

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday October 19, 1993.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 19, 1993 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mrs. Marian Sims.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt, (Chairperson), Mrs. Kay Shropshire Bell, Mr. Paul Chellgren, Mr. Robert N. Clay, Mr. Lance Dowdy, Mr. James Hardyman, Mr. Henry Jackson, Professor Loys Mather, Dr. Robert P. Meriwether, Dr. Elissa Plattner, Dr. Deborah Powell, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Professor John Sistarenik, Jr., Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Jr., Mrs. Lois C. Weinberg, Mr. Martin Welenken, and Mr. Billy B. Wilcoxson. Absent from the meeting was Judge Henry R. Wilhoit, Jr. The University administration was represented by President Charles T. Wethington, Jr., Chancellors Peter P. Bosomworth, Ben W. Carr, Jr., and Robert E. Hemenway; Vice Presidents Joseph T. Burch, Edward A. Carter, Donald B. Clapp, Linda J. Magid, and Eugene R. Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. John C. Darsie, Legal Counsel.

Members of the general public and the news media were also in attendance. A quorum being present, Governor Breathitt declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the September 21, 1993 meeting had been distributed and asked for additions or corrections. Mr. Clay moved that the Minutes be approved as distributed. His motion, seconded by Mrs. Sims, passed.

D. President's Report to the Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. A \$500,000 gift from Ashland Oil Inc. has put the UK library fund-raising campaign for a new library at \$20,360,372, surpassing the initial goal of \$20 million. President Wethington expressed appreciation to Paul Chellgren for his role in helping with this gift and to John Hall and the Ashland Oil Corporation for their support for the University.
2. Elvin Feltner, a New York businessman who grew up in Perry County, has pledged \$1 million to the Campaign for Hazard Community College in support of the college's proposed Student, Performing Arts and Regional Conference Center. The pledge was made in the form of a challenge gift to be matched with an additional \$1 million in pledges or gifts.

3. A study conducted by UK for the Kentucky Advocates for Higher Education shows that for each tax dollar Kentuckians put into support of higher education in fiscal year 1991-92, they received back \$3.40 of total spending in the Kentucky economy for a total of \$2.29 billion.
4. Lexington Community College's 1993 dental laboratory technology graduates placed second in the nation on the recognized graduate examination which ranks 40 other dental laboratory technology programs. This is the eighth year that LCC has ranked in the top four nationally.
5. Ground was broken for a \$9 million Southeast Community College/Kentucky Tech campus at Middlesboro on October 4. The campus, expected to be completed in 1995, will include an administration building, an applied science building and a classroom building.
6. The University of Kentucky Markey Cancer Center is participating in the nation's first large-scale prostate cancer prevention trial. The study is designed to determine whether the drug finasteride (Proscar) can prevent prostate cancer.
7. The first two patients with carcinoembryonic antigen (CEA-positive) gastrointestinal tumors have been treated with a first-of-a-kind vaccine therapy at the UK Markey Cancer Center as part of a clinical research study. The vaccine is made of an antibody which is expected to activate the patient's immune system so it will reject the cancer. It is the first of its kind to be used in the United States.

President Wethington asked the Board to review the other items in his report at their leisure.

As a part of the President's Report, President Wethington called on Chancellor Hemenway and Dean J. John Harris, College of Education, to brief the Board on the Teacher Preparation Program at the University.

Chancellor Hemenway said that the Kentucky Education Reform Act (KERA) has had a profound impact on the way that universities as well as public schools do business. For example, the whole university has come to recognize the responsibility for the total system of public education. It is one system -- pre-school through graduate school. He said that every part of the University has been involved with the implementation of KERA; however, the most profound impact has been on the College of Education, particularly on its training of teachers. The College must build from the good things that it has done in the past, but it also has to transform teacher education now for the future. He asked Dean J. John Harris to share some of the College of Education efforts toward this goal.

Dean Harris reported that KERA is the most comprehensive education reform in these United States. It provides for a complete restructuring of the state's educational system in three areas: governance, curriculum

and finance. He said that KERA has many implications for how colleges and universities in this state will prepare the teachers for the future. He shared a few highlights of the college's program and gave a brief video presentation on the College's teaching, advising and assessing efforts on behalf of KERA.

Dean Harris also passed out a booklet summarizing some of the major highlights of the College. Several students were in attendance and were introduced, following which they received a round of applause.

President Wethington thanked Dean Harris for his presentation and asked the Board to feel free to address questions to Dean Harris and the students. A question and answer session followed.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Shoop moved approval. Mrs. Weinberg seconded the motion, and it carried. (See PR 2 at the end of the Minutes.)

F. Associate Degree Program in the Community College System - Maysville Community College (PR 4A)

President Wethington recommended that the Board authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Environmental Science Technology, for the Maysville Community College. Mr. Hardymon moved approval. His motion, seconded by Dr. Meriwether, passed. (See PR 4A at the end of the Minutes.)

President Wethington noted that as a result of the actions of the Governor's Higher Education Review Commission and the Council on Higher Education action to defer consideration of new programs for this interim period while the Commission is doing its work, this new program will not be submitted to the Council until the Council indicates that it will entertain new degree programs.

G. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1993 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met at 11:00 a.m. and reviewed six items to present to the Board for action. FCR 1 consists of all of the financial statements of the University and three affiliated corporations. He reported that Sam Strader and Susan Straus, representatives from Coopers and Lybrand, were at the Finance Committee meeting and provided a full report, including a review of the SAS 61 letter which charges accounting firms with how they must educate or talk to a Finance Committee. He made a motion that the Board accept the Financial Statements. Mr. Jackson seconded the motion, and it carried. (See FCR 1 at the end of the Minutes.)

H. Capital Construction Report (FCR 2)

Mr. Hardymon reported that FCR 2 is a quarterly Capital Construction Report for the three months ending September 30, 1993. He reviewed the report and made a motion that the Board accept it. His motion, seconded by Mr. Chellgren, carried. (See FCR 2 at the end of the Minutes.)

I. Approval of Lease (FCR 3)

Mr. Hardymon reported that FCR 3 is a standard approval of a lease in excess of \$30,000 and must be approved by the Board. He reviewed the terms of the lease and recommended approval. Mr. Chellgren seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

J. Liberty National Bank Pledge (FCR 4)

Mr. Hardymon moved that the Board accept a pledge of \$100,000 from Liberty National Bank to the Commonwealth Library Campaign. He reported that the first \$20,000 has been received and the remainder will be paid over a five-year period. His motion was seconded by Mr. Ulmer and carried. (See FCR 4 at the end of the Minutes.)

K. Jamal Mashburn Scholarship Fund (FCR 5)

Mr. Hardymon moved that the Board accept the commitment of Jamal Mashburn for a pledge of \$500,000 to the University of Kentucky, payable over five years, for the Jamal Mashburn Scholarship Fund. He said that the primary funding will be to establish Kentucky Excel, a program for economically challenged and underrepresented students who would not otherwise have the opportunity to attend college. He provided information about the program and said that the pledge is getting attention from across the country. Mrs. Sims seconded the motion for approval, and it passed. (See FCR 5 at the end of the Minutes.)

L. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$27,530,000 (plus or minus up to \$2,750,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G, Series H and Series I (Third Series), Dated October 1, 1993 (FCR 6)

Mr. Hardymon said that FCR 6 is for the purchase of \$27,530,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds. This is a bond issue to refinance some of the debt. He reported that four bids were received and tabulated. Goldman, Sachs & Co. had the winning bid of 4.3745%. He quoted the cumulative savings (\$1,620,000), the present value savings (\$1,285,000) and the annual savings (\$125,000 per year) to the University and moved that the Board accept the Goldman, Sachs & Co. bid. His motion, seconded by Mr. Wilcoxson, passed. (See FCR 6 at the end of the Minutes.)

Mr. Hardymon said that he was pleased to report that the cumulative savings of all re-financing of revenue bonds to date is \$6.5M and the annual savings is \$543,000.

M. President Wethington's Comments

President Wethington called attention to the University of Kentucky Report '93 and noted that it represents the University's performance for 1992-93. He commended the staff who worked on the Report and encouraged the Trustees to read the document.

N. Meeting Adjourned

There being no further business to come before the Board, the meeting adjourned at 1:50 p.m.

Respectfully submitted,

Lois C. Weinberg
Secretary
Board of Trustees

(PR 2 and 4A and FCR's 1, 2, 3, 4, 5, and 6 which follow are official parts of the Minutes of the meeting.)

/8620

Office of the President
October 19, 1993

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other

Date: October 19, 1993

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. LEAVE OF ABSENCE

Martin School of Public Administration

Berger, Philip, Professor (with tenure), Martin School of Public Administration, Sabbatical leave with full salary, Spring Semester 1994.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENT

Libraries

Reid, Kevin B., Librarian IV (without tenure, Special Collections, 9/1/93 through 8/31/94.

B. ADMINISTRATIVE APPOINTMENT

Environmental Health and Safety

Restivo, Paul, appointed Director, Occupational Health, effective 10/11/93.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Elizabethtown Community College

Handy, Ty J., Assistant Professor in the Community College, System, appointed Dean for Academic Affairs, effective 9/1/93.

B. EARLY RETIREMENTS

Paducah Community College

Moss, Jacqueline, Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 25.5 consecutive years of service, effective 12/31/93.

C. POST-RETIREMENT APPOINTMENTS

Office of the Chancellor

Muth, Joe, CC Bookstore Accountant, part-time, 11/1/93 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Elizabethtown Community College

Handy, Ty J., Assistant Professor in the Community College System, 9/1/93 through 6/30/94.

Hazard Community College

Morgan-Caldwell, Venita, Instructor in the Community College System, 8/17/93 through 12/31/93.

Nchami, Mbibong I., Instructor in the Community College System, 9/1/93 through 6/30/94.

Jefferson Community College

Bright, Virginia, Instructor in the Community College System (voluntary), 9/1/93 through 5/31/96.

Clarke, Rachel, Instructor in the Community College System (voluntary), 9/1/93 through 5/31/96.

Oliver, Tawana, Instructor in the Community College System, 9/13/93 through 6/30/94.

Snyder, Dave, Instructor in the Community College System (voluntary), 9/1/93 through 5/31/96.

Woods, George, Instructor in the Community College System, 9/13/93 through 6/30/94.

Lexington Community College

Armes, Catherine A., Instructor in the Community College System, 8/31/93 through 6/30/94.

Madisonville Community College

Fitzgerald, Patricia I., Assistant Professor in the Community College System, 9/20/93 through 6/30/94.
Richardson, Zindell, Instructor in the Community College System (voluntary), 9/20/93 through 6/30/96.

Paducah Community College

West, Tommy, Instructor in the Community College System (voluntary), 9/1/93 through 9/1/96.

Somerset Community College

Ballard, Linda K., Assistant Professor in the Community College System, 1/1/94 through 6/30/94.

Southeast Community College

Cloud, Harriet, Instructor in the Community College System, 9/10/93 through 6/30/94.
Wilde, James M., Instructor in the Community College System, 8/23/93 through 6/30/94.

B. REAPPOINTMENTS

Hazard Community College

Brunty, Helen M., Instructor in the Community College System, 9/1/93 through 6/30/94.
Hatch, Robert, Instructor in the Community College System, 9/1/93 through 6/30/94.

Lexington Community College

Trinler, Dan R., Instructor in the Community College System (voluntary), 9/1/93 through 6/30/96.

Southeast Community College

Newport, J.C., Instructor in the Community College System (voluntary), 8/1/93 through 2/28/94.

C. LEAVES OF ABSENCE

Lexington Community College

Beatty, Eunice, Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1994 Spring Semester.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Engineering

Grulke, Eric A., Professor (with tenure), Chemical Engineering, effective 11/1/93.

B. RETIREMENTS

College of Agriculture

Hays, Virgil W., Professor (with tenure), Animal Sciences, after 26 consecutive years of service, under Section III.B of AR II-1.6-1, effective 9/30/93.

Rooney, James R., Professor (with tenure), Veterinary Science, after 18 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/93.

College of Arts and Sciences

Ringe, Donald A., Professor (with tenure), English, after 28.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/93.

College of Education

Russell, Ruby N., Administrative Assistant I, Student Services, after 15 consecutive years of service, under Section III.B of AR II-1.6-1, effective 11/19/93.

C. EARLY RETIREMENTS

College of Agriculture

Ham, Betty J., Publication Production Manager I, Agricultural Communications Services, after 40.5 consecutive years of service, under Section III.A.1 of AR II-1.6-1, effective 12/31/93.

College of Education

Threadgill, Barbara, Administrative Staff Officer III, Office of the Dean, after 26 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 11/18/93.

Vice Chancellor for Administration

Hatton, Charles H., Automotive Mechanic, Garage,
Physical Plant Division, after 15.5 consecutive
years of service, under Section III.A.2 of AR
II-1.6-1, effective 1/28/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Arnold, Mark, Instructor (part-time), Horticulture and
Landscape Architecture, 9/1/93 through 12/31/93.
Aten, D. Lyle, Visiting Instructor, Horticulture and
Landscape Architecture, 8/23/93 through 5/31/94.
Boyer, Mark, Instructor (part-time), Horticulture and
Landscape Architecture, 9/1/93 through 12/31/93.
Davis, Molly M., Instructor (part-time), Horticulture
and Landscape Architecture, 9/1/93 through 12/31/93.
Manning, Chris, Instructor (part-time), Horticulture and
Landscape Architecture, 9/1/93 through 12/31/93.

College of Arts and Sciences

Adler, Elizabeth, Instructor (part-time), Women Studies,
8/16/93 through 12/31/93.
Barr, Leila B., Instructor (part-time), English, 8/16/93
through 12/31/93.
Christ, Alice T., Assistant Professor (temporary), Art,
and Assistant Professor (temporary), Honors,
Undergraduate Studies, 8/1/93 through 6/30/94.
Cunningham, Mark E., Instructor (part-time), English,
8/16/93 through 12/31/93.
Das, Chandan K., Instructor (part-time), Physics and
Astronomy, 8/16/93 through 12/31/93.
Dunn, Timothy J., Instructor (part-time), English,
8/16/93 through 12/31/93.
Hall, Christopher K., Instructor (part-time), Physics
and Astronomy, 8/16/93 through 12/31/93.
Holle, Bruce F., Instructor (part-time), Classics,
9/1/93 through 12/31/93.
Kaiser, David A., Instructor (part-time), English,
8/16/93 through 12/31/93.
Keller, Teresa L., Instructor (part-time), English,
8/16/93 through 12/31/93.
Mangine, Steven J., Instructor (part-time), Psychology,
8/16/93 through 12/31/93.
McGuire, Susan, Instructor (part-time), English, 8/16/93
through 12/31/93.
Mooney, Jennifer L., Instructor (part-time), English,
8/16/93 through 12/31/93.
Mooney, Stephen D., Instructor (part-time), English,
8/16/93 through 12/31/93.
Morley, Elizabeth L., Instructor (part-time), English,
8/16/93 through 12/31/93.

Presnell, Barbara, Instructor (part-time), English,
8/16/93 through 12/31/93.
Ross, Beverly J., Instructor (part-time), French, 9/1/93
through 12/31/93.
Sheard, Robert F., Instructor (part-time), English,
8/16/93 through 12/31/93.
Shearer, Elizabeth A., Instructor (part-time), English,
8/16/93 through 12/31/93.
Slusher, Kathleen C., Instructor (part-time), English,
8/16/93 through 12/31/93.
Smith, Walter Fred II, Instructor (part-time), Russian
and Eastern Studies, 8/16/93 through 12/31/93.
Su, Wen, Instructor (part-time), Physics and Astronomy,
8/16/93 through 12/31/93.
Takahashi, Maki, Instructor (part-time), Russian and
Eastern Studies, 8/16/93 through 12/31/93.
Unaybaeva, Roza, Visiting Assistant Professor, Russian
and Eastern Studies, 8/16/93 through 5/31/94.

College of Business and Economics

Riddiough, Timothy J., Assistant Professor (part-time),
Finance, 9/1/93 through 12/31/93.

College of Education

Henderson, Steve, Instructor (part-time), Curriculum and
Instruction, 8/15/93 through 12/31/93.

College of Fine Arts

Boswell, Jane V., Instructor (part-time), Art, 8/1/93
through 12/31/93.
Burdick, Dan, Visiting Assistant Professor, Music,
8/16/93 through 5/31/94.
Engen, Darcey, Assistant Professor (temporary), Theatre,
8/1/93 through 6/30/94.
Felty, William H., Instructor (part-time), Theatre,
8/1/93 through 12/31/93.
Groot, Richard, Assistant Professor (temporary), Art,
8/1/93 through 6/30/94.
Holz, Keith, Assistant Professor (temporary), Art,
8/1/93 through 6/30/94.
Jackson, David H., Instructor (part-time), Art, 8/1/93
through 12/31/93.
McSwain, Nan, Instructor (part-time), Music, 8/16/93
through 12/31/93.
Osland, Lisa, Instructor (part-time), Music, 8/16/93
through 12/31/93.
Richards, Allan G., Assistant Professor, Art, 8/1/93
through 6/30/96.
Smith, Janice, Instructor (part-time), Art, 8/1/93
through 12/31/93.
Thomas, Bette B., Assistant Professor, Theatre, 8/1/93
through 6/30/94.
Whitman, Penelope, Instructor (part-time), Art, 8/1/93
through 12/31/93.

College of Human Environmental Sciences

Brautigam, Anne, Instructor (part-time), Family Studies,
8/15/93 through 12/18/93.

Street, Janet B., Instructor (part-time), Family
Studies, 8/15/93 through 12/18/93. -

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Blackwell, Jeannine, Associate Professor (with tenure),
German, and Associate Dean for Basic Studies, Arts
and Sciences, appointed Acting Chair, French,
9/20/93 through 7/31/94.

C. REAPPOINTMENTS

College of Arts and Sciences

Allen, John W., Instructor (part-time), French, 9/1/93
through 12/31/93.

Blanchard, Greg, Instructor (part-time), Chemistry,
8/16/93 through 12/31/93.

Campbell, F. Diane, Instructor (part-time), English,
8/16/93 through 12/31/93.

Carter, Michael, Instructor (part-time), English,
8/16/93 through 12/31/93.

Cooper, John B., Instructor (part-time), English,
8/16/93 through 12/31/93.

Ellis, Normandi, Instructor (part-time), English,
8/16/93 through 12/31/93.

Ennis, Dorothy C., Instructor (part-time), French,
9/1/93 through 12/31/93.

Foreman, Nancy, Instructor (part-time), English,
8/16/93 through 12/31/93.

Guindon, Lynn D., Instructor (part-time), English,
8/16/93 through 12/31/93, and Instructor
(part-time), Classics, 9/1/93 through 12/31/93.

Hill, Paula, Instructor (part-time), English, 8/16/93
through 12/31/93.

Janecek, Susan M., Instructor (part-time), Russian and
Eastern Studies, 8/16/93 through 12/31/93.

Kirkman, Deborah, Instructor (part-time), English,
8/16/93 through 12/31/93.

Mills, Elizabeth E., Instructor (part-time), French,
9/1/93 through 12/31/93.

Nickell, Joe, Instructor (part-time), English, 8/16/93
through 12/31/93.

Oaks, Janice H., Instructor (part-time), English,
8/16/93 through 12/31/93.

Richeson, William M., Instructor (part-time), English,
8/16/93 through 12/31/93.

White, C. Patrick, Instructor (part-time), English,
8/16/93 through 12/31/93.

Zahniser, Ann H., Instructor (part-time), English,
8/16/93 through 12/31/93.

College of Business and Economics

Payne, Gary, Instructor (part-time), Management, 9/1/93 through 4/30/94.

Pfeiffer, Robert, Instructor (part-time), Management, 9/1/93 through 4/30/94.

College of Education

Parker, Steve Robert, Assistant Professor*, Health, Physical Education and Recreation, 8/16/93 through 6/30/95.

Vantreese, Dave, Instructor (part-time), Curriculum and Instruction, 8/15/93 through 12/31/93.

College of Fine Arts

Bloomfield, Phillip J., Instructor (part-time), Art, 8/1/93 through 12/31/93.

Dalton, Steve, Instructor (part-time), Art, 8/1/93 through 12/31/93.

Helm, Alecia, Instructor (part-time), Music, 8/16/93 through 5/15/94.

McLaughlin, John, Instructor (part-time), Theatre, 8/1/93 through 12/31/93.

Mendes, Guy M. III, Instructor (part-time), Art, 8/1/93 through 12/31/93.

Oberlink, Scott B., Instructor (part-time), Art, 8/1/93 through 12/31/93.

Pickett, Irwin L., Instructor (part-time), Art, 8/1/93 through 12/31/93.

Slade, Susan, Instructor (part-time), Art, 8/1/93 through 12/31/93.

D. PROMOTIONS

Vice Chancellor for Administration

Duffy, Michael J., from Engineer Associate II, Heating and Cooling Department, Physical Plant Division, to Maintenance Superintendent V, Heating and Cooling Department, Physical Plant Division, effective 8/23/93.

E. CHANGES

College of Arts and Sciences

Fragd, Lulamae, Assistant Professor, English, to change appointment dates from 8/16/93 through 6/30/94 to 8/16/93 through 6/30/95.

* Special Title Series

F. NONRENEWAL OF REAPPOINTMENT

College of Engineering

Wang, Xiang Huai, Research Assistant Professor, Mining Engineering, 10/1/93 through 8/31/94.

G. LEAVES OF ABSENCE

College of Arts and Sciences

Riggle, Ellen, Assistant Professor (without tenure), Political Science, Sick leave with full salary, Fall Semester 1993.

College of Fine Arts

DiMartino, Vincent A., Professor* (with tenure), Music, Leave without pay, Fall Semester 1993 and Spring Semester 1994.

Hamann, Marilyn, Associate Professor (with tenure), Art, Sabbatical leave with full salary, Spring Semester 1994.

College of Law

Campbell, Rutheford B., Professor (with tenure), Law, Sabbatical leave with full salary, Spring Semester 1994.

Garvey, John H., Professor (with tenure), Law, Sabbatical leave with full salary, Spring Semester 1994.

* Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Davis, Robert T., Clinical Assistant Professor (without tenure), Internal Medicine, 11/1/93 through 6/30/94.
Kaetzel, Charlotte S., Associate Professor (without tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor (without tenure), Microbiology and Immunology, also joint appointment as Associate Professor (without tenure), College of Dentistry, Department of Oral Health Science, 10/1/93 through 6/30/94.
Meeker, Timothy C., Associate Professor (with tenure), Internal Medicine, effective 9/1/93.

B. JOINT APPOINTMENTS

College of Allied Health Professions

Malone, Terry R., Professor (with tenure), Clinical Sciences, joint appointment as Professor (without tenure), College of Medicine, Department of Surgery, effective 10/27/93.

College of Medicine

Bondada, Subbarao, Associate Professor (with tenure), Microbiology and Immunology, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.
Jennings, C. Darrell, Associate Professor (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.
O'Connor, William, Associate Professor (with tenure), Pathology and Laboratory Medicine, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.
Urano, Muneyasu, Professor (with tenure), Radiation Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

C. JOINT REAPPOINTMENTS

College of Medicine

Frazier, Donald T., Professor (with tenure), Physiology and Biophysics, joint reappointment as Professor (without tenure), Graduate Center for Biomedical Engineering, 7/1/93 through 6/30/94.

Kaplan, Alan M., Professor (with tenure), Microbiology and Immunology, joint reappointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

McClain, Craig J., Professor (with tenure), Internal Medicine, joint reappointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/93 through 6/30/97.

D. CHANGES

College of Medicine

Chawla, Rajender, from Research Professor (without tenure), Internal Medicine, joint appointment as Research Professor (without tenure), College of Allied Health Professions, Department of Clinical Sciences, 9/1/93 through 6/30/94, to Research Professor (without tenure), Internal Medicine, joint appointment as Research Professor (without tenure), College of Allied Health Professions, Department of Clinical Sciences, 9/16/93 through 6/30/94

Sutherland, Ellen W., from Instructor (voluntary), Pediatrics, to Clinical Assistant Professor, Pediatrics, 11/1/93 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Adams, Doug, Instructor (voluntary), Clinical Sciences, 11/1/93 through 6/30/94.

Austiff, Molly, Instructor (voluntary), Clinical Sciences, 11/1/93 through 6/30/94.

Barroga, Noemi, Instructor (voluntary), Clinical Sciences, 11/1/93 through 6/30/94.

Benz, Patricia, Instructor (voluntary), Clinical Sciences, 11/1/93 through 6/30/94.

Bosserman, Larsen Z., Assistant Professor (voluntary), Health Services, 10/26/93 through 6/30/94.

Dallas, Pamela J., Assistant Professor (voluntary),
Health Services, 10/26/93 through 6/30/94.
Dearing, Catherine L., Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Duncan, Kelly, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Ferguson, Shayne, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Friberg, Robert, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Fritz, Ellen A., Assistant Professor (voluntary),
Health Services, 10/27/93 through 6/30/94.
Hauret, Keith, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Hicks, Nancy S., Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Manchester, Sheryl, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
McFee, Becky Jo, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Parobek, James, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Potts, Diane, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Ragusa, Joan D., Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Sallada, Stephen, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Slahta, Mary Jo, Assistant Professor (voluntary),
Health Services, 10/27/93 through 6/30/94.
Smith, Colleen, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.
Smith, Neal A., Assistant Professor (voluntary), Health
Services, 10/26/93 through 6/30/94.
Stumbo, Sharon L., Assistant Professor (voluntary),
Health Services, 10/27/93 through 6/30/94.
Tackett, Evelyn K., Assistant Professor (voluntary),
Health Services, 10/27/93 through 6/30/94.
Taylor, Mary Beth, Instructor (voluntary), Clinical
Sciences, 11/1/93 through 6/30/94.

College of Dentistry

Morrison, James D., Assistant Professor (voluntary),
Oral Health Practice, 10/27/93 through 6/30/94.
Shaw, Pamela P., Assistant Adjunct Professor, Oral
Health Science, 11/1/93 through 6/30/94.

College of Medicine

Adams, Jay S., Assistant Professor (voluntary),
Internal Medicine, 10/1/93 through 6/30/94.

College of Nursing

Daniels, Judith A., Instructor (part-time), 8/23/93
through 6/30/94.

B. JOINT APPOINTMENTS

College of Medicine

McGillis, Joseph P., Assistant Professor, Microbiology
and Immunology, joint appointment as Assistant
Professor, Graduate Center for Toxicology, 7/1/93
through 6/30/97.

Lee, Eun Y., Assistant Professor, Pathology and
Laboratory Medicine, joint appointment as Assistant
Professor, Graduate Center for Toxicology, 7/1/93
through 6/30/94.

C. JOINT REAPPOINTMENTS

College of Medicine

Kirsch, John E., Assistant Professor, Diagnostic
Radiology, joint reappointment as Assistant
Professor, Graduate Center for Biomedical
Engineering, 7/1/93 through 6/30/94.

D. CHANGES

College of Dentistry

Horn, Leslie, from Assistant Professor (voluntary),
Oral Health Practice, to Assistant Professor
(part-time), Oral Health Practice, 11/1/93 through
6/30/94.

College of Medicine

Montgomery, Christopher, from Assistant Professor,
Anesthesiology, to Assistant Professor*,
Anesthesiology, 11/1/93 through 6/30/94.

Sloan, Paul A., from Assistant Professor,
Anesthesiology, joint appointment as Assistant
Professor, Internal Medicine, 8/1/93 through
6/30/94, to Assistant Professor, Anesthesiology,
joint appointment as Assistant Professor, Internal
Medicine, 8/9/93 through 6/30/94.

*Special Title Series

College of Pharmacy

Brandt, Barbara F., from Assistant Adjunct Professor,
to Assistant Professor*, 9/1/93 through 6/30/94.

Office of the President
October 19, 1993

PR 4A

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN THE
COMMUNITY COLLEGE SYSTEM
MAYSVILLE COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Environmental Science Technology, for the Maysville Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Maysville Community College worked with the local Advisory Board to develop this two year technical program in environmental science in response to the specific workforce development needs of major employers in the Maysville area. Directly related to the University of Kentucky's strategic goal of "participating more actively in economic development efforts," the program prepares students to assist local communities in conservation and preservation of natural resources. Students will develop knowledge and expertise in assisting in the protection of land, water, and air from future abuse. Program graduates will be qualified for employment as environmental technicians to assist industries, state and local governments to comply with new environmental laws and regulations.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

Action taken: Approved Disapproved Other

Date: October 19, 1993

Office of the President
October 19, 1993

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF
THE UNIVERSITY OF KENTUCKY AND AFFILIATED
CORPORATIONS AND OTHER RELATED ORGANIZATIONS
FOR THE YEAR ENDED JUNE 30, 1993

Recommendation: That the Board of Trustees accept the audited financial statements of the University of Kentucky including the Management Letter and a Report on Compliance with House Bill 622, and separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, for the year ended June 30, 1993:

that the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1993.

Background: The Finance Committee of the Board of Trustees has reviewed the audited financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Coopers & Lybrand, Certified Public Accountants, and are presented to the Board for acceptance.

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action: Approved Disapproved _____ Other _____

Date: October 19, 1993

Office of the President
October 19, 1993

FCR 2

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1993, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1993, the University has:

1. Awarded nine (9) architect and engineer contracts.
2. Made two (2) amendments to architect and engineer contracts.
3. Made six (6) final payments for architect and engineer contracts.
4. Awarded seventeen (17) construction contracts.
5. Made sixty-one (61) change orders to construction contracts.
6. Made fifteen (15) final payments for construction contracts.

Action taken: Approved Disapproved Other

Date: October 19, 1993

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CHRISMAN-MILLER-WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 38,293	06/11/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	\$ 71,434	06/11/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
WHITE-WALKER & McREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	\$ 47,414	06/11/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
McCOY & McCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 66,298	06/18/93
Amendments #1	Contract Extension 07/01/93 - 06/30/94			
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT SPECIAL CONSULTING SERVICES COLDSTREAM FARM DEVELOPMENT	N/A	\$ 127,856	10/14/92
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT PROJECTS REPORT COLDSTREAM FARM DEVELOPMENT	N/A	\$ 32,347	10/14/92
SHERMAN, CARTER, BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 8,500,000	\$ 349,090	07/31/90
Previous Amendments #1-7			+ 95,898	
Consultant's Fee To Date:			\$ 444,988	
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL-WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,453,600	\$ 64,650	08/31/89
Previous Amendments #1-2			+ 82,889	
Consultant's Fee To Date:			\$ 147,539	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
ELLERBE BECKET, INC. MINNEAPOLIS, MN	SANDERS BROWN CENTER ON AGING PLANNING Project No. 405.0	\$ 600,000	\$ 542,490	07/31/92
MILWAIN + ASSOCIATES LEXINGTON	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH LANDSCAPING Project No. 620.1	\$ 32,000*	\$ 9,830	05/27/93
*Project Scope Amount includes funds for Campus Master Plan				
ROSS-FELDMAN ARCHITECTURE LEXINGTON	SOMERSET C.C. ECONOMIC DEVELOPMENT/ CULTURAL CENTER Project No. 631.0	\$ 9,800,000	\$ 476,862	02/28/90
	Previous Amendments #1-3		+ 204,886	
	Consultant's Fee To Date:		\$ 681,748	
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	ASTECC FACILITY & CIVIL ENGINEERING BLDG. Project Nos. 640.1/640.2 640.3/640.4 & 746.0	\$ 20,410,000	\$ 19,928	04/30/92
	Previous Amendments #1-2		+ 50,659	
	Amendment #3 Additional testing, analysis & inspection services		+29,525	08/20/93
	Reason for Amendment	To add Phases 3 & 4 of ASTeCC to the services		
	Consultant's Fee To Date:		\$ 100,112	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE I Project No. 640.1	\$ 4,438,000	\$ 240,000	12/21/90
	Previous Amendments #1-2		+ 21,401	
	Consultant's Fee To Date:		\$ 261,401	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE II Project No. 640.2	\$ 4,438,000	\$ 243,872	06/28/91
	Previous Amendments #1-3		+ 21,401	
	Consultant's Fee To Date:		\$ 265,273	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE III Project No. 640.3	\$ 4,499,000	\$ 183,673	06/29/92
	Previous Amendment #1		+ 24,265	
	Consultant's Fee To Date:		\$ 207,938	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE IV Project No. 640.4	\$ 4,185,000	\$ 229,847	01/27/93
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$ 1,200,000*	\$ 49,000	06/21/89
	Previous Amendment #1		+ 490,345*	
	Consultant's Fee To Date:		\$ 539,345	
	*Amendment #1	Includes consulting fees for \$6 million Project 745.8 for total scope for design purposes of \$7.2 million.		
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURAL NORTH & SOUTH FUME HOODS Project No. 644.2/745.2/745.3	\$ 4,283,510	\$ 55,210	09/29/89
	Previous Amendments #1-2		+ 264,795	
	Consultant's Fee To Date:		\$ 320,005	
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0	\$ 13,750,000	\$ 428,446	12/22/89
	Previous Amendments #1-5		+ 410,981	
	Consultant's Fee To Date:		\$ 839,427	
MASON & HANGER LEXINGTON	MEDICAL CENTER CHILLED WATER MASTER PLAN Project No. 666.5	\$ 58,177	\$ 51,000	05/27/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BICKEL-GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP FACILITY UPGRADE - PHASE I Project No. 671.1	\$ 805,000	\$ 23,950	10/31/89
	Previous Amendment #1		+ 78,100	
	Consultant's Fee To Date:		\$ 102,050	
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. ACADEMIC/TECHNICAL BUILDING Project No. 722.0	\$ 4,000,000	\$ 178,000	12/21/90
	Previous Amendments #1-2		+ 32,000	
	Consultant's Fee To Date:		\$ 210,000	
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. AUDITORIUM/FINE ARTS BUILDING Project No. 723.0	\$ 6,260,000	\$ 307,000	12/21/90
	Previous Amendments #1-2		+ 36,000	
	Consultant's Fee To Date:		\$ 343,000	
OMNI ARCHITECTS LEXINGTON	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.0	\$ 4,500,000	\$ 197,344	09/28/90
	Previous Amendments #1-2		+ 42,594	
	Consultant's Fee To Date:		\$ 239,938	
JOHNSON, DEPP & QUISENBERRY OWENSBORO	OWENSBORO C.C. ROADWAY COMPLETION Project No. 725.2	\$ 99,640	\$ 8,400	11/30/92
CLOTFELTER & ASSOCIATES LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 4,000,000	\$ 185,500	01/31/91
	Previous Amendment #1		+ 35,065	
	Consultant's Fee To Date:		\$ 220,565	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
RICHARDSON ASSOCIATES WHITESBURG	SOUTHEAST C.C. APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 5,000,000	\$ 269,400	11/30/90
	Previous Amendments #1-2		+ 52,300	
	Consultant's Fee To Date:		\$ 321,700	
WITT & ASSOCIATES, INC. LEXINGTON	SOUTHEAST C.C. FINE ARTS - PARKING LOT Project No. 728.1	\$ 75,200	\$ 5,000	06/11/93
ROSS-FELDMAN ARCHITECTURE LEXINGTON	LEXINGTON COMM COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 729.0	\$ 4,800,000	\$ 200,000	12/21/90
	Previous Amendments #1-4		+ 34,628	
	Consultant's Fee To Date:		\$ 234,628	
JOHNSON/ROMANOWITZ LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST C.C./KENTUCKY TECH Project No. 730.0	\$ 9,891,400	\$ 448,503	03/31/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON C.C., SW ACADEMIC BUILDING Project No. 731.0	\$ 3,000,000	\$ 133,906	11/30/90
	Previous Amendments #1-2		+ 32,780	
	CONSULTANT'S FINAL PAYMENT		\$ 166,686	07/02/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. PLAZA AND PARKING LOT Project No. 731.1	\$ 263,255	\$ 21,500	08/06/92
	Previous Amendment #1		+ 1,800	
	Consultant's Fee To Date:		\$ 23,300	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL CENTER FIRE SPRINKLERS Project No. 741.0	\$ 1,000,000	\$ 67,980	09/28/90

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$ 3,180,150	\$ 39,000	04/30/91
	Previous Amendments #1-2		+ 197,100	
	Consultant's Fee To Date:		\$ 236,100	
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH #1 FUME HOOD MODIFICATION Project No. 745.4	\$ 375,340	\$ 11,945	09/29/89
	Previous Amendment #1		+ 100,900	
	Consultant's Fee To Date:		\$ 112,845	
HOWARD K. BELL CONSULTING ENGINEERS, INC. LEXINGTON	MEDICAL SCIENCE/DENTAL WINGS ROOF ASBESTOS ABATEMENT Project No. 745.81	\$ 106,826	\$ 9,657	09/30/92
BICKEL-GIBSON ARCHITECTS LEXINGTON	CIVIL ENGINEERING BLDG. Project No. 746.0	\$ 11,650,000	\$ 20,000	11/30/90
	Previous Amendments #1-4		+ 692,956	
	Consultant's Fee To Date:		\$ 712,956	
CHRISMAN-MILLER-WOODFORD LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 19,500,000	\$ 1,163,862	01/31/91
	Previous Amendment #1		+ 107,634	
	Consultant's Fee To Date:		\$ 1,271,496	
E O ASSOCIATES ARCHITECTS LEXINGTON	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$ 3,815,000	\$ 198,000	12/21/90
	Previous Amendments #1-2		+ 74,882	
	Consultant's Fee To Date:		\$ 272,882	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$ 110,000	\$ 10,860	04/30/91

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
PEARSON + BENDER + JOLLY ARCHITECTS LEXINGTON	PRESTONSBURG COMM. COLLEGE MARTIN STUDENT CENTER ROOF REPLACEMENT Project No. 7-62001	\$ 95,000	\$ 8,190	08/25/93
WATKINS & ASSOCIATES LEXINGTON	RESIDENCE HALLS RENOVATION Project No. 773.0	\$ 2,866,000	\$ 14,736	02/28/91
	Previous Amendment #1		+ 193,264	
	Consultant's Fee To Date:		\$ 208,000	
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	07/31/91
WILBUR SMITH ASSOCIATES LEXINGTON	ELIZABETHTOWN C.C. ACCESS DRIVE/HANDICAP PARKING Project No. 779.0	\$ 99,000	\$ 9,500	06/29/92
PRESTON ASSOCIATES LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) HANDICAPPED DOOR OPERATORS Project No. 789.0	\$ 57,000	\$ 5,665	04/13/93
DCT DESIGN GROUP LTD. LEXINGTON	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 1,300,000	\$ 97,000	04/30/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 180,000	\$ 13,613	04/30/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	PRESTONSBURG C.C. JOHNSON BLDG. FIRE SPRINKLER Project No. 892.0	\$ 95,000	\$ 8,472	12/15/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM FARM CIVIL ENGINEERING PHASE I Project No. 894.0	\$ 1,225,300	\$ 235,764	11/18/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM RESEARCH CAMPUS SITE DEVELOPMENT UNIT TWO Project No. 894.0	\$ 1,225,300	\$ 309,200	12/18/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	HOSPITAL NURSING MODIFICATION III Project No. 896.0	\$ 341,000	\$ 15,000	06/29/92
PEARSON + BENDER + JOLLY LEXINGTON	MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 500,000	\$ 38,113	05/29/92
CONSULTANT'S FINAL PAYMENT			\$ 38,113	08/09/93
QUEST ENGINEERS, INC. LEXINGTON	HOSPITAL ELECTRICAL SYSTEM FEASIBILITY STUDY Project No. 1413.0	\$ 20,000	\$ 16,090	08/31/92
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 438,000	\$ 28,350	07/21/92
Previous Amendment #1			+ \$8,768	
Consultant's Fee To Date:			\$ 37,118	
MORGAN C. McILWAIN, ASLA LEXINGTON	PADUCAH C.C. PARKING LOT Project No. 1415.0	\$ 165,000	\$ 15,613	07/14/92
CONSULTANT'S FINAL PAYMENT			\$ 15,613	09/16/93
QUEST ENGINEERS, INC. LEXINGTON	MADISONVILLE C.C. AUDITORIUM PARKING LOT Project No. 1419.0	\$ 520,000	\$ 40,766	10/14/92
K. NORMAN BERRY ASSOC. LOUISVILLE	JEFFERSON C.C. FINE ARTS CENTER RENOVATION PHASE I Project No. 1420.0	\$ 129,500	\$ 14,800	11/11/92
Previous Amendment #1			- \$2,380	03/17/93
Consultant's Fee To Date:			\$ 12,420	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
ASSOCIATED DESIGNERS LEXINGTON	ALUMNI GYM FLOOR RENOVATION Project No. 1426.0	\$ 182,000	\$ 14,425	10/14/92
CONSULTANT'S FINAL PAYMENT			\$ 14,425	07/30/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) BOILER REPLACEMENT - BROADWAY BLDG. Project No. 1429.0	\$ 50,000	\$ 4,500	01/20/93
PEARSON + BENDER + JOLLY LEXINGTON	HENDERSON C.C. LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 100,000	\$ 7,750	01/22/93
KALLMANN MCKINNELL & WOOD BOSTON, MA	CENTRAL AND LIFE SCIENCES LIBRARY Project No. 1432.0	\$ 58,000,000	\$ 4,365,027	08/21/92
Previous Amendment #1			- 0 -	
Consultant's Fee To Date:			\$ 4,365,027	
J. PATRICK KERR ARCHITECT PADUCAH	RENOVATIONS OF WALLER AND ROSENTHAL HALLS Project No. 1433.0	\$ 435,000	\$ 31,120	05/27/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. LRC ROOF REPLACEMENT Project No. 1434.0	\$ 150,000	\$ 11,135	03/17/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. HARTFORD TOWER ROOF REPLACEMENT Project No. 1435.0	\$ 75,000	\$ 6,645	03/17/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) 1ST FLOOR RENOV. - BROADWAY BLDG. Project No. 1436.0	\$ 260,000	\$ 17,500	01/20/93
Previous Amendment #1			+ 2,647	
Consultant's Fee To Date:			\$ 20,147	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H27 ASBESTOS REMOVAL Project No. 1439.0	\$ 221,000	\$ 14,125	01/20/93
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H14 ASBESTOS REMOVAL Project No. 1440.0	\$ 69,000	\$ 7,063	01/20/93
HARDY HOLZMAN PFEIFFER ASSOCIATES NEW YORK, NY	CLIFTON CIRCLE PLANNING STUDY Project No. 1445.0	\$ 150,000	\$ 150,000	09/15/92
CONSULTANT'S FINAL PAYMENT			\$ 143,206.90	09/14/93
BUELL-FRYER ASSOCIATES, INC. LEXINGTON	PADUCAH COMM. COLLEGE STUDENT CTR. & LRC STRUCTURAL REPAIRS Project No. 1447.0	\$ 73,000	\$ 7,000	03/02/93
PEARSON + BENDER + JOLLY LEXINGTON	DONOVAN HALL RESTROOMS RENOVATION Project No. 1448.0	\$ 90,000	\$ 7,685	01/22/93
CONSULTANT'S FINAL PAYMENT			\$ 5,800	09/07/93
JAMES W. POTTS ARCHITECTS LEXINGTON	404 LINDEN WALK ROOF REPLACEMENT Project No. 1454.0	\$ 46,667	\$ 4,300	07/08/93
Amendment #1			+ 1,500*	8/31/93
*Reason for Amendment - Additional design and construction administration services associated with enclosing the stairwells.				
Consultant's Fee To Date:			\$ 5,800	
MASON & HANGER ENGINEERS LEXINGTON	HAZARDOUS WASTE STORAGE FACILITY Project No. 1456.0	\$ 1,600,000	\$ 78,000	01/22/93
Previous Amendment #1			+ 8,593	
Consultant's Fee To Date:			\$ 86,593	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CMW, INC. LEXINGTON	HAZARD COMMUNITY COLLEGE STUDENT, PERFORMING ARTS & REGIONAL CONFERENCE CTR Project No. 1460.0	\$ 13,500,000	\$ 860,000*	06/29/93
*Only Phase 1 and Phase 2 are encumbered at this time for total of \$301,000 Pending Authorization of full project.				
FARRIS, HATCHER, TREMPER ASSOC., INC. PADUCAH	PADUCAH COMM. COLLEGE STUDENT CENTER/FINE ARTS BOILER REPLACEMENT Project No. 1462.0	\$ 100,000	\$ 7,704	05/27/93
PEARSON + BENDER + JOLLY LEXINGTON	MILLER HALL RENOVATION Project No. 1465.0	\$ 2,620,000	\$ 144,650	04/30/93
HMC CONSULTANTS, INC. LEXINGTON	MILLER HALL ASBESTOS ABATEMENT Project No. 1465.1	\$ 180,000	\$ 15,100	03/31/93
PEARSON + BENDER + JOLLY LEXINGTON	MILLER HALL RENOVATION Project No. 1465.0	\$ 2,620,000	\$ 144,650	04/30/93
WOOLPERT ASHLAND	HAZARD COMMUNITY COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1466.0	\$ 28,500	\$ 28,500	08/06/93
MCIWAIN + ASSOCIATES, ASLA LEXINGTON	HOPKINSVILLE COMM. COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1467.0	\$ 26,600	\$ 26,600	08/25/93
TATE HILL JACOBS: ARCHITECTS, INC. LEXINGTON	ADMINISTRATION BUILDING ACCESSIBILITY RENOVATION Project No. 1468.0	\$ 342,000	\$ 28,000	05/28/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON COMM. COLLEGE HARTFORD BLDG. & LIBRARY SPRINKLERS Project No. 1469.0	\$ 365,000	\$ 26,880	05/27/93
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE FIRE SPRINKLERS FOR THE ACADEMIC, LEARNING RESOURCE CTR. & ADMIN. BLDGS. Project No. 1470.0	\$ 230,000	\$ 16,581	06/18/93

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
WOOLPERT ASHLAND	ASHLAND COMMUNITY COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1473.0	\$ 28,500	\$ 28,500	08/20/93
DAVID C. BANKS, ARCHITECTS + ASSOCIATES FRANKFORT	SCOVELL HALL ASBESTOS ABATEMENT Project No. 1474.1	\$ 353,780	\$ 42,750	07/08/93
ENVIRONMENTAL PLANNING AND DESIGN PITTSBURGH, PA	ARBORETUM PLANNING AND GRADING Project No. 1475.0	\$ 10,000	\$ 9,500	06/18/93
BOOKER ASSOCIATES, INC. LEXINGTON	CLINICAL PARKING LOT REPLACEMENT Project No. 1480.0	\$ 85,000	\$ 6,543	06/11/93
KAISER-TAULBEE ASSOCIATES, INC. LEXINGTON	HAZARD COMMUNITY COLLEGE PHASE I CHILLER REPLACEMENT Project No. 1482.0	\$ 120,000	\$ 9,300	08/09/93
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE RENOVATION OF THE CHEMISTRY LAB & STORAGE ROOM Project No. 1486.0	\$ 110,000	\$ 10,275	08/06/93

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 6,772,000- 7,240,000	10/29/91	4	\$ 6,772,000.00
	Previous Change Orders #1-17				+ 89,590.13
CHANGE ORDER #18	Liquidated damages for 157 days @ \$300/day		07/21/93		- 47,100.00
Reason for Change:	To assess liquidated damages for failure to complete project as contracted.				
CHANGE ORDER #19	1. Provide additional steel beams along diagonal wall at north end (material only). 2. Revise steel framing of north coaches observatory over stair. 3. Revise steel framing of west coaches observatory over stair.		07/23/93		+ 1,904.00
Reason for Change:	1. Steel beams shown on architectural detail but not sized and not shown on structural. Contractor installed beams as no cost to Owner. 2. State Inspector requested additional head room where stair passed under framing. 3. (Same as #2 above)				
Contractor's Revised Contract Amount To Date:					\$ 6,816,394.13
NEW VISIONS CONST. CO., INC. LEXINGTON	FIELD HOUSE LANDSCAPE AND DETENTION BASIN Project No. 147.2	\$ 47,197- 101,660	04/12/93	3	\$ 47,197.00
Bond Holder completed the project because of non-performance of contractor.					
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	FIELD HOUSE GYMNASTICS FIT-UP Project No. 147.3	\$ 184,613- 219,565	02/03/93	9	\$ 184,613.00
CHANGE ORDER #1	Install additional water stop, foundation drain and damp proofing material at gymnastics pit walls.		07/27/93		+ 2,729.00
Reason for Change:	To retard water penetration thru pit walls due to underground spring discovered after crushed rock removed from pit area.				
Contractor's Revised Contract Amount To Date:					\$ 187,342.00
DAVIS & PLOMIN MECHANICAL INC. LEXINGTON	FIELD HOUSE WATER LINE EXTENSION Project No. 147.5	\$ 13,783- 19,690	08/24/93	4	\$ 13,783.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2	\$ 438,000- 533,769	10/29/91	8	\$ 438,000.00
	Previous Change Orders #1-9				+ 3,705.39
	Contractor's Revised Contract Amount To Date:				\$ 441,705.39
MATTHEWS/BUCKNER LEXINGTON	HOSPITAL EXPANSION PH. II MECHANICAL WORK-TC #9 Project No. 304.1	\$ 5,248,000- 5,482,000	06/28/88	2	\$ 5,248,000.00
	Previous Change Orders #1-17				+ 471,238.88
	Contractor's Revised Contract Amount To Date:				\$ 5,719,238.88
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL - WASTE INCINERATOR REPL Project No. 337.0	\$ 1,151,515- 1,410,000	05/07/92	4	\$ 1,151,515.00
	Previous Change Orders #1-4				+ 10,747.64
CHANGE ORDER #5	Install three (3) hour rated doors in existing opening at end of Utility Tunnel. Install electromagnetic hold opens connected to main fire alarm panel and two (2) smoke detectors.		07/08/93		+ 5,602.96
Reason for Change:	Required by Division of Building Codes Enforcement upon field inspection of project.				
CHANGE ORDER #6	1. Install ship's ladder in burner room. 2. Add exhaust fan with back draft damper and thermostat in main incinerator room. fire alarm panel and two (2) smoke detectors.		08/02/93		+ 2,351.75
Reason for Change:	1. Need to access equipment more easily. This will allow speedy access to controls. Promoting more efficient operation creates safer environment for quicker exit in case of emergency. 2. Next release on equipment higher than expected.				
	Contractor's Revised Contract Amount To Date:				\$ 1,170,217.35
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	\$6,243,000- 6,800,000	11/25/87	6	\$6,243,000.00
	Previous Change Orders #1-21				+ 283,067.48
	CONTRACTOR'S FINAL PAYMENT		07/02/93		\$ 6,526,067.48

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
E.C. MATTHEWS CO., INC. LEXINGTON	ARBORETUM - PHASE I Project No. 453.1	\$312,300- 399,800	11/03/89	4	\$ 312,300.00
	Previous Change Orders #1-4				+ 15,444.92
CHANGE ORDER #5	Deduct the balance of funds available from contract.		08/24/93		- 5,000.00
Reason for Change:	Contractor failed to repaint the Arboretum as requested in the punch list.				
Contractor's Revised Contract Amount To Date:					\$ 322,744.92
DEERFIELD CONSTRUCTION CO. CINCINNATI, OH	HOSPITAL MEDICAL CENTER SECOND/THIRD FLOOR SUPPRESSION Project No. 542.3	\$ 198,195- 325,700	03/06/91	3	\$ 198,195.00
CHANGE ORDER #1	Deduct one (1) dollar to close contract.		08/10/93		- 1.00
Reason for Change:	Deduct remaining funds to close contract. Pending change orders where voided and the cost of the work contained within these change orders in addition to the one dollar remaining in the contract where applied to the assessed liquidated damages which brings the dollar amount remaining in the contract to a zero balance.				
CONTRACTOR'S FINAL PAYMENT			08/10/93		\$ 198,194.00
DALMATIAN FIRE, INC. MASON, OH	HOSPITAL FIRE SUPPRESSION FLOORS 5 & 6 Project No. 542.4	\$ 213,950- 269,458	06/05/91	6	\$ 213,950.00
	Previous Change Order #1				+ 3,429.00
Contractor's Revised Contract Amount To Date:					\$ 217,379.00
THE R.H. JENKINS CO. ERLANGER	MEDICAL CENTER HEATING PLANT- BOILER CONTROLS Project No. 616.0	\$ 324,900- 628,450	10/25/90	2	\$ 324,900.00
	Previous Change Order #1				+ 3,785.72
CONTRACTOR'S FINAL PAYMENT			05/06/92		\$ 328,685.72

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0	\$ 3,396,319- 3,529,000	04/17/90	3	\$ 3,396,319.00
	Previous Change Orders #1-8				+ 60,061.83
	Contractor's Revised Contract Amount To Date:				\$ 3,456,380.83
MARKSBURY CORNETT ENG. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #1- GENERAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,800,000- 2,293,300	04/14/93	4	\$ 1,800,000.00
P&R ROOFING AND SHEET METAL LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #2 MOISTURE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 155,825	05/12/93	1	\$ 155,825.00
HUSKISSON MASONRY, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #3 MASONRY & LIMESTONE Project Nos. 640.1/640.2/640.3	\$ 485,500- 680,000	04/05/93	5	\$ 485,480.00
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #5 LATH, PLASTER & DRYWALL Project Nos. 640.1/640.2/640.3	\$ 66,235- 75,120	04/05/93	3	\$ 66,235.00
MARTINA BROTHERS CO., INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #6 WALL & FLOOR TILE Project Nos. 640.1/640.2/640.3	\$ 29,800- 35,000	04/05/93	2	\$ 29,800.00
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #7 ACOUSTICAL CEILINGS Project Nos. 640.1/640.2/640.3	\$ 39,315- 53,596	04/05/93	5	\$ 39,315.00
CATRON INTERIOR SYSTEMS LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #8 RESILIENT FLOORING, CARPET & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 55,914- 72,757	04/14/93	6	\$ 55,914.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
THOMPSON PAINTING AND DECORATING, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #9 SPECIAL COATINGS & PAINTING & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 89,516- 189,600	04/14/93	4	\$ 89,516.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	ASTeCC PHASES I, II, III WORK CATEGORY #10 LABORATORY CASEWORK Project Nos. 640.1/640.2/640.3	\$ 373,439- 490,492	04/14/93	5	\$ 373,439.00
BARBER-COLMAN COMPANY LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #12 FUME HOOD/FUME HOOD CONTROLS/ BUILDING CONTROLS Project Nos. 640.1/640.2/640.3	\$ 631,867- 634,995	04/08/93	2	\$ 631,867.00
DALMATIAN FIRE, INC. MASON, OH	ASTeCC PHASES I, II, III WORK CATEGORY #13 FIRE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 97,656- 110,500	04/14/93	2	\$ 97,656.00
EICHBERGER ENTERPRISES, INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #14 MECHANICAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,588,700- 1,832,000	04/08/93	3	\$ 1,588,700.00
ARROW ELECTRIC CO., INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #15 ELECTRICAL WORK Project Nos. 640.1/640.2/640.3	\$ 677,384- 819,778	04/08/93	6	\$ 677,384.00
CHANGE ORDER #1	Add and relocate smoke detectors at various locations on each level to allow proper operation of the door hold opens. Add manual pull stations on lower level, 2nd and 3rd at the stair entrances.		09/09/93		+ 6,220.00
Reason for Change:	Smoke detectors are required at each door having hold opens connected to the fire alarm system. Pull stations are required 5' from the entrance to a stair. These are both code issues.				
Contractor's Revised Contract Amount To Date:					\$ 683,604.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
RAM ENGINEERING & CONST.* LOUISVILLE	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #1 GENERAL EXCAVATION WORK Project Nos. 640.1/640.2/746.2	\$ 847,425- 944,500	10/29/91	3	\$ 847,425.00
	Previous Change Orders #1-13				+ 131,748.00
	Contractor's Revised Contract Amount To Date:				\$ 979,173.00
* This contract is for three (3) interrelated and concurrent projects and represents the low bid.					
FINNEY COMPANY, INC.* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #2 MECHANICAL WORK Project Nos. 640.1/640.2/746.2	\$ 377,000- 454,649	10/29/91	3	\$ 377,000.00
	Previous Change Orders #1-8				+ 17,508.00
	Contractor's Revised Contract Amount To Date:				\$ 394,508.00
AMTECK OF KENTUCKY* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #3 ELECTRICAL WORK Project Nos. 640.1/640.2/746.2	\$ 137,800- 179,000	10/29/91	4	\$ 137,800.00
	Previous Change Orders #1-12				+ 17,499.00
	Contractor's Revised Contract Amount To Date:				\$ 155,299.00
CONGLETON & HACKER CO. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1e/640.2e	\$ 1,556,970- 2,600,000	03/23/92	4	\$ 1,556,970.00
	Previous Change Orders #1-10				+ 105,668.00
	Contractor's Revised Contract Amount To Date:				\$ 1,662,638.00
* This contract is for three (3) interrelated and concurrent projects and represents the low bid.					
D.W. WILBURN, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project Nos. 640.1e/640.2e	\$ 634,500- 932,499	03/24/92	7	\$ 634,500.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
GRAYHAWK CORPORATION LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3A WATERPROOFING Project Nos. 640.1e/640.2e	\$ 15,016- 32,000	07/20/92	3	\$ 15,016.00
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3B ROOFING AND SHEET METAL Project Nos. 640.1e/640.2e	\$ 54,535- 77,480	07/20/92	2	\$ 54,535.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4A WINDOWS Project Nos. 640.1e/640.2e	\$ 109,638- 129,700	09/30/92	3	\$ 109,638.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4B SKYLIGHT & CURTAIN WALLS Project Nos. 640.1e/640.2e	\$ 73,433- 89,300	09/30/92	2	\$ 73,433.00
ABELL ELEVATOR LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project Nos. 640.1e/640.2e	\$ 108,932- 155,500	03/25/92	4	\$ 108,932.00
AMTECK OF KENTUCKY LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project Nos. 640.1e/640.2e	\$ 48,583- 71,640	03/24/92	3	\$ 48,583.00
	Previous Change Orders #1-3				+ 11,218.00
	Contractor's Revised Contract Amount To Date:				\$ 59,801.00
SKILTON PAVING & CONSTRUCTION, INC. LEXINGTON	ASTeCC FACILITY PHASE IV BID PACK #1 DEMOLITION AND EXCAVATION Project No. 640.4	\$ 327,500- 367,400	05/14/93	5	\$ 327,500.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D. W. WILBURN, INC. LEXINGTON	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #1 GENERAL WORK Project No. 640.4	\$1,232,900 1,325,000	09/08/93	3	\$1,232,900
BOYLE MASONRY, INC. STANFORD, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #2 MASONRY & LIMESTONE Project No. 640.4	\$253,949 420,000	9/08/93	4	\$253,949
JAMES E. SMITH MECHANICAL LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #3 MECHANICAL WORK Project No. 640.4	\$429,068 518,500	9/03/93	4	\$429,068
DALMATIAN FIRE, INC. MASON, OH	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #4 FIRE PROTECTION Project No. 640.4	\$19,938	9/13/93	1	\$19,938
BARBER-COLMAN COMPANY LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #5 CONTROLS/FUME HOODS Project No. 640.4	\$145,888 147,500	9/08/93	2	\$145,888
ARROW ELECTRIC CO., INC. LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #6 ELECTRICAL WORK Project No. 640.4	\$264,000 296,000	9/08/93	4	\$264,000

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2	\$ 7,675,000- 8,548,000	12/23/92	7	\$ 7,675,000.00
	Previous Change Orders #1-8				+ 42,106.00
CHANGE ORDER #9	Install a reduced voltage starter in lieu of the specified relay panel.		08/10/93		+ 17,220.00
Reason for Change:	Recommended by consultant to insure optimum reliability and to take full advantage of the new chilled water production.				
CHANGE ORDER #10	Extend length of caissons as required to rest on load bearing rock.		09/09/93		+ 19,444.76
Reason for Change:	Caisson lengths had to be extended to reach bedrock of the required bearing capacity and integrity, to avoid the possibility of structural failure.				
Contractor's Revised Contract Amount To Date:					\$ 7,753,770.76
WOODFORD BUILDERS, INC. LEXINGTON	SPINDLETOP FACILITY RENOVATION Project No. 671.1	\$ 642,400- 728,800	11/09/92	11	\$ 642,400.00
	Previous Change Orders #1-3				+ 9,351.45
CHANGE ORDER #4	1. Addition of eleven (11) ceiling mounted access panels. 2. Repair rust damage to bottom of nine (9) hollow metal doors.		07/08/93		+ 1,625.49
Reason for Change:	1. By code, all above ceiling controls, mechanical units and junctions boxes are required to be directly accessible by use of an access panel. 2. Closer strips on bottoms of doors were rusted thru and provided locations to harbor insects.				
CHANGE ORDER #5	Deduct to cover the cost of fuel oil used by contractor during construction.		07/08/93		- 51.77
Reason for Change:	Department is paying this cost directly.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WOODFORD BUILDERS, INC. LEXINGTON	SPINDLETOP FACILITY RENOVATION Project No. 671.1				CONTINUED
CHANGE ORDER #6	Reinsulate mechanical piping.		07/15/93		+ 2,760.00
Reason for Change:	Mechanical piping contained asbestos. This was removed as part of abatement process. Reinstallation of the piping was not in contract.				
CHANGE ORDER #7	<ol style="list-style-type: none"> 1. Replace non-metallic and armor cable. 2. Provide feeder from first J-box to panelboard with neutral, phase conductors and ground wire installed in same conduit. 3. Replace existing conduit supports with. 		7/27/93		+ 4,569.00
Reason for Change:	<ol style="list-style-type: none"> 1. Existing wiring had been laid on existing ceiling or strung through metal roof joists. It was violation of University's Construction Standards. 2. Feed to panel box in Corridor 22 was not in compliance with National Electrical Code. 3. Existing conduits were not supported in accordance with National Electrical Code. 				
Contractor's Revised Contract Amount To Date:					\$ 660,654.17
INTERNATIONAL WASTE INDUSTRIES VIENNA, VA	SPINDLETOP INCINERATOR Project No. 671.2	\$ 64,750- 79,690	05/26/93	3	\$ 64,750.00
CHANGE ORDER #1	<ol style="list-style-type: none"> 1. Delete requirement for a hydraulically operated guillotine door and replace with manually operated hinged door. 2. Supply temperature recorder with integral control capabilities in lieu of two indicating temperature/recorders. 		08/24/93		+ 223.00
Reason for Change:	<ol style="list-style-type: none"> 1. To reduce long term maintenance and operating costs. 2. The Kentucky Division of Air Quality requires a temperature recorder with integral control capabilities. 				
Contractor's Revised Contract Amount To Date:					\$ 64,973.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
TRIPLE D COMMUNICATIONS NICHOLASVILLE	LANDSCAPING AT SPORTS TICKET OFFICE Project No. 702.1	\$ 23,220- 33,474	10/21/92	3	\$ 23,220.00
	Previous Change Order #1				+ 1,712.00
	Contractor's Revised Contract Amount To Date:				\$ 24,932.00
PEYRONNIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0	\$ 3,266,000- 3,542,000	12/04/91	6	\$ 3,266,000.00
	Previous Change Orders #1-15				+ 36,393.96
	Contractor's Revised Contract Amount To Date:				\$ 3,302,393.96
J.L. POOLE CONST., INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0	\$ 4,965,000- 5,460,340	12/17/91	4	\$ 4,965,000.00
	Previous Change Orders #1-9				+ 78,509.50
	Contractor's Revised Contract Amount To Date:				\$ 5,043,509.50
ALADDIN ELECTRIC, INC. MADISONVILLE	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BUILDING SITE UTILITIES Project No. 723.1	\$ 42,288- 88,988	07/21/92	5	\$ 42,288.00
BUFCO CORPORATION/DBA BUFCO ELECTRIC EVANSVILLE, IN	HENDERSON COMM. COLLEGE COMMUNICATION DUCT BANK AND OUTSIDE PLANT TELEPHONE CABLE INSTALLATION Project No. 723.3	\$ 92,586- 139,860	08/25/92	6	\$ 92,586.00
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0	\$ 3,218,000- 3,479,749	12/05/91	5	\$ 3,218,000.00
	Previous Change Orders #1-22				+ 42,243.37
	Contractor's Revised Contract Amount To Date:				\$ 3,260,243.37
HAZEX CONSTRUCTION, INC. HENDERSON	OWENSBORO COMM. COLLEGE ROADWAY COMPLETION Project No. 725.2	\$ 97,800- 122,400	06/08/93	2	\$ 97,800.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 3,371,900- 4,093,300	01/08/92	4	\$ 3,371,900.00
	Previous Change Orders #1-9				+ 85,139.00
	Contractor's Revised Contract Amount To Date:				\$ 3,457,039.00
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 3,927,900- 4,226,449	12/18/91	7	\$ 3,927,900.00
	Previous Change Orders #1-22				+ 99,350.64
CHANGE ORDER #23	<ol style="list-style-type: none"> 1. Add acoustical ceilings and sprinkler heads to elevator machine rooms 03 and 06. 2. Pouring of a 4" concrete slab in undeveloped space. A cold joint will be provided around area for future restrooms. Time provided for completion of contract is increased by four (4) calendar days. 		07/13/93		+ 14,852.64
Reason for Change:	<ol style="list-style-type: none"> 1. Building and elevator inspectors require ceilings in elevator machine rooms 03 and 06. 2. Owner would like to use this area for storage and floor slab will allow this. 				
CHANGE ORDER #24	Add drywall soffit between Upper Lobby 202 and Stair "C" for separation of ceiling lights.		07/13/93		+ 734.85
Reason for Change:	Ductwork in Lobby 202 caused ceiling to drop to 9'. To keep ceiling at 10' in Stairwell "C", a soffit was needed.				
CHANGE ORDER #25	Upgrade of carpet from Prospectus to Faculty IV.		07/13/93		+ 4,651.00
Reason for Change:	There is a cost savings in going with a higher grade of carpet, due to extended longevity of material and the lowered maintenance achieved with the "Stainguard" application.				
CHANGE ORDER #26	<ol style="list-style-type: none"> 1. Rock removal required during installation of sanitary sewer line extension change order work. 2. Install acoustical ceiling grid in Room 213. 		07/22/93		+3,107.13
Reason for Change:	<ol style="list-style-type: none"> 1. Extent of rock removal was unknown and not included in C.O. #22. 2. Lights for this room are drop-in fixtures made for a grid. Grid allows addition of reflective sound panel. 				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0				CONTINUED
CHANGE ORDER #27	Rework sprinkler piping in the Seating Storage 104B by turning them up after approval from Housing, Building and Construction.		08/02/93		+2,109.10
Reason for Change:	A conflict between the movable seating and the sprinkler heads in Seating Storage 104B was revealed. It was not cost effective to cut down the seating height. More usable space was created by turning up the sprinkler heads.				
CHANGE ORDER #28	Installation of 19 sprinkler heads below ductwork and Mechanical Rooms #04 and #08, below ductwork in Archive Storage 112 and sprinkler head is required below each sound panel in Music Rehearsal #212.		8/10/93		+1,857.25
Reason for Change:	Required by KBC and NFPA because of ductwork and acoustical sound panel that qualify as obstructions due to the distance from the head to the bottom of the duct and from the head to the edge of the duct.				
CHANGE ORDER #29	Increase time for completion by (65) calendar days. The revised final completion date is September 23, 1993.		8/23/93		+ 0.00
Reason for Change:	Time extension for compensation due to change order #5, #6, #7.				
Contractor's Revised Contract Amount To Date:					\$ 4,054,562.61
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0	\$ 3,357,869- 3,770,000	12/09/91	7	\$ 3,357,869.00
Previous Change Orders #1-31					+ 119,585.04
CHANGE ORDER #32	1. Reduce contract for unused stone. 2. Delete modular precast concrete retaining wall on east side of Academic/Technical Building.		07/14/93		- 4,915.00
Reason for Change:	1. Change required to credit University for unused portion of stone. 2. As final grading work was completed, it was determined that retaining wall was not required to accomplish the change in grade.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0				CONTINUED
CHANGE ORDER #33	Revise the design for the exterior light pole bases. Cost for work includes additional excavation and increasing base diameter.		09/2/93		+ 2,587.50
Reason for Change:	To provide stable bearing conditions at the exterior light poles due to unsuitable soil materials at the project site.				
Contractor's Revised Contract Amount To Date:					\$ 3,475,126.54
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	LEXINGTON COMMUNITY COLLEGE LANDSCAPING & SITE DEV. Project No. 729.2	\$ 69,800- 133,627	08/17/93	3	\$ 69,800.00
CHANGE ORDER #1	Repair uncharted electrical conduit broken at the base of the exterior steps on the north side of the Oswald Building during excavation for sidewalk construction. Repair and reroute PVC conduit under proposed structural sidewalk away from the drainage swall and foundation walls. Install wire in the conduit to two existing light poles.		09/21/93		+ 736.00
Reason for Change:	Existing conduit damaged during excavation				
Contractor's Revised Contract Amount To Date:					\$ 70,536.00
D.W. WILBURN, INC. LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST COMM. COLLEGE/ KY TECH Project No. 730.0	\$ 7,918,000- 8,328,000	09/08/93	4	\$ 7,918,000.00
RIVERSIDE PAVING & CONTRACTING, INC. LOUISVILLE	JEFFERSON COMM COLLEGE SW PLAZA AND PARKING LOT Project No. 731.1	\$ 138,990- 190,581	11/09/92	5	\$ 138,990.00
Previous Change Orders #1-2					+ 6,995.25
Contractor's Revised Contract Amount To Date:					\$ 145,985.25

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CHARLES C. GRAY CONSTRUCTION CO., INC. LOUISVILLE	JEFFERSON COMM. COLLEGE SW PEDESTRIAN PLAZA Project No. 731.2	\$ 85,900- 87,760	05/14/93	2	\$ 85,900.00
CHANGE ORDER #1	The time provided for completion of this contract is increased fifteen (15) calendar days. The revised completion date is therefore August 27, 1993.		08/24/93		+ 0.00
Reason for Change:	Due to delay in delivery of material.				
Contractor's Revised Contract Amount To Date:					\$ 85,900.00
MILNER ELECTRIC LEXINGTON	AGRICULTURE SCIENCE NO.2 EMERGENCY GENERATOR Project No. 744.1	\$ 51,346- 77,982	12/09/92	5	\$ 51,346.00
Previous Change Order #1					+ 5,874.96
Contractor's Revised Contract Amount To Date:					\$ 57,220.96
RELIABLE MECHANICAL, INC. LOUISVILLE	CHEMISTRY/PHYSICS BLDG. FUME HOOD UPGRADE Project No. 745.1	\$ 2,806,789- 4,019,000	10/10/92	2	\$ 2,806,789.00
A & A MECHANICAL, INC. LOUISVILLE	FUME HOOD SYSTEM UPGRADE AG. SCIENCE NORTH BLDG. #1 Project No. 745.2	\$ 2,642,276- 3,218,850	02/23/93	6	\$ 2,642,276.00
CHANGE ORDER #1	1. In lieu of core drilling for floor penetrations, drill to depth of concealed conduit and piping located within existing concrete slab and remove remaining structural floor material by hand using impact tools. 2. Temporarily restore lighting, power and fire alarm circuits which were accidentally cut during core drilling of the penthouse floor, and provide new permanent feed for these circuits upon completion of the demolition.		08/12/93		+5,253.92
Reason for Change:	1. Required additional labor which the contractor could not have reasonably anticipated. 2. Some existing concealed utilities were damaged in the process and required emergency repair, and the existing utilities had to be relocated around the duct penetrations.				
Contractor's Revised Contract Amount To Date:					\$ 2,647,529.92

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRED CLEMENTS HEATING & AIR LEXINGTON	FUME HOOD SYSTEM UPGRADE AGRICULTURAL SCIENCE #2 Project No. 745.3	\$ 574,308- 599,680	04/14/92	2	\$ 574,308.00
	Previous Change Orders #1-17				+ 27,033.16
CHANGE ORDER #18	Remove existing coil blank-off panel. Install 16 gauge metal blank-off over cooling coil to redirect by-pass air.		08/03/93		+1,200.00
Reason for Change:	After modified fume hood system was in operation existing baffles caused system freeze protection monitor to shut the fan down in error.				
CONTRACTOR'S FINAL PAYMENT			09/16/93		\$ 602,541.16
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33	\$104,500- 148,775	08/03/92	4	\$ 104,500.00
	Previous Change Orders #1-3				+ 5,191.03
Contractor's Revised Contract Amount To Date:					\$ 109,691.03
LEHMANN ENGINEERING AND CONTRACTING LOUISVILLE	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE Project No. 745.8	\$5,580,000- 6,200,000	05/05/93	3	\$5,580,000.00
UNITED EQUIPMENT CO., INC. LOUISVILLE	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE ROOF ASBESTOS REMOVAL & TEMPORARY ROOF Project No. 745.81	\$ 48,200- 106,292	05/05/93	6	\$48,200.00
THERMAL BALANCE, INC. LEXINGTON	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE TESTING AND BALANCING Project No. 745.82	\$ 78,860- 83,800	05/05/93	2	\$78,860.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING DEMO & MODIFICATION WORK CATEGORY #2 MECHANICAL WORK Project No. 746.1	\$ 70,331- 89,342	07/29/91	2	\$ 70,331.00
	Previous Change Orders #1-3				+ 15,665.00
Contractor's Revised Contract Amount To Date:					\$ 85,996.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WOODFORD BUILDERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project No. 746.3	\$ 1,764,000- 1,910,000	02/25/92	5	\$ 1,764,000.00
	Previous Change Orders #1-11				+ 29,475.00
CHANGE ORDER #12	Add a deepened concrete beam around the perimeter of the south lab at the head of the windows. This is per RFI#CE-23 & CE#59 which adds a 7-5/8" concrete beam around this perimeter.		08/12/93		+4,955.00
Reason for Change:	In order to properly support the face brick above windows at South Labs, a structural concrete "drop beam" is required. The width of the windows did not allow for the installation of a CMU bond beam.				
Contractor's Revised Contract Amount To Date:					\$ 1,798,430.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project No. 746.3	\$ 635,400- 1,098,000	02/28/92	6	\$ 635,400.00
	Previous Change Orders #1-2				+ 1,348.00
Contractor's Revised Contract Amount To Date:					\$ 636,748.00
EAGLE ROOFING & SHEET METAL LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #3 ROOFING & SHEET METAL Project No. 746.3	\$ 159,700- 271,109	02/28/92	5	\$ 159,700.00
	Previous Change Order #1				+ 1,818.00
Contractor's Revised Contract Amount To Date:					\$ 161,518.00
W.R. COLE AND ASSOCIATES LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #4 SKYLIGHTS & WINDOWS Project No. 746.3	\$ 253,255- 284,500	02/26/92	3	\$ 253,255.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project No. 746.3	\$ 182,294- 249,000	02/28/92	3	\$ 182,294.00
	Previous Change Order #1				+ 435.00
	Contractor's Revised Contract Amount To Date:				\$ 182,729.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #6 MECHANICAL WORK Project No. 746.3	\$ 108,607- 125,430	02/26/92	3	\$ 108,607.00
	Previous Change Orders #1-7				+ 29.00
	Contractor's Revised Contract Amount To Date:				\$ 108,636.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project No. 746.3	\$ 76,779- 157,400	02/28/92	5	\$ 76,779.00
	Previous Change Orders #1-2				+ 3,742.00
	Contractor's Revised Contract Amount To Date:				\$ 80,521.00
MARKSBURY-CORNETT ENG. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #1 GENERAL WORK Project No. 746.4	\$ 480,000- 578,890	06/19/92	3	\$ 480,000.00
	Previous Change Order #1				- 120.00
	Contractor's Revised Contract Amount To Date:				\$ 479,880.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #2 MASONRY WORK Project No. 746.4	\$ 294,425	06/18/92	1	\$ 294,425.00
	Previous Change Order #1				+ 2,100.00
	Contractor's Revised Contract Amount To Date:				\$ 296,525.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
W. R. COLE & ASSOC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #3 ELECTRICAL WORK Project No. 746.4	\$ 63,717	06/23/92	1	\$ 63,717.00
	Previous Change Orders #1-2				+ 90.00
	Contractor's Revised Contract Amount To Date:				\$ 63,807.00
L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #4 LATH, PLASTER AND DRYWALL Project No. 746.4	\$ 86,100- 134,718	06/23/92	5	\$ 86,100.00
MARTINA BROTHERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #5 CERAMIC TILE Project No. 746.4	\$ 11,970	06/19/92	1	\$ 11,970.00
COMMERCIAL DRYWALL NICHOLASVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #6 ACOUSTICAL CEILINGS Project No. 746.4	\$ 45,258- 58,500	06/23/92	6	\$ 45,258.00
L. STANDAFER CO.* LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #7 RESILIENT FLOORING AND CARPETING Project No. 746.4	\$ 33,300- 57,400	06/23/92	4	\$ 50,995.00
<p>* The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.080 and Administrative Regulations 200 KAR 5:306.</p>					
THOMPSON PAINTING & DECORATING, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #8 PAINTING AND SPECIAL COATINGS Project No. 746.4	\$ 99,000- 156,213	06/24/92	4	\$ 99,000.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
ECKERT FIRE PROTECTION CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #9 FIRE PROTECTION WORK Project No. 746.4	\$ 89,339- 146,600	06/23/92	5	\$ 89,339.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #12 ELECTRICAL WORK Project No. 746.4	\$ 594,250- 663,000	06/23/92	5	\$ 594,250.00
	Previous Change Order #1				+ 120.00
	Contractor's Revised Contract Amount To Date:				\$ 594,370.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #13 HOLLOW METAL WORK Project No. 746.4	\$ 23,206- 26,700	06/19/92	3	\$ 23,206.00
	Previous Change Order #1				+ 765.00
	Contractor's Revised Contract Amount To Date:				\$ 23,971.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #14 FINISH HARDWARE Project No. 746.4	\$ 42,221- 53,200	06/19/92	3	\$ 42,221.00
	Previous Change Orders #1-2				- 0.
	Contractor's Revised Contract Amount To Date:				\$ 42,221.00
ENGLISH PAVING CO., INC. VERSAILLES	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #1 SITE UTILITIES Project No. 746.5	\$ 92,300- 169,400	09/30/92	3	\$ 92,300.00
WOODFORD BUILDERS, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #2 SITE IMPROVEMENTS Project No. 746.5	\$ 214,700- 314,100	09/30/92	3	\$ 214,700.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DALTON MAINTENANCE, INC. MEDWAY, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #3 MOISTURE PROTECTION Project No. 746.5	\$ 77,107- 97,590	10/13/92	2	\$ 77,107.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #4 CASEWORK/FURNISHINGS/BLINDS Project No. 746.5	\$ 644,040- 778,700	11/05/92	2	\$ 644,040.00
ENERGY CONSERVATION TECHNIQUES, INC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #5 FUMEHOODS/FUMEHOOD CONTROLS/BUILDING CONTROLS Project No. 746.5	\$ 342,000- 438,910	11/11/92	4	\$ 342,000.00
LANDMARK SPRINKLER, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #6 FIRE PROTECTION Project No. 746.5	\$ 49,980- 64,281	09/30/92	3	\$ 49,980.00
CHARLES E. JARRELL CONTRACTING CO., INC. EARTH CITY, MO	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #7 MECHANICAL/PLUMBING Project No. 746.5	\$ 1,507,000- 1,888,000	10/13/92	6	\$ 1,507,000.00

Previous Change Order #1 + 449.00

CHANGE ORDER #2 + 2,683.15

1. Add two (2) 8" valves on incoming incoming chill water supply and return lines in existing Anderson mechanical room. 07/08/93

2. Add a S-2 sink to Room C176G. This requires sink, sanitary and water piping, core drilling and vent pipe.

Reason for Change:

1. Valves are required to proper maintenance of the system.

2. Sink is required in room, not indicated on architectural layout or plumbing drawings.

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CHARLES E. JARRELL CONTRACTING CO., INC. EARTH CITY, MO	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #7 MECHANICAL/PLUMBING Project No. 746.5				CONTINUED
CHANGE ORDER #3	Add under slab acid waste piping, plumbing piping serving utilities, ductwork, duct equipment, and controls for two (2) fumehoods not shown to receive services in Room C072 and 072.		07/08/93		+ 7,571.00
Reason for Change:	Plumbing and mechanical documents do not indicate the need to supply any service to these two (2) fumehoods.				
CHANGE ORDER #4	Revise VAV coils as required in various labs on ground, first, second and third levels. The effects control piping sizes, VAV boxes, and heat exchange equipment.		07/08/93		+ 7,158.00
Reason for Change:	Reheat coils are necessary in order to properly control the make-up air temperature.				
Contractor's Revised Contract Amount To Date:					\$ 1,524,861.15
AMTECK OF KY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #8 ELECTRICAL Project No. 746.5	\$ 115,000- 252,656	09/30/92	5	\$ 115,000.00
Previous Change Order #1					+ 4,335.00
CHANGE ORDER #2	Add a fan coil unit in the laser lab in the lower level of the existing Anderson Hall. Provide conduit and wire for power from the spare breaker in the electrical panel on the east wall of the mechanical room.		09/17/93		+ 555.00
Reason for Change:	With removal of the AHU serving this area to allow construction of the building, the lab was over heating due to all the equipment in use. To insure no effect on the equipment of the research, cooling was added.				
Contractor's Revised Contract Amount To Date:					\$ 119,890.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS CINCINNATI, OH	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 13,932,000- 15,170,000	02/10/93	7	\$ 13,932,000.00
	Previous Change Orders #1-4				+ 14,915.00
CHANGE ORDER #5	<ol style="list-style-type: none"> 1. Change all panel board feeders and branch circuit conduits in slab from EMT to Schedule 40 PVC. 2. Remove fire vault that used to feed the agricultural barn and cap the line back at the 8" main. 		07/14/93		- 1,267.00
Reason for Change:	<ol style="list-style-type: none"> 1. Provide a more efficient installation and a non-corrosive raceway in the slab. 2. Vault was not shown on the site survey. 				
CHANGE ORDER #6	<ol style="list-style-type: none"> 1. In accordance with CCR#9 and the Contractor's proposal of June 24, 1993, relocate existing 8" water line for installation of the new retaining wall. 2. Extend completion date by five (5) calendar days. The revised completion date is October 15, 1994. 		08/12/93		+ 4,736.00
Reason for Change:	<ol style="list-style-type: none"> 1. The 8" water line is not located as shown on the site survey. 2. The five (5) day extension is due to inclement weather during the months of March and June, 1993. 				
Contractor's Revised Contract Amount To Date:					\$ 13,950,384.00
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$2,921,200- 2,948,200	10/19/92	4	\$ 2,921,200.00
	Previous Change Orders #1-6				+ 30,080.00
CHANGE ORDER #7	<ol style="list-style-type: none"> 1. Adjust height of handrails in Stair "A". 2. Demolish existing unit above AHU #2. 3. Change veneer material from brick to field stone on the gas regulator screen wall. 		09/2/93		+ 2,218.00
Reason for Change:	<ol style="list-style-type: none"> 1. Existing handrails are 3" lower than code will allow. 2. Unit was concealed when job was designed and had to be removed to allow duct work to be installed to AHU #3. 3. The gas regulator location requires that the enclosure walls abut the stone fence. An enclosure wall that matches that fence in appearance and height will be more attractive than the brick veneer. 				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$2,921,200- 2,948,200	10/19/92	4	\$ 2,921,200.00
CHANGE ORDER #8	Replace two (2) existing 3" gate valves.		09/09/93		+ 859.00
Reason for Change:	Existing gate valves were leaking and packing was bad. They were reinstalled per contract and found to leak. They are necessary for the chilled water system.				
CHANGE ORDER #9	1. Rectify caisson depth between plans and specifications and actual conditions on the loading dock area. 2. Place 2 coats of wax on office addition floors. 3. Add to new story system and lower new head wall to accommodate new lower system.		09/17/93		+ 7,977.00
Reason for Change:	1. Caisson deviations from plan to actual field conditions. 2. Contract documents did not include floor wax. PPD could not schedule wasing in time to finish addition on schedule. 3. To pick up existing storm line out of existing building which did not show up on the site survey.				
CHANGE ORDER #10	Install brass duplex floor box cover at telephone jack to replace single ones.		09/21/93		+ 257.00
Reason for Change:	Covers provided would not work. Covers had been installed prior to installation of phone jacks. Documents called for single phone jacks. Communication standards were revised since documents were bid.				
Contractor's Revised Contract Amount To Date:					\$ 2,962,591.00
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL EXPANSION Project No. 752.0	\$ 159,465- 188,000	04/19/91	12	\$ 159,465.00
	Previous Change Orders #1-2				+ 8,752.15
Contractor's Revised Contract Amount To Date:					\$ 168,217.15
D-C ELEVATOR CO. LEXINGTON	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$ 63,868- 68,565	07/07/92	3	\$ 63,868.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP OBSTETRICS SERVICES Project No. 762.0	\$ 3,312,000- 3,469,000	05/08/92	4	\$ 3,312,000.00
	Previous Change Orders #1-26				+ 55,814.00
CHANGE ORDER #27	<ol style="list-style-type: none"> 1. Additional HVAC work and material installed at second floor areas. 2. Additional plumbing work and material installed at second floor areas. 		07/22/93		12,089.00
Reason for Change:	<ol style="list-style-type: none"> 1. Changes were made in existing ducts to make room for new plumbing pipes and additional drip pans required. 2. Pipes were rerouted to achieve better flow and provide less interruption to surgery department. 				
CONTRACTOR'S FINAL PAYMENT:			09/03/93		\$ 3,379,903.00
EUBANK & STEELE CONST. CO. LEXINGTON	DENTAL CLINIC RENOVATION Project No. 766.0	\$ 238,715- 262,804	03/31/92	9	\$ 238,715.00
	Previous Change Orders #1-8				+ 13,817.08
CHANGE ORDER #9	<ol style="list-style-type: none"> 1. In walls of Corridor area in Fifth Floor, existing concrete block walls had openings of varying sizes which were required to be patched and filled. 2. Provide all supports to bring existing electrical above-ceiling work up to present code requirements. 3. Add two surface mounted light fixtures in D-504 Business/Reception. Various mechanical changes above-ceiling providing thermostat where existing one had been removed and reinstalling sink removed by Asbestos Contractor. 		8/13/93		+ 6,921.00
Reason for Change:	<ol style="list-style-type: none"> 1. Required upgrade of existing conditions by State Building Inspector to meet current Kentucky Building Codes. 2. Electrical above-ceiling upgrade of existing conditions to meet current Kentucky Electrical Codes. 3. Concealed condition - Specified fixtures would not fit in under existing ductwork. Items A-E for above ceiling conflicts with electrical. Item F for previous deletion of control where originally located, and Item G for condition crated by other contract prior to this project beginning on-site. 				
CONTRACTOR'S FINAL PAYMENT			8/27/93		\$ 259,453.08

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
D-C ELEVATOR CO., INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ELEVATOR UPGRADE Project No. 773.1	\$45,260- 65,500	04/14/93	3	\$ 45,260.00
CONTRACTOR'S FINAL PAYMENT			8/27/93		\$ 45,260.00
A-B ROOFING CO., INC.* LEXINGTON	RESIDENCE HALLS RENOVATIONS GREG PAGE ROOF REPLACEMENT Project No. 773.2	\$ 90,385- 154,000	04/14/93	10	\$ 90,385.00
CHANGE ORDER #1	Deduction for 8296 sq. ft. of 1/2" plywood sheathing not required for reroofing the seventeen buildings.		08/02/93		-4,811.68
Reason for Change:	Base bid contract provided for up to 1800 sq. ft. of sheathing to be replaced on each apartment dormitory building identified by inspecting consultant. Aggregate amount of plywood was less than total necessitating.				
Contractor's Revised Contract Amount To Date:					\$ 85,573.32
EUBANK & STEELE CONST. CO. LEXINGTON	RESIDENCE HALLS RENOVATIONS ARCHITECTURAL UPGRADE Project No. 773.3	\$ 248,750- 325,610	04/14/93	6	\$ 248,750.00
Previous Change Order #1					- 17,783.00
CHANGE ORDER #2	1. Add door stops to 1584 doors. 2. Add kick plates at Holmes Hall (160) and Haggin Hall (10).		08/10/93		+ 19,931.82
Reason for Change:	1. To protect doors from being open too far and springing the hinges. 2. Replace broken or missing kick plates in Haggin Hall. Holmes Hall kick plates were to be reused, but the existing plates were removed and unable to be reused as is.				
CHANGE ORDER #3	Labor to rework old door locks.		09/09/93		+ 1,738.80
Reason for Change:	Fire Marshal requirement. Existing hardware prevented new closures from functioning properly.				
CONTRACTOR'S FINAL PAYMENT			09/27/93		\$ 252,637.62

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
COX-PREWITT MECH. CONTR. LEXINGTON	RESIDENCE HALLS RENOVATIONS MECHANICAL UPGRADE Project No. 773.4	\$129,900-	04/14/93	1	\$ 129,900.00
CHANGE ORDER #1	Increase pipe size and prepare calculations for limited area sprinkler system in Holmes Hall Reception Room and Ground Floor.		09/09/93		+ 1,598.00
Reason for Change:	To meet flow requirements for limited area sprinkler systems in Holmes Hall.				
Contractor's Revised Contract Amount To Date:					\$ 131,498.00
GROT, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ELECTRICAL UPGRADE Project No. 773.5	\$398,000- 478,657	04/14/93	2	\$ 398,000.00
Previous Change Order #1					+ 34,690.19
Contractor's Revised Contract Amount To Date:					\$ 432,690.19
GROT, INC.* LEXINGTON	RESIDENCE HALLS RENOVATIONS SMOKE DETECTORS - SECURITY CONTROLS Project No. 773.6	\$325,000- 429,818	04/23/93	3	\$ 428,000.00
EAGLE ROOFING AND SHEET METAL, INC. LEXINGTON	RESIDENCE HALLS RENOVATIONS ROOF REMOVAL & REPLACEMENT BLAZER HALL Project No. 773.7	\$157,950- 222,143	04/14/93	4	\$ 157,950.00
CHANGE ORDER #1	Breakdown for material and labor at unit prices to install additional 1" Iso and Tremco Roof material. In these areas water is ponding.		08/26/93		+ 5,460.00
Reason for Change:	Per architect's request to aid flow of water to drain where decking is not level.				
Contractor's Revised Contract Amount To Date:					\$ 163,410.00
CENTRAL KENTUCKY GLASS CO. LEXINGTON	RESIDENCE HALLS RENOVATIONS WINDOW REPLACEMENT Project No. 773.8	\$524,995 573,214	04/14/93	2	\$ 524,995.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
LAGCO, INC. LEXINGTON	HOSPITAL NURSING UNIT HVAC MODIFICATION I Project No. 777.0	\$450,196- 618,700	03/16/92	5	\$ 450,196.00
	Previous Change Orders #1-3				+ 1,711.40
CHANGE ORDER #4	<ol style="list-style-type: none"> 1. Extend supply ductwork from AHU #4 in the penthouse to a revised location for the drop through to the sixth floor. 2. Install a fire damper in the mechanical floor slab where duct drops through to tie into existing ductwork above the sixth floor ceiling. 3. Install necessary steam lines and appurtenances to reconnect the steam coils in existing air handling units. 		08/10/93		+ 2,819.59
Reason for Change:	<ol style="list-style-type: none"> 1. The duct work in the penthouse has to be changed in order to accommodate the ductwork modification above the sixth floor ceiling describing in Item #3. The supply duct extension requires external insulation. The routing of the ductwork required the unit heater for the penthouse be relocated including electrical wiring changes. 2. The ductwork above the sixth floor ceiling was not as shown on the as-built drawings. Access to the work area above the ceiling is in the patient rooms. It would require the rooms to be vacated. The hospital felt they could not vacate to make necessary duct modifications. By cutting a new drop through the slab above in lieu of that shown, all of the work in the sixth floor ceiling could be confined to one room. 3. The original completion date of the contract was November 11, 1992. The hospital approved removing the existing steam lines because the new units were to be installed and running before cold weather. The project was delayed. As a result, the new units were not ready for the heating season. 				
Contractor's Revised Contract Amount To Date:					\$ 454,726.99
SCOTTY'S CONTRACTING & STONE, INC. ELIZABETHTOWN	ELIZABETHTOWN COMM COLLEGE ACCESS DRIVE/HANDICAP Project No. 779.0	\$106,008- 117,797	11/04/92	2	\$ 106,008.00
A-B ROOFING CO., INC. LEXINGTON	E.S. GOODE BARN ROOF REPLACEMENT Project No. 791.0	\$ 124,924- 191,680	09/03/91	6	\$ 124,924.00
	Previous Change Order #1				- 313.08
Contractor's Revised Contract Amount To Date:					\$ 124,610.92

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY CORNETT ENG. LOUISVILLE	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 884,100- 1,030,052	11/02/92	5	\$ 884,100.00
	Previous Change Orders #1-10				+ 66,392.23
CHANGE ORDER #11	<ol style="list-style-type: none"> 1. Remove existing pneumatic tub piping above ceiling in Phase 2 of Ambulatory Surgery. 2. Remove 30' and re-anchor 15' of existing 4x4 wire raceway. 3. Change Halstead sheet vinyl flooring in five surgery rooms and clean corridor to Armstrong Medintech sheet flooring. 		07/22/93		+4,870.00
Reason for Change:	<ol style="list-style-type: none"> 1. Existing piping prevents installation of new HVAC duct and light fixture. 2. Existing trough was in area of new light fixture installation. 3. Halstead flooring tested by Hospital for staining showed extreme discolorations. Hospital's experience with Medintech has proven to be more durable. 				
CHANGE ORDER #12	<ol style="list-style-type: none"> 1. Add installation of 4 paging speakers and two general service electrical outlets in corridor H-200-51. 2. Add installation of one medical gas outlet and one vacuum outlet on the north wall of cysto room H5-216. 3. Add reinstallation of the six Hospital paging speakers in the corridor that were removed during the demolition phase and tie into the paging speaker installed in Phase I. 		09/09/93		+ 2,930.38
Reason for Change:	<ol style="list-style-type: none"> 1. The paging speakers were requested by the Owner for communications and the outlets were requested by the Owner to service an emergency crash cart and general maintenance of corridor. 2. The medical outlets were requested by the Owner and are to match the same outlets installed on the east wall so as to provide greater flexibility in operation of the room and patient safety. 3. The construction drawings for Phase II indicated three speakers were to be removed during demolition. The contractor found three additional speakers and removed a total of six. The Hospital indicated the speakers are required in the corridor for Phase I and Phase II as a part of the general paging system and requested them to be re-installed. 				
Contractor's Revised Contract Amount To Date:					\$ 958,292.61

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WHITEHOUSE ELECTRIC, INC. GEORGETOWN	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 127,519- 159,000	05/14/93	3	\$ 127,519.00
	Previous Change Order #1				+ 1,960.00
CHANGE ORDER #2	Provide modules in the fire alarm system panel to monitor four flow switches and four tamper switches. Make the connection of the switches to the modules.		08/02/93		+ 1,240.00
Reason for Change:	These flow and tamper switches were installed as part of an unrelated concurrent project. The modification was required to bring building fire protection system into compliance with Kentucky Building Code and NFPA.				
Contractor's Revised Contract Amount To Date:					\$ 130,719.00
COMMERCIAL FINISHES, INC. LEXINGTON	PRESTONSBURG COMM COLLEGE JOHNSON BLDG. SPRINKLERS Project No. 892.0	\$ 103,890- 111,500	06/17/93	3	\$ 103,890.00
THE ALLEN COMPANY, INC. WINCHESTER	COLDSTREAM FARM SITE REGRADE STREETS STORM & SANITARY SEWER SYSTEM Project No. 894.0	\$ 306,999- 364,615	08/09/93	2	\$ 306,999.00
CHANGE ORDER #1	Substitution of 10" ductile iron sewer pipe instead of 10" PVC pipe originally planned.		09/16/93		+ 7,215.00
Reason for Change:	Number of calculations was less than allowed for.				
Contractor's Revised Contract Amount To Date:					\$ 314,214.00
SPARKS TERRELL LUMBER CO. LEXINGTON	HOSPITAL-MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 325,515- 394,800	12/09/92	10	\$ 325,515.00
	Previous Change Orders #1-7				+ 5,178.20
CHANGE ORDER #8	Represents unused balance of engineering allowance.		07/14/93		- 895.00
Reason for Change:	Number of calculations was less than allowed for.				
CHANGE ORDER #9	Rework miscellaneous existing electrical system code violations identified by electrical inspector. Time provided for completion of contract is increased by thirteen (13) calendar days.		07/14/93		+ 1,056.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
SPARKS TERRELL LUMBER CO. LEXINGTON	HOSPITAL-MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0				CONTINUED
Reason for Change:	To bring existing electrical system into compliance with code.				
CONTRACTOR'S FINAL PAYMENT			8/17/93		\$ 330,854.20
THE ALLEN CO. WINCHESTER	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 200,396- 234,622	06/15/92	3	\$ 200,396.00
CHANGE ORDER #2	Provide modules in the fire alarm system panel to monitor four flow switches and four tamper switches. Make the connection of the switches to the modules.		08/02/93		+ 1,240.00
Reason for Change:	These flow and tamper switches were installed as part of an unrelated concurrent project. The modification was required to bring building fire protection system into compliance with Kentucky Building Code and NFPA.				
CONTRACTOR'S FINAL PAYMENT			09/14/93		\$ 200,396.00
ASHLAND-CATLETTSBURG PAVING, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.1	\$ 213,150- 231,945	07/16/93	3	\$ 213,150.00
CHANGE ORDER #1	1. Add section 2070 - Selective Demolition. 2. Deduct section 2525 - Curbs.		08/11/93		- 2,894.00
Reason for Change:	1. Section 2525 - Curbs: Construction needs to be completed by the time the students return. Curb and gutter can be constructed in less time than header curb, due to less digging being done.				
Contractor's Revised Contract Amount To Date:					\$ 210,256.00
JIM SMITH CONTRACTING CO. GRAND RIVERS	PADUCAH COMM COLLEGE PARKING LOT Project No. 1415.0	\$ 133,034- 160,348	10/20/92	4	\$ 133,034.88
Previous Change Orders #1-2					+ 5,956.00
Contractor's Revised Contract Amount To Date:					\$ 138,990.88

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
J & J MECHANICAL LOUISVILLE	JEFFERSON COMM. COLLEGE DT FINE ARTS CTR, PHASE I RENOV. Project No. 1420.0	\$ 79,375- 88,500	07/30/93	3	\$ 79,375.00
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT/FORESTRY BUILDING DEMOLITION Project No. 1425.0	\$ 139,994- 197,470	07/20/92	2	\$ 139,994.00
	Previous Change Order #1				- 9,277.00
	Contractor's Revised Contract Amount To Date:				\$ 130,717.00
ISAAC TATUM CONSTRUCTION LEBANON	ALUMNI GYM FLOOR REPLACEMENT Project No. 1426.0	\$ 129,670- 191,266	02/26/93	7	\$ 129,670.00
	Previous Change Order #1				+ 2,221.00
	CHANGE ORDER #2	1. Replace floor joists per unit price 2. Change in gym floor pattern 3. Repair three (3) holes in Ground Floor ceiling.	07/14/93		+ 1,666.00
ISAAC TATUM CONSTRUCTION LEBANON	ALUMNI GYM FLOOR REPLACEMENT Project No. 1426.0				CONTINUED
	Reason for Change:	1. Thirty-four (34) floor joists were required to be replaced during construction. 2. During construction there were mixed and edge grain boards installed. It was determined to take credit for difference in exposed grain rather than require entire floor to be replaced. 3. Three (3) volleyball pole inserts were installed by independent vendor, separate from this contract. Vendor cut holes to install inserts, but did not repair holes (not part of their contract). Floor replacement contractor repaired holes left by other vendor.			
	CHANGE ORDER #3	Delete monies for floor beam allowance.	08/26/93		- 400.00
	Reason for Change:	Floor beam was not replaced. Money for allowance was not used.			
	CONTRACTOR'S FINAL PAYMENT		8 / 2 6 / 9 3		\$ 133,157.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CRI, INC. LOUISVILLE	PRESTONSBURG COMM COLLEGE JOHNSON BUILDING CHILLER REPLACEMENT Project No. 1428.0	\$ 63,950- 90,629	11/16/92	6	\$ 63,950.00
	Previous Change Orders #1-2				+ 4,150.00
	Contractor's Revised Contract Amount To Date:				\$ 68,100.00
FEESE MECHANICAL CONTR. LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) BROADWAY BUILDING BOILER REPLACEMENT Project No. 1429.0	\$ 32,280- 49,994	06/30/93	5	\$ 32,280.00
A.V.P., INC. HENDERSON	HENDERSON COMM COLLEGE LRC STAIRWELL RENOVATION Project No. 1430.0	\$ 48,800- 59,625	06/08/93	3	\$ 48,800.00
AMERICAN ROOFING LOUISVILLE	JEFFERSON COMM. COLLEGE LRC BLDG. ROOF REPLACEMENT Project No. 1434.0	\$ 130,444- 157,000	07/07/93	4	\$ 130,444.00
	CHANGE ORDER #1	Remove existing saturated gypsum fill on the north side roof of the LRC Building and replace it with a tapered insulation. Unclogg two roof drains on the south side roof of the LRC Building.	08/18/93		+ 9,782.00
	Reason for Change:	Existing gypsum fill has been found to be water saturated. This material must be removed and replaced prior to construction of the new roof. After a heavy rain the south side roof of the LRC Building has been found to contain two clogged roof drains that must be unclogged prior to construction of new roof.			
	Contractor's Revised Contract Amount To Date:				\$ 140,226.00
BROWN & KERR, INC. SHEPHERDSVILLE	HARTFORD BUILDING ROOF REPLACEMENT Project No. 1435.0	\$ 70,100- 108,600	07/19/93	4	\$ 70,100.00
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	NEWMAN HALL ADMISSION FLOOR REPLACEMENT Project No. 1438.0	\$ 11,280- 33,000	05/26/93	4	\$ 11,280.00
	CONTRACTOR'S FINAL PAYMENT		8/24/93		\$ 11,280.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
THE ALLEN CO. WINCHESTER	TENNIS CENTER PARKING LOT Project No. 1442.0	\$ 47,229- 61,641	10/23/92	3	\$ 47,229.00
	Previous Change Order #1				+ 1,250.00
Contractor's Revised Contract Amount To Date:					\$ 48,479.00
A & K CONSTRUCTION, INC. PADUCAH	PADUCAH COMM. COLLEGE LRC AND STUDENT CENTER STRUCTURAL REPAIR Project No. 1447.0	\$ 73,933- 107,900	05/20/93	4	\$ 73,933.00
	CHANGE ORDER #1	1. Replace 10'-0" x 14'-0" sidewalk section at LRC Building because of settlement. 2. Provide W6x25 at Walkway Columns in lieu of plates. 3. Eliminate concrete cover at Walkway piers.	08/03/93		+ 1,070.00
A & K CONSTRUCTION, INC. PADUCAH	PADUCAH COMM. COLLEGE LRC AND STUDENT CENTER STRUCTURAL REPAIR Project No. 1447.0				CONTINUED
	Reason for Change:	1. Sidewalk has settled approximately 1-1/2" at construction joint. As a result, the joint is now a hazard. 2. Condition of existing columns is worse than expected. This change is per Engineer's request. 3. Due to design changes the material was deleted before the bid. The material was referenced on one note that was overlooked. The contractor included concrete cover in bid.			
Contractor's Revised Contract Amount To Date:					\$ 75,003.00
B & B BUILDERS WINCHESTER	DONOVAN HALL RESTROOM RENOVATIONS Project No. 1448.0	\$ 56,810- 73,800	04/28/93	8	\$ 56,810.00
	CHANGE ORDER #1	1. Clean all remaining wall tile, caulk and regrout joints in all showers. 2. Replace missing wall tile and repair necessary plaster as needed in all contract bathroom showers. 3. Delete shower partition end panels (28).	09/3/93		+ 371.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
B & B BUILDERS WINCHESTER	DONOVAN HALL RESTROOM RENOVATIONS Project No. 1448.0				CONTINUED
Reason for Change:	1. To insure a water tight wall surface. 2. This area of repair is not included in original contract. Not repairing these areas would leave holes in the walls. 3. These 28 end panels are unnecessary.				
Contractor's Revised Contract Amount To Date:					\$ 57,181.00
EUBANK & STEELE CONST. CO. LEXINGTON	BLANDING 4 REPAIR INTERIOR WALLS Project No. 1450.0	\$44,250- 58,584	04/14/93	6	\$ 44,250.00
CONTRACTOR'S FINAL PAYMENT			09/29/93		\$ 44,250.00
GORDON CONSTRUCTION SHELBYVILLE	SHAWNEETOWN KITCHEN RENOVATION Project No. 1453.0	\$119,899- 143,613	06/28/93	4	\$ 119,899.00
NEW VISIONS CONST. CO., INC. LEXINGTON	GREG PAGE RETENTION POND Project No. 1457.0	\$ 74,056- 139,800	03/08/93	9	\$ 74,056.00
Previous Change Orders #1-2					+ 2,908.00
Contractor's Revised Contract Amount To Date:					\$ 76,964.00
*Bond Holder completed the project because of non-performance of contractor.					
K & K NURSERY & FARMS WHITE PLAINS	GREG PAGE RETENTION POND LANDSCAPING Project No. 1457.1	\$ 9,805- 15,100	05/20/93	4	\$ 9,804.97
CHANGE ORDER #1	This change order amends the change order that we submitted on July 14, 1993. Remove and replace dead trees due to standing water in detention pond. Relocate other trees to higher elevation.		08/18/93		+ 270.00
Reason for Change:	The trees will die because of too much water and should be relocated to higher elevation. The grading done by separate contract left pools of water in the basin.				
Contractor's Revised Contract Amount To Date:					\$ 10,074.97

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WINGES CO., INC. NICHOLASVILLE	SHIVLEY OUTDOOR TRACK AND FIELD RENOVATION Project No. 1464.0	\$ 111,741- 138,870	06/30/93	4	\$ 111,741.00
BUFORD HEATING & AIR CONDITIONING, INC. PADUCAH	PADUCAH COMM. COLLEGE STUDENT CENTER/FINE ARTS BLDG BOILER REPLACEMENT Project No. 1462.0	\$ 73,463- N/A	08/25/93	1	\$ 73,463.00
AMERICAN RESOURCES, INC. MADISON, TN	ASBESTOS ABATEMENT AT MILLER HALL Project No. 1465.1	\$ 68,888- 103,300	07/15/93	7	\$ 68,888.00
CONTRACTOR'S FINAL PAYMENT			9/22/93		\$ 68,888.00
WINGES COMPANY, INC. NICHOLASVILLE	CLINICAL PARKING LOT REPLACEMENT Project No. 1480.0	\$ 84,890- 117,890	08/02/93	4	\$ 84,890.00
THE ALLEN COMPANY, INC. WINCHESTER	SPORTSCENTER DRIVE PHASE II Project No. 1485.0	\$ 92,400- 132,038	06/16/93	2	\$ 92,400.00
CHANGE ORDER #1	1. Delete Type "B" Curb Box Inlet		07/27/93		1,250.00
Reason for Change:	1. Inlet is in super elevated section on high side of roadway. Minor field grade changes have directed flow away from this structure.				
Contractor's Revised Contract Amount To Date:					\$ 91,150.00

Office of the President
October 19, 1993

FCR 3

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Administration be authorized to negotiate and execute a lease by and for the University of Kentucky (Medical Center Communications Disorders) and South Broadway Place, P. O. Box 8261, Lexington, Kentucky, property located in South Broadway Place, Virginia Avenue and South Broadway, Lexington, Kentucky, effective November 1, 1993 through June 30, 1994, for the use of office/clinical/classroom space, at an annual rate of \$62,527.48

Background: FCR 5, dated October 5, 1985 authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases in excess of \$30,000.00 be approved by the Board of Trustees.

Action taken: Approved Disapproved Other

Date: October 19, 1993

Office of the President
October 19, 1993

FCR 4

Members, Board of Trustees:

LIBERTY NATIONAL BANK PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Liberty National Bank to the Commonwealth Library Campaign.

Background: Liberty National Bank has made a commitment of \$100,000 to the Commonwealth Library Campaign building fund to be paid over a five year period.

Action taken: Approved Disapproved Other

Date: October 19, 1993

Office of the President
October 19, 1993

FCR 5

Members, Board of Trustees:

JAMAL MASHBURN SCHOLARSHIP FUND

Recommendation: that the Board of Trustees accept the commitment of Jamal Mashburn for a pledge of \$500,000 to the University of Kentucky, payable over five years, for the Jamal Mashburn Scholarship Fund.

Background: On September 28, 1993, Jamal Mashburn and the University of Kentucky entered into an Agreement to establish an endowment to provide funding for the establishment of Kentucky Excel, which will provide one or more scholarships for students to attend the University of Kentucky.

Kentucky Excel is a program for economically challenged and historically underrepresented students, who would not otherwise have the opportunity to attend college. The program is designed to provide a supportive framework and scholarships aimed at nurturing, guiding, and advising selected students (8th-12th grade) through an appropriate collegiate preparatory curriculum. Students completing the four year Kentucky Excel Program will be guaranteed a scholarship to attend the University of Kentucky. The University in collaboration with the Fayette County School System will develop the Kentucky Excel model.

Action taken: Approved Disapproved Other

Date: October 19, 1993

Office of the President
October 19, 1993

FCR 6

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$27,530,000 (PLUS OR MINUS UP TO \$2,750,000) OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES G, SERIES H AND SERIES I (THIRD SERIES), DATED OCTOBER 1, 1993

Recommendation: (i) that the Board of Trustees approve a Resolution accepting the successful bid of Goldman, Sachs & Co. with reference to the sale of the \$27,530,000 (plus or minus up to \$2,750,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G, Series H and Series I (Third Series), dated October 1, 1993, at a net interest cost of 4.3745%; (ii) that the Board of Trustees approve the form of Official Statement as prepared by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors to the Board of Trustees.

Background: On September 21, 1993, the Board of Trustees approved a Resolution authorizing the issuance of \$27,530,000 (plus or minus up to \$2,750,000) of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G, Series H and Series I (Third Series) dated October 1, 1993. Said Resolution also authorized the public advertising for sealed, competitive bids to be received on October 19, 1993. Bids were received by the Controller and Treasurer, tabulated by J.J.B. Hilliard, W.L. Lyons, Inc., the Financial Advisors for the bonds, and reported to the Finance Committee. The recommended bid is the lowest net interest cost to the University.

Four bids were received and tabulated as follows:

Goldman, Sachs & Co.	4.3745%
Clayton Brown & Associates, Inc.	4.4067%
Merrill Lynch/J.J.B. Hilliard, W.L. Lyons, Inc.	4.4584%
Donaldson, Lufkin & Jenrette	4.4944%

Action: Approved Disapproved Other

Dated: October 19, 1993

SALE RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$27,530,000 (PLUS OR MINUS UP TO \$2,750,000) OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REFUNDING REVENUE BONDS, SERIES G, SERIES H AND SERIES I (THIRD SERIES), DATED OCTOBER 1, 1993

WHEREAS, Notice of Sale of \$27,530,000 (plus or minus up to \$2,750,000) principal amount of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G, Series H and Series I (Third Series), dated October 1, 1993, has heretofore been advertised, and information as to such sale has been distributed in strict compliance with all legal requirements, which action is hereby authorized, ratified and confirmed, and

WHEREAS, all bids received for the purchase of said Bonds from the University have been duly considered, copies of such bids are attached to this Resolution, and made a part hereof, and a summary of said respective bids is as follows:

1.	2.	3.
<u>BIDDERS</u>	<u>AMOUNTS BID FOR SAID \$27,530,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM OCTOBER 1, 1993</u>	<u>NET INTEREST COST</u>
Goldman, Sachs & Co.	\$27,056,832.35	4.3745%
Clayton Brown & Associates, Inc.	\$27,234,793.15	4.4067%
Merrill Lynch/J.J.B. Hilliard, W.L. Lyons, Inc.	\$27,189,016.45	4.4584%
Donaldson, Lufkin & Jenrette	\$27,062,316.60	4.4944%

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the University has been sufficiently considered.

NOW, THEREFORE, BE IT AND IT IS HEREBY RESOLVED AND ORDERED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

1. Determination of Best Bid; Acceptance. That the bid of Goldman, Sachs & Co. for the purchase of said \$27,530,000 principal amount of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G, Series H and Series I (Third Series), dated October 1, 1993, be accepted and confirmed, said bid being at a price of \$ 27,056,832.35 for said \$27,530,000 principal amount of Bonds, and adjusted to a price of \$ 27,459,795.22 for \$ 27,940,000 principal amount of Bonds, plus accrued interest from October 1, 1993, to the date of delivery of the Bonds, such interest to be payable semiannually, commencing May 1, 1994, at the following annual rates:

<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>	<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>
1994	\$ 160,000	4.125 %	2001	\$ 3,765,000	4.15 %
1995	400,000	4.125 %	2002	3,895,000	4.15 %
1996	420,000	4.125 %	2003	4,130,000	4.15 %
1997	435,000	4.125 %	2004	1,380,000	4.15 %
1998	3,305,000	4.125 %	2005	1,440,000	4.20 %
1999	3,460,000	4.125 %	2006	1,490,000	4.20 %
2000	3,660,000	4.125 %			

and being a bid at an average net interest cost to the University of 4.3745 % per annum; and said bid is hereby determined to be the best bid and with the most favorable net interest cost to the University for said Bonds; and the principal maturities and interest rates on said Bonds are hereby fixed at the principal maturities and interest rates set out above.

2. All Other Bids Rejected. That all other bids are hereby rejected, and the good faith deposit of the successful purchaser will be deposited in immediately available funds prior to the close of business on October 20, 1993, and the amount thereof will be credited (without interest) against the purchase price of the Bonds on the date of delivery to such purchaser.

3. Delivery of Bonds; Application of Proceeds. That said Bonds shall be delivered by the Treasurer and/or other appropriate officials of the University in accordance with the terms of the Resolution authorizing the Bonds, adopted by the University on September 21, 1993, as soon as said Bonds are printed, executed, authenticated and ready for delivery in accordance with such Resolution, and all of the proceeds of the Bonds shall be used only as provided in said Resolution.

4. Acceptance of Bid is Subject to Approving Legal Opinion of Bond Counsel. That said bid is accepted subject to the condition that such acceptance subjects the University to no obligation to deliver the Bonds if for any reason the University is unable to obtain the final approving legal opinion of the firm of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, as to said Bonds, or if such Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Bonds shall be subject to income taxation by the Federal Government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Bonds; and the purchasers shall not be required to take delivery of said Bonds without the final approving opinion of said attorneys accompanying said Bonds, or if said Bonds or the interest thereon should become subject to such ad valorem or income taxation prior to such delivery; provided such exemption from taxation shall be subject to compliance by the Board of Trustees with certain covenants necessary to establish that interest on the Bonds will continue to be exempt from Federal income taxation under the Internal Revenue Code of 1986.

5. Approval of Official Statement. That the form of Official Statement prepared and presented to the Board of Trustees by J.J.B. Hilliard, W.L. Lyons, Inc., for distribution to prospective purchasers of the Series G, Series H and Series I (Third Series) Bonds is hereby approved and the Chairman and Secretary are authorized and directed to execute and deliver said Official Statement.

6. Repeal of Conflicting Resolutions. That all resolutions, or parts thereof, in conflict herewith, be and the same are hereby amended or repealed to the extent of such conflict, and this Resolution shall be effective immediately upon its adoption.

Passed and adopted by the Board of Trustees of the University of Kentucky at a properly convened meeting of the University held on October 19, 1993.

BOARD OF TRUSTEES
UNIVERSITY OF KENTUCKY

By Edward J. Beathitt
Chairman

Attest:

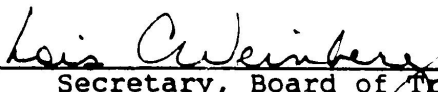
Lois C. Weinberg
Secretary

CERTIFICATE

I hereby certify that I am the duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and I certify further as follows:

1. That the foregoing is a true copy of a Resolution adopted by said Board; signed by the Chairman of the Board and attested under seal by me as Secretary of the Board at a properly convened meeting of said University held on October 19, 1993, as shown by the official records of said University in my custody and under my control;
2. That there are attached hereto reproduced duplicate copies of each of the bids received for the purchase of \$27,530,000 principal amount of University of Kentucky Consolidated Educational Buildings Refunding Revenue Bonds, Series G, Series H and Series I (Third Series), dated October 1, 1993, referred to in said Resolution;
3. That there is attached hereto the form of Official Statement for the Series G, Series H and Series I (Third Series) Bonds, approved by the foregoing Resolution; and
4. That said meeting was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or repealed, and that said Resolution is now in full force and effect.

IN TESTIMONY WHEREOF, witness my signature as Secretary and the official seal of said University this October 19, 1993.


Secretary, Board of Trustees

(Seal)