

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, January 24, 1989.

The Board of Trustees of the University of Kentucky met at 1 p.m. Lexington time on Tuesday, January 24, 1989 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Oath of Office Administered

Mrs Edythe Jones Hayes, Acting Chairperson, called the meeting to order at 1:06 p.m. and the invocation was pronounced by Mr. Ted Bates.

Mrs. Hayes stated that Mr. Robert McCowan's term on the Board expired. She expressed thanks to him for his support of and interest in the University and for his service on the Board. She wished him continued success and stated that he would be missed.

Mrs. Hayes welcomed the two new members to the Board and requested Mr. John C. Darsie, Legal Counsel, to administer the oath of office to the following:

Mr. Foster Ockerman, Sr., appointed by Governor Wallace G. Wilkinson to replace Robert T. McCowan, for a term expiring December 31, 1992.

Mr. William B. Sturgill, appointed by Governor Wallace G. Wilkinson to replace Henry E. Hershey, for a term expiring May 1, 1992.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mrs. Edythe Jones Hayes (Acting Chairperson), Mr. Ted B. Bates, Professor Raymond F. Betts, Mr. William E. Burnett, Jr., Governor Albert B. Chandler, Professor Mary Sue Coleman, Professor David R. Driscoll, Jr., Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Senator Walter D. Huddleston, Mr. Foster Ockerman, Sr., Dr. Nicholas J. Pisacano, Mr. James A. Rose (James), Mr. James L. Rose (Jim), Mr. Jerome A. Stricker, Mr. William B. Sturgill, Judge Julia K. Tackett, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting was Judge Robert F. Stephens. The University administration was represented by President David P. Roselle; Mr. Edward A. Carter, Vice President for Administration; Chancellors Art Gallaher and Charles T. Wethington; Mr. Thomas W. Samuel, Executive Vice Chancellor for Administration - Medical Center; Dr. Wimberly C. Royster, Vice President for Research and Graduate Studies; Mr. Eugene R. Williams, Vice President for Information Systems; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. Mrs. Hayes reported a quorum present and declared the meeting officially open for the conduct of business at 1:10 p.m.

C. Appointment of Nominating Committee

Mrs. Hayes stated that the Nominating Committee had been asked to make a report. She explained that the Nominating Committee had met; however, a decision was made to not come forth with a recommendation. The Committee is not a standing committee and, therefore, not a continuing committee. It is an "ad hoc committee."

Mrs. Hayes proceeded to appoint a Nominating Committee since the Board did not have a chairperson and vice chairperson. She asked that the Committee bring a slate of officers to the next meeting on March 7. Appointed to the Committee were Senator Huddleston, Mr. Burnett, Professor Coleman, Mr. Jim Rose, Mr. Wilcoxson, Judge Wilhoit, and herself. Senator Huddleston will serve as chairman. She emphasized that this will be an ad hoc committee, and these people will serve from now until the annual meeting, when the selection of officers takes place in September. She explained that she added one new member to the previously appointed Nominating Committee because it was an even number. The Committee should consist of an odd number in case there should be a tie vote.

D. Minutes Approved

On motion made by Mr. Farmer, seconded by Mr. Stricker and passed, the Minutes of the December 13, 1988 meeting of the Board of Trustees were approved as written.

Mr. Stricker inquired about committee appointments. He stated that the membership on the Investment Committee was down to four members. President Roselle reported that Mr. McCowan was in the process of revising the committee at the time he was replaced on the Board. The Committee will be revised immediately following the election of a chairman at the next meeting.

E. President's Report to the Trustees (PR 1)

President Roselle referred to the items in PR 1 calling particular attention to the following:

The Community College fund-raising projects are reaching their goal. The Kentucky General Assembly enacted a stipulation toward state debt service regarding new funding authorized at the last meeting of the legislature. The institution is responsible for coming up with one-half of the first year's debt financing on building projects. Seven colleges in the Community College System are currently raising such monies. The fund-raising has been successful, and the building projects will go forward. This represents a nice testimony to the efforts of the local communities in support of their community colleges.

The College of Education Social Studies Program has been named the 1988 Program of Excellence by the Kentucky Council for the Social Studies and will be listed in the directory of programs published by the National Council for the Social Studies.

The Medical Center and the Shandong Medical University of the People's Republic of China have agreed to establish relations, fostering free communication and exchange of ideas in research, teaching and medical care.

The University of Kentucky has again surpassed its goal for the 1988 United Way campaign by contributing \$348,346 which represents 103.1 percent of the goal. Kris Muller and Ralph Derickson served as 1988 co-chairs.

Dr. Venkateswara Rao Goli and his wife, Hemalata Goli, have established a \$10,000 endowed scholarship fund at Hazard Community College.

The faculty and staff have had some significant activities during the past month. President Roselle stated that he is proud of the faculty and staff for their significant activities, and one of the primary focuses is to do things that will retain the best members of the faculty and staff.

F. Report to National Collegiate Athletics Association

President Roselle stated that there was another item to be brought to the Board's attention -- the University's report to the National Collegiate Athletic Association. He explained that this is a report as it relates to allegations about the basketball program. Persons named in the allegations are now being informed as to the possible content of the replies to the allegations. They are being given the opportunity to see it in near final form and react to it so that the University has the opportunity to make any changes in the response that might be indicated. It is expected that these meetings will have been completed probably very late in the week.

He stated that as soon as the report is available and following submission to the NCAA, but prior to any meeting with the NCAA staff, the administration plans to convene the Board of Trustees and the Athletics Association Board of Directors in Executive Session for a summary review of the report. A reserve copy, as has been the case in the past, will also be available so that members of the Board are able to peruse it, those who wish to review the report in its entirety.

He explained the process by beginning with representation of individuals named in the allegations. It is now the practice of NCAA to issue invitations for such people to appear before the Infractions Committee and to be represented by an attorney. That will be left to the judgment of NCAA as to who it is and as to who is invited.

He emphasized that confidentiality of the report will be observed up to the limits of the law. The administration's only purpose is to help insure fairness to all persons who are named in the allegations. Moreover, it is very necessary at this particular point in time to note the preliminary nature of the allegations. He stated that representatives of the University of Kentucky will meet with NCAA staff on or about March 1 to determine which, if any, of the allegations forwarded are called preliminary by NCAA and will be altered or deleted. Such decisions would be based upon the results of the investigation as submitted to date.

He explained that at the same time the report is submitted to NCAA, of which University of Kentucky is a member institution, it will be submitted to the Southeastern Conference of which the University of Kentucky is also a member institution. The Conference also has a compliance responsibility, and they may make findings based upon the University's response to the NCAA allegations.

President Roselle pointed out that a review of the response by the Board of Trustees is certainly consistent with the Resolution adopted by the Board at its meeting on October 9, 1988. The Board specified a thorough investigation, and it should be an investigation free of influence.

He stated that in summary, the administration is coming down to the reporting time. He expressed appreciation for the Board's support and patience and welcomed their input as the administration works through the remaining steps, the remaining steps relating to resolution of the NCAA allegations about the University of Kentucky's basketball program. The administration is cognizant of the great interest in this matter and its importance to the University of Kentucky.

He assured the members that events have not changed the determination about the ability to do a thorough investigation. The administration intends to defend the program and the personnel as vigorously as possible against any unsubstantiated allegations, any allegations not substantiated by its investigation. The administration will, at the same time, be willing to accept responsibility for any wrongdoings that may be judged to have occurred by the NCAA or the Southeastern Conference. He then asked for any questions or concerns from the Board.

Mr. Farmer made a motion that before the report is sent to the Southeastern Conference and NCAA, the University of Kentucky Board of Trustees's be made aware of the report as an information item in an Executive Session. The motion was seconded by Mr. Wilcoxson.

President Roselle stated that he had no objection to the Board having full information about what is in the report. He reminded the members that the Board Resolution adopted October 9 reads that the report, as submitted, should be free of influence. Consistent with the Board's earlier Resolution on the matter, full information is not a problem. He stated that he is available to discuss the report with members of the Board, individually and otherwise, at any time, and he will be available throughout the remainder of the investigative period.

He explained that although the report is called for on January 30, the investigative period can be extended beyond that date by way of addendum to the report. Concerns of the Board could be reflected by way of addendum to the report as well.

He reiterated that this is a preliminary report and representatives of the University of Kentucky will meet with representatives of NCAA on or about March 1 to decide which of the allegations that were previously reported stand as allegations.

Mrs. Hayes reviewed the motion and asked for a vote.

Governor Chandler asked President Roselle if any effort had been made on the part of the officials of the University to ascertain which of the charges have any foundation and which of the charges are long time. He stated that University officials have been notorious in their failure to defend their own people. He then made reference to the Spivey instance. He indicated that he wanted to find out now if there are allegations that are sensible and just and to what extent the University will undertake to give its people some relief if they are entitled to it.

President Roselle repeated Governor Chandler's questions -- have the allegations been investigated and which of them have validity. He stated that "the answer is yes, they have been." He explained that the meeting on or about March 1 with NCAA is offered by NCAA as a time in which to go over with them which of the allegations they wish to pursue as actual allegations. The allegations at the present time are preliminary by their nature. There has been a considerable attempt to find out which of the allegations have substance to them.

Professor Coleman expressed her concern that she did not want the perception that the Board has influence of the court. She stated that the Board needs to stay away from the report. She did not, however, have any objection to hearing what the report is but did not want to be implicated as having sided with the court. She continued that she had trust in the people doing the investigation.

Mr. Wilcoxson stated that he did not have any intentions of reflecting changing the report. He stated that he only wanted to know the contents of the report. He did not, however, want to be like the mushroom in the cave and be in the dark all the time.

President Roselle reiterated that he intended to call the Board of Trustees and the Athletics Association Board of Directors jointly into Executive Session to hear the report.

Mr. Jim Rose stated that he agreed with Professor Coleman. He expressed that he did not feel that the Board should be meddling in the report. He stated that the report should be free and clear of any comments by the Board. The Board delegated the authority to the administration to respond to the allegations. Once things move further along, the Board will have to give guidance and direction. At this time, however, it would be premature to go in and have any influence on the matter. He stated that he felt that the Board would not have any hesitancy to defend anyone that has had an allegation put against them. If there are some false allegations, the Board should use all of the University's resources to defend those individuals for whatever those allegations may be at the full expense. He emphasized that he felt it might be premature, and that he understood the other side.

Mr. Bates stated that President Roselle's promise of availability to ask questions privately satisfied his desire to know about the report.

Mr. Burnett, being a new Board member, stated that he was not present when the NCAA matter started; however, he was not going to delegate his responsibility for whatever action the Board had to take. He felt comfortable in knowing the contents of the report before an outsider reads it. He stated that he felt it was incumbent upon every member of the Board to know the contents of the report and agree with those contents before the report is publicized.

Mr. Sturgill asked President Roselle about the timeframe in finalizing the report to the NCAA.

President Roselle responded that those things are always a little bit difficult to call because there are a series of events. He stated that the administration is doing its best this week to see that everyone named in an allegation has a complete report as to the tentative response.

Governor Chandler then asked President Roselle if Cliff Hagan was named in that allegation or if there was a charge against him. President Roselle responded "no sir." Governor Chandler commented "that is severe punishment isn't it, the fellow was never charged."

Governor Chandler stated that he thought the NCAA matter had been handled rather badly by the NCAA and expressed his displeasure with them. He reminded the members that he had been through similar situations several times before and all of it was a disaster to the University.

Professor Betts asked what would be achieved by approval of Mr. Farmer's motion that would not be achieved by the Board of Trustees and the Athletics Association Board of Directors in an Executive Session.

Mr. Farmer then asked if the Executive Session would be called prior to the report going to the NCAA or after the report was submitted. He expressed his feeling that it is incumbent on all the Board of Trustees members to be kept apprised unless it is something so atrocious it is unbelievable. He stated that he did not mean to try to influence the report one way or the other unless it is totally unreasonable and that would have to be voted on by the entire Board.

President Roselle reminded the members that he would be available whether or not the motion passes to discuss the report in its entirety or as close to its entirety as he is able. The report is in no way final until the administration has had a chance to react to it. He pointed out that the problem is getting schedules matched up so that everyone mentioned in an allegation has a chance to respond. He then repeated that he would be available at all times.

Mr. Farmer expressed his appreciation to President Roselle for making his time available; however, he stated that his desire was for the Board of Trustees to meet as a group and have an open discussion in order to avoid conflicting stories.

Mrs. Hayes then asked Mr. Darsie, Assistant Secretary, to read the motion.

Senator Huddleston remarked that the only difference between President Roselle's proposal and Mr. Farmer's proposal was timing. He stated that if the timing could be coincided there would not be a need for the resolution.

Mrs. Hayes asked Mr. Farmer if he still felt that his motion was sound and Mr. Farmer indicated that he had not heard anything to the contrary.

President Roselle stated that it is his intention to call the Board of Trustees into Executive Session and give them complete information about the investigation and the responses to the matter. He noted that it was not possible to do that at the present time because the administration is still working through the interviews with the people named. He explained that the judgment is to go ahead and try to make the January 30th date and then make any necessary addendums to the report. An Executive Session would be called as soon as possible at the conclusion of the report so that the Board may: (1) hear the evidence and the allegations, (2) find out which allegations will be negotiated, if any, in the meeting with the NCAA staff, and (3) finalize the report.

Mrs. Hayes then asked Mr. Darsie to read the motion and call the roll. Mr. Darsie read the motion: "that the Board be given the response in Executive Session prior to transmission to the NCAA." Upon a vote being taken on the motion, the result was as follows:

Yeas for the Motion

Professor Betts
Mr. Burnett
Governor Chandler
Mr. Farmer
Mr. Forgy
Senator Huddleston
Mr. Ockerman
Mr. Stricker
Mr. Sturgill
Judge Tackett
Mr. Wilcoxson
Judge Wilhoit

Nays for the Motion

Mr. Bates
Professor Coleman
Professor Driscoll
Dr. Pisacano
Mr. James Rose
Mr. Jim Rose

Mr. Forgy stated that he voted in favor of Mr. Farmer's motion because he did not intend to attempt, and would oppose any attempt, to superimpose the judgment of the Board on the processes that the administration has gone through with regard to the response to the NCAA allegations. He stated that he felt the motion was a reasonable request and believed that the people of the Commonwealth would also feel that it is the reasonable thing for the Board, which is the single final repository of authority within the University, to be informed of the decision of the administration prior to its being filed with the NCAA.

President Roselle stated that the meeting would be scheduled and the members would be informed of the time. He reiterated that the timing is difficult because the report is still undergoing preparation based on any revisions that may be necessary due to the interviews involved. The administration is attempting to meet the January 30th submission date to the NCAA. Presumably, it will be as close to January 30th as possible, perhaps even January 30. The meeting will held as requested by the Board. He reminded the members that the last time an Executive Session was necessary, Sunday was the date selected as the most convenient for everyone's calendar.

G. Personnel Actions (PR 2)

In recommending approval of the appointments and staff changes in PR 2, President Roselle called attention to the appointment of Thomas S. Foster, Professor named Director of the Center for Pharmaceutical Sciences and Technology. On motion made by Mr. Stricker, seconded by Professor Coleman and passed, the routine personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

H. Supplemental Recommendation of the President (PR 3A)

President Roselle deferred PR 3A to the conclusion of the Finance Committee reports.

I. Appointments/Reappointments to University of Kentucky Mining Engineering Foundation (PR 5A)

President Roselle recommended that approval be given to the appointments of C. William Connor, Gene Consalus, John Tellman and David A. Zegeer and to the reappointments of Gerald Blackmore, Bill Eads, George E. Evans, Jr., Wayne T. Ewing, Larry R. Finley, Roger Gann, Samuel S. Johnson and S. O. "Bud" Ogden as members of the Board of Directors of the University of Kentucky Mining Engineering Foundation for four-year terms. Mr. Sturgill so moved. The motion was seconded by Mr. James Rose and carried. (See PR 5A at the end of the Minutes.)

J. Appointments/Reappointments to University of Kentucky Equine Research Foundation (PR 5B)

President Roselle recommended that approval be given to the appointments of David O'Neal and Nick Nicholson for unexpired two-year terms and for the reappointments of James E. Bassett, Edward S. Bonnie, John A. Cashman, Albert G Clay, William S. Farish and Ted B. Bates for four-year terms as members of the Board of Directors of the University of Kentucky Equine Research Foundation. The motion which was made by Mr. Bates and seconded by Mr. Burnett, was voted on and carried unanimously. (See PR 5B at the end of the Minutes.)

K. Patent Assignment - Novel Method of Administering Aspirin and Dosage Forms Containing Same - Research and Graduate Studies (PR 8A)

On motion made by Mr. Judge Tackett, seconded by Mr. Stricker and carried, the Board approved the routine patent assignment in PR 8A. (See PR 8A at the end of the Minutes.)

L. Patent Assignment - Puffing Biological Material -
Research and Graduate Studies (PR 8B)

With President Roselle so recommending, Mr. Stricker moved that the routine patent assignment in PR 8B be approved. The motion was seconded by Mr. Ockerman and carried. (See PR 8B at the end of the Minutes.)

M. Acceptance of Interim Financial Report for the
University of Kentucky for the Five Months Ended November 30, 1988
(FCR 1)

Mr. Forgy stated FCR 1 is the acceptance of the consolidated financial report for the five months ended November 30, 1988 and moved its adoption. His motion was seconded by Dr. Pisacano, and it was so ordered. (See FCR 1 at the end of the Minutes.)

N. Disposal of Personal Property (FCR 2)

On motion made by Mr. Forgy, seconded by Dr. Pisacano and carried, the Board approved the disposition of surplus and obsolete property as recommended in FCR 2. (See FCR 2 at the end of the Minutes.)

O. Capital Construction Report (FCR 3)

Mr. Forgy entertained a motion to adopt the Capital Construction Report for the three months ending September 30, 1988. The motion was seconded by Judge Tackett and carried. (See FCR 3 at the end of the Minutes.)

Following the vote, there was a discussion about the project to add two floors to the University Hospital. President Roselle explained that two floors had not been entirely specified at the present time. The building was being engineered for eventual addition of two floors, but it is also being engineered as the landing site for the helicopter. He stated that the other consideration is cost, and it is much cheaper to do two floors now. All the bases have been touched with respect to the certificate of need.

P. American Football Coaches Retirement Fund (FCR 4)

Mr. Forgy so moved that the American Football Coaches Retirement Trust 401 (k) plan be made available for eligible University of Kentucky football coaches voluntary tax sheltered contributions on or after January 1, 1989. The motion was seconded by Mr. Sturgill and carried. (See FCR 4 at the end of the Minutes.)

Q. Receipt of an Endowment from the Knight Foundation and
Establishment of the John S. and Elizabeth Knight Professorship in
Equine Veterinary Science (FCR 5)

Mr. Forgy made a motion that FCR 5 be adopted and deferred the item to President Roselle who commented that it is a remarkable gift from the Knight Foundation and the establishment of a John S. and

Elizabeth Knight Professorship in Equine Veterinary Medicine. He expressed his pleasure in having the Knight name associated with the University of Kentucky. The \$850,000 gift goes with a \$150,000 that was given earlier to endow a professorship in the amount \$1 million. The motion was seconded by Mr. Wilcoxson and carried. (See FCR 5 at the end of the Minutes.)

R. Sale of Tract C of the South Farm (FCR 6)

Mr. Forgy moved the adoption of FCR 6 to authorize the conclusion of the sale of Tract "C" of the South Farm for \$205,000 to Ms. C. Elizabeth Zantker, 2999 Clays Mill Road, Lexington, Kentucky. The motion was seconded by Mr. Farmer and passed without dissent.

S. Investment Committee Report

Mr. Stricker, Chairman of the Investment Committee, reported that the Investment Committee met on the morning of January 24 with investment advisors from First Kentucky Trust. He stated that the purpose of the meeting was to review the activity of the investment advisor and that no action was required.

T. Appointment of Director of Athletics (PR 3A)

President Roselle expressed his pleasure in presenting to the Board the recommendation for a new Director of Athletics at the University of Kentucky. He stated that a screening committee was established to consider nominations and applications for the important position. He indicated his indebtedness to Dr. Charles Wethington, chairman of the committee, and members of the committee (Dean Peggy Meszaros, Robert Lawson, William Sturgill, S. T. Roach, and Bruce Rector). The Committee's recommendation was unanimous and enthusiastic in support of the candidate to be presented.

President Roselle noted that the appointment of a new Director of Athletics follows the resignation of Mr. Cliff Hagan whose contributions are well-known and appreciated by all. It also comes at a time when the basketball program at the University is under stress from allegations made by the NCAA -- a situation that is sad and concerns all Kentuckians deeply.

President Roselle praised Mr. Joe Burch for his willingness and capable leadership of the Athletics Association during the interim period. He informed the members that Mr. Burch would continue in his present role as Interim Director of Athletics until about April 1, 1989. He stated that everyone can be assured that the business of the Athletics Association will be conducted carefully, thoughtfully and aggressively under Mr. Burch's leadership.

He mentioned that the administration looks forward to rebuilding the basketball program and the continuation of the great tradition of athletics at the University of Kentucky. It must, however, have a person of proven ability and of a high, personal and professional integrity. The University's goal is to have an athletic program fully in compliance with NCAA regulations, and the program must be fair to the student athlete to insure that the young people achieve success in the classroom and on the playing field.

He continued that the University's search had identified a person with a management philosophy and a style that is compatible with those goals. His leadership abilities will assuredly make him a respected member of the University of Kentucky's administration. Athletics is important to the University, and it is important that the leadership of the athletics program evidences a record of competitive success, a record of integrity and an understanding of the place of the athletics program in the greater University.

President Roselle expressed his pleasure in announcing a person who possesses such leadership quality: a person who will be a leader and member of the University team as well as a person familiar with the traditions of the University, his alma mater, -- Mr. C. M. Newton. He then reviewed Mr. Newton's education, experience and professional affiliations.

In conclusion, President Roselle stated that the action recommended to the Board marks a beginning for a new day for the University of Kentucky athletics program. When the dust is settled on the current basketball program's problems, the administration will have established an exemplary athletic program and a continuation of the University's excellence. This can be done under the leadership of Mr. Newton.

Mr. Sturgill moved approval of the recommendation. The motion was seconded by Mr. Stricker and passed by acclamation. Governor Chandler stated that the University could not have gotten a better person for the position. (See PR 3A at the end of the Minutes.)

U. Meeting Adjourned

There being no further business to come before the Board, Mrs. Hayes, Acting Chairperson, adjourned the meeting at 2:10 p.m.

Respectfully submitted,

John C. Darsie
Assistant Secretary
Board of Trustees

(PR's 2, 3A, 5A, 5B, 8A, 8B, FCR's 1, 2, 3, 4, 5, and 6 which follow are official parts of the Minutes of the Meeting.)

Office of the President
January 24, 1989

PR2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved Disapproved _____ Other _____

Date: January 24, 1989

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COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Henderson Community College

Brauer, David F., Associate Professor in the Community College System (with tenure), Dean for Academic Affairs, 1/1/89.

B. RETIREMENTS

Jefferson Community College

Clark, Jacquelyn W., Professor in the Community College System (with tenure), after 20 consecutive years of service, 12/31/88.

Green, Faye A., Office Assistant III in the Community College System, after 18.75 consecutive years of service, 2/28/89.

Mull, Peggy A., Office Assistant IV in the Community College System, after 32 consecutive years of service, 3/31/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Transfer from Hazard Community College to Lexington Community College

Combs, Charlene, Instructor in the Community College System, 1/1/89 through 6/30/89.

Henderson Community College

Black, William V., Instructor in the Community College System, 1/1/89 through 6/30/89.

Cervone, Thomas H., Instructor in the Community College System, 1/1/89 through 6/30/89.

Huff, Pamela G., Instructor in the Community College System, 9/1/88 through 12/31/88.

Reiss, Mary Anne, Instructor in the Community College System, 1/1/89 through 6/30/89.

Jefferson Community College

Ash, Daniel W., Instructor in the Community College System, 1/1/89 through 6/30/89.

Davis, Frank A., Instructor in the Community College System, 1/1/89 through 6/30/89.

Sprinkle, Amy, Instructor in the Community College System, 1/1/89 through 6/30/89.
Steadly, Judith B., Instructor in the Community College System, 1/1/89 through 6/30/89.

Lexington Community College

Neuman, Douglas M., Instructor in the Community College System (voluntary), 1/11/89 through 5/5/89.
Thomas, Mark V., Instructor in the Community College System (voluntary), 1/11/89 through 5/5/89.

Somerset Community College System

Honeycutt, Jolyn, Instructor in the Community College System (voluntary), 10/1/88 through 5/31/89.
Minton, Jenna, Instructor in the Community College System (voluntary), 10/1/88 through 5/31/89.
Mitchell, Sheila, Instructor in the Community College System (voluntary), 10/1/88 through 5/31/89.
Wright, Marianne, Instructor in the Community College System (voluntary), 10/1/88 through 5/31/89.

B. ADMINISTRATIVE APPOINTMENTS

Maysville Community College

Sharp, Mary Jane, Associate Professor in the Community College System (with tenure), Acting Chairman, Division of Arts and Humanities, 1/1/89 through 6/30/89.

C. REAPPOINTMENTS

Ashland Community College

Thompson, Rebecca R., Instructor in the Community College System, 1/1/89 through 6/30/89.

Jefferson Community College

Drake, Paul, Instructor in the Community College System, 1/1/89 through 5/31/89.
Jones, W. Richard, Instructor in the Community College System, 1/1/89 through 6/30/89.

Madisonville Community College

Conti, Rose, Assistant Professor in the Community College System, 1/1/89 through 6/30/89.

D. LEAVES OF ABSENCE

Prestonsburg Community College

Hernandez, Teresa, Assistant Professor in the Community College System, leave of absence without pay for the 1989-90 academic year.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Cotter, Donald J., Associate Professor (without tenure), Horticulture and Landscape Architecture, 12/15/88 through 12/14/90.

Granstrom, David E., Assistant Professor, Veterinary Science, 12/1/88 through 6/30/89.

Thompson, Kent N., Assistant Professor, Veterinary Science, 11/1/88 through 6/30/89.

B. ADMINISTRATIVE APPOINTMENTS

College of Communications

Applegate, James L., Associate Professor (with tenure), Communication, appointed Chairman, Communication, 1/1/89 through 12/31/92.

Vice Chancellor for Academic Affairs

Greasley, Philip A., Associate Professor (with tenure), Fort Knox, University Extension, appointed Executive Director, University Extension, effective 1/1/89.

C. JOINT APPOINTMENT

College of Education

Shapiro, Robert, Associate Professor (with tenure), Health, Physical Education, and Recreation, joint appointment as Assistant Professor (without tenure), Surgery (Orthopaedic), College of Medicine, 10/1/88 through 6/30/89.

D. TERMINAL REAPPOINTMENT

College of Agriculture

Buckley, Philip E., Associate Professor (without tenure), Agronomy, 2/1/89 through 1/31/91.

E. RETIREMENTS

College of Agriculture

Hunt, Roberta S., County Extension Clerk, Cooperative Extension Service, after 19.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 2/3/89.

*Special Title Series

Vice Chancellor for Administration

Stovall, Ernest T., Disabled Staff, Employee Benefits and Risk Management, after 17.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/31/89.

F. EARLY RETIREMENTS

College of Business and Economics

Ross, David F., Associate Professor (with tenure), Economics, after 22 consecutive years of service, under Section III.A.2(a) of AR II-1.6-1, effective 5/31/89.

Vice Chancellor for Administration

Farley, Patrick D., Building Operator, Physical Plant Division, after 24 consecutive years of service, under Section III.A.1(b) of AR II-1.6-1, effective 2/3/89.

Scott, Eliza B., Cook Baker II, K-Lair Grill, Auxiliary Services, after 25 consecutive years of service, under Section III.A.1(b) of AR II-1.6-1, effective 12/31/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Architecture

Jacobs, Michael W., Assistant Professor (part-time), 1/11/89 through 5/15/89.

Whitney, John S., Assistant Professor (part-time), 1/11/89 through 5/15/89.

College of Arts and Sciences

Gary, James E., Instructor (temporary), Computer Science, 1/1/89 through 5/15/89.

College of Law

Hamblin, Pierce W., Associate Professor (part-time), 1/11/89 through 5/6/89.

Moore, Escum L., Jr., Professor (part-time), 1/11/89 through 5/6/89.

Perlman, Peter, Professor (part-time), 1/11/89 through 5/6/89.

College of Social Work

Rudy, David R., Professor (part-time)*, 1/1/89 through 5/15/89.

*Special Title Series

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Phillips, Jane E., Associate Professor (with tenure), Classics, appointed Acting Chairman, Classics, 1/1/89 through 5/15/89.
Rawls, John M., Associate Professor (with tenure), Biological Sciences, appointed Acting Director, Biological Sciences, 10/19/88 through 5/15/89.

Vice Chancellor for Academic Affairs

Chesser, Roger, appointed Acting General Manager, WBKY-FM, 1/1/89 through 3/31/89.
Taylor, Ronald D., Associate Professor (with tenure), Fort Knox, University Extension, appointed Acting Director, Fort Knox, 1/1/89 through 12/31/89.

Vice Chancellor for Administration

Clark, Kerm McDall, Construction Manager, Design and Construction, effective 11/25/88.

C. REAPPOINTMENTS

College of Arts and Sciences

Schloss, Jeffery A., Assistant Professor, Biological Sciences, 1/1/89 through 12/31/90.

College of Home Economics

Duncan, Ruth H., Instructor (part-time), Human Environment: Textiles, 1/1/89 through 5/15/89.

D. PROMOTION WITHOUT TENURE

College of Business and Economics

Fields, L. Paige, from Instructor, Finance, to Assistant Professor, Finance, 8/16/88 through 6/30/89.

E. TERMINAL REAPPOINTMENT

College of Arts and Sciences

Goshtasby, Ardeshir, Assistant Professor, Computer Science, 7/1/89 through 6/30/90.

F. LEAVES OF ABSENCE

College of Agriculture

Benson, Carol Parker, County Extension Agent, Cooperative Extension Service, Study leave with full salary, 2/1/89 through 7/31/89.
Hill, Deborah B., Associate Extension Professor (with tenure), Forestry, Sabbatical leave with full salary, 7/1/89 through 12/31/89.
Howard, Lora Lee, County Extension Agent, Cooperative Extension Service, Study leave with full salary, 6/8/89 through 12/7/89.
Jeffiers, David, Extension Specialist, Cooperative Extension Service, Study leave with full salary, 6/16/88 through 12/15/88 and Leave without pay, 12/16/88 through 6/15/89.
Pfeiffer, Todd W., Associate Professor (with tenure), Agronomy, Sabbatical leave with full salary, 8/15/89 through 2/15/90.

College of Arts and Sciences

DeLong, Lance E., Associate Professor (with tenure), Physics and Astronomy, Leave without pay, 2/1/89 through 5/15/89 and Fall Semester 1989.
McMillan, Della, Assistant Professor, Anthropology, Leave without pay, Spring Semester 1989 and Fall Semester 1989.
Reichel, Lothar, Associate Professor (with tenure), Mathematics, Leave without pay, Spring Semester 1989.

College of Business and Economics

Black, Dan A., Associate Professor (with tenure), Economics, Sabbatical leave with half salary, Fall Semester 1989 and Spring Semester 1990.
Harvey, Curtis E., Professor (with tenure), Economics, Sabbatical leave with full salary, Fall Semester 1989.
Knoblett, James A., Professor (with tenure), Accountancy, Sabbatical leave with full salary, Fall Semester 1989.
Krislov, Joseph, Professor (with tenure), Economics, Sabbatical leave with full salary, Fall Semester 1989.
McGee, L. Randolph, Extension Professor (with tenure), Economics, Sabbatical leave with full salary, Fall Semester 1989.

College of Engineering

Nasar, Syed A., Professor (with tenure), Electrical Engineering, Sabbatical leave with full salary, Spring Semester 1989.
Tauchert, T. R., Professor (with tenure), Engineering Mechanics, Sabbatical leave with full salary, Spring Semester 1990.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Baker, Karen S., Assistant Professor, Diagnostic Radiology,
7/24/89 through 6/30/90.

Biek, Donald P., Assistant Professor, Microbiology and
Immunology, 12/1/88 through 6/30/89.

Weeks, Julia A., Clinical Assistant Professor, Pathology,
1/1/89 through 6/30/89.

College of Nursing

Kidd, Pamela S., Assistant Professor, 12/10/88 through
6/30/89.

Moss, Nancy Rehm, Assistant Professor*, 1/1/89 through
6/30/89.

B. ADMINISTRATIVE APPOINTMENTS

College of Pharmacy

Foster, Thomas S., Professor* (with tenure), named Director,
Center for Pharmaceutical Sciences and Technology,
effective 1/1/89.

C. PROMOTIONS

College of Medicine

Bondada, Subbarao, Assistant Professor, Microbiology and
Immunology, to Associate Professor (with tenure),
Microbiology and Immunology, effective 7/1/89.

Broughton, Robert A., Assistant Professor*, Pediatrics, to
Associate Professor* (with tenure), Pediatrics,
effective 7/1/89.

Kelley, Stephen B., Clinical Assistant Professor
(voluntary), Family Practice, to Clinical Associate
Professor (voluntary), effective 7/1/88 through
6/30/91.

Maddox, Paul F., Clinical Associate Professor (voluntary),
Family Practice, to Clinical Professor (voluntary),
Family Practice, effective 7/1/88 through 6/30/91.

Stallones, Lorann, Assistant Professor, Preventive Medicine
and Environmental Health, to Associate Professor (with
tenure), Preventive Medicine and Environmental Health,
effective 7/1/89.

*Special Title Series

D. CORRECTION IN MINUTES

College of Medicine

Roberts, Lon E., reported in the December 13, 1988 Minutes as promotion from Clinical Assistant Professor (voluntary), Family Practice, to Clinical Associate Professor (voluntary), Family Practice, effective 7/1/88 through 6/30/91. Change to: Roberts, Lon E., Clinical Assistant Professor (voluntary), Family Practice, joint appointment as Clinical Assistant Professor (voluntary), Medicine, to Clinical Associate Professor (voluntary), joint appointment as Clinical Associate Professor (voluntary), Medicine, effective 7/1/88 through 6/30/91.

E. RETIREMENTS

College of Nursing

Lykins, Pauline J., Administrative Staff Officer II, after 26 consecutive years of service, under AR II-1.6-1 Section III.A.1(b), effective 2/28/89.

University Hospital

Holt, Marjorie L., Staff Technologist II, Pathology CCL, after 26 consecutive years of service, under AR II-1.6-1 Section III.A.1(b), effective 1/13/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Annis, Kim, Assistant Professor (Adjunct Title Series), Clinical Sciences, 1/1/89 through 6/30/89.
Geil, Patricia, Assistant Professor (Adjunct Title Series), Clinical Sciences, 1/1/89 through 6/30/89.
Infante, Juan P., Assistant Professor (voluntary), Clinical Sciences, 1/1/89 through 6/30/91.
Kremer, Theresa, Assistant Professor (Adjunct Title Series), Clinical Sciences, 1/1/89 through 6/30/89.
Phillips, Renee, Assistant Professor (Adjunct Title Series), Clinical Sciences, 1/1/89 through 6/30/89.
Thompson, Christina P., Assistant Professor (Adjunct Title Series), Clinical Sciences, 1/1/89 through 6/30/89.

College of Medicine

DeMoll, Louis E., Assistant Professor (Research Title Series), Microbiology and Immunology; 12/1/88 through 6/30/89.

Elliott, Lucinda H., Assistant Professor (Research Title Series), Microbiology and Immunology, 12/1/88 through 6/30/89.

Jackson, Jean C., Assistant Professor (Research Title Series), Microbiology and Immunology, 12/1/88 through 6/30/89.

Yingling, Brenda B., Assistant Professor (Research Title Series), Surgery, 12/1/88 through 6/30/89.

B. JOINT APPOINTMENTS

College of Pharmacy

Kuhn, Robert J., Assistant Professor*, joint appointment as Assistant Professor*, College of Medicine, Pediatrics, effective 1/1/89 through 6/30/89.

C. REAPPOINTMENTS

College of Allied Health Professions

Samuel, Thomas W., Assistant Professor*, Health Services, 7/1/88 through 6/30/89.

College of Dentistry

Reynolds, McKinley, Clinical Instructor (voluntary), Oral Health Science, 11/15/88 through 6/30/91.

Medical Center Library

Cline, Cindy D., Librarian IV, 1/1/89 through 6/30/89.

D. LEAVES OF ABSENCE

College of Medicine

Brower, Thomas D., Professor (with tenure), Surgery, sabbatical leave at full salary, effective 1/1/89 through 6/30/89.

Nahum, Daniel, Associate Professor* (with tenure), Psychiatry, sabbatical leave at full salary, effective 5/1/89 through 10/31/89.

Storrow, Hugh A., Professor (with tenure), Psychiatry, sabbatical leave at half salary, effective 1/1/89 through 12/31/89.

*Special Title Series

College of Nursing

Sebastian, Juliann G., Associate Professor* (with tenure),
sabbatical leave at full salary, effective 1/1/89
through 6/30/89.

INFORMATION SYSTEMS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

EARLY RETIREMENTS

Libraries

Herald, Ruby K., Library Tech, Libraries, after 32 years of service, under AR II-1.6-1 Section III.A.2(b), effective 1/27/89.

Office of Instructional Resources

McGowan, Gwendolyn, Graphic Artist, Office of Instructional Resources, after 23 total years of service, under AR II-1.6-1 Section III.A.2(b), effective 3/31/89.

Napier, Mary L., Staff Assistant, Office of Instructional Resources, after 31.5 total years of service, under AR II-1.6-1 Section III.A.2(b), effective 4-21-89.

POST-RETIREMENT APPOINTMENT

University Libraries

McDowell, Agnes S., Temporary Library Assistant, Agriculture Library, effective 1/3/89 through 6/30/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

University Libraries

Savage, Stephen M., Librarian IV, Library-Periodicals/Newspapers/Microtexts, effective 1/1/89 through 6/30/89.

Byars, Susan A., Librarian IV, Library-Agriculture, effective 12/19/88 through 6/30/89.

RESEARCH AND GRADUATE STUDIES

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Kentucky Water Resources Research Institute

Barfield, Billy J., Professor (with tenure), Agricultural Engineering, College of Agriculture, appointed Director, 11/1/88 through 6/30/92.

Interdisciplinary Human Development Institute

Carlson, Laurance B., Disability Program Administrator IV, effective November 1, 1988.

B. EARLY RETIREMENTS

University of Kentucky Research Foundation

Leach, Wesley B., Associate Executive Director, University of Kentucky Research Foundation, after 23.5 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective February 28, 1989.

Office of the President
January 24, 1989

PR3A

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR OF ATHLETICS

Recommendation: that the Board of Trustees approve the appointment of Mr. C. M. Newton as Director of Athletics at the University of Kentucky effective April 1, 1989.

Background: As a result of the resignation of Mr. Clifford O. Hagan as Director of Athletics, a Screening Committee of the Athletics Board was appointed to assist in the selection of his successor.

The criteria used by the Committee in their review was designed to get an individual who:

- Clearly demonstrated the ability to take charge and be responsible for the overall operation of the athletics program.
- Who could bear the administrative and financial responsibilities for implementing the policies of the University's Board of Trustees, the Athletics Association Board, and the administrative regulations and policies issued by the President.
- Who could operate the athletics program in compliance with rules and regulations of associations and conferences of which the institution is a member.
- With a committed interest in a broad intercollegiate athletics program.
- With an understanding of the objectives and general administrative operations of higher education institutions.
- With a reputation for honesty.

Based on the criteria and review of identified candidates the Screening Committee made a unanimous recommendation that Mr. C. M. Newton be offered the position.

Action taken: Approved Disapproved Other

Date: January 24, 1989

0121

Office of the President
January 24, 1989

PR5A

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY
MINING ENGINEERING FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members of the Board of Directors of the University of Kentucky Mining Engineering Foundation for four-year terms.

Appointments

C. William Connor
Gene Consalus

John Tellman
David A. Zegeer

Reappointments

Gerald Blackmore
Bill Eads
George E. Evans, Jr.
Wayne T. Ewing

Larry R. Finley
Roger Gann
Samuel S. Johnson
S. O. "Bud" Ogden

Background: The Chancellor of the Lexington Campus recommended these appointments to the President, who in turn recommends them to the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Mining Engineering Foundation approved by the Board of Trustees at its meeting on December 14, 1982.

Action taken: Approved Disapproved Other

Date: January 24, 1989

REVISED

Office of the President
January 24, 1989

PR5B

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY
EQUINE RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members of the Board of Directors of the University of Kentucky Equine Research Foundation for terms as indicated.

Appointments for Unexpired Two-Year Terms

Equine Industry

David O'Neal
Nick Nicholson

Reappointments for Four-Year Terms

Equine Industry

James E. Bassett
Edward S. Bonnie
John A. Cashman
Albert G. Clay
William S. Farish

Board of Trustees

Ted B. Bates

Background: The Chancellor of the Lexington Campus recommended these appointments to the President, who in turn recommends them to the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

Action taken: Approved Disapproved Other

Date: January 24, 1989

Office of the President
January 24, 1989

PR8A

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Anwar A. Hussain, Lewis W. Dittert and Thomas S. Foster, Serial No. (to be assigned), filed (to be filed).

Background: Anwar A. Hussain, Lewis W. Dittert and Thomas S. Foster have invented certain improvements in NOVEL METHOD OF ADMINISTERING ASPIRIN AND DOSAGE FORMS CONTAINING SAME. They have assigned their right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved Disapproved Other

Date: January 24, 1989

A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby: Anwar A. Hussain, Lewis W. Dittert and Thomas S. Foster, Serial No. 229,963, filed August 9, 1988; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have

been held and enjoyed by the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this Assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and all Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this ____ day of _____, 1988.

THE BOARD OF TRUSTEES OF
THE UNIVERSITY OF KENTUCKY

Chairman

SEAL

ATTEST:

(Title)

Office of the President
January 24, 1989

PR8B

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: Frederick A. Payne, Serial No. (to be assigned), filed (to be filed).

Background: Frederick A. Payne has invented certain improvements in PUFFING BIOLOGICAL MATERIAL. He has assigned his right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved Disapproved Other

Date: January 24, 1989

A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby;

PUFFING BIOLOGICAL MATERIAL

Inventor: Frederick A. Payne

S.N.:

Filed: _____ and _____

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United

States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and all Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this ____ day of _____, 19__.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

SEAL

ATTEST:

Secretary, Board of Trustees

Office of the President
January 24, 1989

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE FIVE MONTHS ENDED
NOVEMBER 30, 1988

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the five months ended November 30, 1988.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., and Health Care Collection Service, Inc.

As of November 30, 1988, the University had realized income of \$319,513,000 representing 52% of the 1988-89 estimate of \$615,910,000. Expenditures and commitments total \$243,849,000 or 40% of the approved budget of \$615,910,000.

Action taken: Approved Disapproved Other

Date: January 24, 1989

CONSOLIDATED BALANCE SHEET
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 NOVEMBER 30, 1988
 (in thousands)

Statement 1

	(A)	(B)	(C)	(D)	(E)	(F)
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals November 1988
ASSETS						
(1) Cash and cash equivalents	\$ 78,517	\$ 19,355	\$ 2,190	\$ 159	\$ 73,718	\$ 173,939
(2) Notes, loans, and A/R (less bad debt allowances of \$5,156)	37,338	6,492	14,477		893	59,200
(3) Investments	11,596	42,096		59,330	77,879	190,901
(4) Property, plant, and equipment					706,529	706,529
(5) Inventories and other	11,066	100				11,166
(6) Total Assets	\$138,517	\$ 68,043	\$16,667	\$59,489	\$859,019	\$1,141,735
LIABILITIES AND FUND BALANCES						
Liabilities						
(7) Accounts payable	\$ 9,894	\$ 412	\$ 298			\$ 10,604
(8) Employee withholdings and deposits		10,329				10,329
(9) Other liabilities	1,977				\$ 3,675	5,652
(10) Liability for self insurance	10,875					10,875
(11) Deferred income	4,497	5,670				10,167
(12) Bonds payable					253,154	253,154
(13) Capitalized lease obligation					30,197	30,197
(14) Net deferred revenues and appropriated fund balances	82,933	(834)				82,099
(15) Total Liabilities	110,176	15,577	298	0	287,026	413,077
(16) Due to(from) interfund	(19,988)	26,548			(6,560)	0
Fund Balances						
Current Unrestricted						
(17) Working capital	28,708					28,708
(18) Future operating purposes	2,231					2,231
(19) Funded Hospital depreciation	13,000					13,000
(20) Other	6,392					6,392
(21) Current Restricted		25,918				25,918
(22) Loan			16,369			16,369
(23) True Endowments				\$32,510		32,510
(24) Term Endowments				723		723
(25) Quasi Endowments				26,256		26,256
Plant						
(26) Retirement of Indebtedness					37,108	37,108
(27) Renewal and Replacement					2,975	2,975
(28) Allocated for designated projects					40,282	40,282
(29) Net investment in plant					498,188	498,188
(30) Total Fund Balances	48,329	25,918	16,369	59,489	578,553	728,658
(31) Total Liabilities and Fund Balances	\$138,517	\$ 68,043	\$16,667	\$59,489	\$859,019	\$1,141,735

CONSOLIDATED STATEMENT OF CURRENT FUNDS
 REVENUES AND APPROPRIATED FUND BALANCES
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE FIVE MONTHS ENDED NOVEMBER 30, 1988
 with comparative totals for November 30, 1987
 (in thousands)

Schedule 1

	(A)	(B)	(C)	(D)	(E)	(F)
	1988-1989			1987-1988		
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
GENERAL FUND AND HOSPITAL						
(1) State Appropriation	\$ 237,740	\$ 237,740	\$ 118,801	50	\$ 114,088	51
(2) Student Fees	58,140	58,500	31,846	54	28,765	56
(3) Federal Appropriations	13,926	13,928	5,594	40	5,427	40
(4) County Appropriations	3,643	3,643	835	23	871	25
(5) Endowment Income	721	721	243	34	180	26
(6) Investment Income	8,517	8,517	3,264	38	3,004	41
Gifts and Grants						
(7) Affiliated corporations	6,134	6,481	100	2	47	1
(8) Other	3,005	3,196	1,168	37	232	8
(9) Sales and Services	13,517	13,572	5,256	39	5,298	44
Hospital						
(10) Revenues	100,591	100,591	51,187	51	40,629	49
(11) Fund Balances	35,300	35,300	35,300	100	22,747	100
(12) Fund Balances	10,914	10,914	10,914	100	7,021	100
TOTAL GENERAL FUND AND HOSPITAL	492,148	493,101	264,508	54	228,309	52
(14) AUXILIARY SERVICES	27,684	27,813	12,876	46	12,023	45
(15) RESTRICTED FUNDS	39,823	40,289	11,925	30	9,381	30
(16) AFFILIATED CORPORATIONS	54,664	54,707	30,204	55	26,770	56
TOTAL REVENUES AND APPROPRIATED FUND BALANCES	\$ 614,319	\$ 615,910	\$ 319,513	52	\$ 276,483	51

CONSOLIDATED STATEMENT OF CURRENT FUND
 EXPENDITURES AND TRANSFERS SUMMARY BY PROGRAM - ALL FUNDS
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE FIVE MONTHS ENDED NOVEMBER 30, 1988
 (in thousands)

Schedule 2

	(A)	(B)	(C)	(D)
	1988-1989			
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDITURES/ ENCUMBRANCES TO DATE	PERCENT EXPENDED/ ENCUMBERED
(1) INSTRUCTION	\$ 156,548	\$ 154,433	\$ 57,004	37
(2) RESEARCH	80,241	80,234	36,235	45
(3) PUBLIC SERVICE	51,838	49,587	17,135	35
(4) ACADEMIC SUPPORT	38,065	36,379	14,607	40
(5) STUDENT SERVICES	14,344	15,365	6,769	44
(6) INSTITUTIONAL SUPPORT	24,492	28,874	11,286	39
(7) STUDENT FINANCIAL AID	21,077	21,863	12,039	55
(8) OPERATION AND MAINTENANCE	32,262	33,594	13,152	39
(9) MANDATORY TRANSFERS (DEBT SERVICE)	20,721	20,721	14,993	72
(10) HOSPITAL	137,154	137,154	43,340	32
(11) AUXILIARY ENTERPRISES	37,577	37,706	17,289	46
(12) TOTAL EXPENDITURES AND TRANSFERS BY PROGRAM	\$ 614,319	\$ 615,910	\$ 243,849	40

EXPENDITURES AND TRANSFERS SUMMARY
 BY CATEGORY OF EXPENDITURES
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE FIVE MONTHS ENDED NOVEMBER 30, 1988
 (in thousands)

Schedule 3

	(A)	(B)	(C)	(D)
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED	% EXPENDED ENCUMBERED
(1) PERSONNEL COSTS	\$ 340,833	\$ 340,833	\$ 135,625	40
(2) OPERATING EXPENSES	203,292	204,883	78,075	38
(3) MANDATORY TRANSFERS (DEBT SERVICE)	20,721	20,721	14,993	72
(4) CAPITAL OUTLAY	49,473	49,473	15,156	31
(5) TOTAL EXPENDITURES AND TRANSFERS BY CATEGORY	\$ 614,319	\$ 615,910	\$ 243,849	40

CONSOLIDATED STATEMENT OF CURRENT FUNDS
EXPENDITURES AND TRANSFERS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE FIVE MONTHS ENDED NOVEMBER 30, 1988
with comparative totals for November 30, 1987
(in thousands)

Schedule 4

	(A)	(B)	(C)	(D)	(E)	(F)
	1988-1989			1987-1988		
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED
CURRENT UNRESTRICTED FUNDS						
(1) Instruction	\$ 146,969	\$ 144,855	\$ 56,324	39	\$ 56,054	41
(2) Research	35,260	35,210	12,693	36	11,755	38
(3) Public Service	43,449	41,197	16,910	41	16,005	40
(4) Academic Support	35,414	33,729	13,652	40	14,201	44
(5) Student Services	14,120	15,141	6,714	44	5,902	45
(6) Institutional Support	21,481	25,862	10,317	40	9,370	47
(7) Student Financial Aid	5,547	5,867	3,199	55	3,240	61
(8) Operation and Maintenance	32,243	33,575	13,152	39	12,014	37
(9) Mandatory Transfers (Debt Service)	20,721	20,721	14,993	72	14,554	74
(10) Hospital	136,944	136,944	43,339	32	29,018	27
TOTAL CURRENT UNRESTRICTED FUNDS	492,148	493,101	191,293	39	172,113	39
CURRENT RESTRICTED FUNDS						
(12) Instruction	3,530	3,530	514	15	473	24
(13) Research	16,671	16,671	2,538	15	2,438	21
(14) Public Service	1,215	1,215	218	18	204	34
(15) Academic Support	1,373	1,373	323	24	263	27
(16) Student Services	207	207	43	21	31	26
(17) Institutional Support	1,208	1,208	789	65	653	72
(18) Student Financial Aid	15,391	15,657	8,778	55	4,600	31
(19) Operations and Maintenance	19	19			69	58
(20) Hospital	209	209	1			
TOTAL CURRENT RESTRICTED FUNDS	39,823	40,289	13,204	33	8,731	28
(22) AUXILIARY ENTERPRISES	27,684	27,813	11,775	42	11,267	43
(23) AFFILIATED CORPORATIONS	54,664	54,707	27,577	50	23,299	49
TOTAL EXPENDITURES AND TRANSFERS	\$ 614,319	\$ 615,910	\$ 243,849	40	\$ 215,410	40

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES
AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE FIVE MONTHS ENDED NOVEMBER 30, 1988
(in thousands)

Schedule 5

	(A)	(B)
(1) REVENUES, REALIZED		\$ 273,299
APPROPRIATED FUND BALANCES		
(2) Current unrestricted fund	\$ 10,914	
(3) University Hospital	35,300	

(4) TOTAL APPROPRIATED FUND BALANCES		46,214

(5) TOTAL REVENUES AND APPROPRIATED FUND BALANCES (SCHEDULE 1)		319,513

(6) EXPENDITURES/ENCUMBRANCES (SCHEDULE 2)	243,849	
(7) LESS: RESERVE FOR ENCUMBRANCES	(6,435)	

(8) TOTAL EXPENDITURES		237,414

(9) NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES (STATEMENT 1)		\$ 82,099
		=====

Office of the President
January 24, 1989

F C R 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus and obsolete equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved Disapproved Other

Date: January 24, 1989

UNIVERSITY OF KENTUCKY

SALE OF SURPLUS LIBRARY BOOKS

TYPE OF DISPOSAL: Fixed Price

REASON: Obsolete, outdated and damaged.

SALE DATE: April 27-28, 1989.

ITEMS: Approximately 12,000 volumes of library books.

TRANSFER OF SURPLUS AGRICULTURE LIBRARY BOOKS

ORGANIZATIONS: Center for Research Libraries and
Breed Associations

TYPE OF DISPOSAL: Transfer at No Cost

REASON: Books are scientific in nature, some are in
foreign languages and are low use items. Books
can still be accessed through the organizations
thus freeing up much needed space.

ITEMS: 260 Journals in foreign languages
150 Herd books

UNIVERSITY OF KENTUCKY

TRANSFER OF SURPLUS SHELVING

INSTITUTION: Prestonsburg High School

REASON: Due to recent occupancy of the new Magoffin Learning Resource Center, shelving previously used in the Johnson Building is surplus. Items are surplus to the needs of the University of Kentucky.

ITEMS:

<u>PROPERTY #</u>	<u>DESCRIPTION</u>	<u>DATE ACQ.</u>
A705108	Shelving, Metal Backs	02/65
A705109	Shelving, L-Shape Wood	02/65
A705110	Shelving, 15-Sect. Formica Ends	02/65
A705111	Shelving, Periodical Sloped	02/65
A705383	Shelving, 10-Units Double Face	06/65
A723677	Shelving, Library, Double Face	07/70
A825179	Shelving, Library, Double Face	01/70
A825180	Shelving, Library, Double Face	01/70
A825181	Shelving, Library, Double Face	01/70
A825182	Shelving, Library, Double Face	01/70
A825183	Shelving, Library, Double Face	01/70
A818769	Shelving, Library, Double Face	10/67
A818770	Shelving, Library, Double Face	10/67
A818771	Shelving, Library, Double Face	10/67
A818772	Shelving, Library, Double Face	10/67
A818773	Shelving, Library, Double Face	10/67
A826101	Shelving Unit, Free Stand.	05/74
A826102	Shelving Unit, Free Stand.	05/74
A826103	Shelving Unit, Free Stand.	05/74
A826104	Shelving Unit, Free Stand.	05/74
A826105	Shelving Unit, Free Stand.	05/74

Office of the President
January 24, 1989

FCR3

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1988, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1988, the University has:

- (1) Awarded three (3) architect and engineer contracts.
- (2) Made five (5) amendments to architect and engineer contracts.
- (3) Made four (4) final payments for four (4) architect and engineer contracts.
- (4) Awarded or requisitioned for the award of thirteen (13) construction contracts.
- (5) Made fifty-one (51) change orders to construction contracts.
- (6) Made twelve (12) final payments for twelve (12) construction contracts.

Action taken: Approved Disapproved Other

Date: January 24, 1989

DIVISION OF DESIGN AND CONSTRUCTION
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
 UNIVERSITY OF KENTUCKY
 FOR THE PERIOD JULY 1, 1988 - SEPTEMBER 30, 1988

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
WIREMAN & WIREMAN JACKSON	QUICKSAND BOUNDARY SURVEY Project No. 591.0	\$ 9,080	\$ 8,580	7/29/88
OMNI ARCHITECTS LEXINGTON	HOSPITAL THIRD FLOOR RENOVATION & EXPANSION Project No. 580.0	1,100,000	135,780	8/31/88
MASON & HANGER ENGINEERS LEXINGTON	MUVEY HALL COMMUNICATIONS CONDUIT AND ELECTRICAL Project No. 597.0	100,000	18,732	9/30/88

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
GRW ENGINEERS LEXINGTON	MEDICAL SCIENCE WING SMOKE DETECTION Project No. 853.0	2	09/31/88	+ \$ 2,175
Nature of Change: To increase Phase "C" of the contract.				
Reason for Change: Due to the presence of asbestos, all construction work on this had to be temporarily halted while a separate contract was issued for asbestos abatement. The delay lasted for several months. This Amendment is to cover added costs for remobilization of the project following the abatement process.				
GRW ENGINEERS LEXINGTON	DENTAL SCIENCE WING SMOKE DETECTION Project No. 854.0	2	09/31/88	+ 725
Nature of Change: To increase Phase "C" of the contract.				
Reason for Change: Due to the presence of asbestos, all construction work on this had to be temporarily halted while a separate contract was issued for asbestos abatement. The delay lasted for several months. This Amendment is to cover added costs for remobilization of the project following the abatement process.				
OMNI ARCHITECTS/CHRISMAN, MILLER, WOODFORD LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.1	8	09/31/88	+ 202,211
Nature of Change: To increase Phases "A", "B", and "C" of the contract.				
Reason for Change: The schematic design of the Hospital Expansion allowed for the future addition of two floors. The U.K. Hospital, in consultation with the Construction Manager and the Design Consultant, has determined that it would be cost effective and less disruptive to shell in those two floors immediately. This increase in fee is to cover the design fees necessary to prepare change orders and bid documents for the two additional floors.				

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BUILDING Project No. 471.0	3	09/30/88	+ \$ 1,335
Nature of Change:	Increase Phase "C" of the contract.			
Reason for Change:	To provide for an extension of time for the Resident Inspector. The time period is to extend from August 1, 1988 to August 19, 1988.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	2	09/31/88	- 5,102
Nature of Change:	To decrease Phase "C" of the contract.			
Reason for Change:	Original Resident Inspector was dismissed after five and a half (5 1/2) months of service. Replacement Resident Inspector will provide services. Replacement Resident Inspector to receive \$2,500, per month with reimbursement to the Architect at \$2,625 per month. Savings results from resident inspector from another project being used until a replacement could be found.			

III. ARCHITECT & ENGINEER FINAL PAYMENTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
DCT DESIGN GROUP, LTD. LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR/3RD FLOOR OBSTETRICS Project No. 129.6	\$ 40,534.00	8/10/88
BICKEL-GIBSON ARCHITECTS LOUISVILLE	MAXWELL H. GLUCK EQUINE CENTER Project No. 230.1	441,875.00	8/12/88
DRIES, ALEXANDER ARCHITECTS LEXINGTON	HOSPITAL RENOVATION PATIENT CARE/2ND FLOOR Project No. 349.0	18,700.00	8/25/88
LUCKETT & FARLEY ARCHITECTS LOUISVILLE	MEDICAL SCIENCES RESEARCH LABS Project No. 310.0	31,034.00	9/23/88

IV. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
ATLAS METAL PRODUCTS LOUISVILLE	HOSPITAL EXPANSION PHASE II WORK CATAGORY #15-FINISH HARDWARE Project No. 304.1	\$ 130,680	7/1/88	4	\$ 130,680 - 152,683
FIDELITY CONSTRUCTION ALVANTON	MADISONVILLE COMMUNITY COLLEGE RESEAL/STRIP EXTERIOR WINDOWS Project No. 367.0	13,114 *	7/14/88	4	8,976 - 59,307
* Second low bidder accepted. Low bidder did not meet qualifications.					
BROADVIEW ADJUSTICAL LEXINGTON	HOSPITAL EXPANSION PHASE II WORK CATAGORY #3-FIREPROOFING Project No. 304.1	698,000	7/22/88	3	698,000 - 780,000
EUBANK & STEEL LEXINGTON	MINES & MINERALS ELEVATED PLATFORM FOR FIXED SEATING Project No. 115.2	3,170	7/25/88	5	3,170 - 7,400
INTEGRATED TELECOM CORP. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE TELEPHONE SYSTEM Project No. 471.1	22,051	7/26/88	1	22,051
GTE SOUTH, INC. ASHLAND	HAZARD COMMUNITY COLLEGE TELEPHONE SYSTEM Project No. 469.2	19,565	8/10/88	1	19,565
WHEELER GENERAL CONTRACTORS LEXINGTON	SOMERSET COMMUNITY COLLEGE SIGNAGE Project No. 112.4	10,033	8/15/88	3	10,033 - 13,063
LITTLE FLOOR COMPANY SUGAR GROVE, OH	LANCASTER AQUATIC CENTER DECK FINISH PACKAGE Project No. 146.3	30,895	8/18/88	2	30,895 - 42,215
STEEL TRAILER CORPORATION CYNTHIANA	MEDICAL CENTER ANNEX #4 STAIRWAY REPAIRS Project No. 549.0	21,500	8/15/88	1	21,500
WEBER & WEBER GENERAL CONTR. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE COMMUNICATION DUCT BANKS	34,200	9/14/88	2	34,200 - 67,949
AKERS & AKERS, INC. DANA	PRESTONSBURG COMMUNITY COLLEGE PARKING LOT PAVING & LIGHTING Project No. 271.0	129,944	9/19/88	2	129,944 - 171,804
CHAMBERS COMMERCIAL COATINGS NICHOLASVILLE	LANCASTER AQUATIC CENTER POOL FINISH Project No. 146.2	26,128	9/19/88	1	26,128 -
FINNEY COMPANY LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	215,947	9/28/88	1	215,947

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MUVEY HALL PHASE II Project No. 105.1	9	9/8/88	- \$ 465.00
Nature of Change:	<ol style="list-style-type: none"> 1. Delete sod work from contract. 2. Delete cost for demolition of wall partition. 3. Delete ceiling painting in the electrical equipment room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. University has decided to perform work. 2. Work was shown in Addendum. 3. Risk of damage to Liebert equipment due to over spray and/or shutdown. 			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	34	8/11/88	+ 1,316.32
Nature of Change:	<ol style="list-style-type: none"> 1. Provide "B" label construction floor hatch in Stair #2. 2. Change type "C" fixtures in Lobby from 2 florescents to 6 incandescent down lights. 3. Delete rubber base and concrete sealer on floors of rooms 109, 112, 123 and 132. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Change required to meet building code. 2. Change required to avoid conflict with items above ceiling. 3. Deck coating will be turned up at wall and will replace the vinyl base originally specified. 			
R & W CONSTRUCTION CO. LOUISVILLE	HAYWELL H. GLUCK EQUINE RESEARCH CENTER Project No. 230.0	10	7/18/88	+ 8,934.30
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish & install booster pump in north penthouse to serve deionization equipment. 2. Make revisions to air handling unit and to certain exhaust fans. 3. Delete maintenance of landscape plantings. 4. Install drains below floor for sterilizers. 5. Modify controls of ATB and EB Boxes. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To assure uninterrupted service to deionization equipment. 2. To provide additional ventilation for Mechanical Room. 3. University landscape department prefers to do this work. 4. Equipment supplied was not in accordance with original information. 5. Incorrect and/or change of hood exhaust criteria. 			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION/PHASE II BID PACK #1 - GENERAL WORK Project No. 304.1	2	7/27/88	- 25,437.55
Nature of Change:	<ol style="list-style-type: none"> 1. Credit, caisson rock. 2. Delete footing at northeast corner. 3. Reduce fill slope. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Unit quantity adjustment per Contract documents. 2. Work has been bid under Bid Package #2 and will be done at a later date for better coordination of work. 3. After inspection by Consultant it was found that less area needed filled than that specified. 			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION/PHASE II BID PACK #1 - GENERAL WORK Project No. 304.1	3	9/13/88	+ 7,757.32
Nature of Change:	<ol style="list-style-type: none"> 1. Compensation due to gas line rupture. Extend completion date by 28 calendar days. 2. Relocate 92 l.f. of drain tile from south of the parking structure to the north side of the new tunnel. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Delay of completing road work south of the parking garage due to new gas line installation. 2. To provide ground water seepage protection. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HENDERSON ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK I, ELECTRICAL WORK Project No. 304.1	2	9/7/99	- \$ 4,160.00
Nature of Change:	A credit of 26 cubic yards for rock removal.			
Reason for Change:	Rock removal quantity less than anticipated.			
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. 4, GENERAL Project No. 304.1	1	9/27/88	+ 35,692.00
Nature of Change:	<ol style="list-style-type: none"> 1. Add reinforcing steel to concrete slab at mechanical room, first floor slab. 2. Install pavement trench drain across emergency room drive for collection of spills from leading of jet fuel into tanker, used for the helicopter. 3. Provide and install two stainless steel scrub sinks at trauma room. 4. Provide 72 task lights at laboratory wall shelving, heavy duty shelving, stillwater generator, fold-down grab bars at ICU patient rooms, ice matching, and increase height of laboratory controlled room for 8'0" finished ceiling height. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Design was not complete at time of bidding. 2. Required for safety in case of spillage of jet fuel during unloading operations. Required to prevent spillage in storm sewer system. 3. Items omitted from bid documents. 4. Post-bid review of contract indicated duplication and/or omissions of the above listed items. 			
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. #4 - GENERAL Project No. 304.1	2	10/5/88	+ 85,133.00
Nature of Change:	Provide materials and installation of two additional floors to hospital project.			
Reason for Change:	Design team decided it would be more cost effective and less disruptive to shell the two additional floors immediately; rather than wait until present hospital project was completed.			
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK II, WORK CAT. 13 - ELECTRICAL Project No. 304.1	1	9/30/88	- 2,199.00
Nature of Change:	<ol style="list-style-type: none"> 1. Install vacuum switch, manhole #E-115 and extend wiring to this manhole. 2. Provide fire alarm speaker light in lieu of fire horn/light at each signal location. 3. Delete providing magnetic door closers and provide smoke detectors at each hold/open door location. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Electric manhole E-115 not suitable for new vacuum switch. 2. Fire alarm system revised to match existing hospital system. 3. Changes are needed in order to meet electrical code requirements. 			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	8	7/12/88	+ 17,025.47
Nature of Change:	<ol style="list-style-type: none"> 1. Provide & install drain valves and pit cooling tower. 2. Enlarge existing electrical manhole. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To provide complete drainage of cooling tower to eliminate any potential freezing of cooling tower piping. 2. To provide additional working space around new vacuum switch (high voltage switch). 			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	9	7/12/88	+ 1,752.00
Nature of Change:	<ol style="list-style-type: none"> 1. Provide and install 6" x 6" WS series interior signs with raised copy & vinyl insert. 2. Change two locksets. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Change required to provide signage with additional area for copy. 2. To provide additional security. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	10	7/15/88	+ -0-
Nature of Change:	Tennesco Company will provide steel library shelving in lieu of the Andrew Wilson Company.			
Reason for Change:	The supplier of library shelving has received notice that the manufacturer he had listed in his bid to the General Contractor has entered Chapter 11 Bankruptcy and can no longer supply the materials. The supplier has therefore requested to substitute manufacturers.			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	11	8/10/88	+ \$ 11,792.02
Nature of Change:	Provide and install cast bronze plaque.			
Reason for Change:	Plaque requested by using Department.			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	12	9/6/88	+ 4,894.40
Nature of Change:	<ol style="list-style-type: none"> 1. Provide and install 1" diameter conduit in lieu of 3/4" diameter conduit in location where two computer outlets are supplied by one common conduit. 2. Provide and install insulation in single wall high pressure ductwork. 			
Reason for Change:	<ol style="list-style-type: none"> 1. In certain locations, the 3/4" conduit was not large enough to pull two computer cables; 1" conduit was substituted. 2. Insulation necessary to avoid possible condensation. 			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	13	9/8/88	+ 6,398.45
Nature of Change:	<ol style="list-style-type: none"> 1. Install 20 ampere, three phase circuit from panel "C" to exhaust fan #7 in penthouse; delete magnetic starter. 2. Install 7" round duct from existing duct work to 7" round opening on fume hoods E23 & #24. 3. Install 60" long fume hood and 4-leg multi-flex table 33" deep as outlined for fume hoods 13 and 15. 4. Install 27" x 8" sheet metal chase for plumbing risers in Sample Prep Lab. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Electrical items were not included when fan was added in Addendum No. 1. 2. Added to conform to the actual units supplied. Catalog cuts used during design and shop drawings did not indicate two openings. 3. Adjustment to casework and fume hood revision was required to accommodate the gas griddles which are owner furnished/contractor installed. 4. The sheet metal chase was added to cover plumbing lines. 			
LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	1	7/11/88	+ 105,165.00
Nature of Change:	Provide patching of existing concrete block work as required.			
Reason for Change:	Change necessary due to unforeseen site conditions.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 1 - GENERAL Project No. 425.0	4	9/6/88	+ \$ 32,076.63
Nature of Change:	<ol style="list-style-type: none"> 1. Add wire mesh and vapor barrier to all sidewalks. Move site drainage catch basin at LRC building to eliminate conflict between Bid Pack I & Bid Pack II site plans. 2. Provide 2 hour rating (fire protection) in elevator equipment rooms. Add closure, 2 layers of drywall on each side of studs, 1 fire damper added to 5" round duct, add VAV box 21 relocated outside of this room, add all required interlocks to the AHUs and monitoring equipment. 3. Provide hollow metal frames, glass, structural supports for grid system, eliminate wall and replace with moveable wall; plaster & drywall in the TV Production Department in the Humanities Building as required. 4. Delete clear sealer for all scored block. Paint all scored block with the colors indicated on the Room Finish Schedule. 5. Add pass thru window to Administration Room A-102. Move electrical rough-in for light switch and fire alarm to accommodate new window. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Change required to utilize vehicular maintenance traffic on all sidewalks. 2. The elevator equipment rooms are required to be constructed to a 2 hour fire rating by code. 3. As the Community College fully staff this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies. This is necessary as some of Bid Pack III work cannot be completed until certain restraining work operations of Bid Pack II are complete. 4. The clear sealer will show any and all imperfections in the concrete blocks and their installation. Painting will cover these imperfections and will allow all concrete block to have the same finish. This change will also require less maintenance. 5. The campus information office originally had no easy means to service the students/public. This pass-thru window will allow the students/public easy access to this office without requiring them to enter the office. 			
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK III, WORK CAT. 1 - LANDSCAPING Project No. 425.0	1	8/29/88	-0-
Nature of Change:	Increase completion date by 54 calendar days.			
Reason for Change:	This increase is necessary as some of Bid Pack III work cannot be completed until certain restraining work operations of Bid Pack II are complete. This time extension will not adversely affect the completion date of the project.			
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK III, WORK CAT. 2 - GENERAL Project No. 425.0	1	8/29/88	+ 4,183.40
Nature of Change:	Provide & install carpet and ceiling tile. Paint and perform finish work where required in the TV Production Department of the Humanities Bldg.			
Reason for Change:	As the Community College fully staff this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies. This is necessary as some of Bid Pack III work cannot be completed until certain restraining work operations of Bid Pack II are complete.			
HARTZ CONSTRUCTION CORP. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK I, WORK CAT. #1 - GENERAL Project No. 425.0	3	9/14/88	+ 116,150.00
Nature of Change:	Add ceramic tile to the bathrooms. Delete the present bathroom finishes in these areas that will be replaced by ceramic tile.			
Reason for Change:	The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and aesthetic appearance.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
RUSHER CONSTRUCTION CO. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. #1 - GENERAL Project No. 425.0	5	9/13/88	- \$ 2,389.64
Nature of Change:	<ol style="list-style-type: none"> 1. Delete the present bathroom finishes in the areas that will be replaced by ceramic tile. 2. Revise brick pavers and base at East end of Administration Building. Delete brick pavers, delete the base under eliminated brick pavers. 3. Revise door hardware. Revise wood door and hollow metal frame to accept Group 17. Wood doors to carry "B" label. 			
Reason for Change:	<ol style="list-style-type: none"> 1. The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and esthetic appearance. This work is required to extend electrical rough-in and light fixtures out from present wall to accommodate ceramic tile. 2. The brick paver area was revised in size to eliminate the conflict between Bid Pack I catch basins and Bid Pack II brick paver landscaping. This change will bring the two phases into agreement with the overall site plans as defined by the Architect. 3. Special utilization of these areas require certain type doors and door hardware to be installed in order to be in compliance with the applicable codes. These doors and hardware require upgrading to meet these current codes. 			
LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 2 - MASONRY Project No. 425.0	2	8/29/88	+ 825.00
Nature of Change:	<ol style="list-style-type: none"> 1. Delete hollow metal frame between two openings. Leave square corners on masonry opening. Change out with another door and hardware. 2. Add pass thru window to Administration Room A-1U2. Move electrical rough-in for light switch and fire alarm to accommodate new window. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Since the Student Center was not constructed during this building phase, several modifications and adaptations to the other buildings had to be made to accommodate the Student Center facilities. This change will help facilitate these requirements. 2. The campus information office originally had no easy means to service the students/public. This pass-thru window will allow the students/public easy access to this office without requiring them to enter the office. 			
LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 2 - MASONRY Project No. 425.0	3	9/27/88	+ 2,599.00
Nature of Change:	<ol style="list-style-type: none"> 1. Repair walls & replace concrete blocks due to setting of ceramic tile. 2. Add casework. Revise/relocate wall hydrant on LRC at column D2 to miss downspout. 			
Reason for Change:	<ol style="list-style-type: none"> 1. The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the U.K. system. This room finish will require less maintenance and provide a more sanitary environment and esthetic appearance. This work repairs walls where plumbing rough-ins were extended for ceramic tile. 2. These pieces of casework were eliminated from Bid Pack II. The utilizing entity now requires these items to implement their academic mission in these buildings. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HAGERMAN PLUMBING/HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 3 - MECHANICAL Project No. 425.0	3	8/29/88	+ \$ 1,455.90
Nature of Change:	1. Provide 2 hour rating (fire protection) in elevator equipment rooms. Add closure, 2 layers of drywall on each side of studs, 1 fire damper added to 5" round duct, add VAV box 2i relocated outside of this room, add all required interlocks to the AHUs and monitoring equipment.			
Reason for Change:	1. The elevator equipment rooms are required to be constructed to a 2 hour fire rating by code.			
HAGERMAN PLUMBING/HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 4 - PLUMBING Project No. 425.0	4	8/29/88	+ 379.36
Nature of Change:	1. Add steam valve & piping to instructor's table in Science S-205. 2. Change casework. 3. Modify twelve seats in Humanities Bldg. Room H-132 to be removable.			
Reason for Change:	1. Steam is required at this station. It is already present at the students' stations. 2. Casework relocation to different positions within this room will now require certain pieces to have end panels installed. This relocation of casework will allow more efficient use of the room space. 3. This change will allow for removable seating in the lecture/classroom to accommodate handicap access/utilization.			
HAGERMAN PLUMBING/HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 4 - PLUMBING Project No. 425.0	5	9/14/88	+ 7,073.94
Nature of Change:	Extend plumbing lines and fixtures due to ceramic tile addition.			
Reason for Change:	The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and aesthetic appearance. This work is required to extend plumbing rough-in and plumbing fixtures out from present wall to accommodate ceramic tile.			
LEONARD JOHNSON ELECTRIC GLASGOW	OWENSBORO COMMUNITY COLLEGE BID PACK II, WORK CAT. 6 - ELECTRICAL Project NO. 425.0	3	8/29/88	+ 95,155.00
Nature of Change:	1. Delete hollow metal frame between two openings. Leave square corners on masonry opening. Change out with another door and hardware. 2. Supply dimmer equipment and all accessories for electrical system in the TV Production Department of the Humanities Building as required.			
Reason for Change:	1. Since the Student Center was not constructed during this building phase, several modifications and adaptations to the other buildings had to be made to accommodate the Student Center facilities. This change will help facilitate these requirements. 2. As the Community College fully staff this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LEONARD JOHNSON ELECTRIC GLASGOW	OWENSBORO COMMUNITY COLLEGE BLD PACK II, WORK CAT. 6 - ELECTRICAL Project No. 425.0	4	9/13/88	+ \$ 692.26
Nature of Change:	Extend electrical rough-in and light fixtures and receptacles due to the addition of ceramic tile.			
Reason for Change:	The utilizing entity requires this type of room finish to keep this facility consistent with other facilities within the UK system. This room finish will require less maintenance and provide a more sanitary environment and aesthetic appearance. This work is required to extend electrical rough-in and light fixtures out from present wall to accommodate ceramic tile.			
KENTUCKY-INDIANA FIRE PROTECTIONS OWENSBORO	OWENSBORO COMMUNITY COLLEGE BLD PACK II, WORK CAT. 5 - FIRE PROTECTION Project No. 425.0	2	8/29/88	+ 428.00
Nature of Change:	Provide additional sprinkler heads in the TV Production area of the Humanities Building as required.			
Reason for Change:	As the Community College fully staffs this department, the curriculum and facility requirements have been established and defined. This change will implement the TV Production area program definition for the Humanities studies.			
RUSHER CONSTRUCTION CO. OWENSBORO	OWENSBORO COMMUNITY COLLEGE BLD PACK III, WORK CAT. #2 - GENERAL Project No. 425.0	2	9/13/88	- 3,756.77
Nature of Change:	Add casework in two rooms of LRC Building. Revise/relocate wall hydrant on LRC to miss downspout. All LRC casework to be keyed separately to new master group. Add casework in one room of Administration Building.			
Reason for Change:	These pieces of casework were eliminated from Bid Pact III. The utilizing entity now requires these items to implement their academic mission in these buildings.			
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	12	8/10/88	+ 20,867.29
Nature of Change:	<ol style="list-style-type: none"> 1. Provide labor & materials to remove existing mud seam discovered along column line E and place additional concrete and reinforcing to prepare stable footing support for building foundation wall and footings. 2. Provide labor and materials to extend electrical service to dock leveler and control switch. 3. Provide two additional 3' wide personnel gates, in chain link construction fence. 4. Provide labor and materials to install four additional 3" rigid conduit from existing mechanical room; Anderson Hall to Communications Room B-11. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Mud seam not found during subsurface exploration. 2. Electrical service not shown on bid documents. 3. Required by Lexington Fire Department. 4. Item requested by Daryoush Marefat to provide a conduit connection between Robotics and Anderson Hall. 			
C. C. LEE, INC. LEXINGTON	MEDICAL CENTER DIETARY CONVEYOR REPLACEMENT Project No. 433.0	2	7/12/88	-0-
Nature of Change:	Adjust project completion for Phase III work from 91 calendar days to 113 calendar days.			
Reason for Change:	Contractor incurred 22 calendar days delay by other contractor's equipment not being delivered and could not work until equipment was received.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WHITE AND CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 466.0	8	1/14/88	+ \$ 1,597.00
Nature of Change:	<ol style="list-style-type: none"> 1. Provide two door pulls. 2. Remove circuits as installed and re-circuit to mechanical Room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Door hardware schedule did not include exterior pulls. 2. Recircuiting was required to bring first floor corridor lighting to feed from same panel as second floor. 			
WHITE AND CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	9	9/30/88	- 687.00
Nature of Change:	<ol style="list-style-type: none"> 1. Revise frame for door 105. 2. Provide magnetic door holders for openings. 3. Provide aluminum coping with exposed cover plates in lieu of concealed plates as specified. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Door and frame schedule called for 7'-2" frame. Actual height of pre-cast wall was 8'-0". 2. Doors were roughed-in electrically for hold-open devices and Community College requested installation be completed to benefit handicapped students. 3. Manufacturer provided exposed plates in lieu of concealed plates. They have been installed and contractor has offered the credit to leave as is. 			
AKERS & AKERS CONSTR. DANA, KY	HAZARD COMMUNITY COLLEGE TECHNICAL/L.R.C. BUILDING Project No. 469.0	2	8/4/88	+ 5,402.25
Nature of Change:	<ol style="list-style-type: none"> 1. Provide 2" telephone conduit. 2. Relocate 6" x 6" wireway from vertical chase to location as required. 3. Stain & finish all wood doors. 4. Install one junction box with corner plate in concrete floor. Tie 2" conduits into wireway. Relocate 240V duplex from wall to location in floor. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To accommodate relocated (future) switchboard and revised location of library furniture. 2. Code violation. 3. To match furniture color selection. 4. Needed to accommodate school's new computer. 			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	9	7/14/88	+ 16,323.00
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish and install additional materials and related work for providing one Simplex annunciator panel, one telephone dialer and one printer. 2. Furnish and install additional materials and related work for providing six floor receptacles in Room 139. Work shall consist of saw cutting existing floor slab, installing new floor boxes at the new locations, filling in the new trench with concrete and capping six floor receptacles at east end of room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Required to meet Kentucky Building Code Compliance. 2. Floor mounted electrical receptacles to work with intended layout of work stations in Room 139. 			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	10	8/8/88	+ 553.00
Nature of Change:	Provide 170 lineal feet of additional wiring and wireway from Simplex Annunciator panel in the vestibule of the main entrance to the Simplex printer located in the Business Manager's office at the east side of the building.			
Reason for Change:	Simplex printer was relocated.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	11	9/14/88	+ \$ 1,850.00
Nature of Change:	Provide reinforced concrete supports for two condenser units. Supports shall be 8" wide concrete grade beams extending 2'-6" below grade and 6" above the gravel base.			
Reason for Change:	Above described supports required for condensing units. Supports omitted from specifications.			
DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE L.R.C./TECHNICAL BUILDING Project No. 471.0	8	7/11/88	+ 3,099.47
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish and install computer circuits on second floor. 2. Furnish and install additional wall phone outlets on the second floor. 3. Delete two light poles. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Community College is standardizing their computer rooms (including furnishings) and outlets had to be relocated to match revisions. 2. Additional outlets required due to revisions of furnishings and outlets missing on drawings. 3. Removal of existing parking lot light poles were in contract, but utility company will not permit private contractor to perform work on their distribution lines. 			
DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE L.R.C./TECHNICAL BUILDING Project No. 471.0	9	8/16/88	+ 4,008.00
Nature of Change:	<ol style="list-style-type: none"> 1. Pre-cast yard main, piping and associated grading. 2. Hook-up water line to steam generator. 3. Replace existing sidewalk and extend new sidewalk. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Alleviate potential high water into Martin Building. 2. Consultant omission. 3. Required for grade change to promote positive drainage. 			
DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE L.R.C./TECHNICAL BUILDING Project No. 471.0	10	8/16/88	+ 1,657.00
Nature of Change:	<ol style="list-style-type: none"> 1. Delete tape dialer; add digital dialer. 2. Fire extinguisher added in elevator pump room. 3. Add drywall soffits to two windows. 4. Raise manhole rim elevations. 5. Wall modification in mechanical room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Retroactive code requirement. 2. Code requirement 3. Not shown on drawings, but required to conceal unfinished structural framing of building. 4. Grading adjustments required to tie new walks to existing walks. Raising of manhole required to bring them to finish grade. 5. Damper had to be relocated from location shown on drawings due to equipment placement in the room. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
R & W CONSTRUCTION LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	3	9/27/88	+ \$ 22,621.91
Nature of Change:	<ol style="list-style-type: none"> 1. Add entrance chase with High Pressure End Main Drip for the steam line entrance in mechanical room. 2. Revise natural gas line. 3. Provide test wells. 4. Install outlet box, conduit, wire and connection to closest receptacle circuit and connect to a motorized projection screen in two rooms. Install circuit wire and switch. 5. Revise specifications to require Leslie Model GPD or equal control valve. 6. Revise roof drains and storm sewer connections as indicated on drawings. 7. Relocate floor drains in three rooms and add a floor drain in room 172. Install 4" gate valve in condensate return line in seedhouse mechanical room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Required to permit draining of high pressure steam piping system at the building. 2. Required to comply with Columbia Gas requirements for ownership of gas line. 3. Agency regulations required additional tests and protection. 4. Use of motorized screen required electrical outlet box adjacent to screen controller. Installation of manual control switch and hard wiring to 110 volt power source. Electrical power service to those doors was not included in the original design. 5. Required to meet UK standards. Leslie Model GPD required for ease of maintenance and inventory of spare parts. 6. These items were not included in the original design. 7. Required to reflect location shown on latest issue of Drawings. Floor drain was omitted from drawings. 			
R & W CONSTRUCTION CO. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project NO. 478.0	5	8/10/88	+ 5,622.55
Nature of Change:	<ol style="list-style-type: none"> 1. Replace 6" diameter ceiling diffuser with 8" diffuser. 2. Close openings in third floor mechanical shaft. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Required to reduce noise level in office area. 2. Code requirement. 			
R & W CONSTRUCTION CO. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project NO. 478.0	6	9/27/88	+ 711.77
Nature of Change:	<ol style="list-style-type: none"> 1. Remove wood 2 x 4's in top of elevator hoistway. Plug holes with non-shrink grout. 2. Install pressure taps at the two chilled water pumps. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Code states that no combustible material is allowed in hoistway. Holes must be sealed for isolation of shaft. 2. Required for evaluation of chilled water system. 			
GRIGGS ENTERPRISES, INC. LEXINGTON	MCVEY HANDICAP ACCESS Project No. 502.0	3	7/19/88	+ 1,740.00
Nature of Change:	Provide smoke detectors in each elevator lobby and in elevator equipment room.			
Reason for Change:	State Fire Marshall requirements.			
HIBBITS CONSTRUCTION LONDON	ASBESTOS ABATEMENT STUDENT CENTER BALLROOM ROOF	1	7/14/88	-0-
Nature of Change:	<ol style="list-style-type: none"> 1. Change manufacturer of metal roof deck. 2. Extend time of completion. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Original manufacturer could not deliver items on time. 2. Work order dates were incorrect. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
JOE M. HAWKINS & CO. LEXINGTON	TENNIS BUILDING INTERIOR RENOVATION Project No. 510.0	1	8/4/88	+ \$ 245.00
Nature of Change:	1. Add extra lighting materials.			
Reason for Change:	2. Some items were omitted from plans.			
THE WAGNER COMPANY LEXINGTON	STUDENT CENTER INTERIOR RENOVATION Project No. 524.0	1	7/21/88	+ 1,962.15
Nature of Change:	1. Paint vestibule, including window units at entry. 2. Provide fascia boards where shade boxes were removed.			
Reason for Change:	1. Schedule on plans did not include Vestibule as part of contract. 2. Plaster finish has been omitted behind shade boxes. Information was not shown on as-built drawings.			
WHITE CONSTRUCTION CO. LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project NO. 852.0	1	8/30/88	+ 12,350.04
Nature of Change:	1. Extend sprinkler risers to 19th floor & paint. 2. Remove existing concealed spline ceiling and grid on 19th floor stairs. Install new ceiling tile in same areas per Unit Cost. 3. Install additional fire stop material in existing holes and penetrations found in corridor walls, as directed in field by Resident Inspector. 4. Add piping valve on 19th floor, rewire speakers on 18th floor, and add 3-way switch in basement.			
Reason for Change:	1. Sprinkler risers was a concealed field condition and could not be verified by Engineers until pipe chase was opened up during construction. Existing paint colors could not be matched, therefore, contractor requested to perform additional painting. 2. Architect did not realize ceiling would have to be partially removed to hang pipe supports to structure above. The sprinkler pipes are below ceiling height, but hung from above to structure. Concealed spline ceiling can not be patched. 3. This work was anticipated when designing the project, but unknown quantities until field verified. 4. Piping valve and rewiring speakers were not shown on plans; 3-way switch was relocated.			
MCNEESE CONSTRUCTION LEXINGTON	FARMHOUSE FRATERNITY FIRE SAFETY Project No. 870.0	1	9/22/88	+ 1,840.00
Nature of Change:	Upgrade horn and strobe lights in fire alarm system in first floor & second floor corridors.			
Reason for Change:	Alarm horns originally installed did not create high enough db rating inside rooms for sleeping occupants to detect.			
MCNEESE CONSTRUCTION LEXINGTON	KAPPA ALPHA FRATERNITY FIRE SAFETY Project No. 871.0	1	9/22/88	+ 1,955.00
Nature of Change:	Upgrade horn and strobe lights in fire alarm system in first floor & second floor corridors.			
Reason for Change:	Alarm horns originally installed did not create high enough db rating inside rooms for sleeping occupants to detect.			

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
CONTRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR COMPLETION Project No. 402.0	\$ 914,672	7/13/88
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COMMUNITY COLLEGE COOLING TOWER Project No. 476.0	75,073	7/21/88
DEERINGER & SON, INC. LEXINGTON	CENTRAL FACILITY ROOF REPLACEMENT Project No. 505.0	111,145	7/28/88
DAVID ENGINEERING LOUISVILLE	FOOTBALL TRAINING FACILITY DOOR CHANGES/HOLLOW METAL Project No. 211.3	5,570	8/10/88
EPPERSON ELECTRIC SOMERSET	SOMERSET COMMUNITY COLLEGE PARKING LOT LIGHTING Project No. 466.1	16,400	8/19/88
EPPERSON ELECTRIC SOMERSET	SOMERSET COMMUNITY COLLEGE STONER HALL DARKROOM VENTILATION Project No. 546.0	3,236	8/26/88
KENTUCKY POWER COMPANY PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE A/T BUILDING Project No. 471.	7,696	8/29/88
NORWOOD CONSTRUCTION COMPANY LEXINGTON	DEMOLITION OF STRUCTURES 448 CLIFTON AVENUE Project No. 592.0	3,764	8/31/88
NORWOOD CONSTRUCTION COMPANY LEXINGTON	DEMOLITION OF STRUCTURES 417 LINDEN WALK Project No. 593.0	3,900	8/31/88
P & R ROOFING COMPANY LEXINGTON	KIRWAN III & IV ROOF REPLACEMENT Project No. 503.0	83,400	9/2/88
EUBANK & STEEL LEXINGTON	MINING & MINERALS RESOURCE BLDG. PHASE III - INTERIOR Project No. 115.2	3,170	9/6/88
FIDELITY CONSTRUCTION CO. ALVANTON	MADISONVILLE COMMUNITY COLLEGE RESEAL/STRIP EXTERIOR WINDOWS Project No. 367.0	13,114	9/14/88

Office of the President
January 24, 1989

FCR 4

Members, Board of Trustees:

AMERICAN FOOTBALL COACHES RETIREMENT TRUST

Recommendation: That the American Football Coaches Retirement Trust 401(k) plan be made available for eligible University of Kentucky football coaches voluntary tax sheltered contributions on or after January 1, 1989.

Background: The American Football Coaches Association actively promoted the passage of legislation through the United States Congress that would allow certain associations of football coaches to have a qualified pension plan which includes a qualified cash or other arrangement. This tax sheltered investment plan was established under Code Section 401(k). Congress passed and the President signed this legislation that enabled a 401(k) plan to be established. The American Football Coaches Association adopted the American Football Coaches Retirement Trust (AFCRT) as the vehicle that qualifies as a profit sharing plan under 401(a) and as a cash or deferred arrangement under Section 401(k) of the Internal Revenue Code.

The University of Kentucky football coaches are eligible to participate in the American Football Coaches Retirement Trust and the 401(k) plan that has been established.

The major impetus for the establishment of this transportable plan arose as a result of coaches transferring from institution to institution over the course of their career and as a result failing to build up or establish reasonable retirement benefits. More often than not, retirement plans at different schools are different including when an individual may become fully vested so that the end result is an inadequate retirement benefit.

The University of Kentucky is fortunate in that it has for a number of years, offered its football coaches a portable plan utilizing TIAA/CREF. UK football coaches have also been fully vested from the date of enrollment into the plan. UK football coaches have also had the opportunity to participate in voluntary tax sheltered plans that are fully transferable.

It is anticipated that the AFCRT will generate additional income for its members through various licensing agreements. The University has been advised that agreements have already been signed with Domino's Pizza and Wilson's Sporting Goods. It is our understanding that for the coaches to share in the revenue that will be produced by such agreements, they must be an active participant in the AFCRT. This resolution is concerned with making the AFCRT 401(k) plan available for eligible University of Kentucky football coaches for the purpose of voluntary only contributions. The football coaches have not requested that this plan be made available for retirement plan contributions.

Action: Approved Disapproved Other

Date: January 24, 1989

Office of the President
January 24, 1989

FCR5

Members, Board of Trustees:

RECEIPT OF AN ENDOWMENT FROM THE KNIGHT FOUNDATION
AND ESTABLISHMENT OF THE JOHN S. AND ELIZABETH KNIGHT
PROFESSORSHIP IN EQUINE VETERINARY SCIENCE

Recommendation: that the Board of Trustees receive an endowment grant of \$850,000 from the Knight Foundation of Akron, Ohio, for the establishment of the John S. and Elizabeth Knight Professorship in Equine Veterinary Science for conducting research into the causes and prevention of equine lameness.

Background: On January 6, 1989, Mr. Creed Black, Executive Director of the Knight Foundation, met with University officials and presented a check to the University of Kentucky Equine Research Foundation, Inc. in the amount of \$850,000. The terms of this grant require that it be held as an endowment for the establishment of the John S. and Elizabeth Knight Professorship in Equine Veterinary Science which shall be for researching causes and prevention of equine lameness. The principal of the grant may not be invaded and only the income therefrom may be utilized for the above specified purpose. The University is required to furnish an audit statement each year to the Knight Foundation certifying that the principal remains intact. This generous gift from the Knight Foundation had the endorsement of Mr. Lewis Owens and his associates with The Lexington Herald-Leader.

Action taken: Approved Disapproved Other

Date: January 24, 1989

Office of the President
January 24, 1989

FCLR 6

Member, Board of Trustees:

SALE OF TRACT C OF THE SOUTH FARM

Recommendation: that the Board of Trustees authorize the Vice Chancellor for Administration of the Lexington Campus to conclude the sale of tract "C" of the South Farm for \$205,000 to Ms. C. Elizabeth Zantker, 2999 Clays Mill Road, Lexington, Kentucky. This is a 10.09 acre triangular tract east of Nicholasville Road. All conditions of the guidelines for the disposition of real property have been followed.

Background: The Board of Trustees on May 5, 1987 approved the sale of the South Farm with the provision that all terms and conditions of the sale be returned to the Board for final approval; and that the proceeds from the sale be reserved for capital projects in the College of Agriculture. Two sealed bids were received for this tract of land designated as Tract "C". Tract "C" is to be sold at this time. This 10.09 acre triangular tract of land is bounded on the west by Nicholasville Road, on the South by Higbee Mill Road Extended, and to the east by a subdivision. Conditions of the sale included a sealed bid, single lump sum bid equal to or greater than the appraised price of \$204,323, approval of a preliminary subdivision development plan, and the approval by the University of Kentucky Board of Trustees.

Action taken: Approved Disapproved Other

Date: January 24, 1989