069.00	11/3/86
ELLIOTT CONTRACTING, INC. PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE STUDENT CENTER HVAC REPLACEMENT Project No. 289.0	170,231.00	11/7/86
WILLIAM HEPBURN ELECTRIC CO., INC. LEXINGTON	FIRE ALARM SYSTEM SIX BUILDINGS Project No. 801.0	155,110.00	11/17/86
C.R. CRIME ASSOCIATED CONTRACTORS LEXINGTON	SPINDLETOP ANIMAL RESEARCH FACILITY Project No. 270.0	156,950.00	11/24/86
THE WAGNER COMPANY LEXINGTON	644 MAXWELTON COURT Project No. 362.0	86,093.00	12/15/86
LAGOO, INC. LEXINGTON	V.A. HOSPITAL STEAM LINE RELOCATION Project No. 474.0	189,215.00	12/16/86
CAREY CONSTRUCTION COMPANY LEXINGTON	PARKING LOT 314 ROSE LANE (NEWMAN CENTER) Project No. 370.0	36,943.00	1/7/87
ENGLER CONSTRUCTION COMPANY LEXINGTON	COMMUNICATIONS METAL BUILDING Project No. 374.0	155,748.00	1/8/87
PACK'S INC. MOREHEAD	PRESTONSBURG STUDENT CENTER ROOF REPLACEMENT Project No. 291.0	64,886.00	1/15/87
NORWOOD CONSTRUCTION COMPANY LEXINGTON	DEMOLITION OF STRUCTURES 446 COLUMBIA AVENUE Project No. 511.0	4,198.00	1/15/87
NORWOOD CONSTRUCTION COMPANY LEXINGTON	DEMOLITION OF STRUCTURES 458 ROSE LANE Project No. 501.0	3,794.00	1/15/87
CAREY CONSTRUCTION COMPANY LEXINGTON	WILDCAT LODGE PARKING LOT Project No. 340.0	27,417.00	1/16/87
SC NEAL ELECTRICAL CONTRACTORS GEORGETOWN, KY.	ENGINEERING TOWER SAFETY DEVICES Project No. 846.0	26,022.00	1/23/87
WHEELER GENERAL CONTRACTOR LEXINGTON	HAZARD COMMUNITY COLLEGE EXTERIOR DOOR REPLACEMENT Project No. 346.0	24,594.00	1/28/87
VOLUNTEER FLOOR COMPANY KNOXVILLE, TN.	COMMONWEALTH STADIUM WATERPROOFING Project No. 175.0	434,378.00	2/3/87
VERVILLE CONSTRUCTION COMPANY MAYSVILLE, KY.	MAYSVILLE ACADEMIC/TECHNICAL & BUILDING STRUCTURAL REPAIRS Project No. 262.0	134,597.00	2/3/87
GRINNEL FIRE PROTECTION PITTSBURG, PA.	SPORTS CENTER SPRINKLERS SYSTEM Project No. 427.0	20,957.00	2/4/87
STORM SECURITY SYSTEMS, INC. LONDON, KY.	COMMONWEALTH VILLAGE FIRE ALARM SYSTEM Project No. 839.0	27,621.00	2/6/87

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
WM. HEPBURN ELECTRIC COMPANY LEXINGTON	KIRWAN/BLANDING TOWER SMOKE DETECTOR RELOCATION Project No. 388.0	\$ 7,461.00	2/6/87
POTTER ELECTRIC INC. MOREHEAD, KY.	ASHLAND COMMUNITY COLLEGE COMPUTER INSTALLATION Project No. 429.0	49,773.00	2/25/87
FINNEY COMPANY, INC. LEXINGTON	PATTERSON HALL PLUMBING Project No. 384.0	319,199.00	3/16/87
D-C ELEVATOR CO., INC. LEXINGTON	JEFFERSON COMMUNITY COLLEGE HARTFORD BLDG. FIRE SERVICE/FOUR ELEVATORS Project No. 276.0	26,356.00	3/17/87
EUBANK & STEEL CONSTRUCTION CO. LEXINGTON	HOSPITAL RENOVATION 5TH FLOOR INTERIOR DECOR Project No. 129.4	393,329.00	3/20/87
PACK'S INC. MOREHEAD, KY.	HOSPITAL/AMBULANT WING ROOF REPAIR Project No. 203.0	19,486.00	3/26/87
P&R ROOFING & SHEET METAL, INC. LEXINGTON	DONOVAN HALL ROOF REPAIR Project No. 449.0	85,710.00	3/27/87
SPARKS TERRELL LUMBER CO., INC. LEXINGTON	FUNKHOUSER RENOVATION FOR STUDENT FINANCIAL AID Project No. 241.0	94,014.00	3/31/87
M. B. MCGREGOR LEXINGTON	MAYSVILLE COMMUNITY COLLEGE LANDSCAPING Project No. 111.3	91,238.00	4/2/87
ARROW ELECTRIC CO. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE SEMINARY BLDG. SPRINKLERS Project No. 284.0	16,691.00	4/23/87
LONDON CONSTRUCTION COMPANY GREENVILLE	HOPKINSVILLE COMMUNITY COLLEGE CONCRETE COPING REPLACEMENT Project No. 351.0	27,235.00	5/28/87
POTTER ELECTRIC CO. MOREHEAD	AGRICULTURE SCIENCE NORTH GREENHOUSE Project No. 298.0	90,286.00	6/10/87
CAREY CONSTRUCTION CO. LEXINGTON	COMPLEX BASKETBALL COURT RELOCATION Project No. 391.0	121,220.00	6/12/87
CENTRAL ROCK CO. LEXINGTON	GLIFTON-COLUMBIA PARKING LOT Project No. 437.0	174,608.85	6/16/87
ANDERSON & CURRENS PAINTING HARRODSBURG	LEXINGTON COMMUNITY COLLEGE TIERED CLASSROOM Project No. 418.0	11,912.00	6/29/87

Office of the President
September 15, 1987

FCR 4

Members, Board of Trustees

Use of Housing and Dining Maintenance Reserve

Recommendation: that the Vice Chancellor for Administration be authorized and directed by the Board of Trustees to request the Trustee of University of Kentucky Housing and Dining System Revenue Bonds to make available the sum of \$375,000.00 for the purpose of making repairs to Kirwan Tower and Blanding Tower due to brick spalling.

Background: This bond issue provides for the establishment of a "System Repair and Maintenance Reserve" which may be drawn upon by the Board for the purpose of paying unusual or extraordinary costs of maintenance of and repairs and replacements to the System. As of June 30, 1987, this reserve had a balance of \$1,638,745.00.

Action taken: Approved Disapproved Other

Date: September 15, 1987

#0107-p.73

Office of the President
September 15, 1987

FCR 5

Members, Board of Trustees

Report of Leases

Recommendation: that the Board accept the President's report of the following lease agreements in accordance with Board policy:

The execution of a lease between the Cooperative Extension Service and Harvey G. Ershig, 200 South Green Street, Henderson, Kentucky, effective August 15, 1987 through June 30, 1988, for the use of office space and five parking spaces, rental rate \$6,037.50 annually, be accepted and made a matter of record;

The modification of a lease between the University of Kentucky Cooperative Extension Service, change in Ownership to Lessor: Landover Realty & Auction Co., Inc. & O. Curt Davis, P. O. Box 3066, West Somerset Station, Somerset, Kentucky, be accepted and made a matter of record;

The execution of a lease between Hazard Community College and Appalachian Regional Healthcare, P. O. Box 7000, Hazard, Kentucky, effective September 15, 1987 through September 14, 1988, for the use of office, classroom and parking space, rental rate \$26,795 annually, be accepted and made a matter of record.

Background: FCR 5, dated October 15, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved Disapproved Other

Date: September 15, 1987

Office of the President
September 15, 1985

FCR 6

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE
ELEANOR M. SNEDEKER SCHOLARSHIP FUND FOR HOME ECONOMICS STUDENTS

Recommendation: that the Board of Trustees authorize the creation of a quasi-endowment fund to be entitled the "Eleanor M. Snedeker Scholarship Fund for Home Economics Students."

Background: By this action the Board of Trustees will establish the fund and permit the allocation of funds necessary to implement this authorization. Funds for this endowment will come from a bequest from Eleanor M. Snedeker, a 1938 graduate of the University. The scholarship will be awarded annually to a student enrolled in the College of Home Economics. The recipient will be selected by a Scholarship Committee appointed by the Dean of the College of Home Economics in accordance with established policies and procedures of the University of Kentucky. The Snedeker bequest totals \$5,000.

Action taken: Approved Disapproved Other

Date: September 15, 1987

Office of the President
September 15, 1987

ICR 1

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the three months ended June 30, 1987, be accepted.

Background: A part of the Board policy regarding investments is a provision that a quarterly report be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the Second Quarter of 1987, for the University of Kentucky Athletic Association, the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky, the University of Kentucky Research Foundation, the University of Kentucky Business Partnership Foundation, the University of Kentucky Mining Engineering Foundation, the University of Kentucky Equine Research Foundation, and the University of Kentucky Humanities Foundation.

Action taken: Approved Disapproved Other

Date: September 15, 1987

University of Kentucky
Statement of Portfolio Changes
Short Term Current Fund Investments
For the Three Months Ended June 30, 1987

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
Federal Home Loan Bank	4/16/87	5/25/88	7.00%	\$ 10,328,906
Certificate of Deposit #7650	4/29/87	4/28/88	7.36%	5,000,000
Certificate of Deposit #7649	4/29/87	10/27/88	7.71%	5,000,000
Federal National Mortgage Association	5/21/87	5/10/88	7.62%	8,211,250
 Total - Purchases				 \$ 28,540,156

<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
U.S. Treasury Notes	11/08/85	5/15/87	8.48%	\$ 5,250,000
U.S. Treasury Notes	11/14/85	5/15/87	8.21%	4,750,000
U.S. Treasury Notes	11/21/85	5/31/87	8.26%	950,000
 Total - Maturities/Sales				 \$ 10,950,000

The Fund for the Advancement of Education and Research
in the University of Kentucky Medical Center
Statement of Portfolio Changes
Short Term Current Fund Investments
For the Three Months Ended June 30, 1987

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
None				
<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
Certificate of Deposit #64148	6/25/86	6/25/87	6.85%	<u>\$ 1,600,000</u>
Total - Maturities/Sales				<u>\$ 1,600,000</u>

University of Kentucky Athletic Association
 Statement of Portfolio Changes
 Short Term Current Fund Investments
 For the Three Months Ended June 30, 1987

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
Federal National Mortgage Association	5/21/87	5/10/88	7.62%	\$ <u>2,000,000</u>
Total - Purchases				\$ <u>2,000,000</u>

<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
None				

University of Kentucky
 And Affiliated Corporations
 Statement of Portfolio Changes for the Regular Endowment Pool
 For the Three Months Ended June 30, 1987

<u>Purchases/Additions:</u>	<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
3,077,297.65		Thoroughbred Group Prime Obligations - D	\$3,077,297.65
2,000		Sherwin Williams Co.	75,934.00
2,400		Consolidated Rail Corp.	67,200.00
2,730		Browning Ferris Inds. Inc.	151,016.13
590		Cray Resh Inc.	75,591.98
1,820		Imperial Chem. Inds.	150,193.42
1,050		Maytag Corp.	57,301.65
2,010		Consolidated Freightways Inc.	75,218.56
2,300		Yellow Fght. Sys. Inc.	75,105.28
2,260		Federal Express Corp.	149,978.72
1,600		International Business Machines	252,406.24
220.313		Fisher Scientific Group Inc.	3,105.53
43,000		United States Treasury stripped interest, 11.25%, 2/15/15	22,915.13
1,090		Armstrong World Inds Inc.	38,840.56
		Total - Purchases/Additions	<u>\$4,272,104.85</u>

University of Kentucky
 And Affiliated Corporations
 Statement of Portfolio Changes for the Regular Endowment Pool
 For the Three Months Ended June 30, 1987

<u>Sales/Conversions:</u>					
<u>No. of</u>	<u>Security</u>	<u>Net Proceeds</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>	
<u>Shares</u>		<u>from Sales</u>			
1,406,239.71	Thoroughbred Group Prime Obligatons - D	\$ 1,406,239.71	\$ 1,406,239.71	\$ 0.00	
2,700	Aetna Life & Cas.	158,140.42	127,514.00	30,626.42	
3,800	American Can Co.	162,420.21	89,527.92	72,892.29	
4,000	Biomat Inc.	87,000.00	26,000.00	61,000.00	
2,200	Brunswick Corp.	99,275.63	71,063.35	28,212.28	
4,300	PNC Financial Corporation	193,683.10	64,943.75	128,739.35	
1,000	Southland Corp.	65,387.81	72,489.00	(7,101.19)	
2,500	Procter & Gamble Co.	217,751.58	142,730.00	75,021.58	
50,000	Lomas & Nettleton Fin'l Corp.	61,995.00	70,116.20	(8,121.20)	
3,250	Woolworth, F. W. Co.	151,448.14	84,144.00	67,304.14	
7,850	Capital Hldg. Corp.	231,386.71	200,836.07	30,550.64	
450	Penney, J.C. Inc.	21,401.11	19,257.37	2,143.74	
4,000	Reynolds & Reynolds Co.	136,000.00	47,000.00	89,000.00	
533	Fisher Scientific Group	2,864.15	3,015.53	(151.38)	
790	Atlantic Richfield Co.	69,389.36	41,814.04	27,575.32	
940	Norfolk Southern Corp.	25,173.13	23,831.51	1,341.62	
41,000	United States Treasury stripped interest, 8/15/94	23,002.64	18,302.47	4,700.17	
	<u>Total - Sales/Conversions</u>	<u>\$ 3,112,558.70</u>	<u>\$ 2,508,824.92</u>	<u>\$603,733.78</u>	

University of Kentucky
 And Affiliated Corporations
 Pooled Endowment Funds - Fixed Income Securities
 For the Three Months Ended June 30, 1987

<u>Purchases/Additions</u>		<u>Amount of</u>
<u>No. of</u>	<u>Security</u>	<u>Purchase</u>
<u>Shares</u>		
5,384,780.53	Thoroughbred Group Prime Obligations - D	\$ 5,384,780.53
1,000,000.00	U.S. Treasury Notes, 7.375%, 4/15/93	961,250.00
15,094.00	Bond Fund for Tax Exempt Trusts	999,977.50
800,000.00	U.S. Treasury Notes, 13%, 11/15/90	915,750.00
989,994.51	GNMA Pass-thru Reg Pool, 6/1/86, 9%, 6/15/2016	950,404.73
525,000.00	U.S. Treasury stripped interest, 11.25%, 2/15/15	279,777.75
	Total - Purchases/Additions	<u>\$ 9,491,940.51</u>

<u>Sales/Maturities:</u>		<u>Net Proceeds</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
<u>No. of</u>	<u>Security</u>	<u>from Sales</u>		
<u>Shares</u>				
4,746,569.55	The Thoroughbred Group Prime Obligations - D	\$4,746,569.55	\$ 4,746,569.55	
800,000.00	U.S. Treasury Notes, 10.75%, 7/15/90	858,750.00	804,187.49	\$ 54,562.51
6,194.88	Valley National Financial Corp., 9.5%, 6/15/89	6,194.88	6,167.00	27.88
499,000.00	U.S. Treasury stripped interest, 8/15/94	279,958.96	222,554.00	57,404.96
	Total - Sales/Maturities	<u>\$5,891,473.39</u>	<u>\$ 5,779,478.04</u>	<u>\$11,995.35</u>

University of Kentucky
 And Affiliated Corporations
 Pooled Endowment Funds - Money Market
 For the Three Months Ended June 30, 1987

Purchases:

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
1,132,979.86	Thoroughbred Group Prime Obligations - D	\$ 1,132,979.86

Net Sales:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>
4,333,198.56	Thoroughbred Group Prime Obligations - D	\$ 4,333,198.56	\$ 4,333,198.56