

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506-0032

UNIVERSITY SENATE COUNCIL
10 ADMINISTRATION BUILDING

2 September 1993

TO: Members, University Senate

The University Senate will meet in regular session on Monday, September 20, 1993, at 3:00 p.m. in ROOM 201 of the Nursing Building (CON/HSLC). PLEASE NOTE: The date and room have been changed for this meeting. Hereafter, the Senate will meet the second Monday of the month when school is in session in room 115, Nursing Building.

AGENDA:

1. Minutes (April 12 and May 3, 1993)
2. Remarks: President Charles T. Wethington, Jr.
3. Chair's Announcements and Introductions
4. Resolutions
6. **Action Items**
 - a. Recommendation to the President to amend the Administrative Regulations, AR II - 1.0-2, p. III-2. (Circulated under date of 3 September 1993.)
 - b. Proposed changes to University Senate Rules, Section V, Attending the University. (Circulated under date of 7 September 1993.)

Randall Dahl
Secretary

Note: If you are unable to attend this meeting, please contact Ms. Susan Caldwell (7-7155) in advance. Thank you.

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MINUTES OF THE UNIVERSITY SENATE, SEPTEMBER 20, 1993

The University Senate met in regular session at 3:00 p.m., Monday, September 20, 1993, in Room 201 of the Nursing Health Sciences Building.

Daniel L. Fulks, Chairperson of the Senate Council, presided.

Members absent were: William Adkisson, Reginald J. Alston, Stephanie Atcher, John R. Ballantine*, Robert L. Blevins*, Douglas A. Boyd, Joseph T. Burch, Clyde R. Carpenter*, Ben W. Carr, Edward A. Carter, Shea Chaney, G.L. Monty Chappell*, Donald B. Clapp, Darby Cole, Melissa Cox, Richard Edwards, Raymond Forgue*, Michael B. Freeman, Richard W. Furst, Lorraine Garkovich, William Gibson, J. John Harris III, Zafar S. Hasan, Christine Havice*, James Hertog, Kenneth K. Kubota, Gretchen LaGodna*, Thomas W. Lester, C. Oran Little, Robert F. Lorch, Jr., Linda J. Magid*, Jan McCulloch*, Martin J. McMahon, Jr., Douglas C. Michael*, Sandra Miller, James S. Mosbey, Phyllis J. Nash, Anthony L. Newberry, Jack W. Olson*, Barbara Phillips, Rhoda-Gale Pollack, Thomas C. Robinson, Edgar L. Sagan*, W. Craig Shellhart*, David Shipley, Thomas J. Stipanowich, David H. Stockham, Michael Stover, Louis J. Swift, Theodore R. Tauchert*, Phillip A. Tibbs, Miroslaw Truszczynski*, Henry C. Vasconez*, Brent White*, Carolyn A. Williams, Eugene R. Williams.

The Chair welcomed President Wethington, members of the Senate, and visitors to the first meeting of the University Senate for this academic year. He stated that it was truly an honor and a privilege for him to serve as presiding officer of the Senate and the Senate Council. He believes it should be an interesting and exciting year for the University as well as the Senate.

He feels in many ways the University is still reeling from the onslaught of reviews and evaluations which have taken place during the past couple of years. Some internal reviews, some self-studies, some outside reviews. And, in addition, the University suffered another budget cut and is threatened by additional cuts. It is only through the personal efforts of President Wethington that the University was able to escape the most recent swing of the budget sathe, something which is appreciated. It is now time to respond and react to the end products of these recent reviews. Such responses, he believes, would be easy in times of bountiful resources, not so easy in these times however. Therefore, he thinks the actions of members of the Senate and the participation in the academic governance of the University will be of particular importance this year.

During the summer months Professor Fulks spent quite a lot of time, with the help of other members of the Senate Council, contemplating an agenda for the Senate Council as well as the University Senate for the coming year. Several issues and projects have been identified for their attention, a couple of those will be looked at later on in the meeting. Throughout the entire process during the summer, one rather elusive issue kept coming to his mind, something he had been thinking about

* Absence Explained

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for a few years, and that is the issue of community spirit. It is easy when the budget crunch has hit to lose sight of community spirit. In working with various friends from across the Lexington campus and the Medical Center over the past several years, on occasion he has had reason to be a little alarmed about what appears to be a loss of community spirit on campus. Some of this is understandable as each fight for their fair share of what is a less than adequate resource pie. Nevertheless, such territorial behavior is counter productive. He likes what Ernest Boyer of the Carnegie Foundation said about the University community. Boyer suggested that a University or College is above all a purposeful community. A place where teaching and learning matter most. He says that if academic concerns are not vitally sustained and faculty and students do not come together around a common intellectual quest, that all the talk about strengthening community in higher education is simply a diversion. Therefore, today he encourages each to think beyond the boundaries of their academic units as they go about the task of creating their own individual professional environment, and as they help to shape the environment of their academic units and offer their services on the University Senate. We must begin to think and act for the good of the University Community, all of its faculty, all of its units, all of its missions, and above all, all of its students. This community spirit, he believes, can only begin at a very individual and personal level. Whether we verbalize it or not, most of us are in academia largely because we believe we at least have an opportunity to make a difference somewhere. We do indeed have such an opportunity as faculty, as administrators, as student leaders, and as members of the Senate. He hopes each of us will maximize that opportunity. He is personally excited about where we are right now as a University and excited about where we have the potential to go. He looks forward to working with all of them during this year.

The Chairman stated that the agenda for the meeting had been distributed. Item 1 called for approval of minutes from two meetings. No corrections were made to the Senate Minutes for April 12, 1993 or May 3, 1993. They were approved as written and distributed.

The Chair then stated it was his pleasure to introduce to the Senate the President of the University, who traditionally addresses the Senate at its first meeting. The President actually is officially the Chair and Presiding Officer of the Senate. However, he chooses to delegate that responsibility to the Chair of the Senate Council. In introducing President Wethington, Professor Fulks said he would like to note as had been noted in the past by his predecessors, that since assuming his position as chair in mid-May, he already has had the opportunity to work closely with President Wethington on several occasions and, as has been the case in years past, he has been extremely cooperative and has invited input from the Senate Council on all relative issues that have arisen. He has made it clear that he is available at any time. His open and cooperative attitude is sincerely appreciated by all on the Senate Council. He asked the Senate to please join him in welcoming President Wethington.

President Charles Wethington was given a round of applause.

The President's "Address to the University Senate" is attached to the Minutes.

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In concluding, the President expressed his appreciation to the Senate for helping to make the past year a bearable one, for helping to get off to an excellent beginning for 1993, and to reassure them it was his intent to work with them on serious issues and matters which impact the entire University. It is also his intent in working with them to ensure they do everything they can to bring the resources of the University to ensure that next year this University is a little better than this year and the year after that a little better than it is next year. We will constantly and consistently build the quality of this great University. Thank you for having me here today.

After his remarks the President was again given a round of applause.

The Chair thanked President Wethington for visiting with the Senate.

The Chair then reminded the Senate that when they were addressing the body to please stand and state their name for the record and their academic unit.

Chairman Fulks made the following introductions: Ms. Celinda Todd, who is the Administrative Assistant for the Senate Council. He said that all Council Chairs before him would tell them exactly how much trouble they would be in if it weren't for Cindi. He then introduced Gifford Blyton who is the Parliamentarian and has held that position for approximately 20 years. He is a Professor Emeritus for the University and has been retired for 17 years from what now is the Department of Communications. Dr. Randy Dahl who is the University Registrar and the official Secretary of the Senate and Susan Caldwell, Recording Secretary.

During the Spring, Loys Mather was elected as faculty member to the University Board of Trustees, he replaces Carolyn Bratt whose term expired. Loys serves with Dr. Deborah Powell, Lance Dowdy, the student representative and John Sistarnek from the Community College System. In the Spring, Lance Dowdy was elected president of the Student Government Association and along with that he serves as a student member on the University Board of Trustees. Horst Schach was appointed as University Academic Ombud and replaces Gretchen LaGodna, his appointment was effective July 1, 1993. The Sergeants at Arms are Jackie Hager from the Registrar's Office and Michelle Sohner from the Academic Ombud's Office serving her first year.

The Senate Council members are Louise Zegeer, Nursing; Bill Lyons, Political Science; Ray Cox, Mathematics; who is also the Chair Elect of the Senate Council, Deborah Powell, Pathology; Enid Waldhart, Communications; Ed Sagan, Educational Policy Studies; John Picoro, Pharmacy; Bradley Canon, Political Science; Antimony Bishop, Student Representative; and another student to be named later. Exofficio members are Loys Mather as Board of Trustee Member and Lance Dowdy.

The Chair stated he would like to recognize the Chairs of the Standing Senate Committees. There are 85 faculty members of the University Senate, there are another 18 student members, and various administrative members as well. There are 11 standing committees in the Senate, each is chaired by a faculty senator, with one exception. The Rules and Elections Committee is chaired by Roy Moore from Communications, Library Committee by Timothy Sineath from Library and Information Science, Admissions and Academic Standards Committee by Bill Lubawy from Pharmacy, Academic Organization and Structure Committee by Jim Knoblett from Accounting,

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Research Committee by Mark Berger from Economics, Academic Facilities by Janet Stith from Medical Center Library, Institutional Finance and Resource Allocation by Lance DeLong from Physics and Astronomy, Admissions Advisory Committee by Davy Jones from Toxicology, University Studies Committee by Louis Swift, Academic Planning and Priorities by Mary Shake from Curriculum and Instruction, and Academic Programs Committee by Debra Aaron from Animal Sciences. The Chair thanked the Committee Chairs for agreeing to serve as chairs of the standing committees.

The Chair made the following announcements;

Homecoming - we are a week away from Homecoming week and visiting with the Senate is Miss Caroline Shively who is the chair of the Homecoming Committee for the Student Activities Board. As faculty advisor to the Student Activities Board, the Chair knows how much work is done. The biggest Homecoming events are on Friday, October 1st. There is a parade which will be followed by the Wildcat Roar. The theme this year is Mardi Gras. He would like to encourage faculty to be involved in the Homecoming activities.

UK Women's Studies Program - there will be a special program by and for the female faculty, on September 22nd at 7:00 p.m. in the Recital Hall of the Singletary Center. The program titled "Celebrating UK's Women Faculty" will feature a panel of five female faculty who will speak about relevant issues and responsibilities they have faced while climbing the professional ladder at the University.

The President has put in place a Search Advisory Committee for the Chancellor of the Medical Center. Dr. Bosomworth is resigning after 23 years at the University. Chairman Fulks asked the Senate to join him in recognizing Dr. Bosomworth. Dr. Bosomworth was given a round of applause. There are 12 members on the committee and their names are known, if anyone would like to know who they are they can call the Senate Council or the President's office. Dr. Bosomworth is also serving as Honorary Chair of the UK United Way. At the close of the meeting there will be a short presentation on United Way.

There was some Senate Council action during the summer. At the request of the College of Nursing, in regard to a RN MSN program, and in accordance with Senate Rule 1.3.1.1 H, the Senate Council acted in behalf of the full Senate in what was deemed an emergency situation. The Council approved as amended the new curricular option in the existing RN BSN and MSN programs and the admission standards as submitted by the College of Nursing. This action was circulated dated July 30.

The President alluded to the Ulack Committee which did outstanding work over the last several months in helping to formulate a new administrative regulation concerning the review of Academic Chief Administrative Officers. There is now a new Part Two to Administrative Regulations 2-1.0 - 1.6. This AR is based on the work of that Ad Hoc Committee chaired by Dick Ulack which sought faculty, staff, and administrative input into development of the AR. After the President wrote what he thought was the final version of the AR, it was given back. The Chair feels they had sufficient opportunity to provide him with input, all of which he listened to, and most of which he responded to in a positive manner. That AR was circulated fairly recently.

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The Medical Center Honor Code - on April 4, 1993, the University Senate approved the College of Medicine Honor Code. That approval was contingent upon the development of procedural guidelines which were to be approved by the Senate Council. Such guidelines were developed and submitted by the College of Medicine. They were approved by the Senate Council on July 20, 1993.

Family Leave - last year the Senate requested that the President consider an AR which would have stopped the tenure clock. It would have halted the probationary period for family leave. At that time the President rejected the request. However, when the federally mandated Federal Leave Policy was put into effect this Summer, he took it upon himself to reopen conversation about the Tenure Clock Issue. The Chair is pleased to say there will be some provision in place for stoppage of the tenure clock for family leave. They are not quite where they want to be with it, but the President is working with them, Juanita Fleming is working with them, and there is a focus group together to discuss how to implement such a family leave. Actually, the authority has been given to Chancellors at this point to grant a suspension of the probationary period for family leave. The regulations will be formalized and finalized fairly soon.

There will be two Ad Hoc Committees put together very soon. One is a committee on the Structure of Senate Committees. Every year there are reports from the Senate Standing Committees. Two of the reports this year recommended abolishing their committees. If anyone would like to serve or nominate someone, let the Senate Council know. The other Ad Hoc Committee is going to deal with several issues that have arisen concerning the Merit Review and Promotion Process. There have been six or eight related issues that have been identified. One was the Family Leave Issue, which will still need to be addressed to some extent. There is a proposal that has been offered by Gretchen LaGodna and Rick Edwards concerning replacing the two and four year reviews with a three year review. Other issues involve the DOE, the reward structure, enhancing and rewarding teacher and advising, and several issues involving the merit review and promotion process.

The next meeting will be October 11, 1993. This meeting is one week late because of scheduling problems. We will return to the regular schedule next month, which calls for a meeting the second Monday of each month. The meeting will be at 3:00 p.m. October 11, 1993 in Room 115 of the Nursing Health Sciences Building.

The Chair recognized Professor Ray Cox, Chair-elect of the Senate Council to present a resolution.

SPECIAL RESOLUTION
1992-1993 SENATE COUNCIL CHAIR -
September 20, 1993

Traditionally, at the first meeting of the University Senate in the Fall, we recognize the leadership and effort of our past year's chair. This resolution is offered to thank and commend John J. Picoro, Jr. for his dedicated and energetic leadership as presiding officer of the University Senate and Chair of the Senate Council.

John's tenure began in May of 1992 at a time when we were winding up our internal self-study and preparing for the Fall 1993 site visit of the

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Southern Association's evaluation team. Following this visit, the campus became involved with restructuring, budget cuts, realignments, and related matters. Also we were dealing with Honor Codes presented by Law, Medicine, and Pharmacy. All in all, it was a very busy year and one that required steady, even handed and intelligent leadership -- all of which John provided -- and with good humor as well.

John Piccoro showed an exceptional ability to work with faculty, administration and staff on matters involving serious controversy and reach accommodations and agreements that left all parties satisfied that their cases had been heard, understood, and seriously considered and that fair resolutions had been reached. He was also quite effective in communicating the faculty and Senate's concerns to the administration and making the entire institution a more open place.

We all are in debt to John for these efforts. He brought to us a positive attitude, an irrepressible humor, and the ability to see the bright side of every issue while, at the same time, recognizing the possible pitfalls.

John, please accept the sincere thanks of the Senate Council and the entire Senate for your leadership, your hard work, and your dedication to the University of Kentucky. We have benefitted from it, we are better for it, and we sincerely appreciate it. Thank you very much.

Professor Piccoro was given a round of applause.

Professor Cox moved that the resolution be included in the minutes and a copy sent to Professor Piccoro.

Professor Deborah Powell was recognized for the following resolution.

SPECIAL RESOLUTION
1990 - 1993 FACULTY BOARD OF TRUSTEES MEMBER
September 20, 1993

Presented to the University of Kentucky Faculty Senate in appreciation for the service of Professor Carolyn Bratt, Faculty Representative, University of Kentucky Board of Trustees, July 1, 1990 to June 30, 1993.

I believe that I speak for the faculty of the University of Kentucky in presenting this resolution of thanks and appreciation to Professor Carolyn Bratt, the W. L. Matthews Professor of Law, for her service to us and to our University.

Professor Bratt has served our University and its faculty and students in many arenas during her 18 years on this campus.

Carolyn joined the University of Kentucky College of Law faculty in 1975. From 1984 to 1989, she was the Alumni Professor of Law and in 1989 was appointed as the W.L. Matthews Professor of Law. She has been recognized since early in her

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career as a skilled and dedicated teacher. She received the College of Law Outstanding Teacher awards in 1977 and 1979, the UK Alumni Association Great Teacher Award in 1985, the Faculty Advisor Excellence Award of the UK Student Organizations Assembly in 1989, and the Duncan Award for Excellence in Teaching from the UK College of Law in 1990. She is recognized by her peers locally and nationally as a leader in her profession serving as Special Justice to the Kentucky Supreme Court and receiving the Cassis Award for Excellence in legal Research and Scholarship from the UK College of Law in 1989. She has also received numerous awards for her public service from local, state, and national groups to which she has willingly given her time, energy and incisive intellect.

Some of Carolyn's greatest contributions, however, have been to her faculty colleagues at the University of Kentucky. She has served us faithfully, with forthrightness, integrity, and with deep caring and concern for our University in the highest areas of elective and appointed responsibility for a faculty member. She has served as the Chair of the University Senate Ad Hoc Committee on the Status of Women and co-authored its landmark report. She was a faculty representative to the 1990 Presidential Search Committee, and has served as an elected member of the University Faculty Senate, the Senate Council, and, from 1990-91 as Chair of the University Senate Council. In 1990 she was elected faculty representative to the University of Kentucky Board of Trustees. In June of this year, she concluded her term as a Board member.

During the past year Carolyn has been the recipient of an American Council of Education (ACE) Fellowship, designed to introduce faculty to academic administration. I am certain that as Carolyn makes the transition into academic administration she will take with her an understanding and appreciation for the concerns and hopes of faculty and students whom she has served so tirelessly and sometimes at great personal cost. The faculty of the University of Kentucky are grateful to this outstanding woman for her service to us, and for myself and on their behalf, I would like to thank her for her work. I hope that my fellow members of this Senate will join me in recognizing her service.

Mr. Chairman, I move that this resolution be spread upon the minutes of the Senate and that a copy be sent to Professor Bratt.

Professor Bratt was given a round of applause.

Chairman Fulks thanked Professor Powell and Professor Cox for their resolutions.

The Chair recognized Professor Ray Cox, Chair-elect of the Senate Council, for the first action item on the agenda. Professor Cox, on behalf of the Senate Council, moved approval of the proposed recommendation to amend the Administrative Regulations, AR II - 1.0 - 1, p. III-2. The proposal was circulated to members of the Senate under the date of 3 September 1993. Professor Fulks stated that what the Senate Council was asking for was the Senate's endorsement. Such a request as this has been submitted to the President twice before, as indicated in the background. This would be the first time it has come from the full Senate. Essentially, what we are asking for is that a person who has been denied tenure at the college level should have the right to appeal to the area committee.

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The floor was opened for discussion. The question was called. In a voice vote the motion unanimously passed and reads as follows:

Proposal:

WHEREAS we the faculty of the University of Kentucky believe all faculty, regardless of rank, are entitled to the identical due process as regards consideration for promotion; and

WHEREAS we further believe that all faculty, regardless of rank, are entitled to the identical and appropriate appeals avenues; and

WHEREAS the current Administrative Regulations of the University deny equal consideration and appeal opportunity to faculty at the Assistant Professor level; and

WHEREAS the University's Administrative Regulations concerning promotion at the Assistant Professor level should be consistent with those at the Associate Professor level; and

WHEREAS to ensure review by the appropriate Academic Area Advisory Committee, to which faculty members are entitled;

NOW THEREFORE BE IT RESOLVED THAT THE UNIVERSITY ADMINISTRATIVE REGULATIONS SECTION II-1.0 BE AMENDED AS FOLLOWS:

If the dean makes a decision not to recommend the faculty member for promotion and tenure, the dean shall notify the individual of this action in writing, before the end of the sixth or the next-to-last year of the individual's probationary period. The faculty member may then request in writing to the dean that his or her promotion dossier be forwarded to the appropriate chancellor or vice president. Upon receiving such a request, the dean will forward the dossier, with his or her recommendation, to the chancellor or vice president. After examining the dossier for completeness, the chancellor or vice president shall submit the dossier to the relevant Area Committee for review. Upon completion of the review, the Area Committee shall recommend to the chancellor or vice president in the usual manner.

Background and Rationale:

Similar requests for this proposed change in the Administrative Regulations have been forwarded to the President's Office on at least two occasions in recent history. In January of 1990 a proposal was submitted by the Senate Council. This was followed by a request from the Senate Advisory Committee on Privilege and Tenure as a part of its annual report in August of 1992. Both requests were rejected by President Wethington on the grounds that (1) "the Dean of a college should have a central role in decision making at critical points in the development of college programs" and (2) it is now feasible for an assistant professor to appeal a decision to the Chancellor or Vice President, the Senate Advisory Committee on Privilege and Tenure, and the President.

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Nonetheless, the Advisory Committee on Privilege and Tenure strongly believes that the right of review at the Chancellor and Area Committee level should be extended to assistant professors. The proposed change in Administrative Regulations would ensure that faculty at this critical juncture would have access to a review by the broadest possible body, the same right that is now afforded tenured faculty members seeking promotion. While faculty denied promotion and tenure at the college level can indeed avail themselves of an appeal process, the procedures are time-consuming and often inadequately understood by faculty. Further, appeals can frequently result in adversarial and acrimonious working relationships. Ironically, a non-tenured faculty member currently has the right to appeal a dean's favorable decision but not a denial. Experiences of the P&T Advisory Committee have consistently reaffirmed the need for this revision over recent years.

Note: If endorsed, the proposal will be forwarded to the President for consideration.

The Chair recognized Ray Cox for the next agenda item. Professor Cox, on behalf of the Senate Council moved approval of the proposed changes to the University Senate Rules, Section V, with regard to the marking system. This item was distributed under the date of 7 September 1993.

The floor was opened for discussion.

Professor Hans Gesund (Engineering) referred to the first page and the first proposal. He said he was not sure what successful completion of a course taken for audit means. He suggested that the word successful be struck, because there is no such thing as unsuccessful completion. He said that "a course taken for audit" is bad English, you do not take a course for audit, you take a course for educational purposes. He moved the wording be changed to "AU represents a completion of a course attended as an audit".

Professor Fulks stated those changes would be incorporated.

Professor Gesund had a question about Section 5.1.5 and the sentence that reads "Normally, students who audit would be expected to do the readings and attend class". He asked what if the students did not attend class? He said there was a provision for students who did not attend class, they could be forced out with a W. But if they just come to class and do not do the readings there isn't anything the instructor can do about it. He is not sure we should be saying things the instructor does not have the power to enforce.

Professor Fulks acknowledged Professor David Durant. He said that the action item is about one-third of the output of the Durant Committee. Professor Durant, Dr. Dahl, and other members of the committee worked rather hard last year and this is only part of the output. He also feels this is the least controversial portion of the committee's recommendations.

Professor Fulks said that he felt in reference to Professor Gesund's question that the information was there for the benefit of the student. We try to discourage the students from signing up for an audit and never showing up or doing any work. In his opinion it does not hurt anything being there.

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Dr. Randall Dahl said there was an option available to the faculty member when attendance is required. Whether or not it should be restated such that the instructor had a similar option available to force attendance if the student auditing the course did not participate appropriately. It would be a lot more work for the faculty member to monitor.

Professor Dan Reedy (Graduate School) asked for an interpretation of Section 5.1.8.4 which states "the student shall be recommended for that degree by the Senate of the University. If a comprehensive examination is required for graduation, this requirement shall be waived". He asked if that refers to a course exam and would not infer a comprehensive exam for a doctorate or for a masters.

Professor Fulks said that was correct. He also said that wording was not new. Professor Reedy said he wanted to make sure with the other changes that had been made that the sense of a comprehensive course examination was not left out. Professor Fulks said that there would be nothing wrong with adding "comprehensive course examination" to this change. Professor Reedy said he wanted to make sure that it was clearly understood. Professor Reedy then moved that entry of the word "course" be included. In a voice vote the motion carried.

Professor William Lubawy (Pharmacy) was curious as to why in Section 5.1.5 the clause "if attendance is required in the course" had been added. It seemed to him that if attendance is not required for the regular students that is okay because the faculty member knows the students are doing things because of exams, quizzes, and other things that are done. An auditor does not take the exams and many classes do not require attendance so if they do not go, he doesn't know why they signed up for it. He wishes the statement were not included.

Professor Lance DeLong (Physics and Astronomy) suggested to Dr. Dahl that in courses where laboratories or equipment are essential, supplies might be limited. He assumes that when there is a limitation of involvement if the enrollment exceeds the stated ceiling, the auditors would not be allowed to take the course.

Dr. Dahl stated that generally those courses are carefully controlled. There has been implemented a controlled enrollment mechanism whereby the department can regulate the flow of students, and specifically that was put in place for several laboratory courses where the materials and actual space were a problem. An auditor would not have the same standing as a student enrolled for a grade if the class did not have space. The faculty member could request that the student be negotiated out. If the semester had already started, the faculty member would need to consult with the department chair to make sure that the auditor would not be penalized financially for being removed from the class. The students do not have the same standing but because they pay for the course, when they are removed special care has to be taken to make sure they are not unfairly charged.

Professor Lubawy said that he would like to have an explanation of why the clause was added in 5.1.5.

Dr. Dahl said that in the discussion the idea was to find the appropriate balance. There was a specification from the regular type as opposed to the bold face that the auditor is expected to attend 80% of the time. The concern was that in courses where attendance is not mandatory or required it would all of a sudden

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put an additional burden on the faculty member to begin taking attendance in order to monitor the auditors. He feels the reason this was added was to make clear that if attendance is not already a factor this is not making it a factor.

Professor Don Leigh (Engineering) asked that if taking attendance wasn't really an optional matter for the faculty. If the faculty member doesn't want to keep track of the auditors he doesn't have to. He feels that clause should be removed also.

A motion was made to amend the motion by striking the clause if attendance is required. The motion carried to strike the clause.

The proposal as amended passed with a unanimous voice vote and reads as follows:

Background:

The ad hoc Committee to Review Section V of the Senate Rules, chaired by Professor David Durant, has proposed several changes to the Senate Rules. A portion of these proposed changes are offered for consideration by the University Senate at this time. Additional proposals will be offered at a later date. The Senate Council recommends approval of these changes.

Proposals: (add sections in bold and underlined; delete strike-overs)

5.1.0 GRADES AND MARKING SYSTEMS

5.1.1 THE MARKING SYSTEM

The marking system (except for Colleges of Law, Medicine, Dentistry, Architecture, the Landscape Architecture Program, and Fine Arts).
Results of work will be recorded in the Registrar's Office as follows:

...

AU Represents a completion of a course attended on an audit basis. It is valued at zero (0) grade points and zero (0) credit hours.

Rationale: Add grade AU, so that all available grades will be here described.

...

F Represents failure in a course taken on a Pass-Fail basis. It is valued at zero (0) grade points and zero (0) credit hours.

Rationale: For consistency, change the definition of F by adding the final sentence.

...

P Represents a passing grade in a course taken on a Pass-Fail basis. It may also be assigned by the University Appeals Board in cases involving a violation of student academic rights. Credit hours successfully completed under this grade will count towards graduation but will not be used in calculating grade point averages. (See Section V, 5.1.4 and Section VI, 4.5.1)

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Rationale: For consistency, change the definition of P by adding the second sentence.

N Represents a temporary grade to be submitted for students who have been entered by the Registrar into official class rolls, but have never attended class and who have not officially withdrawn. The Registrar shall remove their names from the official class roll and the student's enrollment in the class shall not be recorded in the student's official academic record. (As a temporary mark, "N" carries no credit hours or grade points.)

Rationale: Add grade N so that all available grades will be here described.

5.1.2 EXCEPTIONS TO THE UNIVERSITY MARKING SYSTEM

...

5.1.2.4 College of Architecture (5/2/78), The College of Agriculture: Landscape Architecture (US: 10/11/82), and the College of Fine Arts (4/23/90) include the use of plus-minus symbols. The following grades are given with the respective quality point value indicated.

		B+	3.3	C+	2.3	D+	1.3	E	0
A	4.0	B	3.0	C	2.0	D	1.0		
A-	3.7	B-	2.7	C-	1.7	D-	0.7		

The use of the plus-minus system does not change any college or university grade point average requirements, nor the method by which grade point averages are computed, nor the interpretations of other grades awarded, such as F, I, P, W, & S.

For all studio work in the College of Architecture, the minimum passing grade from level to level in the studio sequence shall be a grade of "C".

The Landscape Architecture program plans to continue the policy that a student must achieve a "C" grade or better in major design studios in order to advance to the next level.

In the College of Fine Arts, this grading system does not change any College or departmental requirements with regard to minimum grade point averages required in specific courses or in specific programs of study.

The grading system below does not affect the use of interpretation of the other letter grades included in the University Marking System (i.e., F;I;P; and S), nor will it change the present College requirement that in all studio work in Architecture the minimum passing grade from level to level in the studio sequence shall be a grade of "C." (US:5/2/78)

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5.1.2.15 Οδηγός/οφ/Αgriculture/Λandscape/Architecture
Τhe/marking/system/will/include/the/use/of/units/symbols/signals/to
those used by the Colleges of Architecture and Law. The numerical
equivalents will be:

//////B+/3.3////C+/2.3////D+/1.3////E//0
A//4.0////B//3.0////C//2.0////D//1.0
A+/3.7////B+/2.7////C+/1.7////D+/0.7

Τhe/Landscape/Architecture/program/plans/to/continue/the/policy/that/a
student must achieve a "C" grade or better in major design studios in
order to advance to the next level.

Τhis/proposal/does/not/affect/the/interpretation/of/other/grades/awarded
such as F,I,P,W, and S. (US:10/11/82)

5.1.2.16 Οδηγός/οφ/Πine/Arts/US/4/23/90
Τhe/grading/system/will/not/affect/the/interpretation/of/other
letter grades included in the University Marking System such as F, I, P,
W, and S. Nor does it change any College or departmental requirements
with regard to minimum grade point averages required in specific courses
or in specific programs of study.

//////B+/3.3////C+/2.3////D+/1.3////E//0
A//4.0////B//3.0////C//2.0////D//1.0
A+/3.7////B+/2.7////C+/1.7////D+/0.7

Rationale: To consolidate the marking systems of three Colleges. Renumber accordingly.

5.1.5 AUDIT

Students who register for an audit do so for reasons other than fulfilling explicit requirements. They must come to individual agreements with the instructor as to what responsibilities they will be expected to perform. Normally, students who audit would be expected to do the readings and attend class; they may be required to enter more fully into the class work. In any case, they will receive no credit hours or grades. Any change from audit to credit or credit to audit by a student regularly enrolled in a college must be accomplished by the last date to drop a course without a grade in any given term. No credit can be given for a class audited nor is a student permitted to take an examination for credit, except for the special examinations described in 5.2.1.2. A student who initially enrolls in a class as an auditor must attend at least 80% of the classes in the course (excluding excused absences). If a student changes her or his enrollment from credit to audit, s/he must attend at least 80% of the remaining classes (excluding excused absences). If an auditor fails to attend the requisite number of classes, the instructor may request that the Dean of the instructor's college award the grade of W for that course and the Dean shall report the grade to the Registrar. No instructor is authorized to admit anyone as an auditor to any of his/her classes unless the auditor has registered as such. (US:10/11/76; US: 12/10/90)

Minutes, University Senate, September 20, 1993

Rationale: Add the beginning of the section to define. Add the attendance phrase so that auditors do not change the nature of the course by their presence. Add the exception clause to the end of the second sentence to make the policy explicit.

5.1.6 REPORTING FINAL GRADES

The final grades shall be filed with the Registrar's Office within 72 hours of the scheduled final examination but in no case later than the date announced in the official University Calendar, except that grades and credit obtained by special examination shall be reported in accordance with the Rules of the University Senate governing special examinations. (See Section II, 2.1.0 and Section V., 5.2.1.2.)

Rationale: To make explicit present practice.

5.1.8 WITHDRAWAL: GRADES FOR STUDENTS WHO WITHDRAW OR ARE DROPPED

5.1.8.1 Students who miss the first two class periods of a course without notifying the department of their intention to attend may be reported by the department to the dean who shall drop the students from the course and notify the Registrar that the student has been removed from the class roll. The Registrar will inform such students that they have been dropped. The students will have no record of the class appear on their transcripts. (US:12/12/77)

Rationale: To ensure that students are informed and to make explicit the consequences.

5.2.1.2 Credit by Special Examination

...

4. The examiner shall inform the Registrar of the student's grade in the course. A student currently enrolled in the class who successfully completes a special examination shall be formally removed from the official roll by the Registrar, unless the student is dissatisfied with the results, in which case he/she may continue in the course and be graded in the usual manner. The instructor then may or may not include the results of the special examination in computing the final grade.

Rationale: To make explicit the practice.

Minutes, University Senate, September 20, 1993

Renumber:

5.2.1.5 Credit for Students Who Withdraw to Enter Military Service
to:

5.1.8 WITHDRAWAL: GRADES FOR STUDENTS WHO WITHDRAW OR ARE DROPPED

...

5.1.8.4 Credit for Students Who Withdraw to Enter Military Service

Students who, after completing the eighth week and before completing the twelfth week of the semester, withdraw --and within ten (10) days enter the Armed Services of the United States, --after completing the twelfth week of the normal semester, the third week of the four week summer semester, or the sixth week of the 8 week summer semester, or later, shall be entitled shall be entitled to receive full credit and residence for the one-half of each course in which they are passing at the time of withdrawal. The grade reported shall be that attained in the course up to the time of withdrawal. Where such withdrawal occurs upon completion of the twelfth week of the semester, or later, such students shall be entitled to receive full credit and residence under like conditions. For the eight-week Summer Session the minimum attendance requirements shall be four and six weeks respectively. If, with the credit and residence time granted, the student has fulfilled all requirements for a degree, the student shall be recommended for that degree by the Senate of the University. If a comprehensive course examination is required for graduation, this requirement shall be waived. (See Section 111/11/81)

Rationale: Renumber to make it easier to find. Revise by deleting the provisions which allow half credit with grades. Such half credit simply complicates fulfillment of requirements without really help the students.

5.3.1.1 Repeat Option (US: 11/14/83; US: 4/13/87; US: 11/14/88; US: 4/23/90)

A student shall have the option to repeat once as many as three different courses which have been completed with only the grade, credit hours and quality points for the second completion used in computing the student's academic standing and credit for graduation. The limit of three repeat options holds for a student's entire undergraduate career, no matter how many degrees or programs are attempted. A student also may use the repeat option when retaking a course on a Pass-Fail basis (provided the course meets the requirements for being taken Pass-Fail), even though the course was originally taken for a letter grade. If a failing grade (F) is earned on the second attempt, the original grade will continue to be used in calculating the grade point average and the second attempt shall constitute exhaustion of one of the student's three repeat options under this provision.

Rationale: To make explicit present practice.

Minutes, University Senate, September 20, 1993

Implementation Date: Spring Semester, 1994

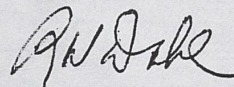
Note: If approved, the proposed changes will be submitted to the Rules Committee for codification.

Professor Fulks then recognized Professor Bosomworth and introduced his co-chairs for the United Way, Paula Pope with the University Libraries and Jon Zachem from the University Development Office.

Dr. Bosomworth said that they had come before the faculty this year because in the prior year they had some difficulty in that some departments elected not to participate in the United Way. They understand fully the reason for that and hope that it does not happen again this year. There is new leadership in the central Kentucky area and the National level. There is an entirely new Board of Trustees. The method of operation, the tone, and the intent are back on course. He hopes that everyone will give it a fair shot, for themselves and for their colleagues.

The University of Kentucky United Way Video was then shown to the Senate.

The meeting adjourned at 4:35 p.m.



Randall W. Dahl
Secretary, University Senate

ADDRESS TO THE
UNIVERSITY SENATE
SEPTEMBER 20, 1993

I want to begin my comments by first thanking each of you for your dedication, your commitment and your hard work this past year. It has not been easy to exist under the fiscal sword of Damocles poised above our University.

You have had several communications from me during the year to keep you apprised of activities and issues that affect you, either directly or indirectly. I believe the better we are informed the better we understand, and though there may be things we do not like, as reasonable and intelligent people we tend to accept much better those things we understand whether we agree with them or not.

Your hard work has been effective and has truly made a difference in this University. I will highlight some areas where a difference has been made and for which you should be very proud.

It is, also, important that I share with you some concerns that I perceive that are evident and which we must consider seriously as we go about the business of our responsibilities in higher education.

We must continue to recognize that American higher education in general, and research universities in particular, are still under attack. In spite of the criticism and the growing cost of higher education more students are attending college. Our own enrollment figures reflect this. For the fall semester we have a record enrollment at Lexington and the 14 community college campuses. The preliminary enrollment figure for the University for this fall is 72,600 compared with 1992's actual enrollment of 72,243. Our 1993 enrollment for first or beginning level students in Lexington climbed from a total of 2,567 in 1992 to an estimated 2,675, a 4.2 percent increase. The quality of this class continues to climb. (Slide 1 on ACT and GPA) The average ACT scores of these beginning or first level entering students rose to 24.6, compared with 24.3 in 1992. The grade point average of these incoming students stands at 3.34, compared with last year's 3.29. You can see from the slide that from 1989-1993 both the Average ACT score and GPA have steadily increased. (Slide 2 on entering students) The University System enrolled a record 67 National Merit Scholars, 125 Governor's Scholars, 94 high school valedictorians and 47 salutatorians. Approximately 200 African-American students are members of these first level students bringing to a total of about 1,050 the number of African-American students on our campus here in Lexington. Graduate school enrollment has reached another record high increasing about 2 percent to some 5,200 students. The achievements have to be attributed to the work of many. Be assured, however, if this institution was not viewed as a quality one, there is no way that we could attract many of these students. Students are able to get a quality education in an institution that cares about its students, has a first-rate faculty and that sees itself as a student centered university.

Accessibility to higher education continues to be an important goal of this University for students in Kentucky. For decades public institutions of higher education have proved to be extraordinarily effective instruments of egalitarian principles, making higher education affordable for legions of

capable young men and women whose families could not pay the full cost of college. Yet the pursuit of that noble goal places our nation's colleges and universities increasingly at risk, cutting into resources for faculty salaries, campus improvements, libraries, and other needs essential to continue institutional vitality, financial stability--and in some cases, even survival. (Barton Gillet Letter, 1993)

We must continue to find ways to assure that more of Kentucky's population enroll in and complete higher education. An educated populace is vital to the economic fiber of this state. We cannot attract and keep corporations and industries if our population is ill-prepared to function in a modern day world.

The economic situation in which our State and others find themselves and the competing needs for funds from other worthwhile social programs result in the under funding of higher education. Some are beginning to regard higher education as a mature industry and monies accorded to it have become a prime source of flexible funds to redirect to other areas that must be funded. We have become state assisted rather than state supported institutions.

Let me emphasize that the under funding of higher education is not taking place because the Governor or the legislators are against higher education. They support higher education and recognize its value, particularly in terms of economic development for the State. (We owe a debt of gratitude to the Governor and his staff, to Senator Maloney and Representative Long for the leadership they took in assuring that higher education not receive yet another budget cut this year.)

Competing needs of the State are crowding higher education off the top of the agenda for funding. Shrinking budgets, increasing demands of accountability and critical public opinion are concerns with which we must grapple.

Advocates for higher education continue to defend us against charges of being privileged havens of waste. There is still the belief among many that institutions of higher education in this country are the envy of the world. Story after story can be told in this country about how men and women from all class levels, all ethnic and racial groups have been able to get college degrees and become productive citizens. The combination of the quality of education, diversity and access that an American higher education provides is still a dream in many countries in the world.

This, however, does not minimize the attack from the left and from the right. On the left we are accused of being elitist and spending too much time on research and neglecting our teaching responsibilities, and engaging in programs and activities that have little direct relevance to society's needs. On the right we are being accused of being obsessed with political correctness.

(P A U S E)

I am proud to report to those of you who make up the work force and student body of this institution that no one can accuse you of not having a record of high productivity. The final portion of funding for the Development of the Advanced Science and Technology Commercialization Center (ASTeCC) 4.2 million dollars has been received, and the first phases of this major University of

Kentucky project will be completed in 1994. The Consortium for Fossil Fuel Liquefaction Science (CFFLS) recently signed a new five year cooperative agreement with the U. S. Department of Energy (DoE). The agreement estimates the cost of the program during the next five years at more than \$19 million, with DoE providing \$9.73 million and the five CFFLS universities, UK, Auburn, University of Pittsburg, Utah and West Virginia University) providing \$9.3 million.

A five year review of awards for this University, as indicated in this slide, shows that in 1989 we received \$57.4 million, 1990 \$67.0 million, 1991 \$69.7 million, 1992 \$92.2 million and for 1993 \$95.4 million. The next slide reflects the areas from which these funds have come. During the past fiscal year UK faculty and staff have submitted 1,623 proposals for extramural funding, a 17 percent increase over the previous year's submission. Awards by area through June 30, 1993 are indicated in the slide.

One of my greatest hopes is that we continue to be flexible, and that we adapt and adjust to change. I realize that change is hard and that some do not want to change. They feel that history has shown that economic decline and diminishing public support are simple events, and given time, will go away. I believe that we cannot feel secure in our claim to public support and trust. We must be vigilant and continue to demonstrate that we are responsible and that higher education is making a valuable contribution to this State, nation and the world. As the only statewide comprehensive University in Kentucky, we must assume a unique leadership role in the State. Each of us must continue to exert a leadership role in addressing the issues and challenges facing the Commonwealth, the nation and the world.

We cannot pretend that demographics are not changing. The enrollment of women in post secondary education in this nation from 1980-1990 surpassed that of men. In our own state, according to recent data in the Chronicle on Higher Education, 58% of those enrolled in universities are women. Minorities are increasing in the nation. Both women and minorities will be needed to help us strengthen our commitment to scholarship and academic excellence.

Minority and women faculty hired in the last three years, and promotions of minorities and women during that time reflect that we are sensitive to the changing demographics and are making progress.

We are making every effort to improve our services through changes in how we handle our precious Human Resources. As we seek to eliminate barriers for women and minorities, we identify how to serve all of our employees even better.

In response to the recommendations of the ad hoc committees on women and minorities, the Equal Opportunity office is preparing reports for the Equal Opportunity Panel. These reports will provide information on new faculty and promotions of faculty and staff. The Equal Opportunity Panel has been charged with continuing to monitor activities in furtherance of the recommendations of those previous ad hoc committees.

By combining libraries, communications and network systems, computing services and publishing services, we have created a force that works together to bring faculty, staff and students the most current technology in information

science. Our library system is the centerpiece of our information systems and the master of managing and sharing information. That is one reason the University has undertaken the effort to build a new Central and Life Sciences Library. We expect the new building to be under construction by summer of 1994. The entire campus community contributed to the library fund raising campaign, demonstrating your support for the importance of this academic priority for the institution.

This year the Association of Research Libraries ranked the University of Kentucky Library 34th of the 108 research libraries in North America. This indicates the quality and standing of the University's libraries not only among its benchmarks, but among all research institutions in the United States and Canada. The library continues to develop new ways of providing faculty and students with access to the information they need.

There was an overwhelming positive response to opening the library 24 hours per day during the fall and spring semesters. Over 30,000 patrons used the library between midnight and seven a.m. during these past two semesters. Along with traditional library materials, access to the P.C. Computer labs was, also, expanded to 24 hours in the MI King Library and in the Commons and Boyd residence halls.

There is much to share about information systems. New labs opened for students. We now have two Faculty Academic Computing and Technology Support Centers. Our enhancement of research computing by the installation of a scalable parallel computer system, the Metasystem running on UNIX is a plus for the research faculty. This system will provide three times the scientific computing power of the present system and will provide mass data storage services for scientific research. Distance learning has, also, been enhanced. The UK interactive compressed video network is now linked to the State Government and Murray State University compressed video networks.

When money is tight and understanding of exactly what we do is not clear, we must not expect to be immune from criticism. We must continue to be alert and prepared to explain what we do when queries come. There is no question that we must continue to look for ways to restructure ourselves in response to the economic pressures, laws imposed on higher education and the changing needs of those we are responsible for serving. We must become more innovative in how we meet the mission of instruction, research, and service. We must continue to consider and adopt new techniques for administering our institution and delivering educational services.

There are a multitude of issues which I could address today. I have not mentioned the Commission on Higher Education appointed by the Governor. I have not mentioned the splendid work done by the Committee headed by Dr. Ulack in delineating evaluation criteria for administrators of educational units. Nor have I mentioned the new Family and Medical Leave Act. I promise to keep you informed both directly and through the Chancellors and Vice Presidents.

Tomorrow the biennial budget will be presented to the Board of Trustees. It is based on our strategic plan. Once the Board approves the budget request it will be submitted to the Council on Higher Education and Governor. This budget request indicates our priorities for the next two years. I will ask Chancellors and Vice Presidents to keep you informed about the budget request and strategic plan.

I have tried to keep you abreast of what we did with our restructuring efforts. We will continue to streamline our administrative structures, examine our offerings, review our courses, accelerate time toward completion of degrees by our students and make the best use of our physical resources. Our strategic plan clearly delineates our goals and the strategic indicators which will help us demonstrate our accountability to our Board and to the public.

My goal, with your help and that of our faculty and staff, is to lead this University in a responsible manner and not restructure to the point that we cannot maintain a student-oriented environment that assures that students have the opportunities to reach the goals for which they came to this University.

There is no question that we can operate the University with fewer faculty and staff, we can cut back on all kinds of things in the University, we can teach larger classes, we can reduce some of our centers and institutes and we won't vanish. The buildings will be sitting here, but will we have quality and accessibility?

It is clear from the enrollment figures I cited earlier that students want to come to this University. It is, according to Money Magazine, one of the best buys in higher education in terms of quality and the cost. We must continue to provide quality educational opportunities for these students. We must continue to implement research and provide relevant service to the state and the nation. My goal is to provide you quality management and leadership to help us achieve the goals set forth in our strategic plan. I need your help to help maintain the quality of this University. We must continue to strive to make this institution better and better. We must keep making progress in building the quality of this great University.

BIRDWHISTELL, TERRY L
SPECIAL COLLECTIONS-M I KING LIBR
112-KING LIBRARY ANNEX
2 0224



University Registrar

Funkhouser Building
Lexington, Kentucky 40506-0054
FAX: 606-257-7160

September 30, 1993

Professor John J. Picoro, Jr.
College of Pharmacy
Room 226 Pharmacy Building
Campus 0082

Dear Professor Picoro:

At the meeting of the University Senate on September 20, 1993, Professor Raymond Cox, College of Arts and Sciences, read the enclosed Special Resolution. Professor Cox requested that the Resolution be made a part of the minutes of that meeting and that a copy be sent to you.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Randall W. Dahl', written over a horizontal line.

Randall W. Dahl
University Registrar and
Secretary, University Senate

c

Enclosure

cc: Daniel L. Fulks, Chairperson
Senate Council

SPECIAL RESOLUTION
1992-1993 SENATE COUNCIL CHAIR
September 20, 1993

Traditionally, at the first meeting of the University Senate in the Fall, we recognize the leadership and effort of our past year's chair. This resolution is offered to thank and commend John J. Piecoro, Jr. for his dedicated and energetic leadership as presiding officer of the University Senate and Chair of the Senate Council.

John's tenure began in May of 1992 at a time when we were winding up our internal self-study and preparing for the Fall 1993 site visit of the Southern Association's evaluation team. Following this visit, the campus became involved with restructuring, budget cuts, realignments, and related matters. Also we were dealing with Honor Codes presented by Law, Medicine, and Pharmacy. All in all, it was a very busy year and one that required steady, even handed and intelligent leadership -- all of which John provided -- and with good humor as well.

John Piecoro showed an exceptional ability to work with faculty, administration and staff on matters involving serious controversy and reach accommodations and agreements that left all parties satisfied that their cases had been heard, understood, and seriously considered and that fair resolutions had been reached. He was also quite effective in communicating the faculty and Senate's concerns to the administration and making the entire institution a more open place.

We all are in debt to John for these efforts. He brought to us a positive attitude, an irrepressible humor, and the ability to see the bright side of every issue while, at the same time, recognizing the possible pitfalls.

John, please accept the sincere thanks of the Senate Council and the entire Senate for your leadership, your hard work, and your dedication to the University of Kentucky. We have benefitted from it, we are better for it, and we sincerely appreciate it. Thank you very much.



University Registrar

Funkhouser Building
Lexington, Kentucky 40506-0054
FAX: 606-257-7160

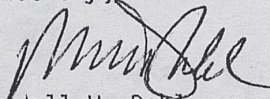
September 30, 1993

Professor Carolyn Bratt
College of Law
54 Law Building
Campus 0048

Dear Professor Bratt:

At the meeting of the University Senate on September 20, 1993, Professor Deborah Powell, College of Medicine, read the enclosed Special Resolution. Professor Powell requested that the Resolution be made a part of the minutes of that meeting and that a copy be sent to you.

Sincerely,



Randall W. Dahl
University Registrar and
Secretary, University Senate

c

Enclosure

cc: Daniel L. Fulks, Chairperson
Senate Council

SPECIAL RESOLUTION
1990 - 1993 FACULTY BOARD OF TRUSTEES MEMBER
September 20, 1993

Presented to the University of Kentucky Faculty Senate in appreciation for the service of Professor Carolyn Bratt, Faculty Representative, University of Kentucky Board of Trustees, July 1, 1990 to June 30, 1993.

I believe that I speak for the faculty of the University of Kentucky in presenting this resolution of thanks and appreciation to Professor Carolyn Bratt, the W. L. Matthew Professor of Law for her service to us and to our University.

Professor Bratt has served our University and its faculty and students in many arenas during her 18 years on this campus.

Carolyn joined the University of Kentucky College of Law faculty in 1975. From 1984 to 1989, she was the Alumni Professor of Law and in 1989 was appointed as the W.L. Matthews Professor of Law. She has been recognized since early in her career as a skilled and dedicated teacher. She received the College of Law Outstanding Teacher awards in 1977 and 1979, the UK Alumni Association Great Teacher Award in 1985, the Faculty Advisor Excellence Award of the UK Student Organizations Assembly in 1989, and the Duncan Award for Excellence in Teaching from the UK College of Law in 1990. She is recognized by her peers locally and nationally as a leader in her profession serving as Special Justice to the Kentucky Supreme Court and receiving the Cassis Award for Excellence in legal Research and Scholarship from the UK College of Law in 1989. She has also received numerous awards for her public service from local, state, and national groups to which she has willingly given her time, energy and incisive intellect.

Some of Carolyn's greatest contributions, however, have been to her faculty colleagues at the University of Kentucky. She has served us faithfully, with forthrightness, integrity, and with deep caring and concern for our University in the highest areas of elective and appointed responsibility for a faculty member. She has served as the Chair of the University Senate Ad Hoc Committee on the Status of Women and co-authored its landmark report. She was a faculty representative to the 1990 Presidential Search Committee, and has served as an elected member of the University Faculty Senate, the Senate Council, and, from 1990-91 as Chair of the University Senate Council. In 1990 she was elected faculty representative to the University of Kentucky Board of Trustees. In June of this year, she concluded her term as a Board member.

During the past year Carolyn has been the recipient of an American Council of Education ACE Fellowship, designed to introduce faculty to academic administration. I am certain that as Carolyn makes the transition into academic administration she will take with her an understanding and appreciation for the concerns and hopes of faculty and students whom she has served so tirelessly and sometimes at great personal cost. The faculty of the University of Kentucky are grateful to this outstanding woman for her service to us, and for myself and on their behalf, I would like to thank her for her work. I hope that my fellow members of this Senate will join me in recognizing her service.

Mr. Chairman, I move that this resolution be spread upon the minutes of the Senate and that a copy be sent to Professor Bratt.

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506-0032

UNIVERSITY SENATE COUNCIL
10 ADMINISTRATION BUILDING

3 September 1993

TO: Members, University Senate

FROM: University Senate Council

RE: AGENDA ITEM: University Senate Meeting, Monday, September 20, 1993. Recommendation to the President to amend the Administrative Regulations, AR II - 1.0 - 1, p. III-2.

Proposal:

WHEREAS we the faculty of the University of Kentucky believe all faculty, regardless of rank, are entitled to the identical due process as regards consideration for promotion; and

WHEREAS we further believe that all faculty, regardless of rank, are entitled to the identical and appropriate appeals avenues; and

WHEREAS the current Administrative Regulations of the University deny equal consideration and appeal opportunity to faculty at the Assistant Professor level; and

WHEREAS the University's Administrative Regulations concerning promotion at the Assistant Professor level should be consistent with those at the Associate Professor level; and

WHEREAS to ensure review by the appropriate Academic Area Advisory Committee, to which faculty members are entitled;

NOW THEREFORE BE IT RESOLVED THAT THE UNIVERSITY ADMINISTRATIVE REGULATIONS SECTION II-1.0 BE AMENDED AS FOLLOWS:

If the dean makes a decision not to recommend the faculty member for promotion and tenure, the dean shall notify the individual of this action in writing, normally by February 1 or five months before the end of the sixth or the next-to-last year of the individual's probationary period. The faculty member may then request in writing to the dean that his or her promotion dossier be forwarded to the appropriate chancellor or vice president. Upon receiving such a request, the dean will forward the dossier, with his or her recommendation against promotion, to the chancellor or vice president. After examining the dossier for completeness, the chancellor or vice president shall submit the dossier to the relevant Area Committee for review. Upon completion of the review, the Area Committee shall recommend to the chancellor or vice president in the usual manner.

Background and Rationale:

Similar requests for this proposed change in the Administrative Regulations have been forwarded to the President's Office on at least two occasions in recent history. In January of 1990 a proposal was submitted by the Senate Council. This was followed by a request from the Senate Advisory Committee on Privilege and Tenure as a part of its annual report in August of 1992. Both requests were rejected by President Wethington on the grounds that (1) "the Dean of a college should have a central role in decision making at critical points in the development of college programs" and (2) it is now feasible for an assistant professor to appeal a decision to the Chancellor or Vice President, the Senate Advisory Committee on Privilege and Tenure, and the President.

Nonetheless, the Advisory Committee on Privilege and Tenure strongly believes that the right of review at the Chancellor and Area Committee level should be extended to assistant professors. The proposed change in Administrative Regulations would ensure that faculty at this critical juncture would have access to a review by the broadest possible body, the same right that is now afforded tenured faculty members seeking promotion. While faculty denied promotion and tenure at the college level can indeed avail themselves of an appeal process, the procedures are time-consuming and often inadequately understood by faculty. Further, appeals can frequently result in adversarial and acrimonious working relationships. Ironically, a non-tenured faculty member currently has the right to appeal a dean's favorable decision but not a denial. Experiences of the P&T Advisory Committee have consistently reaffirmed the need for this revision over recent years.

Note: If endorsed, the proposal will be forwarded to the President for consideration.

6345C

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506-0032

UNIVERSITY SENATE COUNCIL
10 ADMINISTRATION BUILDING

September 7, 1993

TO: Members, University Senate

FROM: University Senate Council

RE: AGENDA ITEM: University Senate meeting, Monday, September 20, 1993. Proposed changes to University Senate Rules, Section V, Attending the University.

The ad hoc Committee to Review Section V of the Senate Rules, chaired by Professor David Durant, has proposed several changes to the Senate Rules. A portion of these proposed changes are offered for consideration by the University Senate at this time. Additional proposals will be offered at a later date. The Senate Council recommends approval of these changes.

Proposal

Cindy -- Can we just incorporate the committee's wording here, or should we reproduce the wording of the SR's as they now exist? For clarity, we will probably have to reproduce current wording for some but not others.

I suggest offering the following:

the first four proposed changes (Sec. 5.1.1)

the four changes to Sec. 5.1.2.1

the three changes to Sec. 5.1.5

5.1.6

5.1.8.1

5.2.1.2

the renumbering of 5.2.1.5 as 5.1.8.4

new 5.1.8.4

two changes to 5.3.1.1

Let's see if that's either enough or too much for one bite.

6344C

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506-0032

UNIVERSITY SENATE COUNCIL
10 ADMINISTRATION BUILDING

September 7, 1993

TO: Members, University Senate
FROM: University Senate Council
RE: AGENDA ITEM: University Senate meeting, Monday, September 20, 1993. Proposed changes to University Senate Rules, Section V, Attending the University.

Background:

The ad hoc Committee to Review Section V of the Senate Rules, chaired by Professor David Durant, has proposed several changes to the Senate Rules. A portion of these proposed changes are offered for consideration by the University Senate at this time. Additional proposals will be offered at a later date. The Senate Council recommends approval of these changes.

Proposals: (add sections in bold and underlined; delete strike-overs)

5.1.0 GRADES AND MARKING SYSTEMS

5.1.1 THE MARKING SYSTEM

The marking system (except for Colleges of Law, Medicine, Dentistry, Architecture, the Landscape Architecture Program, and Fine Arts). Results of work will be recorded in the Registrar's Office as follows:

...

AU Represents a successful completion of a course taken for audit. It is valued at zero (0) grade points and zero (0) credit hours.

Rationale: Add grade AU, so that all available grades will be here described.

...

F Represents failure in a course taken on a Pass-Fail basis. **It is valued at zero (0) grade points and zero (0) credit hours.**

Rationale: For consistency, change the definition of F by adding the final sentence.

...

P Represents a passing grade in a course taken on a Pass-Fail basis. It may also be assigned by the University Appeals Board in cases involving a violation of student academic rights. Credit hours successfully completed under this grade will count towards graduation but will not be used in calculating grade point averages. (See Section V, 5.1.4 and Section VI, 4.5.1)

Rationale: For consistency, change the definition of P by adding the second sentence.

N Represents a temporary grade to be submitted for students who have been entered by the Registrar into official class rolls, but have never attended class and who have not officially withdrawn. The Registrar shall remove their names from the official class roll and the student's enrollment in the class shall not be recorded in the student's official academic record. (As a temporary mark, "N" carries no credit hours or grade points.)

Rationale: Add grade N so that all available grades will be here described.

5.1.2 EXCEPTIONS TO THE UNIVERSITY MARKING SYSTEM

...

5.1.2.4 College of Architecture (5/2/78), The College of Agriculture: Landscape Architecture (US: 10/11/82), and the College of Fine Arts (4/23/90) include the use of plus-minus symbols. The following grades are given with the respective quality point value indicated.

		B+	3.3	C+	2.3	D+	1.3	E	0
A	4.0	B	3.0	C	2.0	D	1.0		
A-	3.7	B-	2.7	C-	1.7	D-	0.7		

The use of the plus-minus system does not change any college or university grade point average requirements, nor the method by which grade point averages are computed, nor the interpretations of other grades awarded, such as F, I, P, W, & S.

For all studio work in the College of Architecture, the minimum passing grade from level to level in the studio sequence shall be a grade of "C".

5.1.5 AUDIT

Students who register for an audit do so for reasons other than fulfilling explicit requirements. They must come to individual agreements with the instructor as to what responsibilities they will be expected to perform. Normally, students who audit would be expected to do the readings and attend class; they may be required to enter more fully into the class work. In any case, they will receive no credit hours or grades. Any change from audit to credit or credit to audit by a student regularly enrolled in a college must be accomplished by the last date to drop a course without a grade in any given term. No credit can be given for a class audited nor is a student permitted to take an examination for credit, except for the special examinations described in 5.2.1.2. A student who initially enrolls in a class as an auditor must attend at least 80% of the classes in the course (excluding excused absences) if attendance is required in the course. If a student changes her or his enrollment from credit to audit, s/he must attend at least 80% of the remaining classes (excluding excused absences) if attendance is required in the course. If an auditor fails to attend the requisite number of classes, the instructor may request that the Dean of the instructor's college award the grade of W for that course and the Dean shall report the grade to the Registrar. No instructor is authorized to admit anyone as an auditor to any of his/her classes unless the auditor has registered as such. (US:10/11/76; US: 12/10/90)

Rationale: Add the beginning of the section to define. Add the attendance phrase so that auditors do not change the nature of the course by their presence. Add the exception clause to the end of the second sentence to make the policy explicit.

5.1.6 REPORTING FINAL GRADES

The final grades shall be filed with the Registrar's Office within 72 hours of the scheduled final examination but in no case later than the date announced in the official University Calendar, except that grades and credit obtained by special examination shall be reported in accordance with the Rules of the University Senate governing special examinations. (See Section II, 2.1.0 and Section V., 5.2.1.2.)

Rationale: To make explicit present practice.

5.1.8 WITHDRAWAL: GRADES FOR STUDENTS WHO WITHDRAW OR ARE DROPPED

- 5.1.8.1 Students who miss the first two class periods of a course without notifying the department of their intention to attend may be reported by the department to the dean who shall drop the students from the course and notify the Registrar that the student has been removed from the class roll. **The Registrar will inform such students that they have been dropped. The students will have no record of the class appear on their transcripts.** (US:12/12/77)

Rationale: To ensure that students are informed and to make explicit the consequences.

5.2.1.2 Credit by Special Examination

...

4. The examiner shall inform the Registrar of the student's grade in the course. A student currently enrolled in the class who successfully completes a special examination shall be formally removed from the official roll **by the Registrar**, unless the student is dissatisfied with the results, in which case he/she may continue in the course and be graded in the usual manner. The instructor then may or may not include the results of the special examination in computing the final grade.

Rationale: To make explicit the practice.

Renumber:

5.2.1.5 Credit for Students Who Withdraw to Enter Military Service
to:

5.1.8 WITHDRAWAL: GRADES FOR STUDENTS WHO WITHDRAW OR ARE DROPPED

...

- 5.1.8.4 Credit for Students Who Withdraw to Enter Military Service
Students who, *if/ bftkr/ idmpvdtvng/ khé/ lshghth/ wdek/ bhd/ lbfldrd/ éóhpléétnq/ khé/ khélfth/ wkek/ bfl/ lthel/ sdmésvéy/* withdraw --and within ten (10) days enter the Armed Services *óf/ khé/ vhlkéd/ státes/* --**after completing the twelfth week of the normal semester, the third week of the four week summer semester, or the sixth week of the 8 week summer semester, or later, shall be entitled** shall be entitled to receive **full** credit and residence for **the** *óhé/ hálf/ óf/ bakh/* course. *ín/ wkhé/ khéy/ áré/ pásstáhty/ áy/ tshé/ khé/ óf/ wíthdvdádl/* The grade reported

shall be that attained in the course up to the time of withdrawal. *Where/ such/ with/ withdrawal/ credits/ upon/ completion/ of/ the/ withdrawal/ week/ of/ the/ semester/ for/ letter/,/ such/ students/ shall/ be/ entitled/ to/ receive/ full/ credit/ and/ residence/ under/ like/ conditions/ for/ the/ eight-week/ summer/ session/ the/ ninth/ and/ tenth/ weeks/ of/ the/ year/* If, with the credit and residence time granted, the student has fulfilled all requirements for a degree, the student shall be recommended for that degree by the Senate of the University. If a comprehensive examination is required for graduation, this requirement shall be waived. *(See/ Section/ N.I., 5/1/81)*

Rationale: Renumber to make it easier to find. Revise by deleting the provisions which allow half credit with grades. Such half credit simply complicates fulfillment of requirements without really help the students.

5.3.1.1 Repeat Option (US: 11/14/83; US: 4/13/87; US: 11/14/88; US: 4/23/90)

A student shall have the option to repeat once as many as three different courses which have been completed with only the grade, credit hours and quality points for the second completion used in computing the student's academic standing and credit for graduation. **The limit of three repeat options holds for a student's entire undergraduate career, no matter how many degrees or programs are attempted.** A student also may use the repeat option when retaking a course on a Pass-Fail basis (provided the course meets the requirements for being taken Pass-Fail), even though the course was originally taken for a letter grade. If a failing grade (F) is earned on the second attempt, the original grade will continue to be used in calculating the grade point average and the second attempt shall constitute exhaustion of one of the student's three repeat options under this provision.

Rationale: To make explicit present practice.

Implementation Date: Spring Semester, 1994

Note: If approved, the proposed changes will be submitted to the Rules Committee for codification.